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Anapoles in Free-Standing III-V Nanodisks Enhancing Second-Harmonic Generation

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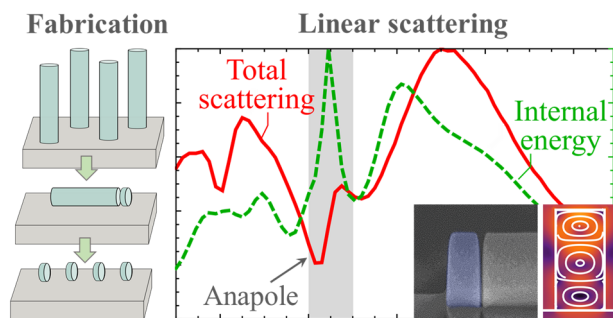
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TABLE OF CONTENT GRAPHICS:



ABSTRACT:

Nonradiating electromagnetic configurations in nanostructures open new horizons for applications due to two essential features: lack of energy losses and invisibility to the propagating electromagnetic field. Such radiationless configurations form a basis for new types of nanophotonic devices, where a strong electromagnetic field confinement can be achieved together with lossless interactions between nearby components. In our work, we present a new design of free-standing disk nanoantennas with nonradiating current distributions for the optical near-infrared range. We show a novel approach to create nanoantennas by slicing III-V nanowires into standing disks using focused ion beam milling. We experimentally demonstrate the suppression of the far-field radiation and the associated strong enhancement of the second-harmonic generation from the disk nanoantennas. With a theoretical analysis of the electromagnetic field distribution using multipole expansions in both spherical and Cartesian coordinates, we confirm that the demonstrated nonradiating configurations are anapoles. We expect that the presented procedure to design and produce disk nanoantennas from nanowires become one of standard approaches to fabricate controlled chains of standing nanodisks with different designs and configurations. These chains can be an essential building blocks for new types of lasers and sensors with low power consumption.

The concept of radiationless electromagnetic configurations, which are dark and not detectable in the far-field, is still a significant theoretical and experimental challenge. These nonradiating configurations arise as a result of the destructive interference in the far-field between the radiation originating from two or more distinct current distributions inside the nanostructure^{1,2}. In the last few years, significant results have been achieved in the theoretical analysis³⁻⁶ and experimental demonstration^{7,8} of anapole-type nonradiating current distributions. Initially, the term *anapole* was introduced in particle physics and then was used to describe dark matter in the universe⁹. In electrodynamics, first-order anapoles are nonradiating current distributions¹⁰, where electric and toroidal dipole moments¹¹⁻¹³ destructively interfere in the far-field due to their identical radiation patterns^{1,14}, which results in the suppression of the far-field radiation. The excitation of anapole modes in nanostructures provides a key possibility to develop nonscattering metamaterials with strong electromagnetic field confinement inside¹⁵⁻¹⁷. This strong field confinement leads to a substantial increase of the nonlinear optical response, which can be employed both to detect anapoles and to design efficient nonlinear devices with low energy losses.

For the optical domain, the anapoles and toroidal modes were recently employed to enhance the third-order nonlinear optical effects in Ge disk nanoantennas^{8,18} and were demonstrated in Si nanodisks⁷. In the microwave domain, dynamic anapoles were demonstrated experimentally and showed a great potential for anapole applications in new types of metamaterials^{11,15,19}. The concept of anapoles was utilized to design a new type of laser based on InGaAs disk nanoantennas, which can be an ideal coherent light source for optics at the nanoscale due to the absence of losses and low power consumption²⁰. The reduction of energy radiation and invisibility to the propagating electromagnetic field make anapoles an essential basis for a new type of devices with lossless interactions between nearby components. Anapoles address the fundamental tradeoff between the electromagnetic field confinement and energy dissipation, but a central challenge still is how to create nanostructures that utilize them effectively.

Our work is following the progressive research route^{21,22} of nanoscale light manipulation with optically induced Mie resonances¹⁵ in nanostructures from dielectric and semiconductor materials²⁵⁻²⁷ with high refractive indexes as an alternative to plasmonic materials. This kind of nanostructures offer the possibilities to reduce dissipative losses significantly and perform high resonance enhancement of

electric and magnetic fields. In addition to these advantages, semiconductor materials can also be electrically doped and used for subwavelength active devices^{28–30}. Furthermore, compared with the widely used silicon nanostructures, III-V materials such as GaAs, AlGaAs, or InAs, have direct band gaps and non-centrosymmetric structure, which make them promising materials for nonlinear photonic devices.

Here, we develop a new concept of vertically free-standing disk nanoantennas fabricated from epitaxially grown III-V nanowires sliced with focused ion beam milling. This technique offers unique opportunities to fabricate high-quality structures with variable radii, longitudinal heterostructures from lattice-mismatched materials with different refractive indexes³¹ and in crystal phases that are not available in bulk III-V materials^{32–35}.

We experimentally show the lack of radiation in the near-infrared domain and the strong second-harmonic generation (SHG) enhancement due to an efficient electromagnetic field confinement. The multipole expansion of the electromagnetic field, performed in both spherical^{7,36} and Cartesian coordinates^{37,38}, shows that the fabricated standing disks have anapole-type nonradiating current distributions. Different from previous work using third-harmonic generation⁸, we demonstrated experimentally and theoretically how the anapole-type nonradiating current distributions lead to a strong enhancement of SHG at the position of the anapole. The proposed novel geometry of vertically free-standing disks has a lower aspect ratio between diameter and height compared with previous horizontally lying disk designs^{7,8} and results in significant decrease in the substrate influence. This configuration, with new types of material based on III-V nanowires³⁹, enables the use of any substrate regardless of the nanoantenna material and fabrication process. This is crucial for the development of nonlinear nanophotonic devices, and for compatibility with complementary metal-oxide-semiconductor (CMOS) technologies.

We fabricated vertically standing disk nanoantennas from core-shell GaAs/AlGaAs nanowires (see Methods), where AlGaAs and GaAs are non-centrosymmetric materials with strong second-order nonlinear optical properties^{40–42}. Figure 1 presents the main steps of the fabrication process. We mechanically transferred nanowires, grown by molecular beam epitaxy⁴³, onto a glass substrate covered

with indium tin oxide (ITO) (Figure 1a). Using focused ion beam (FIB) milling, we sliced them into standing disks with diameters of 440 nm and heights of 210 nm. Application of the FIB milling technique allows to create different configurations of nanoantennas, with improved quality of the sidewalls in comparison to lithography and etching methods. Nanowires can be transferred on any type of substrates, for example transparent or silicon ones. Using the FIB slicing technique, we can easily get different configurations of chains of nanodisks. Scanning electron microscopy (SEM) images of the disk during fabrication are shown in Figure 1b and 1c. These SEM images are a side view of the ITO substrate with the nanowires. Additional SEM images illustrating the fabrication of disk nanoantennas are presented in the Supporting Information, Figure S2.

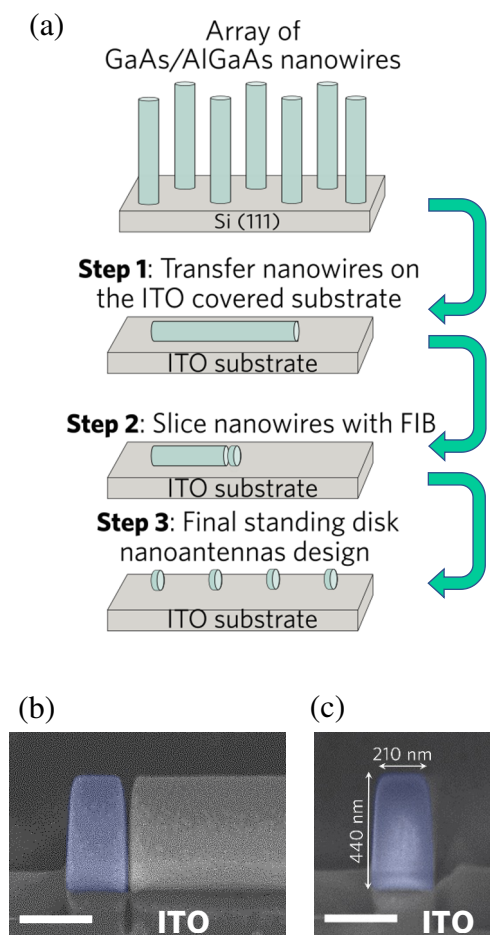


Figure 1. (a), Fabrication process flow using focused ion beam (FIB) milling for slicing the nanowires, placed on an indium tin oxide (ITO) substrate. (b), Scanning electron microscopy (SEM) image of one

nanowire sliced by FIB into standing disks (highlighted in blue). (c), SEM image of the resulting single standing disk (blue) on an ITO substrate. Scale bars are 300 nm.

To demonstrate the ability of our design to support nonradiating current distributions, we developed a finite element method (FEM) model and studied two types of disk orientations on the glass substrate: vertically standing and horizontally lying disks. The FEM simulations were performed using the COMSOL Multiphysics® software. Our model considers a spherical system, where disk nanoantenna is placed in the center of the sphere, as shown in the Supporting Information in the Figure S1. The numerical aperture (NA) of the collection objective was accounted for by integrating the scattered signal over a limited solid angle (see Figure S1 in Supporting Information).

In contrast to horizontally lying disks^{7,18,44} (Figure 2a), the vertically standing configuration (Figure 2d, g) is sensitive to the polarization of the incident electric field \vec{E} . Therefore, we considered two electric field directions: perpendicular (Figure 2d) and parallel (Figure 2g) to the disk. Figures 2b, e, h presents the simulated normalized scattering cross sections for the corresponding orientations. These spectra were calculated both for a collection of the signal over the full sphere (red lines, Figures 2a, e, h) and via an objective with NA = 0.55 (blue lines, Figures 2b, e, h). All charts show the normalized internal electric energies, shown with green lines in Figures 2b, e, h. The results of the simulations demonstrate an important feature of the designed standing disks: the regions of the nonradiating current distributions are highly overlapping for both polarizations of the incoming electric field. Therefore, they will appear for unpolarized and arbitrarily polarized light as well. In our simulations we are also taking into account the absorption of the material with values for real and imaginary parts of the refractive indices of GaAs and $\text{Al}_{0.3}\text{Ga}_{0.7}\text{As}$ ⁴⁵.

The scattering cross section spectra from the vertically standing disks for both polarizations (red lines, Figures 2e, h) display sharp drops accompanied by the corresponding peaks of the internal electric energy (green lines, Figure 2e, h) between 800 and 900 nm (highlighted grey regions in Figure 2). This demonstrates an efficient electromagnetic field confinement with suppressed far-field scattering,

indicating nonradiating current distributions^{1,7}. We are not considering the other drops in the scattering spectra, as they are not accompanied by internal energy peaks. While the horizontally lying disk is the standard geometry for disk nanoantennas^{7,18,44}, the nonradiating configurations in such structures appear only for high aspect ratios, around 4:1 for Si⁷ and Ge^{8,18}. In our geometry, the nonradiating configurations are presented for an aspect ratio of 2:1, as shown on SEM image Figure 1c.

We calculated the electric field distributions inside the disks and we present the images for the corresponding results for the wavelengths of the internal energy peak in the region 800–900 nm in Figures 2c, f, i. All images of the electric field distributions are shown in the plane that corresponds to the direction of \vec{E} shown on each image, and all cross sections were taken through the center of the disk. The toroidal current distributions, shown in Figure 2f and i, indicate the presence of the toroidal mode inside the vertically standing nanoantennas¹.

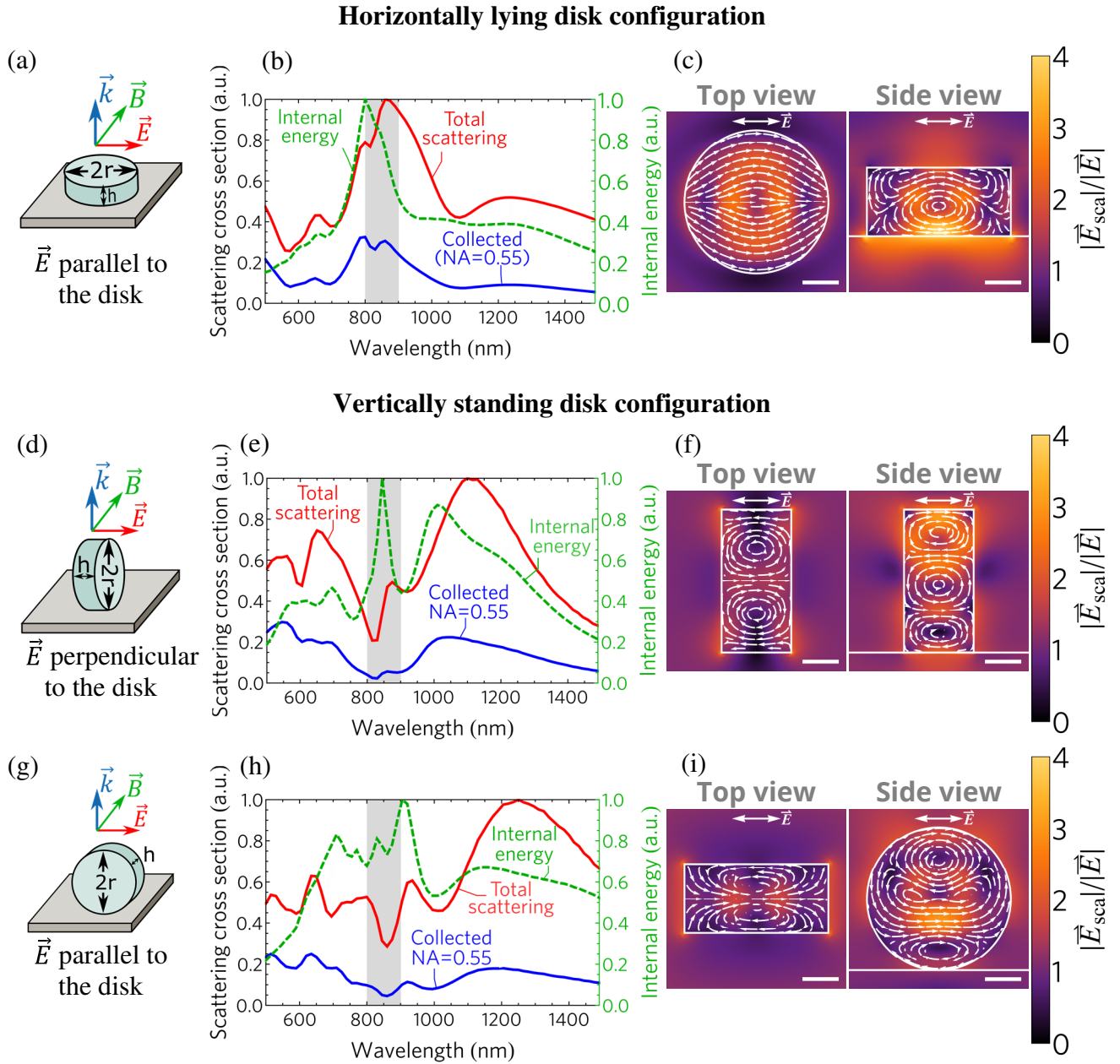


Figure 2. Horizontally lying disk configuration with (a), schematic, where vectors \vec{k} , \vec{E} and \vec{B} illustrate the directions of the wave vector, electric and magnetic fields, respectively, (b), simulated scattering cross section for full sphere collection of the signal (red lines), with collection via objective with numerical aperture (NA=0.55) and corresponding internal electric energy, and (c), top and side views for electric field distributions, the scale is 100 nm and \vec{E}_{sca} is the scattered electric field. **Vertically standing disk configuration perpendicular to the incoming electric field** with the corresponding schematic (d), simulation results (e), and electric field distributions (f). **Vertically standing disk configuration parallel to the incoming electric field** with the corresponding schematic (g), simulation results (h), and electric field distributions (i). Grey area on (b), (e) and (h) highlights the region of interest.

To demonstrate experimentally the nonradiating current distribution in the fabricated vertically standing disks in the region 800–900 nm, we measured the linear and nonlinear optical responses. Figure 3a displays the scattering cross section from a single standing disk (Figure 1c) measured in a dark-field spectroscopy setup (see Supporting Information, section 3.2). Figure 3b shows the corresponding linear scattering cross section averaged over two polarizations calculated with FEM model. The simulated linear scattering cross section matches the experimental one, presented in Figures 3a, b, reproducing all major features.

The strong confinement of the electric field inside the vertically standing disks, demonstrated in numerical simulations (Figures 2f, i) for the region 800–900 nm, leads to a resonant enhancement of the SHG. We demonstrate this enhancement by measuring the SHG intensity spectra from the standing disk (blue lines, Figure 3c) and comparing them with the SHG spectra from an epitaxially grown layer of AlGaAs (red lines, Figure 3c). The total SHG enhancement factor of the standing disk is around 5×10^3 in comparison with the layer (see Supporting Information, Section 3.4). To analyze the SHG in the fabricated standing disks, we extended the FEM model with the corresponding SHG simulations (see Supporting Information, Section 1.1), and Figure 3d presents the results of these SHG simulations. The small red shifts between experimental and simulated SHG spectra could arise from deviations of shape and dimensions during the fabrication process in comparison with the perfect disk geometry in the FEM model. Following the work in reference 46 we estimate the conversion efficiency of the SHG from the studied GaAs/AlGaAs disk nanoantennas at the position of the maximum (Figure 3c and d) to be 1.9×10^{-5} for an incident intensity of 1 GW/cm^2 .

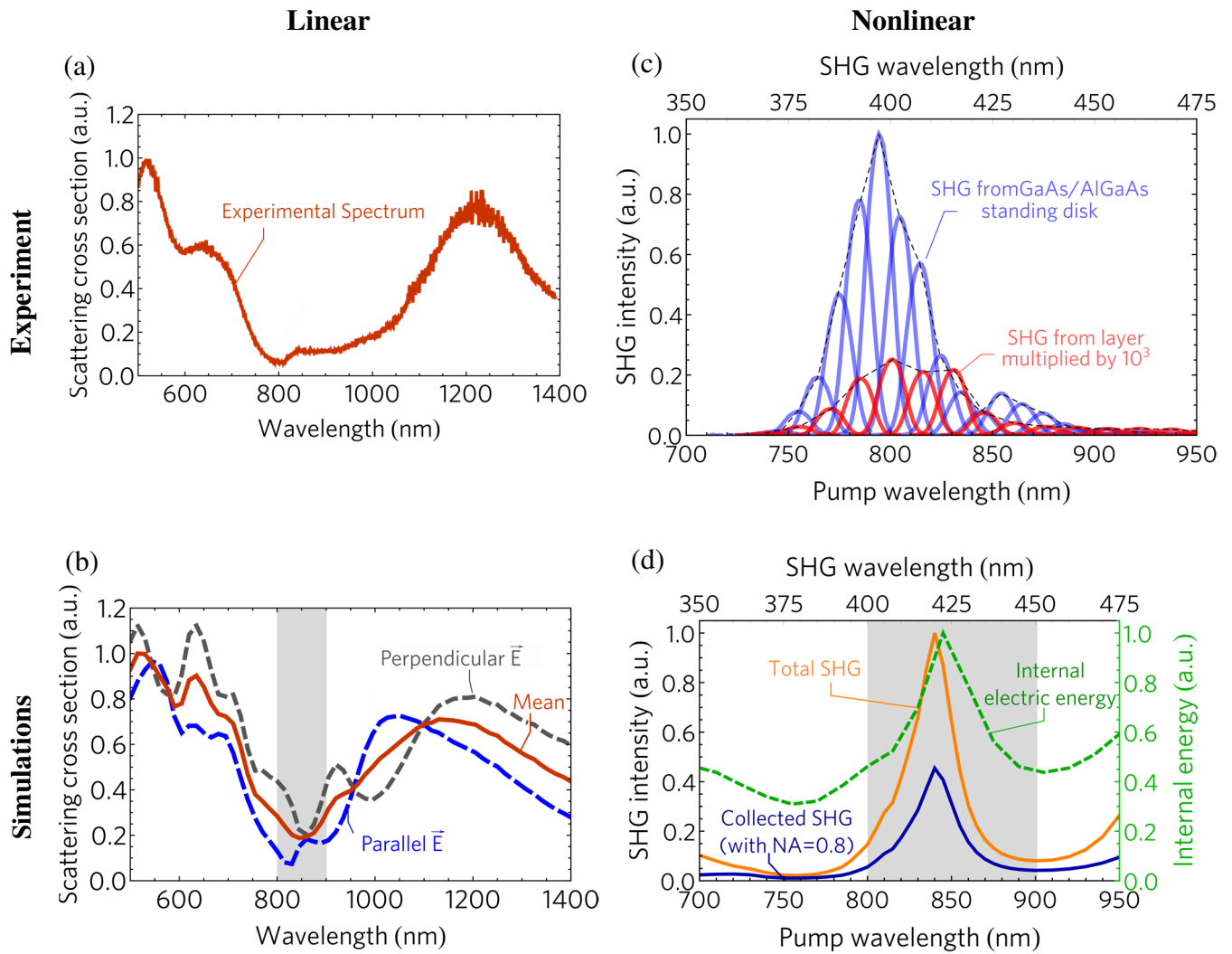


Figure 3. (a) Experimentally measured scattering cross section of an GaAs/AlGaAs disk nanoantenna. (b), Simulated scattering cross section spectra for both parallel (blue dashed line) and perpendicular (dashed grey line) polarization of the incoming electric field, and the average over both polarizations (solid red line). (c), Fundamental wavelength dependence of the SHG spectra of the GaAs/AlGaAs disk (blue lines) and epitaxial layer (red lines, signals were multiplied by 10^3), the dashed grey lines are the envelope of the SHG spectra; SHG spectra were recorded by sweeping the excitation laser wavelength from 690 nm to 900 nm with steps of 10 nm. (d), Simulated SHG signal, for the full sphere collection (orange line), collection via objective with numerical aperture 0.8 (blue line), and the internal electric energy of the fundamental radiation (dashed green line). Grey area on (b) and (d) highlights the region of interest.

To show that the chosen geometry and aspect ratio parameters are optimal for the highest enhancement of the SHG at the anapole mode we performed calculations for different sizes and aspect ratios of the disks. The corresponding results are presented in section 4 in the Supporting Information.

Simultaneous implementation of the multipole expansion of the electromagnetic field in spherical and Cartesian coordinates lets us unambiguously determine the nature of the nonradiating current distributions in the studied free-standing disks. In spherical coordinates, the far-field radiation can be easily decomposed up to higher-order multipoles. However, the toroidal multipoles share the same radiation pattern as the corresponding electric multipoles¹, which makes them indistinguishable in spherical coordinates, while the Cartesian expansion separates them (Supporting Information, Section 2.2).

For the spherical multipole expansion³⁶, we evaluated the contributions from electric (ED_{sph}) and magnetic (MD_{sph}) dipoles, electric (EQ_{sph}) and magnetic (MQ_{sph}) quadrupoles, and electric (EO_{sph}) and magnetic (MO_{sph}) octupoles (Figures 4a and c). The sum of these individual contributions, shown with red lines in Figures 4a, c, matches the calculated scattering cross section for the standing disk, shown with red lines in Figures 2b, c. As we can see from the spherical multipole expansions, the contributions from the spherical octupoles are negligible, which allows us to perform the Cartesian multipole expansion up to electric and magnetic quadrupoles terms only^{37,38}. The corresponding expressions for the Cartesian multipoles contributions are presented in Table S1 in Supplementary Information.

The total electric dipole contribution (TED_{car}) contains the electric (ED_{car}) and toroidal (TD_{car}) dipoles shown in purple and green lines in the Figure 4e together with their interferences that are shown with blue line in the Figure 4e. The TED_{car} equals the electric dipole in the spherical coordinates (ED_{sph})^{3,36}. The second and third terms are the contribution from the magnetic dipole (MD_{car}) and the correction due to its interference with its mean square radius $\overline{\rho^2}$ (MR_{car}) respectively. Their sum shown with dashed orange line in Figure 4b, neglecting the mean square radius term (eq. S10-11, Supporting Information), corresponds to the magnetic dipole (MD_{sph}) in spherical coordinates. The last two terms are the contributions from the electric (EQ_{car}) and magnetic (MQ_{car}) quadrupoles, that presented with solid and dashed blue lines in Figure 4d. We would like to mention that the differences in the short wavelength

range for the total sum of spherical and Cartesian multipoles expansions, shown in red in Figure 4a-d, are coming from the orders of the contributions that we are considering. For the spherical multipoles expansion, we are including contributions up to octupole terms, while for the Cartesian multipole expansion we are taking contributions up to the order $1/r^5$, and this does not affect the anapole range, where octupole contributions are negligible (see Figure 4).

The spherical multipole expansion (Figures 4a and c) shows that the region with the drop of the total scattering cross section (800-900 nm) has a nonnegligible contribution only from the electric dipole ED_{sph} , while others are suppressed. The decomposition into Cartesian multipoles demonstrates high values of electric (ED_{car}) and toroidal dipoles (TD_{car}) in this region together with a strong destructive interference between them (Figure 4e) proportional to $\text{Im}(\mathbf{p}^* \cdot \mathbf{t})^{4,37,38}$. The other multipoles, including the magnetic dipole (MD_{car}), in the Cartesian representation have no contributions in this region (Figures 4d,e). The multipole expansion demonstrates the destructive interference between electric and toroidal dipoles and simultaneous suppression of the higher-order multipoles. While the interference between the electric and toroidal dipole is not totally destructive at the position of the minimum, the main contribution to the internal current distributions is clearly not radiating into the far field. Therefore, our theoretical analysis shows the anapole nature of nonradiating current distributions in the designed and experimentally studied vertically standing disk nanoantennas.

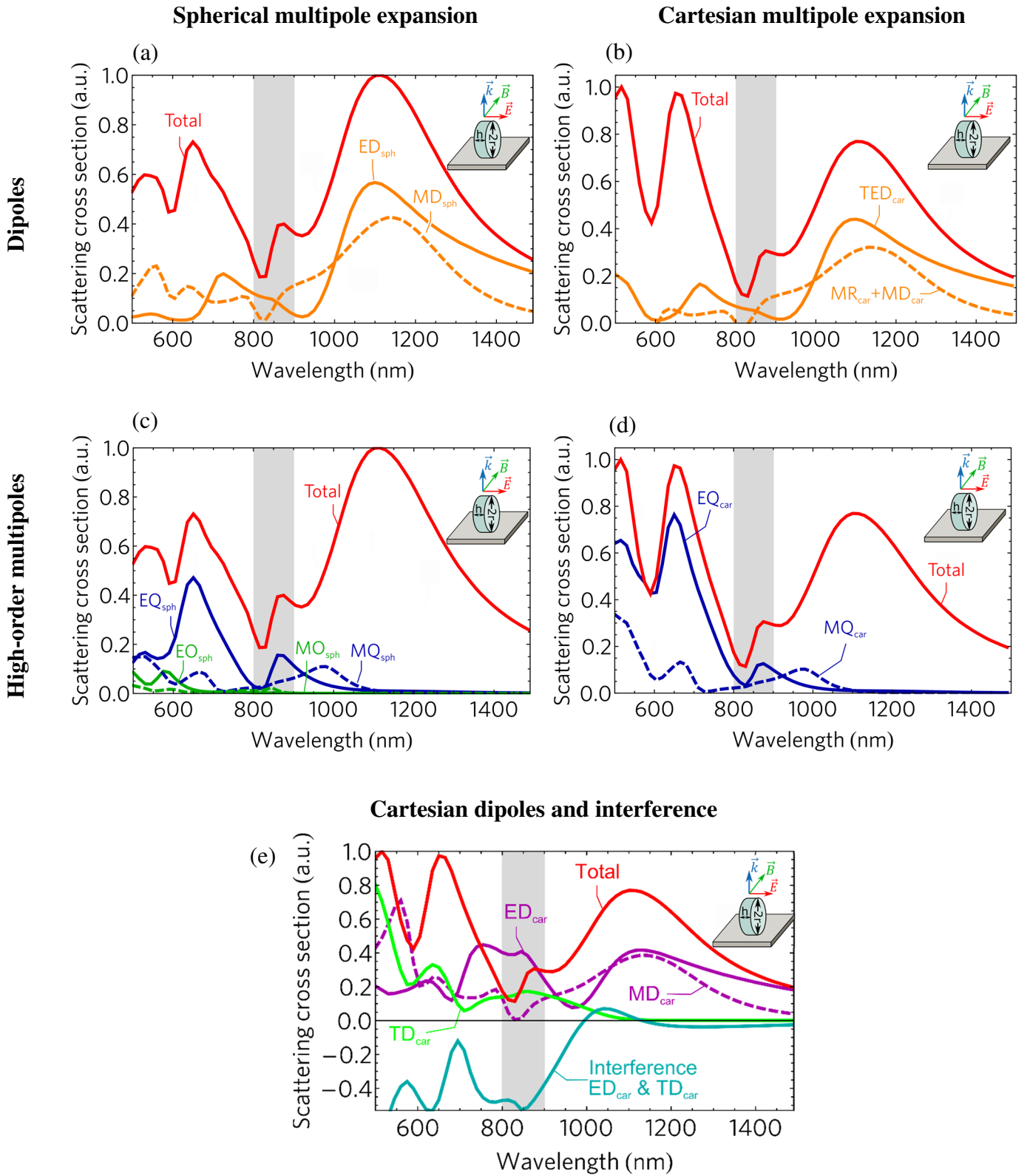


Figure 4. The geometry schematic for all charts is the same and presented on the insets. (a), Contributions from spherical electric ED_{sph} and magnetic MD_{sph} dipoles to the total scattering intensity (red line). (b), Contributions from Cartesian total electric dipole TED_{car} and total magnetic dipole TMD_{sph} (neglecting the mean square radius correction) to the total scattering intensity (red line). (c), Contributions from spherical electric EQ_{sph} and magnetic MQ_{sph} quadrupoles, electric EO_{sph} and magnetic MO_{sph} octupoles

to the total scattering intensity. (d), Contributions from Cartesian electric EQ_{sph} and magnetic MQ_{sph} quadrupoles. (e), Cartesian electric (ED_{car}) and magnetic (MD_{car}) dipoles, toroidal electric dipole (TD_{car}) and interference term of ED_{car} & TD_{car} (see equations S10 and S11 in Supplementary Information) to the total scattering cross section (red line). The negative value of the interference term (cyan line) arises since it is only part of the physical contribution of the total electric dipole TED_{car} .

In summary, we have presented a new design concept of nanoantennas based on free-standing disks, a novel procedure to fabricate them from III-V nanowires, and an end-to-end approach, from theory to experiment, to study nanostructures supporting nonradiating configurations in the optical range. The presented methods of slicing nanowires with FIB milling allows to create disk-based configurations on any substrate. This method significantly expands the possible application area of III-V disk nanoantennas. The approach of FIB nanowire slicing allows easily to create different designs of nanoantennas chains^{26,47} without relying on the substrate material and to make them compatible with CMOS technologies. This method, presented in our work, is general and could be utilized for different types of nanowires and nanomaterials.

The presented end-to-end approach includes a numerical simulation with analysis of the multipole expansion of the electric field and experimental demonstration of nonradiating current distributions by linear scattering and SHG spectra measurements. We applied it for an experimental and theoretical demonstration of nonradiating anapoles in the geometry of vertically standing III-V nanodisks.

In the near future, we expect that the developed procedure of nanowires slicing can create a new branch of nanotechnology to fabricate controlled chains of standing nanodisks with different designs and configurations. Such type of nanoantennas' chains could be a core element for lasers and sensors^{48,49} with low power consumption based on lossless nonlinear nanophotonic components supporting anapoles and compatible with CMOS technologies.

Methods. *Fabrication of GaAs/AlGaAs disks.* The vertically standing nanodisks were fabricated from GaAs/AlGaAs core-shell nanowires deposited on ITO glass substrate using FIB milling. The nanowires were grown by molecular beam epitaxy on Si (111) substrates, using Au colloid droplets as a catalyst material⁴³. As the first step, the GaAs core of nanowires were grown, then in situ the Al_{0.2}Ga_{0.8}As shells were grown to passivate the surface states⁵⁰. Then nanowires were transferred on the ITO covered glass substrate. After that, we used a focused Gallium liquid metal ion microscope coupled with a high-resolution field effect gun scanning electron microscope, (NVision 40, Carl Zeiss AG). The system is equipped with a proprietary nanopatterning software (NPVE, NanoPatterning and Visualization Engine, Carl Zeiss AG). The software can control the focused ion beam and/or the electron beam microscope. We can capture images of the desired nanowires with the electron microscope, then create a layout with the NPVE software on the SEM image. Later, we run this layout to mill our nanowires with the focused ion beam and produce the nanowire based antennas.

Far-field linear spectroscopy. Characterization of linear scattering cross sections were performed in a dark-field spectroscopy setup⁵¹ (Supporting Information, section 3.1). The nanoantenna sample was irradiated with a halogen lamp source. The scattered light was collected in a transmission geometry using a 50x objective with a numerical aperture NA = 0.55.

Nonlinear optical characterization. Nonlinear optical measurements of the SHG spectra were performed with a nonscanning transmission optical microscope (Supporting Information, Figure S4). For the excitation of the samples, we used a tunable Ti:Sapphire laser (in the range 690–900 nm with steps of 10 nm). The laser light was focused with a 10x objective on the ITO covered glass with isolated disk nanoantennas. The signal was then collected with a 100x objective (NA= 0.8) and focused onto a scientific CMOS camera and on the spectrometer. The polarization of the excitation laser was fixed the same for all steps. As a reference sample for the determination of the enhancement factor, we measured the SHG spectra from an epitaxially grown layer of AlGaAs with a thickness of 800 nm. The corresponding spectra from the layer and the disks were normalized by the effective volume of the measured structures and the differences in the setup parameters for reflection and transmission measurements (Supporting Information, section 3.3). We also measured the dependence of the SHG

signal intensity for different incident power and observed a typical quadratic response (Supporting Information, Figure S5).

Numerical FEM simulations. The numerical simulations were performed using the COMSOL Multiphysics® software package. For the numerical simulations of the scattering cross section from the disk structures we developed a model to calculate the linear scattering cross section from the disk nanoantennas and the spectra of SHG for different geometries. In our numerical model we are calculating how much is collected in the far-field by considering the numerical aperture (NA) of the collection objective. The collected signal is shown with blue lines in Figure 2, moreover in Figure S1 in the Supplementary Information we highlight the solid angle that is collected experimentally (blue portion of the spheres, “numerical aperture”). For the linear scattering measurements, we are using a 50X objective with NA = 0.55. For the nonlinear responses we are using a 100X objective with NA= 0.8, both the collected (blue) and total (orange) SHG intensity are shown in Figure 3d.

Multipole expansions. Multipole expansions were performed in both spherical and Cartesian coordinate systems. We analyze the contributions to the total scattering cross section from the individual multipoles up to octupoles terms in spherical coordinates and up to order $\frac{1}{c^5}$ for Cartesian ones³⁸. The spherical and Cartesian multipole expansions were implemented in the developed COMSOL model (Supporting Information, section 2).

ASSOCIATED CONTENT

Supporting Information

More details about experimental methods and theoretical analysis with multipole expansions in spherical and Cartesian coordinates are presented in Supporting Information. Numerical simulations of SHG; numerical COMSOL models for multipole expansions in spherical and Cartesian coordinates; experimental setups for linear and nonlinear characterizations.

This material is available free of charge via Internet at <http://pubs.acs.org>.

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Author Contributions

The manuscript was written through contributions of all authors. All authors have given approval to the final version of the manuscript.

R.G. designed the experiment. M.T. did the nanoantennas design, second-harmonic generation experiments, data analysis, theoretical modelling and manuscript writing. L.L. performed the second-harmonic generation measurements, numerical simulations and theoretical analysis. F.T. performed the linear optical characterization and helped with numerical simulations. C.R. did the focused ion beam milling of nanowires and characterization with scanning electron microscopy. A.B., Ig.S. and G.C. fabricated the nanowires with molecular beam epitaxy. All authors have given approval to the final version.

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ABBREVIATIONS

Si, silicon; Ge, germanium; InGaAs, indium gallium arsenide; GaAs, gallium arsenide, AlGaAs, aluminium gallium arsenide, InAs, indium arsenide; CMOS, complementary metal-oxide-semiconductor; SHG, second-harmonic generation; ITO, indium tin oxide; FIB, focused ion beam; SEM, scanning electron microscopy, FEM, finite element model; NA, numerical aperture; ED_{sph} , spherical electric dipole; MD_{sph} , spherical magnetic dipole; EQ_{sph} , spherical electric quadrupole; MQ_{sph} , spherical

magnetic quadrupole; EO_{sph} , spherical magnetic octupole; MO_{sph} , spherical magnetic octupole; TED_{car} , cartesian total electric dipole contribution; ED_{car} , Cartesian electric dipole; TD_{car} toroidal dipole; MD_{car} , Cartesian magnetic dipole; MR_{car} , mean square radius; EQ_{car} , Cartesian electric quadrupole; MQ_{car} , Cartesian magnetic quadrupole.

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