

# Parametric study of the influence of environmental factors and tree properties on the transpirative cooling effect of trees

**Journal Article****Author(s):**

[Manickathan, Lento](#) ; Defraeye, Thijs; Allegrini, Jonas; Derome, Dominique; Carmeliet, Jan

**Publication date:**

2018-01-15

**Permanent link:**

<https://doi.org/10.3929/ethz-b-000198634>

**Rights / license:**

[Creative Commons Attribution-NonCommercial-NoDerivatives 4.0 International](#)

**Originally published in:**

Agricultural and Forest Meteorology 248, <https://doi.org/10.1016/j.agrformet.2017.10.014>

1 **Parametric study of the influence of environmental factors and tree properties**  
2 **on the transpirative cooling effect of trees**

3 Lento Manickathan<sup>a,b,\*</sup>, Thijs Defraeye<sup>a,b</sup>, Jonas Allegrini<sup>a,b</sup>, Dominique Derome<sup>b</sup> and Jan Carmeliet<sup>a,b</sup>

4

5 <sup>a</sup> Chair of Building Physics, Department of Mechanical and Process Engineering, ETH Zürich, CH-8093 Zürich,  
6 Switzerland

7 <sup>b</sup> Laboratory for Multiscale Studies in Building Physics, Empa, Swiss Federal Laboratories for Materials Science and  
8 Technology, Überlandstrasse 129, CH-8600 Dübendorf, Switzerland

9

10 **\* corresponding author:**

11 Email: [mlento@ethz.ch](mailto:mlento@ethz.ch)

12 Tel: +41 (0)58 765 4604

13 Address: Überlandstrasse 129, 8600 Dübendorf, Switzerland

14

15 **Email addresses:**

16 [mlento@ethz.ch](mailto:mlento@ethz.ch) (L. Manickathan), [thijs.defraeye@empa.ch](mailto:thijs.defraeye@empa.ch) (T. Defraeye), [jonas.allegrini@empa.ch](mailto:jonas.allegrini@empa.ch) (J. Allegrini),

17 [dominique.derome@empa.ch](mailto:dominique.derome@empa.ch) (D. Derome), [cajan@ethz.ch](mailto:cajan@ethz.ch) (J. Carmeliet)

18

19

20 **Accepted Manuscript:**

21 Lento Manickathan, Thijs Defraeye, Jonas Allegrini, Dominique Derome, Jan Carmeliet, Parametric study of the  
22 influence of environmental factors and tree properties on the transpirative cooling effect of trees, Agricultural and Forest

23 Meteorology, Volume 248, 2018, Pages 259-274, ISSN 0168-1923, <https://doi.org/10.1016/j.agrformet.2017.10.014>.

24 (<http://www.sciencedirect.com/science/article/pii/S0168192317303349>)

25

26

27

28

29

30

31 **Keywords**

32 transpirative cooling, vegetation, CFD, parametric study, UTCI

33

34 **Highlights**

- 35 - A study on the transpirative cooling effect of a single row of trees
- 36 - The transpirative cooling effect assessed by calculating UTCI around vegetation
- 37 - The shading due to trees increases thermal comfort more than transpirative cooling

38- **Abstract**

39

40 Vegetation can provide transpirative cooling in cities and is therefore being increasingly integrated as an essential part of  
41 Urban Heat Island (UHI) mitigation strategies. However, the behaviour of vegetation must be accurately understood to  
42 determine the effectiveness of vegetation based solutions. In this study, vegetation is modelled as a porous medium in a  
43 computational fluid dynamics model for flow of moist air, where a leaf energy balance model is used to determine the heat  
44 fluxes. We study the cooling effect of a single row of trees at noon with solar altitude at 90 degrees for various  
45 environmental factors (wind speed, air temperature, relative humidity and solar radiation intensity) and tree properties  
46 (leaf size, stomatal resistance and leaf area density). Furthermore, the influence of tree height and number of tree rows on  
47 the cooling effect are studied. The Universal Thermal Climate Index (UTCI) around the trees is estimated to determine the  
48 impact of transpirative cooling on pedestrian thermal comfort. The study shows that, at low wind speeds, pedestrians  
49 would only perceive a local benefit of transpirative cooling. However, vegetation extracts overall more heat from the flow  
50 at higher wind speeds. A study on the influence of environmental conditions quantifies to which extent a single row of  
51 trees provide maximum cooling during hot and dry conditions. The shading provided by trees improves thermal comfort  
52 more than transpirative cooling of a single row of trees. Furthermore, taller trees are more beneficial as the vegetation  
53 canopy with high leaf temperatures is further away from the pedestrian level.

## 54 **1. Introduction**

55

56 Cities are known to experience higher temperatures than the surrounding rural areas (Oke, 1973). This Urban Heat Island  
57 (UHI) effect has detrimental effects on human health and comfort in cities (Santamouris and Asimakopoulos, 2001).  
58 Furthermore, in the future, the UHI effect will grow due to increasing urbanization, which will lead to a predicted urban  
59 population of 5 billion by 2030 and 66% of the world's population living in cities by 2050 (Seto et al., 2012; United Nations,  
60 2015). The temperatures in urban areas will further increase due to the combined effect of climate change with a projected  
61 2-4 °C increase in global average surface temperature by 2100 (Pachauri et al., 2014). Vegetation can provide cooling and  
62 is therefore increasingly being considered as part of UHI mitigation strategies to improve the human comfort in cities.

63

64 The effectiveness of vegetation as a UHI mitigation strategy has been verified through various field measurements  
65 including on-site survey and remote sensing studies. Bowler et al. (2010) provide an extensive review of such empirical  
66 studies summarizing the effectiveness of parks, trees, ground vegetation and green roofs on the urban climate. These studies  
67 show that vegetation prevents warming of land surfaces and the air through evapotranspiration and shading. For example,  
68 parks and trees are shown to provide a cooling on average around 0.5 to 3 °C to cities (Bowler et al., 2010; Chen and Wong,  
69 2006; Kurn et al., 1994; Ng et al., 2012; Rahman et al., 2017). However, it is also seen that the cooling provided by  
70 vegetation is dependent on the local climate, vegetation species and the amount of vegetation. Numerical simulation using  
71 urban microclimate models can, therefore, be an important mean to assessing these influences. Furthermore, these studies  
72 can be used to develop effective mitigation strategies.

73

74 Urban microclimate models employed to predict the effectiveness of vegetation should accurately model the different  
75 physical interactions of vegetation and environment. Vegetation exchanges momentum, heat and mass and has thus an  
76 impact on the urban microclimate and comfort. Trees shelter from wind and modify the turbulence levels at the pedestrian  
77 level. They also provide shading below the crown by intercepting the solar radiation. Furthermore, transpiration extracts  
78 heat from the airflow due to phase change from liquid water to water vapour. In the literature, heat and mass exchanges of  
79 vegetation with the air are modelled using approaches with different levels of complexity. The big-leaf approach treats  
80 vegetation canopy as a single unit (Penman and Schofield, 1951; Sellers et al., 1996; Shuttleworth and Wallace, 1985). The  
81 dual-leaf model differentiates sunlight and sun-shaded leaf surfaces (Dai et al., 2004). The more advanced multi-layer  
82 canopy model discretizes vegetation into multiple layers (Dolman, 1993; Krayenhoff et al., 2014; Leuning et al., 1995;  
83 Ryder et al., 2014; Wang and Jarvis, 1990). To better describe the heterogeneity of heat and mass exchanges due to the

84 heterogeneity of the foliage, an improved discretization of vegetation is required such as resolving individual leaves  
85 (Dauzat et al., 2001) or modelling vegetation as a heterogeneous porous medium inside a computational fluid dynamics  
86 (CFD) model (Hiraoka, 2005; Liang et al., 2006; Sanz, 2003; Wilson, 1985). Such models have been used to assess the  
87 influence of vegetation in urban areas (Bruse and Fleer, 1998; Gromke et al., 2014; Kenjereš and Ter Kuile, 2013; Robitu  
88 et al., 2006) and can be used to determine the effectiveness of vegetation in providing cooling.

89

90 Furthermore, to the authors' knowledge, few rigorous studies have been performed to investigate the cooling effect of  
91 individual trees. Alexandri and Jones (2008) investigates vegetated surfaces and studies the influence of climate conditions  
92 on the cooling potential of green roofs and green walls at various climate conditions. They find that green walls have a  
93 stronger cooling effect than green roofs in an urban canyon. Furthermore, the study shows that vegetated surfaces mitigate  
94 the UHI regardless of a specific climate. Bruse and Fleer (1998) show that small modifications to urban geometries, such  
95 as introducing small parks, can result in a quantifiable improvement of the microclimate. Gromke et al. (2014) use a CFD  
96 case study of a recorded heat wave in Arnhem to quantify the impact of vegetation on the UHI. They show that transpirative  
97 cooling by avenue-trees provides a cooling effect up to 1.6 °C at pedestrian height and that green facades provide only a  
98 cooling of up to 0.3 °C. Hiraoka (2005) investigates the heat and mass exchange of a single tree and evaluates the impact  
99 of a few environmental factors such as relative humidity and air temperature. He finds that leaves absorb a substantial  
100 amount of short-wave radiation during the evapotranspiration process. In all above studies, the influence of tree properties,  
101 the size of the tree, nor the influence of cooling by vegetation on the thermal comfort is studied. There is still a need for  
102 better understanding of how these factors directly influence the pedestrian comfort and which parameters play a dominant  
103 role. At a smaller scale, CFD parametric studies have been used to investigate the influence of leaf properties on the  
104 transpiration from leaf surfaces. Defraeye et al. (2013) and Defraeye et al. (2014) show the importance of stomatal opening  
105 on the transpiration rate from the leaf surfaces. These studies demonstrate that CFD can be a useful tool to better understand  
106 the influence of various UHI mitigation strategies using vegetation and to quantify the impact of vegetation parameters on  
107 the microclimate.

108

109 In this paper, a parametric study of the influence of environmental factors and tree properties on the transpirative cooling  
110 effect of a single row of trees is presented. The environmental factors investigated are wind speed, relative humidity, air  
111 temperature and solar radiation. The tree properties investigated are stomatal resistance, leaf size and leaf area density. In  
112 addition, the influence of vegetation size in the domain is studied by varying tree height and number of tree rows. The  
113 study aims at answering the following key questions: How does the climate influence the transpirative cooling effect of a

114 single row of trees? Which features of the trees improve its cooling performance? Does increasing the size of the vegetated  
115 volume consistently improve the cooling of the environment? These findings can then assist in developing specific  
116 guidelines for effective UHI mitigation measures.

117

118 The flow of moist air through vegetation is modelled with a computational fluid dynamics (CFD) approach where  
119 vegetation is modelled as a porous medium, where the heat and mass exchanges are determined from a leaf energy balance  
120 model (Section 2.1). The numerical model is validated against numerical and experimental study of impatiens (jewelweed)  
121 plants in a greenhouse (Section 2.3). Thereafter, the model is used to study the transpirative cooling effect of trees in Section  
122 3. The thermal comfort for a pedestrian is assessed using the Universal Thermal Climate Index (UTCI) (Fiala et al., 2001).  
123 Furthermore, the transpirative cooling is identified by comparing the UTCI at transpiring (when the leaves can transpire)  
124 and non-transpiring (when the leaves do not transpire) conditions.

125

## 126 2. Materials and methods

127

### 128 2.1 Mathematical formulation

129

130 A computation fluid dynamics (CFD) model is used to determine the interaction between the environment and vegetation.

131 The mean flow of moist air is modelled using the Reynolds-averaged Navier-Stokes (RANS) equations, where vegetation

132 is modelled as porous media (Section 2.1.1). The source terms for vegetation are described in Section 2.1.2 where the heat

133 and mass fluxes from vegetation are determined from a leaf energy balance model (Section 2.1.2). The radiation model,

134 used to solve the leaf energy balance model, is detailed in Section 2.1.4.

135

#### 136 2.1.1 Mean flow through porous vegetation

137 The mean flow of humid air (i.e. binary mixture of water vapour and dry air) through and around vegetation is modelled

138 using Reynolds-averaged Navier-Stokes (RANS) equations with the realizable  $k - \varepsilon$  turbulence closure model. Wilson

139 and Shaw (1977) developed a mathematical model for turbulent airflow around tree canopies with closure for mean

140 momentum, turbulent kinetic energy (TKE) and turbulent dissipation rate (TDR). Using this approach, vegetation is

141 modelled as a porous medium, where the impact of vegetation on airflow is modelled using source terms in the conservation

142 equations. The buoyancy force is also taken into account where the Boussinesq approximation is used for air density

143 variations. The equations consist of conservation of mass, momentum, temperature, humidity and the turbulence model,

144 Eqs. (1)-(6), respectively:

$$145 \quad \nabla \cdot \bar{\mathbf{u}} = s_p \quad (1)$$

$$146 \quad \frac{\partial \bar{\mathbf{u}}}{\partial t} + \bar{\mathbf{u}} \cdot \nabla \bar{\mathbf{u}} = -\frac{1}{\rho} \nabla \bar{P} + \nabla \cdot \left[ 2(\nu + \nu_t) \mathbf{S} \right] - \frac{2}{3} \nabla k - \mathbf{g} \beta (\bar{T} - \bar{T}_0) + \frac{1}{\rho} \mathbf{s}_u \quad (2)$$

$$147 \quad \frac{\partial \bar{T}}{\partial t} + \bar{\mathbf{u}} \cdot \nabla \bar{T} = \nabla \cdot \left[ \left( \frac{\nu}{\text{Pr}} + \frac{\nu_t}{\text{Pr}_t} \right) \nabla \bar{T} \right] + s_T \quad (3)$$

$$148 \quad \frac{\partial \bar{w}}{\partial t} + \bar{\mathbf{u}} \cdot \nabla \bar{w} = \nabla \cdot \left[ \left( \frac{\nu}{\sigma_v} + \frac{\nu_t}{\sigma_{v_t}} \right) \nabla \bar{w} \right] + s_w \quad (4)$$



$$149 \quad \frac{\partial k}{\partial t} + \bar{\mathbf{u}} \cdot \nabla k = \nabla \cdot \left[ \left( \mathbf{v} + \frac{\mathbf{v}_t}{\sigma_k} \right) \nabla k \right] + P_k - \varepsilon + \frac{1}{\rho} s_k \quad (5)$$

$$150 \quad \frac{\partial \varepsilon}{\partial t} + \bar{\mathbf{u}} \cdot \nabla \varepsilon = \nabla \cdot \left[ \left( \mathbf{v} + \frac{\mathbf{v}_t}{\sigma_\varepsilon} \right) \nabla \varepsilon \right] + C_{1\varepsilon} P_k \frac{\varepsilon}{k} - C_{2\varepsilon} \frac{\varepsilon^2}{k + \sqrt{\nu \varepsilon}} + \frac{1}{\rho} s_\varepsilon \quad (6)$$

151 where  $\bar{\mathbf{u}}$  [ms<sup>-1</sup>] is the mean velocity vector,  $\bar{P}$  [Pa] the mean hydrostatic pressure,  $\bar{T}$  [K] the mean air temperature,  $\bar{w}$  [kg  
152 kg<sup>-1</sup>] the mean humidity ratio (i.e. the ratio of water vapour mass to dry air mass),  $k$  [m<sup>2</sup>s<sup>-2</sup>] the turbulent kinetic energy  
153 (TKE) and  $\varepsilon$  [m<sup>2</sup>s<sup>-3</sup>] the TKE dissipation rate (TDR). In the RANS model,  $\nu_t = C_\mu k^2/\varepsilon$  is the turbulent viscosity,  $\mathbf{S} =$   
154  $1/2 (\nabla \bar{\mathbf{u}} + \nabla \bar{\mathbf{u}}^T)$  is the mean strain-rate and  $P_k = 2\nu_t |\mathbf{S}|^2$  is the TKE production rate. The environmental constants are  
155 the density of air  $\rho = 1.225$  kg m<sup>-3</sup>, the kinematic viscosity of air  $\nu = 1.45 \times 10^{-5}$  m<sup>2</sup> s<sup>-1</sup>, the gravitational acceleration  
156  $g = (0, 0, -9.81)^T$  m s<sup>-2</sup>, the thermal expansion coefficient  $\beta = 3 \times 10^{-3}$  K<sup>-1</sup> and the specific heat capacity of air  $c_p =$   
157  $1003.5$  J kg<sup>-1</sup> K<sup>-1</sup>. The Prandtl number, Schmidt number, turbulent Prandtl number, turbulent Schmidt number are  $\text{Pr} =$   
158  $0.9$ ,  $\sigma_v = 0.9$ ,  $\text{Pr}_t = 0.7$  and  $\sigma_{\nu_t} = 0.7$ , respectively. The turbulence model constants are  $C_{2\varepsilon} = 1.92$ ,  $\sigma_k = 1.0$  and  $\sigma_\varepsilon =$   
159  $1.2$ . The remaining coefficients  $C_\mu$  and  $C_{1\varepsilon}$  in the realizable  $k - \varepsilon$  model are determined from velocity gradients and TKE  
160 production-dissipation ratio (Shih et al., 1995). Vegetation introduces the source for mass  $s_\rho$ , momentum  $\mathbf{s}_u$ , temperature  
161  $s_T$ , humidity ratio  $s_w$ , TKE  $s_k$  and TDR  $s_\varepsilon$  in the conservation equations.

162

### 163 **2.1.2 Source terms for vegetation**

164 Vegetation is also discretized into finite volumes, where the leaf area density  $a$  [m<sup>2</sup> m<sup>-3</sup>], defined as the one-sided leaf  
165 surface area in a given volume, quantifies the spatial distribution of the vegetation in the environment. In literature,  $a$  is  
166 reported to range from 0.35 to 35 m<sup>2</sup> m<sup>-3</sup> (Kenjereš and Ter Kuile, 2013; Liang et al., 2006). The source terms in the  
167 conservation equations, Eqs. (1)-(6), describe the influence of vegetation on the different aspects of air motion. The source  
168 of mass in the air due to vegetation  $s_\rho$  [kg m<sup>-3</sup> s<sup>-1</sup>] is:

$$169 \quad s_\rho = a \cdot g_{v,leaf} \quad (7)$$

170 where  $g_{v,leaf}$  [kg m<sup>-2</sup> s<sup>-1</sup>] is the water vapour mass flux from the leaf into the air (Hiraoka, 2005). The momentum source  
171 term  $\mathbf{s}_u$  [N m<sup>-3</sup>] is given as:

$$172 \quad s_u = -\rho c_d a |\bar{\mathbf{u}}| \bar{\mathbf{u}} \quad (8)$$

173 where  $c_d = 0.2$  is the leaf drag coefficient (Wilson and Shaw, 1977). For turbulent flows, the viscous drag can be assumed  
174 to be negligible compared to the form drag (Judd et al., 1996; Li et al., 1990; Liu et al., 1996). Furthermore, the momentum

175 exerted by transpiration is also assumed to be negligible compared to the vegetation drag force (Hiraoka, 2005). Therefore,  
 176 the momentum transport equation can be solved using the divergence-free constraint,  $\nabla \cdot \bar{\mathbf{u}} = 0$ . The temperature source  
 177 term  $s_T$  [ $\text{K s}^{-1}$ ] is given as:

$$178 \quad s_T = a \cdot \frac{q_{sen,leaf}}{\rho c_p} \quad (9)$$

179 where  $q_{sen,leaf}$  [ $\text{W m}^{-2}$ ] is the sensible heat flux from the leaf into the air (Bruse and Flerer, 1998; Hiraoka, 2005). The  
 180 sensible heat exchange between water vapour and air is assumed negligible compared to the sensible heat exchange between  
 181 the leaf and the air. Furthermore, the latent heat flux from the leaves does not directly change the air temperature but it  
 182 results in cooling of leaf which in turn causes the change in air temperature by sensible heat (Hiraoka, 2005). The humidity  
 183 ratio source term  $s_w$  [ $\text{kg kg}^{-1} \text{s}^{-1}$ ] is given as:

$$184 \quad s_w = a \cdot \frac{g_{v,leaf}}{\rho} \quad (10)$$

185

186 In the present study we use a realizable  $k - \varepsilon$  turbulence closure model for the Reynold stresses. The TKE source  $s_k$  [ $\text{W}$   
 187  $\text{m}^{-3}$ ] is given as:

$$188 \quad s_k = \rho c_d a \left( \beta_p |\bar{\mathbf{u}}|^3 - \beta_d |\bar{\mathbf{u}}| k \right) \quad (11)$$

189 and the TDR source term  $s_\varepsilon$  [ $\text{W m}^{-3} \text{s}^{-1}$ ] is given as:

$$190 \quad s_\varepsilon = \rho c_d a \left( \beta_p C_{4\varepsilon} |\bar{\mathbf{u}}|^3 \frac{\varepsilon}{k} - \beta_d C_{5\varepsilon} |\bar{\mathbf{u}}| \varepsilon \right) \quad (12)$$

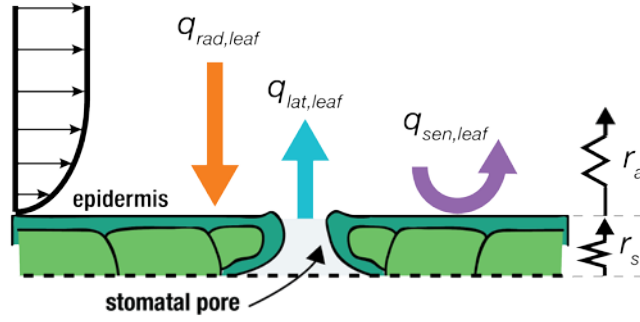
191 with model constants  $C_{4\varepsilon} = 0.9$  and  $C_{5\varepsilon} = 0.9$  (Katul et al., 2004; Kenjereš and Ter Kuile, 2013; Sanz, 2003). The constants  
 192  $\beta_p = 1.0$  is the fraction of mean kinetic energy converted into turbulent kinetic energy and  $\beta_d = 5.1$  describes the reduction  
 193 in TKE and TDR due to vegetation (Sanz, 2003). Kenjereš and Ter Kuile (2013) compared various RANS model  
 194 coefficients for vegetation in an urban area and found the coefficients provided by Katul et al. (2004) to be reasonably  
 195 accurate and the coefficients show good numerical stability. Therefore, these parameters are used in the present study.

196

### 197 **2.1.3 Energy balance at the leaf**

198 The heat and mass exchanges between the tree canopy and the air are simulated using a leaf energy model. The heat and  
 199 mass exchanges between the tree canopy and the air are simulated using a leaf energy balance (Fig. 1). We assume a  
 200 stationary leaf energy balance and that the dynamic thermal storage of heat in leaves can be neglected.

201



**Fig. 1.** Schematic representation of leaf surface with energy balance as given by Eq. (13). The radiative flux  $q_{rad,leaf}$  absorbed by the leaf is balanced by the sensible  $q_{sen,leaf}$  and the latent heat flux  $q_{lat,leaf}$  leaving the leaf surface. The stomatal resistance  $r_s$  influences the latent heat flux and the aerodynamic resistance  $r_a$  influences both the sensible and the latent heat fluxes.

202

203 The stationary energy balance is given as:

$$204 \quad q_{rad,leaf} - q_{lat,leaf} - q_{sen,leaf} = 0 \quad (13)$$

205 where  $q_{rad,leaf}$  [ $\text{W m}^{-2}$ ] is the radiative flux,  $q_{sen,leaf}$  [ $\text{W m}^{-2}$ ] is the sensible heat flux and  $q_{lat,leaf}$  [ $\text{W m}^{-2}$ ] is the latent  
 206 heat flux (Boulard et al., 2008; Bruse and Fleer, 1998; Dauzat et al., 2001; Hiraoka, 2005). Positive sensible and latent heat  
 207 fluxes are defined as heat transfer from the leaf into the air. The sensible heat flux due to convective heat transfer from leaf  
 208 surface to the air is given as:

$$209 \quad q_{sen,leaf} = h_{c,h} \cdot (T_{leaf} - T) = \frac{2\rho c_p}{r_a} \cdot (T_{leaf} - T) \quad (14)$$

210 where  $h_{c,h}$  [ $\text{W m}^{-2} \text{K}^{-1}$ ] is the convective heat transfer coefficient (CHTC),  $T_{leaf}$  [K] is the leaf surface temperature,  $T$  [K]  
 211 is the air temperature and  $r_a$  [ $\text{s m}^{-1}$ ] is the aerodynamic resistance of the boundary layer around the leaf. A factor 2 is present  
 212 in the equation as the sensible heat flux occurs on both sides of the leaf. The aerodynamic resistance  $r_a$  [ $\text{s m}^{-1}$ ] is given as  
 213 (Dauzat et al., 2001; Robitu et al., 2006):

$$214 \quad r_a = C \left( \frac{l}{|\bar{u}|} \right)^{1/2} \quad (15)$$

215 where  $C = 130 \text{ s}^{0.5} \text{ m}^{-1}$  is the proportionality factor and  $l$  [m] is the characteristic leaf size ranging from 0.02 m for conifers  
 216 and up to 0.5 m for tropical plants (Bruse and Fleer, 1998). The latent heat flux from leaf to air due to evapotranspiration  
 217 is defined as:

$$218 \quad q_{lat,leaf} = L_v g_{v,leaf} \quad (16)$$

219 where  $L_v = 2.5 \times 10^6 \text{ J kg}^{-1}$  is latent heat of vaporization. The water vapour mass flux  $g_{v,leaf}$  from leaf into the air is given  
 220 as:

$$g_{v,leaf} = h_{c,m} (p_{v,leaf} - p_v) = \frac{\rho R_a}{p R_v} \cdot \frac{1}{r_a + r_s} (p_{v,leaf} - p_v) \quad (17)$$

where  $h_{c,m}$  [s m<sup>-1</sup>] is the convective mass transfer coefficient (CMTC),  $p_{v,leaf}$  [Pa] is the vapour pressure at the leaf,  $p_v$  [Pa] is the vapour pressure of the air above the leaf boundary layer,  $r_s$  [s m<sup>-1</sup>] is the stomatal resistance and  $R_a = 287.042$  J kg<sup>-1</sup> K<sup>-1</sup> and  $R_v = 461.524$  J kg<sup>-1</sup> K<sup>-1</sup> are the gas constants of dry air and water vapour, respectively. In the present study, we assume that there is no condensation or rain on the leaf surface and so evapotranspiration is only due to transpiration through the leaf stomata. Therefore, the vapour pressure at the leaf is the vapour pressure within the leaf stomata which is close to the saturation vapour pressure at the leaf temperature, thereby we can assume  $p_{v,leaf} = p_{vsat, stom}(T_{leaf})$ . The additional resistance  $r_s$  is due to the stomatal regulatory control of the leaf. In the present study, the stomatal resistance is modelled as a function of climatic conditions:  $q_{r,sw}$  the short-wave radiative flux in the air and  $D$  the vapour pressure deficit in the air, the difference between the saturation vapour pressure and the vapour pressure of the air  $D \equiv p_{vsat} - p_v$  [kPa]. The stomatal resistance is given as:

$$r_s = r_{s,min} f_1(q_{r,sw}) f_2(D) \quad (18)$$

where  $r_{s,min}$  [s m<sup>-1</sup>] is the minimal stomatal resistance and

$$f_1 = \frac{a_1 + q_{r,sw}}{a_2 + q_{r,sw}} \quad (19)$$

$$f_2 = 1 + a_3 (D - D_0)^2$$

are multiplicative functions describing the stomatal resistance change due to short-wave radiation and vapour pressure deficit in the air, respectively. The constants of the empirical functions are  $a_1 = 169$  W m<sup>-2</sup>,  $a_2 = 18$  W m<sup>-2</sup>,  $a_3 = 0.005$  kPa<sup>-2</sup> and  $D_0 = 1.2$  kPa (Kichah et al., 2012). The minimum stomatal resistance  $r_{s,min}$ , the resistance when the stomata are fully open, depends on the plant type: e.g. 150 s m<sup>-1</sup> for impatiens, 200 s m<sup>-1</sup> for grass, 400 s m<sup>-1</sup> for gloxinia and deciduous plants (Baille et al., 1994; Bruse and Fleer, 1998). We note that various other models exist in literature for the stomatal resistance and an overview is given by Damour et al. (2010). The present model is chosen as it is a simple model which can be used to consider the influence of environmental conditions. The energy balance (Eq.(13)) is solved once the leaf surface temperature  $T_{leaf}$  is known. Combining Eqs. (13), (14) and (16), the leaf temperature is given as:

$$T_{leaf} = T + \frac{q_{rad,leaf} - q_{lat,leaf}}{h_{c,h}} \quad (20)$$

where the equation is solved iteratively as  $q_{lat,leaf}$  is dependent on the leaf temperature.

#### 2.1.4 Radiation within vegetation

The net radiative flux field  $q_r$  [W m<sup>-2</sup>] in the air domain is the sum of short-wave and long-wave radiative fluxes:

247  $q_r = q_{r,sw} + q_{r,lw}$  (21)

248 where  $q_{r,sw}$  [ $\text{W m}^{-2}$ ] is the short-wave radiative flux and  $q_{r,lw}$  [ $\text{W m}^{-2}$ ] is the long-wave radiative flux. The source/sink  
 249 term of the radiative flux in the air is equal to the divergence of the net radiative flux:

250  $s_{q,r} = \nabla \cdot q_r$  (22)

251 and is due to the absorption and emission of radiation by the equivalent leaf area of the vegetation:

252  $s_{q,r} = a \cdot q_{rad,leaf}$  (23)

253 where  $q_{rad,leaf}$  [ $\text{W m}^{-2}$ ] is the net radiative flux at the leaf surface. Substituting Eq. (21) and Eq. (22) into Eq. (23), we  
 254 can determine the net radiative flux absorbed by the leaf:

255  $q_{rad,leaf} = \frac{\nabla \cdot q_{r,sw} + \nabla \cdot q_{r,lw}}{a}$  (24)

256 In this study, we simplify the formulation of radiation within vegetation according to the studies of plants in greenhouses  
 257 (Boulard et al., 2008; Boulard and Wang, 2002; Fatnassi et al., 2006; Kichah et al., 2012). The approach employs a  
 258 simplified empirical formulation of radiation distribution within vegetation. The advantage of this approach is that  
 259 radiation within vegetation can be determined with a very low computational expense while providing sufficient accuracy.  
 260 Such an approach is ideal for a parametric study on the dominant factors driving the transpirative cooling effect of  
 261 vegetation. However, the downside of the model is that the long-wave radiation exchanges between surroundings cannot  
 262 be evaluated.

263

264 The short-wave radiative flux  $q_{r,sw}$  within a vegetation volume is determined using Beer-Lambert law:

265  $q_{r,sw}(z) = q_{r,sw,0} \exp\left\{-\beta \int_z^H a(z) dz\right\}$  (25)

266 where  $q_{r,sw,0}$  [ $\text{W m}^{-2}$ ] is the short-wave radiative flux hitting the top of the vegetation and  $\beta = 0.78$  is the extinction  
 267 coefficient for short-wave radiation. The integral defines the net density of leaves that is present from the top of the  
 268 vegetation canopy to the height where the short-wave radiative flux is evaluated. The simplification we consider in this  
 269 study is that the sun is positioned directly above vegetation, i.e. mid-day condition with a solar altitude  $\phi = 90^\circ$ . A model  
 270 with varying solar conditions is part of future research. The long-wave radiative flux is modelled empirically, as a function  
 271 of the downward long-wave radiative flux, i.e. from the sky. It is given by:

272  $\nabla \cdot q_{r,lw} = C_{lw} \frac{q_{r,lw,\downarrow}}{H}$  (26)

273 where  $C_{lw} = 0.04$  is an empirical constant for quantifying the net absorption of long-wave radiation (Kichah et al., 2012).  
274 Using this approach, the thermal emission of the leaves can be empirically modelled. The downward long-wave radiative  
275 flux is taken to be the long-wave radiative flux from sky, i.e.  $q_{r,lw,\downarrow}$  with a sky temperature of  $T_{sky} = 15$  °C (Saneinejad et  
276 al., 2014).

277

## 278 **2.2 Numerical model**

279

280 The vegetation model, described in Section 2.1, is implemented into the OpenFOAM finite volume solver (Weller et al.,  
281 1998). The steady-state velocity field is solved using the SIMPLE pressure-velocity coupling algorithm. A second-order  
282 central difference scheme is used for the gradient operator and a second-order linear upwind differencing scheme for the  
283 convective terms. The convergence criterion for the residuals is set to  $10^{-8}$  based on sensitivity analysis. The computational  
284 domain size and the numerical scheme are chosen based on CFD best practices (Blocken, 2015; Franke et al., 2007;  
285 Tominaga et al., 2008).

286

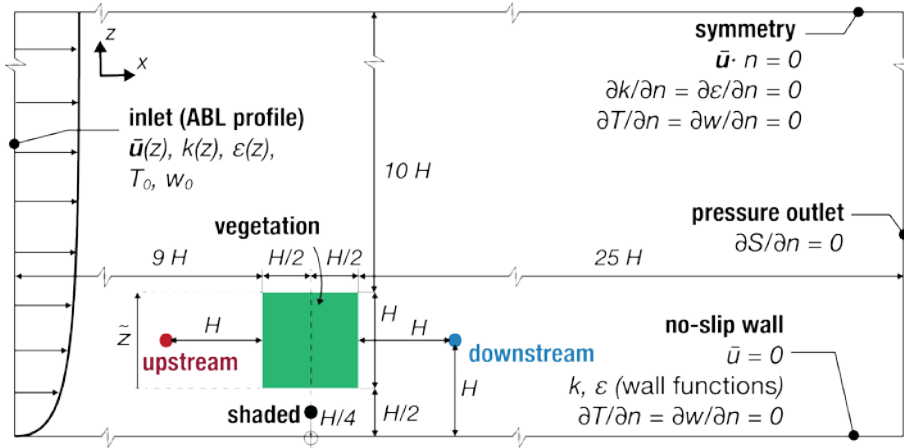
### 287 **2.2.1 Simulation domain**

288 The simulation of single row of trees is represented by a 2D porous domain ( $x \times z$  axis) consisting of a  $1 \times 1$  m<sup>2</sup> ( $x \times z$   
289 axis) porous vegetation region (Fig. 2), while infinitely long in the  $y$ -direction, where the source terms (Eq. (7)-(12)) are  
290 non-zero. The computational domain is  $35 \times 11.5$  m<sup>2</sup> ( $x \times z$  axis) and the mesh resolution is determined by performing a  
291 grid sensitivity analysis. The domain is discretised into a regular grid with 40 000 rectangular cuboidal cells. The smallest  
292 cell is at the edge of the tree row ( $\Delta x = \Delta z = 0.01$  m) and the expansion ratio to the outflow, inlet, ground and top  
293 boundaries are 1.05, 1.05, 1.05, and 1.15, respectively.

294

295 The environmental factors that are varied for the parametric study are wind speed, ambient air temperature, relative  
296 humidity (RH) and solar radiation intensity. The environmental factors are tabulated in Table 1. Similarly, the properties  
297 of the vegetation are tabulated in Table 2 and the parameters that are varied are leaf area density, stomatal resistance, leaf  
298 size, tree height and number of tree rows, which are presumed to have an influence on the transpirative cooling effect of  
299 vegetation. The upper and lower bounds of the parameters are chosen based on values from literature. The reference tree  
300 is chosen to be a densely foliated garden hedgerow in a midday conditions.

301



**Fig. 2.** Simulation domain of the reference case with  $H = 1$  m with description of the domain and the boundary conditions. The porous vegetation region is indicated in green (■) where leaf area density  $a = 10 \text{ m}^2 \text{ m}^{-3}$  and zero everywhere else. The red points indicate the data sampling locations: upstream ( $x = -1.5H, y = H$ ), downstream ( $x = 1.5H, y = H$ ) and shaded ( $x = 0, y = H/4$ ).

302

303 **Table 1**

304 Environmental factors varied in the parametric study

Parameter	Reference case	parametric study
solar radiation, $q_{r,sw,0}$ [ $\text{W m}^{-2}$ ]	800	[100, 400, 800, 1000]
wind speed, $U_{ref}$ [ $\text{m s}^{-1}$ ]	1	[0.1, 0.25, 0.5, 0.75, 1, 2, 3, 5]
air temperature, $T_0$ [ $^{\circ}\text{C}$ ]	30	[20, 30]
relative humidity, $RH$ [%]	60	[20, 30, 40, 50, 60, 70, 80]

305

306 **Table 2**

307 Tree properties varied in parametric study

Parameter	Reference case	parametric study
leaf area density, $a$ [ $\text{m}^2 \text{ m}^{-3}$ ]	10	[1, 3, 5, 7, 10]
min. stomatal resistance, $r_{s,min}$ [ $\text{s m}^{-1}$ ]	150	[50, 100, 125, 150, 175, 200, 250, 300]
leaf size, $l$ [m]	0.1	[0.01, 0.05, 0.1, 0.2, 0.3, 0.4]
tree height, $n \cdot H$ [m]	1	[1, 2, 3, 5, 10]
$N_0$ of tree rows, $n$ [-]	1	[1, 2, 5, 10]

308

309

### 310 2.2.2 Boundary conditions

311 An atmospheric boundary layer (ABL) profile is prescribed at the inlet (Richards and Hoxey, 1993):

$$312 \quad \bar{u}(z) = \frac{u_*}{\kappa} \ln \left( \frac{z + z_0}{z_0} \right) \quad (27)$$

$$313 \quad k = \frac{u_*^2}{\sqrt{C_\mu}} \quad (28)$$

$$314 \quad \varepsilon = \frac{u_*^3}{\kappa(z + z_0)} \quad (29)$$

315 where  $\bar{u}$  [ $\text{m s}^{-1}$ ] is the horizontal inlet velocity at height  $z$ ,  $u_*$  [ $\text{m s}^{-1}$ ] is the friction velocity,  $\kappa = 0.41$  is von Karman  
 316 constant,  $z_0 = 0.0217$  m is the aerodynamic roughness height and  $C_\mu = 0.09$ . The inlet boundary conditions for air  
 317 temperature  $T$  and humidity ratio  $w$  are for simplicity uniform profiles,  $T(z) = T_0$  and  $w(z) = w_0$  and varied individually  
 318 during the parametric study as tabulated in Table 1.

319

320 The ground is modelled using standard wall functions and is considered to be adiabatic. This ensures that the thermal  
 321 influence of the ground is not present when measuring the cooling effect of vegetation on air. Even though, in reality, the  
 322 thermal influence of the ground on the air temperature is an important factor, in the present study this simplification was  
 323 chosen to isolate the influence of transpirative cooling of vegetation. A zero normal gradient boundary condition is applied  
 324 for the humidity ratio. At the top, a slip velocity boundary condition is used and the temperature and humidity ratio are  
 325 prescribed a zero normal gradient boundary condition. The outlet of the domain is set to a pressure outlet. The boundary  
 326 conditions for  $T$  and  $w$  at outlet are zero normal gradient boundary conditions.

327

### 328 **2.2.3 Numerical solution procedure**

329 In the present study, the following strategy is used for solving the coupled vegetation-air problem:

- 330 i) Solve the energy balance at the leaf surface:
  - 331 a) Determine the radiative flux  $q_{rad,leaf}$  using Eq. (24).
  - 332 b) Calculate the stomatal and aerodynamic resistances  $r_a$  and  $r_s$  using Eq. (15) and Eq. (18), respectively.
  - 333 c) Perform an initial estimate of leaf temperature  $T_{leaf} = T$ .
  - 334 d) Calculate the saturated vapour pressure at the leaf surface  $p_{vsat,leaf} = f(T_{leaf})$ .
  - 335 e) Calculate the latent heat flux  $q_{lat,leaf}$  using Eq. (16).
  - 336 f) Correct the leaf temperature  $T_{leaf}$  using Eq. (20).
  - 337 g) Repeat steps (d) to (f) until the leaf temperature has converged with a convergence criterion of  $10^{-8}$ .



- 338 ii) Calculate all vegetation source terms  $s_\rho, s_u, s_T, s_w, s_k$  and  $s_\epsilon$  using Eqs. (7)-(12).
- 339 iii) Solve for the steady-state flow field, Eqs. (1)-(6).
- 340 iv) Repeat steps (i) to (iii) until residuals of Eqs. (1)-(6) have reached the convergence limit of  $10^{-8}$ .

341

342 The algorithm of the vegetation model is implemented as an OpenFOAM C++ library. To satisfy the energy balance  
 343 problem, the leaf temperature is determined iteratively using Eq. (20), with the air temperature as an initial guess for leaf  
 344 temperature. The energy balance is satisfied once the leaf temperature converges. The numerical model is validated in  
 345 Section 2.3 and is used thereafter to investigate the influence of environmental factors and tree properties on the  
 346 transpirative cooling effect.

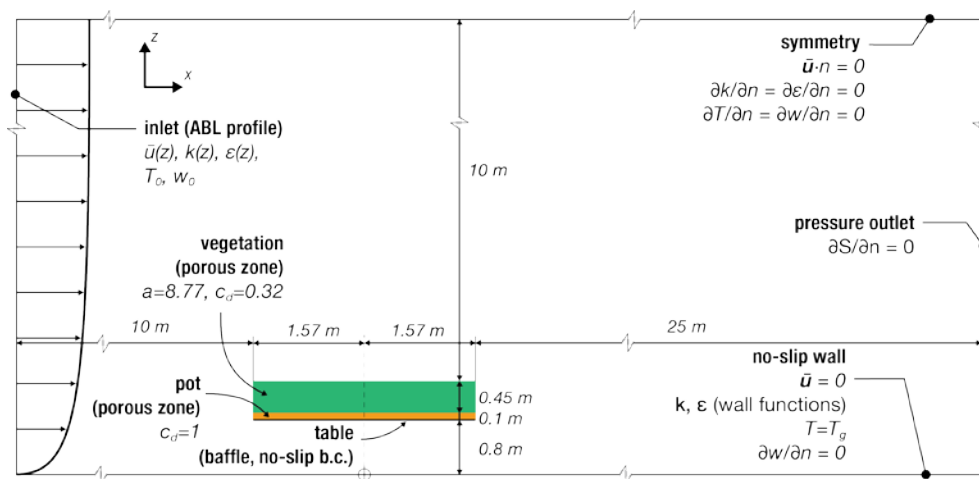
347

### 348 2.3 Validation of the model

349

350 The vegetation model is first validated against the numerical and experiment study of Kichah et al. (2012). The study  
 351 provides measurement and numerical (CFD) results of flow through impatiens (jewelweed) plants in a greenhouse. The  
 352 study investigates the heat and moisture exchanges between vegetation and the air and provides a comprehensive dataset  
 353 of the response of vegetation to environmental conditions. The simulation domain is adapted according to the study, where  
 354 the impatiens plants are placed on a table, Fig. 3.

355



**Fig. 3.** Simulation domain and boundary conditions for the validation case (Kichah et al., 2012). The impatiens plant is indicated in green (■) and plant plot in orange (■). Both are regions are modelled as porous zone with drag coefficients  $c_d = 0.32$  and  $c_d = 1$ , respectively. The boundary conditions of the simulation are tabulated in Table 3 and correspond to 14:00 on June 15<sup>th</sup>, 2009.

356

357 **Table 3**

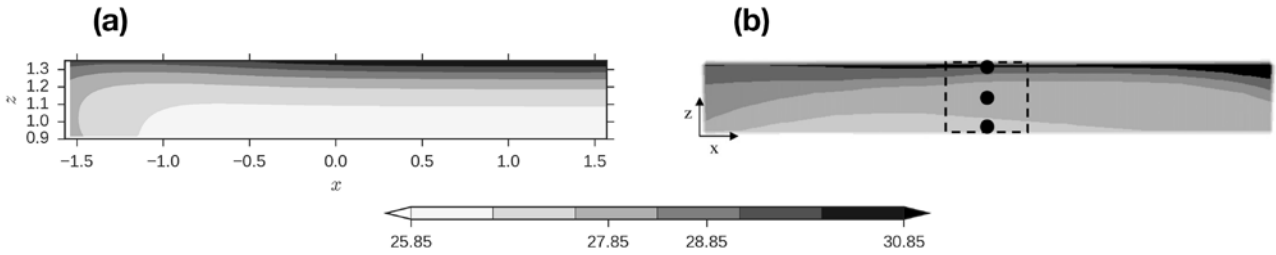
358 Environmental conditions used in Kichah et al. (2012). Data obtained for condition at 14:00 on June 15<sup>th</sup>, 2009

Parameter	Value
air temperature, $T_0$ [°C]	32
ground temperature, $T_g$ [°C]	24
humidity ratio, $w_0$ [g kg <sup>-1</sup> ]	6.21
solar radiation, $q_{r,sw,0}$ [W m <sup>-2</sup> ]	99
long-wave radiation, $q_{r,lw,\downarrow}$ [W m <sup>-2</sup> ]	522

359

360 The plants and the pots are both modelled as porous medium with different drag coefficients. The drag coefficient of the  
 361 plant and pot are  $c_d = 0.32$  and  $c_d = 1$ , respectively. The table is modelled as an internal wall (i.e. baffle) that enforces a  
 362 standard wall boundary condition. The boundary condition of the ground is  $T = T_g$  and standard wall functions are used.  
 363 The top boundary is a symmetry plane. The outlet is taken to be far enough to ensure zero-normal gradient for all variables  
 364 and a zero pressure is imposed. The inlet boundary conditions are tabulated in Table 3, corresponding to a greenhouse in a  
 365 sunny day on 15<sup>th</sup> July 2009 at 14:00. Based on a mesh sensitivity analysis, a regular grid discretization is chosen with  
 366 smallest cells at the edge of the vegetation ( $\Delta x = 0.01, \Delta y = 0.0055$ ) and a total number of cells of 24 000. The grid  
 367 expansion ratio from the vegetation edges to the outflow, inlet, ground and top boundaries are 1.05, 1.11, 1.13 and 1.15,  
 368 respectively.

369



**Fig. 4.** Comparison of leaf temperature  $T_{leaf}$  [°C] within vegetation ( $-1.5 \leq x \leq 1.5$ ) and ( $0.9 \leq z \leq 1.35$ ): a) present study and  
 b) numerical simulation results from (Kichah et al., 2012). The three dots (bottom:  $z = 0.9$  m, middle:  $z = 1.125$  m and top:  $z =$   
 1.35) indicate the temperature probe positions, Table 4.

370

371 Fig. 4 shows the leaf temperature  $T_{leaf}$  distribution of the vegetation and is compared with numerical results from the  
 372 original study (Kichah et al., 2012). We see that the temperature ranges (between 25 °C and 31 °C) are in good agreement.  
 373 However, the leaf temperature contours are different between the two simulations. The general trend in vertical temperature  
 374 distribution is in agreement, with peak temperatures appearing close to the top of the vegetation due to radiation absorption.  
 375 The difference observed between the present model and Kichah et al. (2012) could be due to the use of a turbulence model

376 as the turbulence production and dissipation due to vegetation (Eqs. (11) and (12)) is not done in Kichah et al. (2012).  
 377 However, it is shown by Sanz (2003) that the influence of vegetation on turbulence has to be modelled to ensure physically  
 378 accurate turbulence characteristics. Moreover, we employ the realizable  $k - \varepsilon$  turbulence closure in contrast to the standard  
 379  $k - \varepsilon$  used by Kichah et al. (2012). The realizable  $k - \varepsilon$  model is chosen as it provides more accurate wake characteristics  
 380 leeward of a porous medium (Santiago et al., 2007; Shih et al., 1995). The choice of turbulence model is known to have an  
 381 impact on parameters such as recirculation length (Santiago et al., 2007) and this could result in some difference in the leaf  
 382 temperature contours.

383  
 384 Furthermore, the validation is performed by comparing the leaf and air temperatures with the numerical and experimental  
 385 results from Kichah et al. (2012). The numerical and experimental values of leaf temperature  $T_{leaf}$  values are obtained for  
 386 three positions: “bottom” ( $x = 0, z = 0.9$ ), “middle” ( $x = 0, z = 1.125$ ) and “top” ( $x = 0, z = 1.35$ ). The numerical and  
 387 experimental values of the air temperature  $T_{leaf}$  at position “middle” are also compared, as shown in Table 4. The  
 388 comparison shows that the numerical results from the present study are in better agreement with the experiments than the  
 389 numerical results of Kichah et al. (2012). At the top of the vegetation, the difference between the numerical and  
 390 experimental results are the highest with  $T^{num} - T^{exp} = 1.0$  °C for both the present study and Kichah et al. (2012). The  
 391 deviation on the top between the predicted and the measured temperatures could be due to the simplification in the leaf  
 392 distribution. The numerical models assume the leaf area density to be homogenously distributed, however, in reality, it  
 393 varies in height. This influences the radiation absorption within vegetation and will impact the heat and mass exchanges.  
 394 Generally, the leaf temperature trend is seen to be slightly overestimated and the air temperature to be slightly  
 395 underestimated. However, as the deviation is only within 1.0 °C, we consider the predicted results to be sufficiently accurate.

396

397 **Table 4**

398 Comparison of leaf temperature  $T_{leaf}$  at various heights and air temperature  $T$  in the middle of vegetation. Experimental and  
 399 numerical data obtained from (Kichah et al., 2012).

Parameter	Experimental (Kichah et al., 2012)	Numerical		$T^{num} - T^{exp}$	
		(Kichah et al., 2012)	Present	(Kichah et al., 2012)	$T^{present} - T^{exp}$
Leaf temperature $T_{leaf}$ [°C]					
bottom ( $z = 0.9$ m)	26.1	27.6	26.2	1.5	0.1
middle ( $z = 1.125$ m)	26.7	28.0	27.0	1.3	0.3
top ( $z = 1.35$ m)	29.5	30.5	30.5	1.0	1.0
Air temperature $T$ [°C]	28.1	28.5	27.9	-0.4	-0.2

400

401

### 402 3. Results and discussion

403

404 The developed numerical model is first used to understand the impact of a single row of trees on the surrounding  
405 microclimate. The transpirative cooling effect of vegetation is determined as the change in the Universal Thermal Climate  
406 Index (UTCI). Thereafter, a parametric study is performed to determine the impact of different environmental factors, tree  
407 properties and vegetation. The simulation domain described in Fig. 2 is used as the reference case for the parametric study.  
408 The environmental boundary conditions are given in Table 1 and the tree properties are tabulated in Table 2. To ensure fair  
409 comparison in the parametric study, the stomatal resistance is fixed to the minimum stomatal resistance  $r_s = r_{s,min}$  and is  
410 assumed to be independent of the radiation and humidity levels of the environment. The influence of the stomatal model is  
411 investigated separately. As mentioned above, the study assumes that the ground is adiabatic to isolate the influence of  
412 transpirative cooling effect of the tree row on the air.

413

#### 414 3.1 Impact of a row of trees on the microclimate

415

416 To study the impact of a single row of trees on the microclimate, the energy balance at the leaf surface and its implication  
417 on the flow field are studied first.

418

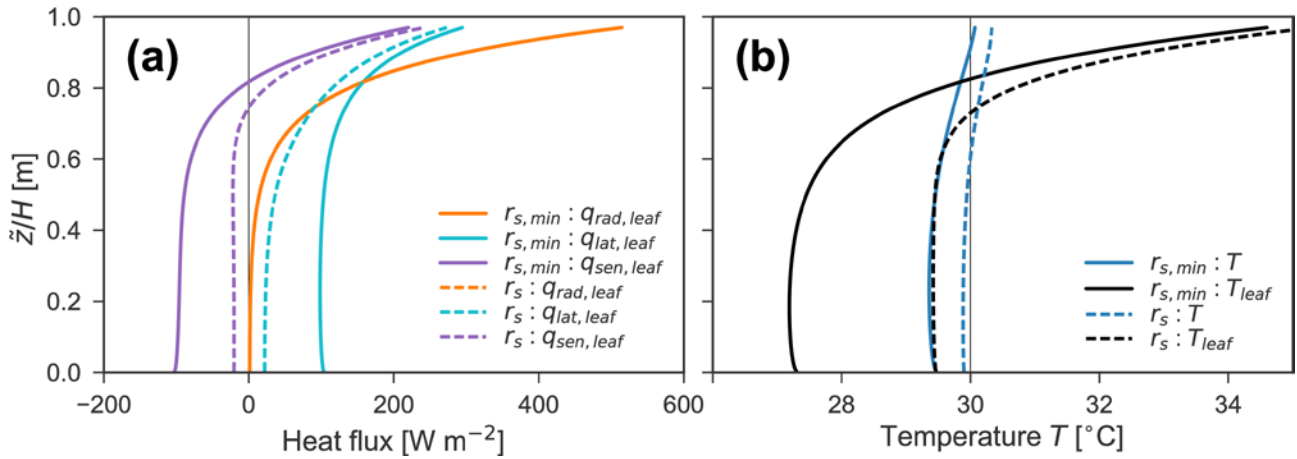
##### 419 3.1.1 Energy balance at the leaf surface

420 The energy balance at the leaf surface is defined by Eq. (13), where the absorbed radiative heat flux is converted into latent  
421 and sensible heat fluxes. The average radiative flux into the leaf is  $\bar{q}_{rad,leaf} = 77 \text{ W m}^{-2}$  for both cases. In the case of  
422 constant stomatal resistance,  $r_s = r_{s,min}$ , the average sensible flux is  $\bar{q}_{sen,leaf} = -50 \text{ W m}^{-2}$  (meaning cooling of the air)  
423 and the average latent heat flux is  $\bar{q}_{lat,leaf} = 127 \text{ W m}^{-2}$  used to evaporate water. In the case of varying stomatal resistance  
424 (Eq. (18)), the average sensible and latent heat fluxes are  $9 \text{ W m}^{-2}$  and  $68 \text{ W m}^{-2}$ , respectively. To better understand how  
425 the radiative heat is converted, the heat flux distribution and the leaf temperature distribution within the foliage are studied.  
426 Fig. 5 shows the vertical distribution of heat fluxes and temperature along the vertical centre line within the centre of the  
427 foliage. Fig. 5a shows that the heat fluxes are maximum at the top of the trees, where solar radiation is mostly absorbed  
428 due to the high density of vegetation with  $a = 10 \text{ m}^2 \text{ m}^{-3}$ . A high absorbed radiative heat results in a positive sensible heat  
429 flux (indicating heat is leaving the leaf and entering air) leading to an increase of the air temperature, as seen in Fig. 5b.  
430 The latent heat flux is also positive due to transpiration at the leaf (Eq. (9)). At lower heights, the radiation decays  
431 exponentially given the prior absorption of the short-wave radiation (Eq. (25)), resulting also in an exponential decay of

432 the latent and sensible heat fluxes. At the bottom of the foliage, the sensible heat flux is negative as the radiation is low but  
 433 transpiration still occurs, leading to cooling of the air (Fig. 5b).

434

435



**Fig. 5.** Vertical distribution at centre-line of the tree row with height  $\bar{z} = 0$  at bottom of the vegetation volume and  $\bar{z} = 1$  at top of the trees: (a) heat fluxes at the leaf surface (Eq. (13)) and (b) temperature profiles of leaf temperature  $T_{leaf}$  ( $^{\circ}\text{C}$ ) and air temperature  $T$  ( $^{\circ}\text{C}$ ).

436

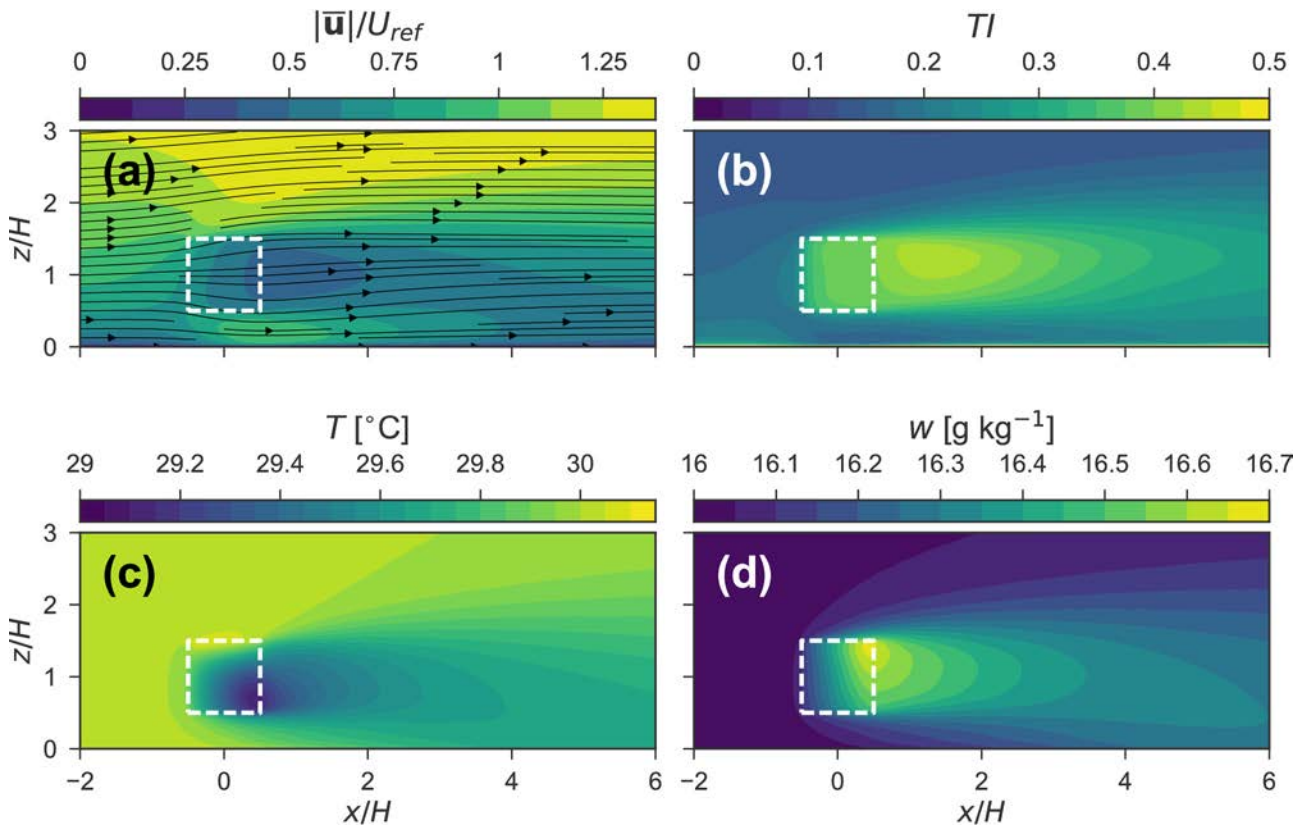
437 In the case of environmentally dependent stomatal resistance, the stomatal resistance is higher than minimum stomatal  
 438 resistance, when the stomata are fully open. As stomatal resistance is inversely proportional to incident short-wave radiation  
 439 (Eq. (18)), this resistance is low at the top of the trees and high at the bottom of the trees. A higher stomatal resistance  
 440 means that the CMTC is lower (Eq. (17)) and so the water vapour mass flux due to transpiration reduces. The reduced  
 441 transpiration leads to higher leaf temperature and therefore lower cooling of the air provided by vegetation (Fig. 5b). With  
 442 a minimum stomatal resistance, the average air temperature is  $29.6^{\circ}\text{C}$ . Whereas, with higher stomatal resistances, the  
 443 transpiration is reduced and the higher leaf temperature results in an average air temperature of  $30.0^{\circ}\text{C}$ . To further  
 444 understand the impact of stomatal resistance, the change in flow conditions due to vegetation is studied.

445

### 446 3.1.2 Flow field

447 The heat, mass and momentum exchanges between the trees and the air determine the distribution of velocity, temperature  
 448 and humidity. Furthermore, the turbulence intensity is increased due to the foliage. Fig. 6a shows the normalized velocity  
 449 magnitude  $|\bar{\mathbf{u}}|/U_{ref}$ , which shows the influence of momentum drag of the trees. The dashed box in the figure indicates the  
 450 porous region where the source terms for vegetation are present. The figure shows that the wind speed is reduced by 50%

451 behind the tree row. Furthermore, we see that the flow is slightly accelerated below the tree row between the tree bottom  
 452 and the ground due to the blockage effect present in below a row of trees. Fig. 6b shows the increase in the turbulence  
 453 intensity  $TI = \sqrt{2/3 \cdot k/|\bar{\mathbf{u}}|}$  due to the trees as it converts the mean kinetic energy into the turbulence kinetic energy. The  
 454  $TI$  inside the porous region is approximately 20% higher than the freestream flow. However, we see that the highest  $TI$  is  
 455 observed in the wake region,  $TI \approx 50\%$ , where the mean velocity is lowest and the TKE is high. Therefore, the impact of  
 456 vegetation on the turbulence characteristics in a microclimate is not negligible.  
 457



**Fig. 6.** Flow field past a single row of trees for the reference case with domain described in Fig. 2, with  $\tau_s = \tau_{s,min}$  and environmental and tree properties tabulated in Table 1 and Table 2, respectively. a) Normalized velocity  $|\bar{\mathbf{u}}|/U_{ref}$ , b) turbulence intensity  $TI = \sqrt{2/3 \cdot k/|\bar{\mathbf{u}}|}$  c) air temperature  $T$  [ $^{\circ}\text{C}$ ] and d) humidity ratio  $w$  [ $\text{g kg}^{-1}$ ].

458  
 459 Fig. 6c shows the influence of a single row of trees on the air temperature. We observe that the highest cooling is at the  
 460 bottom of the trees, where the absorbed radiation is lowest. The temperature is also lower towards the wake of the trees  
 461 where the velocity is lower. Such “oasis” effect of cool temperature region in the vicinity of vegetation has also been  
 462 observed in various field measurements (Kurn et al., 1994; Taha, 1997; Wong et al., 2003) and numerical studies (Dimoudi  
 463 and Nikolopoulou, 2003; Gromke, 2011). At the top of the tree foliage, we observe a higher air temperature due to higher

464 absorption solar radiation but the temperature is only marginally higher than the ambient temperature. The air temperature  
465 increases because the leaf temperature is higher than the windward air temperature (Fig. 5b). Fig. 6d shows that the  
466 humidity ratio increases and the highest humidity is at the top-downstream region of the trees. The figure shows that  
467 maximum transpiration occurs at the top of the trees, since solar radiation absorption is highest at the top of the trees and  
468 transpiration is also the process used by the trees to dissipate the absorbed radiative heat. The increase of humidity ratio  
469 towards the downstream region of the trees is due to the wind convecting the humidity towards the leeward side of the  
470 trees.

471

### 472 *3.1.3 Transpirative cooling effect of a single row of trees*

473 A quantitative analysis of the transpirative cooling effect of a single row of trees and its impact on thermal comfort is  
474 possible by investigating the Universal Thermal Comfort Index (UTCI). The comfort index is expressed as an equivalent  
475 temperature and is determined from a human thermoregulatory response model coupled with a clothing model (Fiala et al.,  
476 2001). The equivalent temperature is dependent on the air temperature, humidity, wind speed and radiation and represents  
477 the temperature of a reference environment that would provide the same response for the reference person as it would in  
478 the actual environment. It is designed as an outdoor comfort index and is seen to outperform similar other comfort indices  
479 such as Perceived Temperature (PT), Physiological Equivalent Temperature (PET) and OUT\_SET\* (Jendritzky et al.,  
480 2012). Furthermore, it can be used as an international standard for all assessments of the outdoor thermal conditions in  
481 various fields such as public weather services, public health systems and climate impact research. Therefore, the UTCI is  
482 used in this study to provide an indication of the comfort for a pedestrian in vicinity of trees. The UTCI is implemented in  
483 the BioKlima 2.6 software package and is calculated as [°C]:

$$484 \quad UTCI = T + f(T, T_{mrt}, |\mathbf{u}|, RH) \quad (30)$$

485

486 where it is a function of air temperature  $T$ , the mean radiant temperature  $T_{mrt}$ , wind speed  $|\mathbf{u}|$  and relative humidity  $RH$ .  
487 The mean radiant temperature  $T_{mrt}$  is influenced by the long-wave and the short-wave radiation, which is a function of  
488 direct solar radiation  $q_{r,sw}$  and the solar altitude  $\phi$ . However, in this study we ignore the long-wave radiation component  
489 in the UTCI as the main goal of the study is to isolate the influence of transpirative cooling effect on the air and determine  
490 the influence of wind speed, temperature, RH, solar radiation and tree properties on the transpiration rate. By modelling  
491 the ground as an adiabatic surface, the soil heat storage could be decoupled from the interaction of transpirative cooling.  
492 We remark that, in the present study, diffuse solar radiation and long-wave radiation are not considered in the determination  
493 of mean radiant temperature for UTCI. These radiation components will be determined in future analysis for a more

494 accurate assessment of pedestrian comfort, especially in the vicinity of buildings. The UTCI provides an indication of the  
 495 thermal stress experienced by a pedestrian, as tabulated in Table 5. The UTCI values that lie between 18 °C and 26 °C  
 496 comply as “thermal comfort zone” (Marshall, 1987). A UTCI value in the range of a moderate heat stress (HS) level can  
 497 result in sweating for the reference person after 30 minutes, where fatigue is possible after prolonged exposure or physical  
 498 activity (Błazejczyk et al., 2012; Błazejczyk et al., 2013). A UTCI value in the range of a strong HS level results in an  
 499 instantaneous change in skin temperature and introduces the risk for sunstroke and muscle cramp after prolonged exposure.  
 500 A very strong HS level is considered dangerous showing increase in internal body temperature within 30 minutes with high  
 501 possibility of sunstroke and muscle cramp after prolonged exposure. An extreme HS level is considered highly dangerous  
 502 with high likeliness of heat stroke.

503

504 **Table 5**

505 UTCI thermal stress categories (Bröde et al., 2012)

<i>UTCI</i> [°C]	Thermal stress categories
>46	Extreme heat stress (HS)
38 to 46	Very strong HS
32 to 38	Strong HS
26 to 32	Moderate HS
9 to 26	No thermal stress
0 to 9	Slight cold stress (CS)
-13 to 0	Moderate CS
-27 to -13	Strong CS
-40 to -27	Very strong CS
< -40	Extreme CS

506

507 Fig. 7 shows the transpirative cooling effect of a tree row for fixed and varying stomatal resistance conditions. The figure  
 508 compares transpiring (when stomata are open and transpiration from trees is enabled) and non-transpiring conditions (when  
 509 stomata are closed and transpiration from trees is disabled). Fig. 7a shows the UTCI (°C) distribution during non-transpiring  
 510 condition. As transpiration does not occur, the UTCI is the same for fixed and varying stomatal resistance. The figure  
 511 shows that at the upstream region, where the flow is unaffected by the tree ( $x/H = -2$  m), the UTCI reduces with height.  
 512 The decrease of the UTCI with height is caused by the increase of wind speed with height. The figure also shows that the  
 513 lowest value of the UTCI occurs inside and below the trees as it provides shading from the sun. The UTCI drops from 36  
 514 °C, a regime of strong heat stress, to 29 °C where the heat stress is moderate. Therefore, the trees have a large influence on  
 515 the UTCI due to the shadowing effect from the solar radiation. This observation is in good agreement with field  
 516 measurements of a rooftop garden in Singapore by Wong et al. (2003) where a large reduction in air temperature due to  
 517 shading is also observed directly below the foliage. In the non-transpiring condition, we see that downstream of the trees,  
 518 the UTCI increases, especially near the top region of the trees where most solar radiation is absorbed and the air temperature

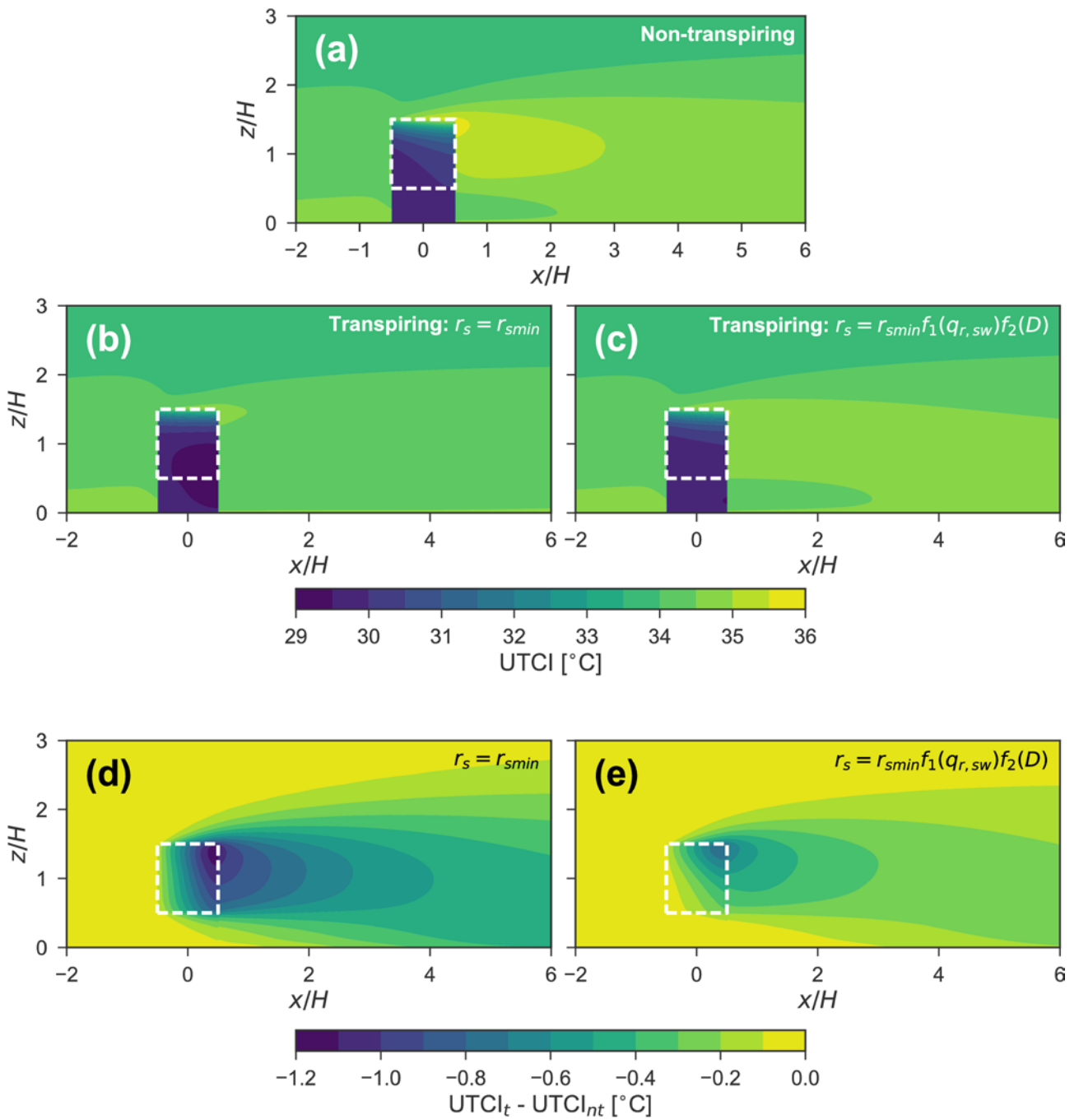


519 leaving the trees is higher. The absorbed radiation is balanced only with the sensible heat flux. The trees dissipate the  
520 energy simply through thermal exchanges leading to an increase in UTCI. Therefore, in an environmental condition such  
521 as drought, trees are unable to provide cooling through transpiration. Water availability is a key aspect for trees to form an  
522 effective cooling measure in urban areas. This can be challenging for cities as regular irrigation in summer, especially  
523 during heat waves, can further exacerbate the water demand and additionally, increase the cost of maintenance.

524

525 Fig. 7b and Fig. 7c show the UTCI during the transpiring condition for fixed and varying stomatal resistances, respectively.  
526 We see that, for both cases, transpiration from the trees is beneficial as it reduces the UTCI compared to the non-transpiring  
527 condition. Fig. 7d shows the difference in UTCI between transpiring and non-transpiring conditions by calculating  $UTCI_t -$   
528  $UTCI_{nt}$  [°C]. Comparing the fixed and varying stomatal resistance cases, Fig. 7d and Fig. 7e respectively, we see that the  
529 varying stomatal case provides slightly less reduction in UTCI. Referring to the energy balance, and its results on Fig. 5,  
530 the stomatal resistance is seen to increase towards the bottom of the foliage thereby reducing transpiration and increasing  
531 the leaf temperature. The region with the most transpirative cooling is the near-downstream region of the trees. This  
532 correlates with the observation of temperature and humidity distribution observed in Fig. 6. At higher stomatal resistance,  
533 the transpirative cooling is reduced due to the reduced latent heat flux. In the end, we see that the factor most contributing  
534 to improve pedestrian comfort is the shadowing provided by the trees, providing much lower UTCI than the transpirative  
535 cooling effect.

536



**Fig. 7.** Transpirative cooling effect of a single row of trees. The influence of the trees on the Universal Thermal Comfort Index ( $UTCI$ ) [ $^{\circ}\text{C}$ ] for (a) in non-transpiring condition (NT); in transpiring condition (T) for (b) constant stomatal resistance,  $r_{s,min}$  and (c) for varying stomatal resistance  $r_s = r_{s,min}f(q_{r,sw})f(D)$ ; UTCI difference between transpiring and non-transpiring conditions,  $UTCI_t - UTCI_{nt}$  [ $^{\circ}\text{C}$ ], for (d) constant stomatal resistance and (e) varying stomatal resistance.

537

538

## 539 3.2 Influence of environmental factors

540

541 A parametric study is performed on the influence of environmental conditions on the transpirative cooling effect of a single  
542 row of trees. The influence of environmental factors, i.e. wind speed  $U_{ref}$ , air temperature  $T$ , relative humidity  $RH$  and  
543 solar radiation  $q_{r,sw}$  is studied by varying them independently (Table 1). The impact of these environmental factors are  
544 determined by studying the energy exchanges at the leaf surface Fig. 8(a-c), the air temperature Fig. 8(d-f) and the UTCI  
545 Fig. 8(h-j). The air temperature  $T$  and the UTCI are evaluated at three distinct locations: upstream, downstream and in the  
546 shaded region, as depicted in Fig. 2. The upstream region is unaffected by the trees, the downstream region is only affected  
547 by the transpiration and, finally, the shaded region shows the influence of shading from sun.

548

### 549 3.2.1 Influence of wind speed

550 The wind speed has a direct influence on the convective transfer coefficients at the leaf surface. Due to this, wind speed  
551 also has an impact on the cooling effect of the trees. Therefore, the heat exchanges and the resulting cooling of the  
552 environment is studied for various wind speeds. The influence of wind speed on the net energy at the leaf is shown in Fig.  
553 8a. A negative sensible heat flux indicates that heat is being extracted from the air and, therefore, cooling of the air occurs.  
554 The figure shows that the magnitude of the heat fluxes is increasing with wind speed. At high wind speed, the aerodynamic  
555 resistance (Eq. (15)) reduces and leads to an increase in CHTC (Eq. (14)) and CMTC (Eq. (17)). We also observe that, at  
556 high wind speeds, the heat fluxes become less sensitive to wind speed. Therefore, it indicates that cooling by the trees  
557 becomes less sensitive to wind speed at higher wind speeds due to the power-law relation of CHTC and wind speed.

558

559 Fig. 8d shows the air temperature difference between the inlet and three distinct locations ( $T - T_0$ ): upstream, downstream  
560 and shaded, as depicted in Fig. 2. In addition, the air temperature is compared for the transpiring (T) and non-transpiring  
561 (NT) conditions, to indicate the influence of transpirative cooling. The upstream region is unaffected by the trees and  
562 remains constant for transpiring and non-transpiring conditions. In the shaded region, the transpirative cooling has only a  
563 small influence as there is no cold air transported downwards from the trees. For the non-transpiring condition, the air  
564 temperature increases slightly at lower wind speeds. For the transpiring condition, the air temperature reduces by 0.4 °C.  
565 In the downstream region, the influence of the trees on the air temperature is clearly visible, with a large increase in air  
566 temperature for the non-transpiring condition, up to 6 °C, and significant drop in air temperature for the transpiring  
567 condition, approximately -0.9 °C at the wind speed of 0.25 m s<sup>-1</sup>. Fig. 8d also shows that air cooling downstream of the  
568 trees decreases with increasing wind speed. Dimoudi and Nikolopoulou (2003) also provide similar finding in their CFD

569 study of vegetation in urban environment, where the effect of vegetation seems to decrease with increasing wind speed.  
570 This occurs as, for higher wind speeds, the trees extract a similar amount of heat per second from a larger air volume,  
571 resulting in a smaller overall temperature reduction. At low wind speeds, the heat extraction is done over a small volume  
572 or air, i.e., a lower flow rate, providing a larger temperature reduction. Similarly, during non-transpiring conditions, the air  
573 temperature substantially increases near the trees, since in this case the radiative heat is not converted into latent heat, but  
574 convected as sensible heat into the air domain. Therefore, when the goal is to provide maximum reduction in air temperature  
575 in the vicinity of the tree row, lower wind speeds are preferable. As such, at low wind speeds, a local cool region is created  
576 around the vegetation. However, at higher wind speeds, the total amount of sensible heat that is extracted from the flow by  
577 transpiration is larger. Thus, for global heat island mitigation, high wind speeds are more beneficial, while low wind speeds  
578 are favourable to improve the local thermal comfort around a tree.

579

580 Fig. 8g shows the UTCI in transpiring and non-transpiring conditions. For both conditions, the UTCI reduces with  
581 increasing wind speed at all locations, as expected. The upstream probe point shows that high wind speeds result in a  
582 reduced UTCI as wind speed has a direct influence on the comfort. The heat stress levels reduce from strong heat stress  
583 (HS) to moderate HS. This characteristic is also visible for the downstream and the shaded probe point. However, the  
584 shaded probe point is always in moderate HS levels for all wind speeds. This is due to the reduced radiation levels,  
585 indicating the importance of shading provided by the trees yielding a 4-6 °C reduction in the UTCI. The impact of  
586 transpirative cooling is visible by studying the difference between transpiring and non-transpiring conditions,  $UTCI_t -$   
587  $UTCI_{nt}$  (indicated in shaded area). The figure shows that the transpirative cooling provided by the trees, i.e.  $UTCI_t -$   
588  $UTCI_{nt}$ , only has an impact downstream of the tree row as it is negligible at both upstream and shaded regions. At low  
589 wind speeds, the transpirative cooling is the largest whereas, at higher wind speeds, the impact of transpirative cooling on  
590 the UTCI is negligible. This indicates that a pedestrian downstream of a tree row only notices the benefit of transpirative  
591 cooling when wind speeds are low. However, vegetation extracts more heat from the environment when wind speeds are  
592 higher.

593

### 594 **3.2.2 Influence of relative humidity and temperature**

595 Air vapour pressure varies depending on relative humidity (RH) and air temperature. A variation in vapour pressure of the  
596 air has a direct influence on the rate of transpiration, since mass flux from leaf surface is driven by the gradient in vapour  
597 pressure between the leaf surface and the ambient air. As a result, RH and ambient air temperature have a direct influence  
598 on the cooling power of the tree row. Fig. 8b shows the influence of RH and air temperature on the heat exchanges. We

599 observe that, at low RH, the magnitudes of the latent and sensible heat fluxes are high. This indicates high transpiration  
600 rate and similarly large cooling, as indicated by the downstream probe point in transpiring conditions (Fig. 8e). However,  
601 at high RH, the transpiration is much lower and results in a higher leaf temperature leading to heating of the air. This is  
602 due to air vapour pressure approaching saturation resulting in a reduced capacity for air to take up additional humidity from  
603 the leaves. At lower air temperature,  $T = 20\text{ }^{\circ}\text{C}$ , the saturation vapour pressure of the air is lower and, therefore, the air has  
604 less capacity to take in the humidity from the leaves. With a reduced transpiration rate, the latent heat flux is reduced,  
605 leading to higher air temperature (Fig. 8e). Thus, we see that the trees provide the maximum cooling during hot dry  
606 conditions providing approximately 4.5 times larger air temperature drop (at  $T = 30\text{ }^{\circ}\text{C}$  and  $RH = 20\%$ ) than the colder  
607 humid condition (at  $T = 20\text{ }^{\circ}\text{C}$  and  $RH = 80\%$ ).

608

609 Fig. 8h shows the influence of RH on thermal comfort at  $T = 30\text{ }^{\circ}\text{C}$ . With increasing RH from dry to humid conditions, the  
610 UTCI increases from a moderate to a strong heat stress regime. This effect is independent of the trees as RH and temperature  
611 play themselves also a direct role on the thermal comfort as high humidity results in lower comfort for a pedestrian.  
612 Studying the influence of transpirative cooling, we see that the shaded and upstream locations are unaffected, showing a  
613 negligible  $UTCI_t - UTCI_{nt}$ . However, we note that transpirative cooling consistently improves thermal comfort in the  
614 downstream region, with a greater influence in the dry condition. At higher RH, even though transpiration reduces the  
615 UTCI, the UTCI downstream of the tree row is higher than the upstream region. However, this does not indicate that the  
616 presence of trees is detrimental, as the thermal influence of the ground is not modelled in the present study. The trees  
617 provide shading to the ground and we recall that the resulting additional cooling due to lower ground temperature is not  
618 taken into account in the present study.

619

### 620 **3.2.3 Influence of solar radiation**

621 The net absorbed solar radiation,  $\int a \cdot q_{rad,leaf} dV$ , has a direct influence on the transpiration rate from the leaves. Fig. 8c  
622 shows the influence of solar radiation on the energy balance. The magnitude of the latent heat flux increases with increasing  
623 solar radiation. However, we notice that, even though there is a higher transpiration rate from the trees (as CMTC is  
624 constant), the sensible heat flux becomes more positive resulting in less cooling, as depicted in Fig. 8f. This indicates that,  
625 at high solar radiation, the transpiration rate is not sufficiently high to ensure cooler leaves as seen in the low radiation  
626 intensity case. Studying the temperature variations in the upstream and the shaded regions, no influence of radiation on  
627 transpirative cooling is seen.

628

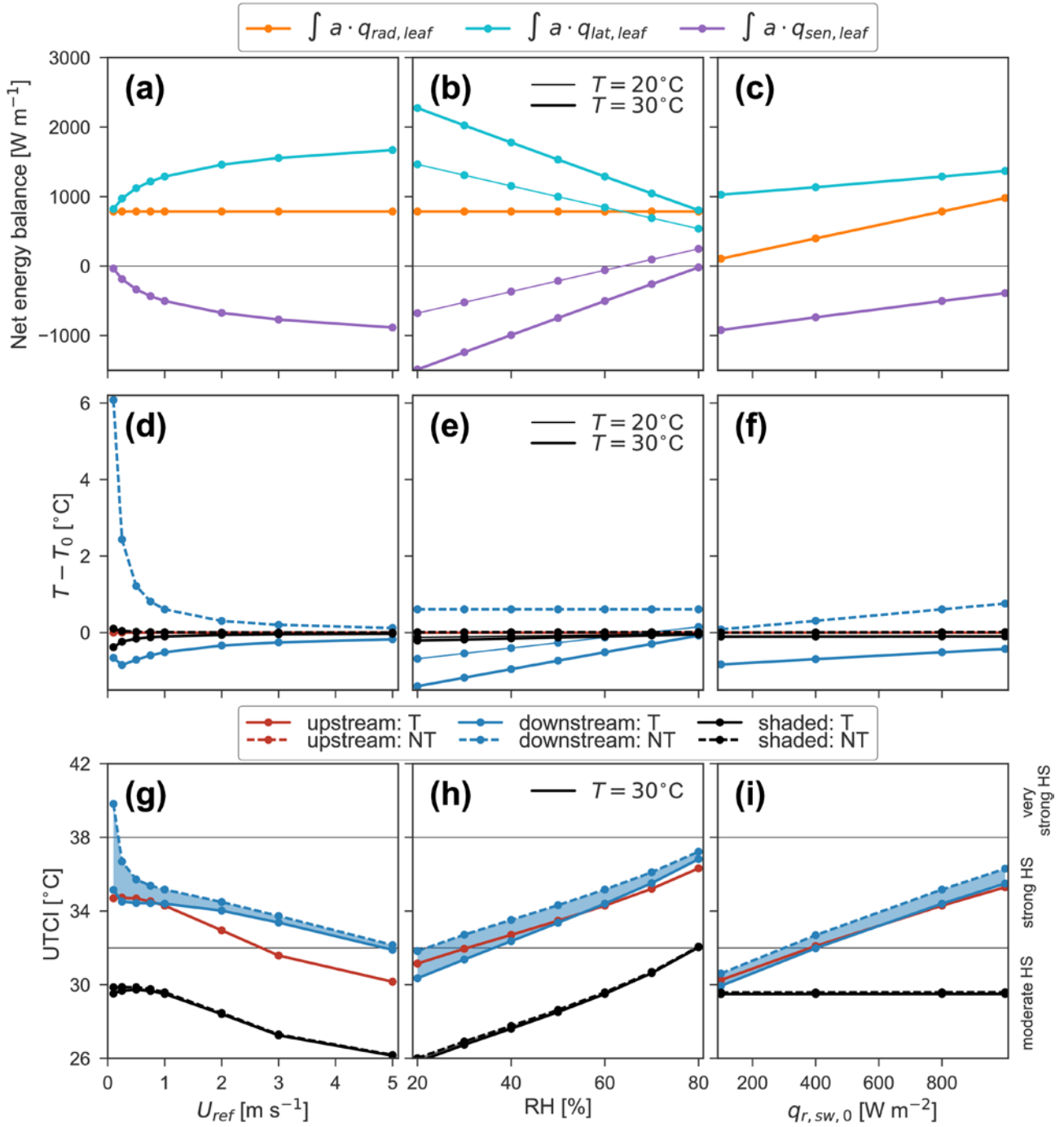
629 Fig. 8i shows the influence of solar radiation on thermal comfort. The UTCI in both the downstream region and the  
630 upstream regions is almost similar. At both locations, the UTCI increases from moderate HS to strong HS simply due to  
631 the higher value of solar radiation. The transpirative cooling effect, indicated by  $UTCI_t - UTCI_{nt}$ , is nearly constant at all  
632 solar radiation levels. Therefore, we see that the transpirative cooling provided by the trees is weakly dependent on the  
633 solar radiation. In the shaded region indicated by the black line, the UTCI is much lower and is independent of the solar  
634 radiation due to the shadowing effect.

635

### 636 **3.2.4 Summary on the impact of environmental factors**

637 For this case study, the transpirative cooling effect of a single row of trees is highest at lower wind speed when  $U < 1 \text{ ms}^{-1}$ .  
638 <sup>1</sup>. At higher wind speed, the impact of wind speed on latent and sensible heat fluxes becomes weak and a non-linear  
639 dependency is only observed for wind speed (Fig. 8a). This also results in barely changing air temperature at higher wind  
640 speeds (Fig. 8d). Relative humidity and solar radiation result in a rather linear change in air temperature. We observe that  
641 a pedestrian locally notices the benefit of transpirative cooling when wind speeds are low as indicated by UTCI. However,  
642 the trees extract the maximum heat from the environment at high wind speeds. Thus, policies focused on mitigation of the  
643 citywide heat island effect should ensure that only trees with low blockage effect are planted and in well-ventilated areas.  
644 Whereas, policies aimed at creating oasis of cool areas should focus on making dense vegetation areas such as parks that  
645 can substantially reduce the wind speed. Furthermore, we also observe that the trees provide the largest cooling effects  
646 during hot conditions with low RH. However, to ensure this transpirative cooling, the plants need to be well irrigated,  
647 which can be difficult in hot and dry cities. Therefore, in such climatic conditions, cities can focus on developing parks and  
648 similar dense localized vegetated areas that not only create oases of cool and humid areas but also the irrigation of such  
649 areas can be more efficient. Whereas, in humid conditions, the transpirative cooling effect is negligible and the comfort is  
650 only improved by the shadowing effect. Therefore, cities with hot and humid conditions should focus on integrating tall-  
651 wide canopy trees that can maximize the shadowing effect.

652



**Fig. 8.** Influence of (a) wind speed  $U_{ref}$  [ $\text{m s}^{-1}$ ], (b) relative humidity  $RH$  [%] at air temperature  $T = 20$  °C (thin) and  $T = 30$  °C (thick) and (c) solar radiation  $q_{r,sw,0}$  [ $\text{W m}^{-2}$ ] on the net energy balance of radiation, sensible and latent heat fluxes at the trees,  $\int a \cdot (q_{rad,leaf} - q_{sen,leaf} - q_{lat,leaf}) dA = 0$  [ $\text{W m}^{-1}$ ]. Influence of (c) wind speed  $U_{ref}$  [ $\text{m s}^{-1}$ ], (d) relative humidity  $RH$  [%] at air temperature  $T = 20$  °C (thin) and  $T = 30$  °C (thick) and (e) solar radiation  $q_{r,sw,0}$  [ $\text{W m}^{-2}$ ] on air temperature  $T - T_0$  [°C]. Influence of (g) wind speed  $U_{ref}$  [ $\text{m s}^{-1}$ ], (h) relative humidity  $RH$  [%] at air temperature  $T = 30$  °C (thick) and (i) solar radiation  $q_{r,sw,0}$  [ $\text{W m}^{-2}$ ] on  $UTCI$  [°C]. The shaded region shows the difference  $UTCI_t - UTCI_{nt}$  [°C]. Point measurement of air temperature and  $UTCI$  at three locations (Fig. 2): upstream (red), downstream (blue) and shaded (black) for transpiring (T) (solid, —) and non-transpiring (NT) conditions (dashed, ---).

### 654 3.3 Influence of tree properties

655

656 The influence of tree properties on the transpirative cooling effect of a tree row is investigated by varying the leaf size  $l$ ,  
657 minimum stomatal resistance  $r_{s,min}$  and the leaf area density  $a$ .

658

#### 659 3.3.1 Influence of leaf size

660 Fig. 9a shows the impact of leaf size on the energy balance. The figure shows that the sensible and latent fluxes reduces in  
661 strength with increasing leaf size. The behaviour is due to inverse relation of CHTC (Eq. (14)) and CMTC (Eq. (17)) with  
662 leaf size. A large leaf size results in reduced heat and mass fluxes from the trees, yielding the reduced cooling seen in Fig.  
663 9d. The highest cooling effect is observed when leaf size is small since CHTC and CMTC are then higher, when convective  
664 transfer is more efficient. This is also evident from field measurements of forest trees where smaller-leaves species is  
665 observed to be cooler (Leuzinger et al., 2010; Leuzinger and Körner, 2007). The influence of transpirative cooling is  
666 negligible in the upstream and shaded region and the thermal comfort, indicated by UTCI is nearly unaffected by the leaf  
667 size, Fig. 9g. Even though there is a variation in the air temperature (Fig. 9d), the UTCI is relatively unaffected as there is  
668 also an increase in humidity downstream of the trees, countering the benefit provided by the reduced air temperature. The  
669 use of leaf size in determining CHTC and CMTC shows that a higher developing length, resulting in a larger aerodynamic  
670 resistance over the leaf surface, reduces convective exchanges.

671

#### 672 3.3.2 Influence of stomatal resistance

673 The stomatal resistance has a larger influence on the heat and mass fluxes than the leaf sizes (Fig. 9b). As CMTC is inversely  
674 dependent on the stomatal resistance, increasing the stomatal resistance causes the transpiration from the leaf to decrease.  
675 Less transpiration leads to less heat removal causing an increase of leaf temperature and a reduced cooling effect. Therefore,  
676 plants with low stomatal resistance such as the impatiens plant or grass can provide more cooling than deciduous plant, for  
677 similar leaf sizes and leaf area densities. Fig. 9h shows that stomatal resistance has a weak influence on the UTCI. As seen  
678 with leaf size, a stomatal resistance variation results in a negligible change in UTCI downstream of the trees as the lower  
679 air temperature is counter-balanced with higher air humidity. However comparing the transpiring and non-transpiring cases,  
680 we see that transpiration still provides an improvement in thermal comfort showing a positive difference  $UTCI_{nt} - UTCI_t$ ,  
681 Fig. 9h.

682

#### 683 3.3.3 Influence of leaf area density



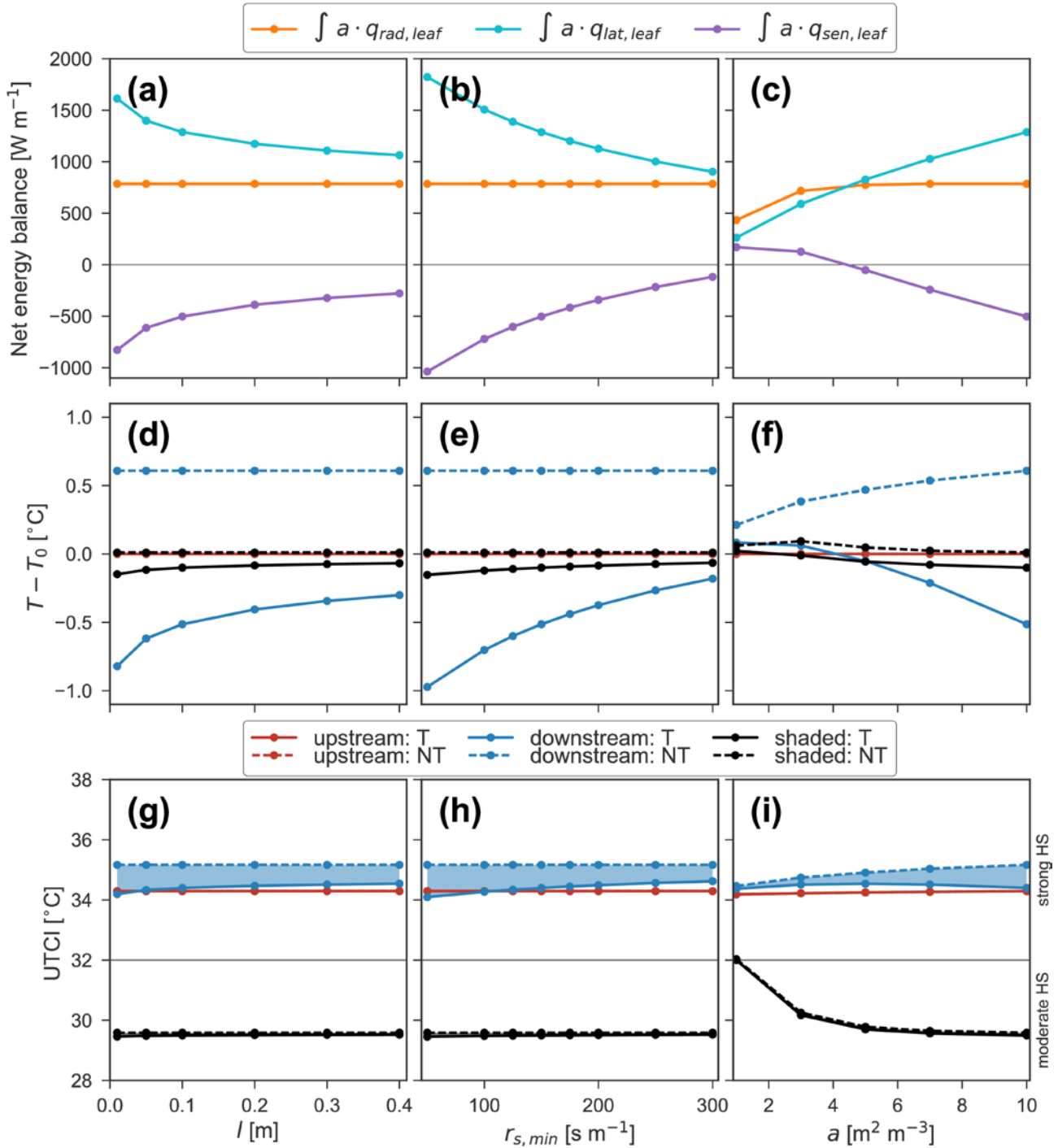
684 Fig. 9c shows that, when leaf area density is low, the net radiation absorbed by vegetation is lower due to the lack of leaf  
685 surfaces to absorb the radiation, which means more solar radiation can pass through the vegetation. Fig. 9i shows that  
686 there is a decrease in the UTCI in the shaded region with higher leaf density, which increases shading of solar radiation. In  
687 the case of low leaf area density, more leaf surfaces are exposed to a higher solar radiation over the whole volume of  
688 vegetation, resulting in higher air temperature while the transpiration rate is not sufficient to cool the leaves, Fig. 9f. Hiraoka  
689 (Hiraoka, 2005) also observes that, for a single tree with leaf area density  $a = 1$ , at environmental condition of  $T = 30\text{ }^{\circ}\text{C}$   
690 and  $RH = 80\%$ , sensible heat is added to the air domain. However, with a higher leaf area density, more solar radiation is  
691 absorbed at the top of the vegetation, shading the lower regions from the solar radiation. This is beneficial as leaf surfaces  
692 at lower regions are then able to dissipate the absorbed solar radiation through transpiration and to cool the air. Therefore,  
693 the leaf area density should be sufficiently high such that solar radiation is mostly absorbed at the top of the vegetation  
694 volume.

695

#### 696 **3.3.4 Summary on the impact of tree properties**

697 The study on the influence of tree properties on the net energy balance shows that both leaf size and stomatal resistance  
698 have a non-linear effect. Both leaf size and stomatal resistance influence the convective heat and mass transfer coefficients  
699 at the surface of leaves. Plants with larger leaves provide less cooling effect than plants with small leaves, Fig. 9d. We also  
700 observe that, to provide the highest cooling, the stomatal resistance should be low such that transpiration rate is high.  
701 However, higher rate of transpiration results also in increased humidity in the air and counters the thermal comfort provided  
702 by reduced air temperature. The study on the impact of leaf area density shows that leaf area density should be sufficiently  
703 high such that solar radiation is mostly absorbed at the top of the vegetation volume. Therefore, the lower part of the volume  
704 are shaded and can provide cooling to the air. A similar observation is found in the field measurements of rooftop gardens  
705 in Singapore where thicker foliated plants are shown to provide more cooling (Wong et al., 2003). The cooling provided  
706 by the single row of trees is seen to grow almost linearly with leaf area density providing 10 times higher temperature drop  
707 and UTCI drop for a densely foliated tree row of  $a = 10\text{ m}^2\text{ m}^{-3}$  compared to a weakly foliated tree row of  $a = 1\text{ m}^2\text{ m}^{-3}$ .  
708 Therefore, cities focused on mitigating UHI through shading of vegetation should ensure that the trees are sufficiently  
709 foliated to reduce the transmission of short-wave radiation through vegetation.

710



**Fig. 9.** Influence of (a) leaf size  $l$  [m], (b) stomatal resistance  $r_{s,min}$  [ $s\ m^{-1}$ ] and (c) leaf area density  $a$  [ $m^2\ m^{-3}$ ] on the net energy balance of radiation, sensible and latent heat fluxes at the trees,  $\int a \cdot (q_{rad,leaf} - q_{sen,leaf} - q_{lat,leaf})\ dA = 0$  [ $W\ m^{-1}$ ]. Influence of (d) leaf size  $l$  [m], (e) stomatal resistance  $r_{s,min}$  [ $s\ m^{-1}$ ] and (f) leaf area density  $a$  [ $m^2\ m^{-3}$ ] on air temperature  $T - T_0$  [ $^{\circ}C$ ]. Influence of (g) leaf size  $l$  [m], (h) stomatal resistance  $r_{s,min}$  [ $s\ m^{-1}$ ] and (i) leaf area density  $a$  [ $m^2\ m^{-3}$ ] on  $UTCI$  [ $^{\circ}C$ ]. The shaded region shows the difference  $UTCI_t - UTCI_{nt}$  [ $^{\circ}C$ ]. Point measurement of air temperature and  $UTCI$  at three locations (Fig. 2): upstream (red), downstream (blue) and shaded (black) for transpiring (T) (solid, —) and non-transpiring (NT) conditions (dashed, ---).

711

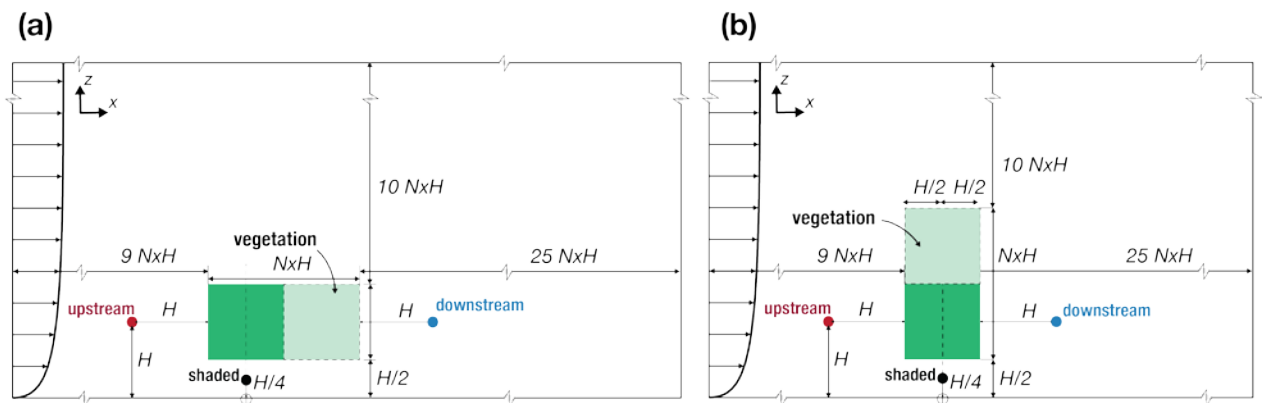
712

713 **3.4 Influence of vegetation size**

714

715 Finally we investigate how vegetation size influences the transpirative cooling effect. The size of vegetation in the domain  
 716 can be described in terms of its length, i.e. number of tree rows  $n$ , or the height of the trees  $n \cdot H$ , Fig. 10. The impact of  
 717 the tree height on the air temperature is determined by probing the upstream region ( $x = -H, y = H$ ), the shaded region  
 718 ( $x = 0, y = H/4$ ) and the downstream region ( $x = H, y = H$ ) at fixed heights. The probe locations have fixed heights as  
 719 they represent a reference pedestrian standing next to trees with varying heights. The impact of number of tree rows on the  
 720 air temperature is determined for three positions: upstream ( $x = -H, y = H$ ), shaded ( $x = 0, y = H/4$ ) and downstream  
 721 region ( $x = n \cdot H + H, y = H$ ). The downstream probe point is located at distance ( $H$ ) away from the last downwind tree  
 722 row.

723



**Fig. 10.** Simulation domain for the study on the size of vegetation, where vegetation region is indicated in green (■). (a) Study on the number of tree rows  $n = [1, 2, 5, 10]$ ; (b) Study on the tree height  $n \cdot H$  with  $n = [1, 2, 3, 5, 10]$ . The sample points at three locations: upstream (red), downstream (blue) and shaded (black).

724

725 **3.4.1 Influence of number of tree rows**

726 A study on the influence of the number of tree rows provides an understanding on how increasing vegetation along the  
 727 downstream direction has an effect on the overall cooling of the environment. Fig. 11a shows the influence of number of  
 728 tree rows on the net energy balance. The net absorbed radiation is linearly increasing with number of tree rows. The latent  
 729 heat flux increases as well whereas the sensible heat flux approaches zero. Despite an increase in net transpiration, the  
 730 cooling reduces. Since each additional tree row results in a lower wind speed due to the increased momentum drag, the  
 731 lower CHTC and CMTc result in a reduction of transpiration and a reduced cooling of the air downstream of the tree row  
 732 (Fig. 11c). When the trees does not transpire, an increase in the number of tree rows causes more heating of the flow.

733 Reversely, transpiration ensures that the air domain is cooled regardless of the number of tree rows. The study of the impact  
734 of number of tree rows on the thermal comfort (Fig. 11e) shows that there is large change in the thermal comfort comparing  
735 transpiring and non-transpiring conditions. The transpirative cooling provided by the trees regulates the thermal comfort  
736 downstream of the tree row. The absence of transpiration yields growing deterioration of the thermal comfort with an  
737 increasing number of tree rows. Thus, the transpirative cooling effect plays a critical role when increasing the number of  
738 tree rows in the domain.

739

#### 740 **3.4.2 Influence of tree height**

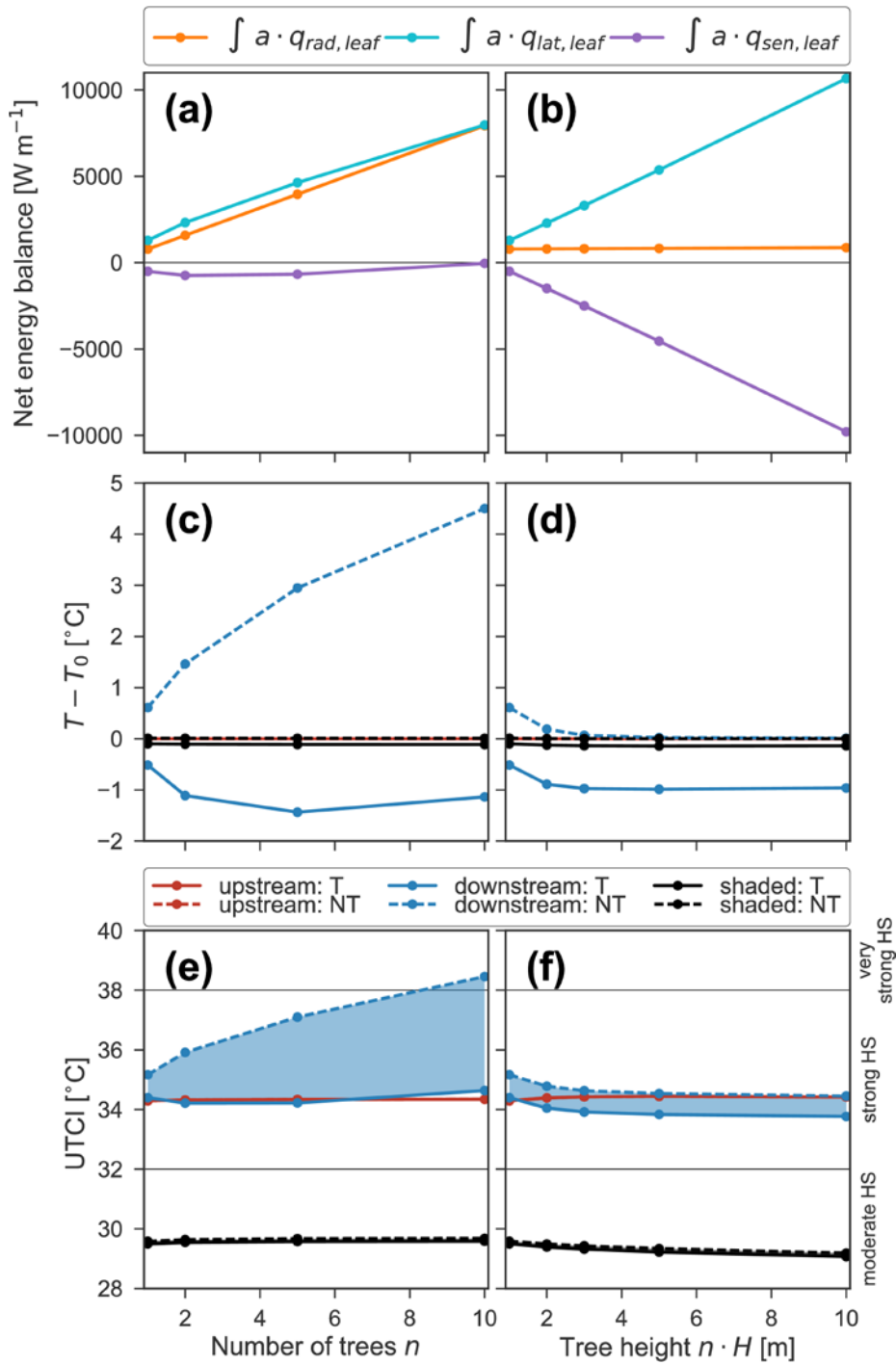
741 Fig. 11b shows the influence of tree height on the net energy balance. The solar radiation absorbed by the trees does not  
742 change with increasing height as the top of the trees has absorbed all the incident solar radiation independently from tree  
743 height. However, the magnitudes of latent and sensible heat fluxes increase linearly with tree height as there is a linear  
744 increase of leaf surfaces, thus in transpirative cooling effect. Fig. 11d shows that the cooling of the air at the downstream  
745 location converges to  $-1$  °C in the transpiring condition and, for the non-transpiring condition, the temperature change  
746 approaches zero. This occurs because the top of the trees, which is hotter, is further away from the pedestrian level.  
747 Therefore, at the lower regions of the trees, the magnitude of the sensible heat flux remains uniform in height, providing  
748 equal change in air temperature. This is also evident from observing the thermal comfort, Fig. 11f. The UTCI does not  
749 vary after the trees are higher than 3 m. The shaded region can be assumed to be unaffected by the change in tree height as  
750 indicated by a negligible temperature change, Fig. 11d, and a negligible UTCI change, Fig. 11f.

751

#### 752 **3.4.3 Summary on the influence of vegetation size**

753 The study on the influence of vegetation size is performed by varying the tree height and the number of tree rows. An  
754 increase in the number of tree rows has an influence on the CHTC and the CMTC due to the reduction in wind speed. A  
755 reduced wind speed results in a lower transpiration leading to a reduced transpirative cooling effect of the air. We also  
756 observe that, when increasing the number of tree rows, if the trees does not transpire, the thermal comfort continues to  
757 deteriorate. Therefore, transpiration plays a critical role when increasing the number of tree rows. A study on the influence  
758 of tree height shows that the top of the trees, which is hotter and is far high enough from the ground, the thermal comfort  
759 at pedestrian level is higher. This indicates that ideally, cities should focus on implementing a combination of tall wide-  
760 canopy trees and dense foliated pedestrian-level trees. The tall wide-canopy trees can provide shading to the building  
761 surfaces, and the warmer leaves are also further away from the pedestrian level to not have a negative influence on the

762 thermal comfort. Furthermore, the densely foliated pedestrian-level trees can provide transpirative cooling and generate  
 763 cool oasis at the ground level.  
 764



**Fig. 11.** Influence of (a) number of tree rows  $n$  and (b) tree height  $n \cdot H$  [m] on the net energy balance of radiation, sensible and latent heat fluxes at the tree,  $\int a \cdot (q_{rad, leaf} - q_{sen, leaf} - q_{lat, leaf}) dA = 0$  [ $W m^{-1}$ ]. Influence of (c) number of tree rows  $n$  and (d) tree height  $n \cdot H$  [m] on air temperature  $T - T_0$  [°C]. Influence of (e) number of tree rows  $n$  and (f) tree height  $n \cdot H$  [m] on  $UTCI$  [°C]. The shaded region shows the difference  $UTCI_t - UTCI_{nt}$  [°C]. Point measurement of air temperature and  $UTCI$  at three

locations (Fig. 2): upstream (**red**), downstream (**blue**) and shaded (**black**) for transpiring (T) (solid, —) and non-transpiring (NT) conditions (dashed, ---).

765

## 766 **Conclusions**

767

768 In this study, we investigated the influence of environmental factors, tree properties and size on the transpirative cooling  
769 effect of a single row of trees. A computational fluid dynamics (CFD) model is used for modelling the flow in the air  
770 domain and through the vegetation. Vegetation is modelled as a porous medium where the heat exchange is solved using a  
771 leaf energy balance model. The long-wave radiative transfer between vegetation and the environment is empirically  
772 modelled. The vegetation model is validated against the numerical and experiment study of Kichah et al. (2012). Thereafter,  
773 a parametric study is performed to determine the transpirative cooling effect of vegetation at noon with a solar altitude of  
774 90°. The following conclusions were determined from the parametric study:

- 775 1. The transpirative cooling effect of a single row of trees is highest at lower wind speed when  $U < 1 \text{ ms}^{-1}$ .
- 776 2. A pedestrian perceives transpirative cooling mainly when wind speeds are low, as indicated by the UTCI  
777 showing a cool zone locally around the trees. However, trees extract more sensible heat from the flow by  
778 transpiration when wind speeds are higher.
- 779 3. The transpirative cooling effect of a row of trees is diminished in humid and low temperature conditions, where  
780 the vapour pressure of air is closer to saturation and the transpiration from vegetation diminishes. Cities in such  
781 climatic condition should develop mitigation strategies focusing on cooling by shading and less on maximizing  
782 transpirative cooling.
- 783 4. Solar radiation has a large influence on the thermal comfort and, in all cases, the comfort level below the trees  
784 is substantially higher than downstream of the tree row due to shadowing effects. The additional benefit of  
785 transpirative cooling is smaller, since solar radiation is found to be the dominant factor in the thermal comfort.
- 786 5. The tree properties, leaf size  $l$  and minimum stomatal resistance  $r_{s,min}$ , have a small influence on the  
787 transpirative cooling effect of vegetation, compared to the environmental factors such as wind speed,  
788 temperature and relative humidity.
- 789 6. The transpirative cooling effect of vegetation depends on its leaf area density due to the coupled effect on both  
790 wind speed and air temperature.
- 791 7. An increase in vegetation height is beneficial as the top of the trees with higher leaf temperatures is further from  
792 the pedestrian level. This ensures that the transpirative cooling effect is high at the pedestrian level.
- 793 8. If vegetation does not transpire, increasing the number of tree rows result in an increase in air temperature and  
794 UTCI downstream of the vegetation.

795 9. In general, cities should use a combination of tall wide-canopy trees, that can provide shading to urban surfaces,  
796 and pedestrian-level trees, that can provide transpirative cooling near the ground. Such combination can  
797 maximize the cooling through shading and transpiration.

798

799 Future studies will consider the long-radiative exchanges between terrestrial objects and varying solar altitude. This  
800 enables to study the influence of vegetation in urban areas and understand the thermal role of the ground and buildings on  
801 the transpirative cooling effect of vegetation. Furthermore, the influence of water availability at the roots on the  
802 transpiration rate and the impact on transpirative cooling effect will be studied.

803

804



805 **Acknowledgements**

806

807 This research is funded by the Chair of building physics, ETH Zurich.

808

809 **References**

810

811 Alexandri, E., Jones, P., 2008. Temperature decreases in an urban canyon due to green walls and green roofs in diverse

812 climates. *Build. Environ.* 43, 480–493. doi:10.1016/j.buildenv.2006.10.055

813 Baille, M., Baille, A., Laury, J.C., 1994. Canopy surface resistances to water vapour transfer for nine greenhouse pot

814 plant crops. *Sci. Hortic. (Amsterdam)*. 57, 143–155. doi:10.1016/0304-4238(94)90042-6

815 Blazejczyk, K., Epstein, Y., Jendritzky, G., Staiger, H., Tinz, B., 2012. Comparison of UTCI to selected thermal indices.

816 *Int. J. Biometeorol.* 56, 515–535. doi:10.1007/s00484-011-0453-2

817 Błażejczyk, K., Jendritzky, G., Bröde, P., Fiala, D., Havenith, G., Epstein, Y., Psikuta, A., Kampmann, B., 2013. An

818 introduction to the Universal thermal climate index (UTCI). *Geogr. Pol.* 86, 5–10. doi:10.7163/GPol.2013.1

819 Blocken, B., 2015. Computational Fluid Dynamics for urban physics: Importance, scales, possibilities, limitations and

820 ten tips and tricks towards accurate and reliable simulations. *Build. Environ.* 91, 219–245.

821 doi:10.1016/j.buildenv.2015.02.015

822 Boulard, T., Fatnassi, H., Majdoubi, H., Bouirden, L., 2008. Airflow and microclimate patterns in a one-hectare canary

823 type greenhouse: An experimental and CFD assisted study. *Acta Hortic.* 801 PART 2, 837–845.

824 doi:10.1016/j.agrformet.2009.01.002

825 Boulard, T., Wang, S., 2002. Experimental and numerical studies on the heterogeneity of crop transpiration in a plastic

826 tunnel. *Comput. Electron. Agric.* 34, 173–190. doi:10.1016/S0168-1699(01)00186-7

827 Bowler, D.E., Buyung-Ali, L., Knight, T.M., Pullin, A.S., 2010. Urban greening to cool towns and cities: A systematic

828 review of the empirical evidence. *Landsch. Urban Plan.* 97, 147–155. doi:10.1016/j.landurbplan.2010.05.006

829 Bröde, P., Fiala, D., Blazejczyk, K., Holmér, I., Jendritzky, G., Kampmann, B., Tinz, B., Havenith, G., 2012. Deriving

830 the operational procedure for the Universal Thermal Climate Index (UTCI). *Int. J. Biometeorol.* 56, 481–494.

831 doi:10.1007/s00484-011-0454-1

832 Bruse, M., Fleer, H., 1998. Simulating surface-plant-air interactions inside urban environments with a three dimensional

833 numerical model. *Environ. Model. Softw.* 13, 373–384. doi:10.1016/S1364-8152(98)00042-5

834 Chen, Y., Wong, N.H., 2006. Thermal benefits of city parks. *Energy Build.* 38, 105–120.

835 doi:10.1016/j.enbuild.2005.04.003

836 Dai, Y., Dickinson, R.E., Wang, Y.-P., 2004. A Two-Big-Leaf model for canopy temperature, photosynthesis, and  
837 stomatal conductance. *J. Clim.* 17, 2281–2299. doi:10.1175/1520-0442(2004)017<2281:ATMFCT>2.0.CO;2

838 Damour, G., Simonneau, T., Cochard, H., Urban, L., 2010. An overview of models of stomatal conductance at the leaf  
839 level. *Plant, Cell Environ.* 33, 1419–1438. doi:10.1111/j.1365-3040.2010.02181.x

840 Dauzat, J., Rapidel, B., Berger, A., 2001. Simulation of leaf transpiration and sap flow in virtual plants: model  
841 description and application to a coffee plantation in Costa Rica. *Agric. For. Meteorol.* 109, 143–160.  
842 doi:10.1016/S0168-1923(01)00236-2

843 Defraeye, T., Derome, D., Verboven, P., Carmeliet, J., Nicolai, B., 2014. Cross-scale modelling of transpiration from  
844 stomata via the leaf boundary layer. *Ann. Bot.* 711–723. doi:10.1093/aob/mct313

845 Defraeye, T., Verboven, P., Derome, D., Carmeliet, J., Nicolai, B., 2013. Stomatal transpiration and droplet evaporation  
846 on leaf surfaces by a microscale modelling approach. *Int. J. Heat Mass Transf.* 65, 180–191.  
847 doi:10.1016/j.ijheatmasstransfer.2013.05.075

848 Dimoudi, A., Nikolopoulou, M., 2003. Vegetation in the Urban Environment. *Energy Build.* 35, 69–76.

849 Dolman, A.J., 1993. A multiple-source land surface energy balance model for use in general circulation models. *Agric.*  
850 *For. Meteorol.* 65, 21–45. doi:10.1016/0168-1923(93)90036-H

851 Fatnassi, H., Boulard, T., Poncet, C., Chave, M., 2006. Optimisation of greenhouse insect screening with computational  
852 fluid dynamics. *Biosyst. Eng.* 93, 301–312. doi:10.1016/j.biosystemseng.2005.11.014

853 Fiala, D., Lomas, K.J., Stohrer, M., 2001. Computer prediction of human thermoregulatory and temperature responses to  
854 a wide range of environmental conditions. *Int J Biometeorol* 45, 143–159. doi:10.1007/s004840100099

855 Franke, J., Hellsten, A., Schlünzen, H., Carissimo, B., 2007. Best practice guideline for the CFD simulation of flows in  
856 the urban environment: COST action 732 quality assurance and improvement of microscale meteorological  
857 models.

858 Gromke, C., 2011. A vegetation modeling concept for Building and Environmental Aerodynamics wind tunnel tests and  
859 its application in pollutant dispersion studies. *Environ. Pollut.* 159, 2094–2099. doi:10.1016/j.envpol.2010.11.012

860 Gromke, C., Blocken, B., Janssen, W., Merema, B., van Hooff, T., Timmermans, H., 2014. CFD analysis of  
861 transpirational cooling by vegetation: Case study for specific meteorological conditions during a heat wave in  
862 Arnhem, Netherlands. *Build. Environ.* 83, 11–26. doi:10.1016/j.buildenv.2014.04.022

863 Hiraoka, H., 2005. An investigation of the effect of environmental factors on the budgets of heat, water vapor, and  
864 carbon dioxide within a tree. *Energy* 30, 281–298. doi:10.1016/j.energy.2004.05.015

865 Jendritzky, G., de Dear, R., Havenith, G., 2012. UTCI-Why another thermal index? *Int. J. Biometeorol.* 56, 421–428.  
866 doi:10.1007/s00484-011-0513-7

867 Judd, M., Raupach, M., Finnigan, J., 1996. A wind tunnel study of turbulent flow around single and multiple windbreaks,  
868 part I: velocity fields. *Boundary-Layer Meteorol.* 127–165.

869 Katul, G.G., Mahrt, L., Poggi, D., Sanz, C., 2004. One- and two-equation models for canopy turbulence. *Boundary-*  
870 *Layer Meteorol.* 113, 81–109. doi:10.1023/B:BOUN.0000037333.48760.e5

871 Kenjereš, S., Ter Kuile, B., 2013. Modelling and simulations of turbulent flows in urban areas with vegetation. *J. Wind*  
872 *Eng. Ind. Aerodyn.* 123, 43–55. doi:10.1016/j.jweia.2013.09.007

873 Kichah, A., Bournet, P., Migeon, C., Boulard, T., Antipolis, S., 2012. Measurement and CFD simulation of microclimate  
874 characteristics and transpiration of an Impatiens pot plant crop in a greenhouse. *Biosyst. Eng.* 112, 22–34.  
875 doi:10.1016/j.biosystemseng.2012.01.012

876 Krayenhoff, E.S., Christen, A., Martilli, A., Oke, T.R., 2014. A Multi-layer Radiation Model for Urban Neighbourhoods  
877 with Trees. *Boundary-Layer Meteorol.* 151, 139–178. doi:10.1007/s10546-013-9883-1

878 Kurn, D.M., Bretz, S.E., Huang, B., Akbari, H., 1994. The Potential for Reducing Air Temperature and Energy  
879 Consumption Through Vegetative Cooling. *Energy Environ.*

880 Leuning, R., Kelliher, F.M., de Pury, D.G.G., Schulze, E.D., 1995. Leaf nitrogen, photosynthesis, conductance and  
881 transpiration: Scaling from leaves to canopies. *Plant, Cell Environ.* 18, 1183–1200. doi:10.1111/j.1365-  
882 3040.1995.tb00628.x

883 Leuzinger, S., Körner, C., 2007. Tree species diversity affects canopy leaf temperatures in a mature temperate forest.  
884 *Agric. For. Meteorol.* 146, 29–37. doi:10.1016/j.agrformet.2007.05.007

885 Leuzinger, S., Vogt, R., Körner, C., 2010. Tree surface temperature in an urban environment. *Agric. For. Meteorol.* 150,  
886 56–62. doi:10.1016/j.agrformet.2009.08.006

887 Li, Z., Lin, J.D., Miller, D.R., 1990. Air flow over and through a forest edge: A steady-state numerical simulation.  
888 *Boundary-Layer Meteorol.* 51, 179–197. doi:10.1007/BF00120467

889 Liang, L., Xiaofeng, L., Borong, L., Yingxin, Z., 2006. Improved k-ε two-equation turbulence model for canopy flow.  
890 *Atmos. Environ.* 40, 762–770. doi:10.1016/j.atmosenv.2005.10.010

891 Liu, J., Chen, J.M., Black, T. a, Novak, M.D., 1996. E-ε modelling of turbulent air flow downwind of a model forest  
892 edge. *Boundary-Layer Meteorol.* 77, 21–44. doi:10.1007/bf00121857

893 Marshall, B.Y.J.M., 1987. Glossary of terms for thermal physiology. *Pflugers Arch. Eur. J. Physiol.* 410, 567–587.

894 Ng, E., Chen, L., Wang, Y., Yuan, C., 2012. A study on the cooling effects of greening in a high-density city: An

895 experience from Hong Kong. *Build. Environ.* 47, 256–271. doi:10.1016/j.buildenv.2011.07.014

896 Oke, T.R., 1973. City size and the urban heat island. *Atmos. Environ. Pergamon Pres* 7, 769–779. doi:10.1016/0004-  
897 6981(73)90140-6

898 Pachauri, R.K., Allen, M.R., Barros, V.R., Broome, J., Cramer, W., Christ, R., Church, J.A., Clarke, L., Dahe, Q.,  
899 Dasgupta, P., others, 2014. Climate change 2014: synthesis Report. Contribution of working groups I, II and III to  
900 the fifth assessment report of the intergovernmental panel on climate change. IPCC. doi:10.1073/pnas.1116437108

901 Penman, H.L., Schofield, R.K., 1951. Some physical aspects of assimilation and transpiration. *Carbon Dioxide Fixat.*  
902 *Photosynth.* 5.

903 Rahman, M.A., Moser, A., Rötzer, T., Pauleit, S., Otzer, T.R. € Pauleit, S., 2017. Within canopy temperature differences  
904 and cooling ability of *Tilia cordata* trees grown in urban conditions. *Build. Environ.* 114, 118–128.  
905 doi:10.1016/j.buildenv.2016.12.013

906 Richards, P., Hoxey, R., 1993. Appropriate boundary conditions for computational wind engineering models using the  
907 k-ε turbulence model. *J. Wind Eng. Ind. Aerodyn.* 46–47, 145–153. doi:10.1016/0167-6105(93)90124-7

908 Robitu, M., Musy, M., Inard, C., Groleau, D., 2006. Modeling the influence of vegetation and water pond on urban  
909 microclimate. *Sol. Energy* 80, 435–447. doi:10.1016/j.solener.2005.06.015

910 Ryder, J., Polcher, J., Peylin, P., Ottlé, C., Chen, Y., van Gorsel, E., Haverd, V., McGrath, M.J., Naudts, K., Otto, J.,  
911 Valade, a., Luyssaert, S., 2014. A multi-layer land surface energy budget model for implicit coupling with global  
912 atmospheric simulations. *Geosci. Model Dev. Discuss.* 7, 8649–8701. doi:10.5194/gmdd-7-8649-2014

913 Saneinejad, S., Moonen, P., Carmeliet, J., 2014. Coupled CFD, radiation and porous media model for evaluating the  
914 micro-climate in an urban environment. *J. Wind Eng. Ind. Aerodyn.* 128, 1–11. doi:10.1016/j.jweia.2014.02.005

915 Santamouris, M., Asimakopoulos, D.N., 2001. *Energy and Climate in the Urban Built Environment, BEST (Buildings  
916 Energy and Solar Technology) Series.* James & James.

917 Santiago, J.L., Martín, F., Cuerva, a., Bezdenejnykh, N., Sanz-Andrés, A., 2007. Experimental and numerical study of  
918 wind flow behind windbreaks. *Atmos. Environ.* 41, 6406–6420. doi:10.1016/j.atmosenv.2007.01.014

919 Sanz, C., 2003. A note on k-ε modeling of vegetation canopy air-flows. *Boundary-Layer Meteorol.* 108, 191–197.  
920 doi:10.1023/A:1023066012766

921 Sellers, P.J., Randall, D.A., Collatz, G.J., Berry, J.A., Field, C.B., Dazlich, D.A., Zhang, C., Collelo, G.D., Bounoua, L.,  
922 1996. A revised land surface parameterization (SiB2) for atmospheric GCMs. Part I: Model formulation. *J. Clim.*  
923 doi:10.1175/1520-0442(1996)009<0676:ARLSPF>2.0.CO;2

924 Seto, K.C., Guneralp, B., Hutyrá, L.R., 2012. Global forecasts of urban expansion to 2030 and direct impacts on

925 biodiversity and carbon pools. *Proc. Natl. Acad. Sci.* 109, 16083–16088. doi:10.1073/pnas.1211658109

926 Shih, T.-H., Liou, W.W., Shabbir, A., Yang, Z., Zhu, J., 1995. A new  $k-\epsilon$  eddy viscosity model for high Reynolds number  
927 turbulent flows. *Comput. Fluids* 24, 227–238. doi:10.1016/0045-7930(94)00032-T

928 Shuttleworth, W.J., Wallace, J.S., 1985. Evaporation from sparse crops-an energy combination theory. *Q. J. R. Meteorol.*  
929 *Soc.* 111, 839–855. doi:10.1002/qj.49711146910

930 Taha, H., 1997. Urban climates and heat islands: albedo, evapotranspiration, and anthropogenic heat. *Energy Build.* 25,  
931 99–103. doi:10.1016/S0378-7788(96)00999-1

932 Tominaga, Y., Mochida, A., Yoshie, R., Kataoka, H., Nozu, T., Yoshikawa, M., Shirasawa, T., 2008. AIJ guidelines for  
933 practical applications of CFD to pedestrian wind environment around buildings. *J. Wind Eng. Ind. Aerodyn.* 96,  
934 1749–1761. doi:10.1016/j.jweia.2008.02.058

935 United Nations, 2015. *World Urbanization Prospects: The 2014 Revision*, (ST/ESA/SER.A/366).

936 Wang, Y.P., Jarvis, P.G., 1990. Description and validation of an array model - MAESTRO. *Agric. For. Meteorol.* 51,  
937 257–280. doi:10.1016/0168-1923(90)90112-J

938 Weller, H.G., Tabor, G., Jasak, H., Fureby, C., 1998. A tensorial approach to computational continuum mechanics using  
939 object-oriented techniques. *Comput. Phys.* 12, 620. doi:10.1063/1.168744

940 Wilson, J.D., 1985. Numerical studies of flow through a windbreak. *J. Wind Eng. Ind. Aerodyn.* 21, 119–154.  
941 doi:10.1016/0167-6105(85)90001-7

942 Wilson, N.R., Shaw, R.H., 1977. A Higher Order Closure Model for Canopy Flow. *J. Appl. Meteorol.* 16, 1197–1205.  
943 doi:10.1175/1520-0450(1977)016<1197:AHOCMF>2.0.CO;2

944 Wong, N.H., Chen, Y., Ong, C.L., Sia, A., 2003. Investigation of thermal benefits of rooftop garden in the tropical  
945 environment. *Build. Environ.* 38, 261–270. doi:10.1016/S0360-1323(02)00066-5

946