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COMBINED PHENOTYPIC-GENOTYPIC ANALYSES OF THE GENUS LACTOBACILLUS AND SELECTION OF CULTURES FOR BIOPRESERVATION OF FERMENTED FOOD

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Invictus

William Ernest Henley 1875

Out of the night that covers me, black as the pit from pole to pole, I thank whatever gods may be for my unconquerable soul. In the fell clutch of circumstance I have not winced nor cried aloud. Under the bludgeonings of chance my head is bloody, but unbowed. Beyond this place of wrath and tears looms but the horror of the shade, And yet the menace of the years finds and shall find me unafraid. It matters not how strait the gate, how charged with punishments the scroll, I am the master of my fate, I am the captain of my soul.

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Abbreviations

%G+C	Guanine-cytosine percentage
З-НРА	3-hydroxypropionaldehyde
ANI	Average nucleotide identity
BHI	Brain heart infusion
Вр	Base pair
CDS	Coding DNA sequence
Cfu	Colony forming unit
CRISPR	Clustered regularly interspaced short palindromic repeats
COG	Cluster of orthologous groups of proteins or genes
DDH	DNA-DNA hybridization
DNA	Deoxyribonucleic acid
ecoSNP	Ecological SNP
EFSA	European Food Safety Authority
EMP	Embden-Meyerhof-Parnas pathway
ESI-LC/MS	Electrospray ionization liquid chromatography – mass spectrometry
HGT	Horizontal gene transfer
HPLC	High performance liquid chromatography
HSA	High-throughput screening assay
HSA-B	Antibacterial high-throughput assay
HSA-F	Antifungal high-throughput assay
IMG	Infinitely many genes model
KEGG	Kyoto Encyclopedia of Genes and Genomes
kDa	Kilo Dalton
КО	KEGG ontology
LAB	Lactic acid bacteria
LaCOG	Lactobacillales COG
Mb	Mega base
mRNA	Messenger RNA

MIC	Minimal inhibitory concentration
MLST	Multilocus sequence typing
Mn(II)	Manganese
MRS	Man Rogosa Sharpe broth
NCBI	National Center for Biotechnology Information
OD	Optical density
OMCL	Ortho Markov Cluster algorithm
PC	Protective culture
PLA	Phenyllactic acid
РР	Pentose phosphate pathway
PTM	Post translational modification
QPS	Qualified presumption of safety
rRNA	Ribosomal RNA
SMRT	Single molecule real time sequencing
SNP	Single nucleotide polymorphism
SOD	Superoxide dismutase
SPP	Sum polyvariable positions
TSY broth	Trypticase soy yeast broth
WGS	Whole genome sequencing
WHO	World Health Organization
YM broth	Yeast mold broth

Summary

Food waste is an economic and ethical issue and can be reduced for fermented food products with a biopreservation approach to increase shelf-life and avoid the outgrowth of spoilage microorganisms. Thereby protective cultures are applied to produce antimicrobial compounds which inhibit these spoilage organisms. *Lactobacillus* species occur in various fermented food products and many strains were detected to supply antimicrobial activity against *Listeria* and other Gram-positive bacteria and a broad spectrum of fungi. Nevertheless, screening procedures and applications in individual foods is not optimized yet.

The aim of this thesis was to develop an approach to screen and select tailor-made protective cultures from a strain collection to implement protective cultures in industrial-scale food fermentations and to understand mechanisms contributing to biopreservation activity to increase food product safety.

Therefore, 504 *Lactobacillus* isolates were screened with a novel developed high-throughput antibacterial and antifungal screening approach (Chapter 2). This novel approach is based on microtiter plates and allows to determine 2000 – 5000 antimicrobial interactions per day. A total of 65 antibacterial and 154 antifungal isolates were detected by this novel approach.

To better understand antifungal activity in lactobacilli, the complete genome of the salami isolate *Lactobacillus plantarum* RI-113 was determined using single-molecule real time sequencing (Chapter 3). The strain showed antifungal activity against *Trichosporon* spp. and *Rhodotorula mucilaginosa* LME. Additionally, the genomes of 43 *Lactobacillus* strains belonging to the species *L. curvatus, L. fermentum, L. paracasei, L. plantarum, L. rhamnosus,* and *L. sakei* were determined using Illumina MiSeq (Chapter 4). These strains were selected in a phenotypic screening and exhibited an uncommon or unique physiological properties or were regarded as candidate protective cultures.

The phenotype of the 504 *Lactobacillus* isolates was further characterized by a screening for growth limits in modified MRS to mimic conditions in food matrices (Chapter 5). Antibacterial activity based on proteinaceous compounds was determined for 22 isolates with protease digestion of heat-treated supernatant. The in silico screening with the BAGEL3 and antiSMASH3.0 analysis tools proposed potential genes encoding bacteriocins suppressing bacterial growth. Antifungal activity of tested lactobacilli in MRS was determined to be based on organic acid concentration up to 210 mM lactic acid and 78 mM acetic acid. The power of antifungal activity was demonstrated for *L. plantarum* RI-162. A minimal amount of 1-2 cfu/ml of this strain was able to decrease 5 x 10⁵ cfu/ml *Rhodotorula mucilaginosa* LME below the detection limit of 100 cfu/ml in a 1-ml co-culture assay within 48 hours. Based on the combination of phenotypic and genotypic screening potential protective cultures for salami and raw milk cheese fermentations were selected. In a small-scale salami fermentation 1 *Lactobacillus sakei* and 5 *Lactobacillus plantarum* strains were tested in a laboratory environment. 4

of these 6 cultures reduced the initial *Listeria ivanovii* DSM 12491^{T} concentration of 10^{5} cfu/g below the detection limit of 100 cfu/g at 18 - 24 °C within 5 days of incubation and all 6 cultures lowered the pH below 5.0 within 2 days. In an industrial-scale salami fermentation, the protective potential of *L. sakei* RI-409 was tested in a working environment. Strain RI-409 was able to reduce *in situ* the initial concentration of 6.33×10^{5} cfu/g of *L. ivanovii* DSM 12491^{T} concentration by 96% to 4.33×10^{4} cfu/g within incubation for 5 days. In the absence of any starter and the protective culture, the *L. ivanovii* DSM 12491^{T} concentration increased in the same time to 6.33×10^{6} cfu/g. In another approach, we tested *Lactobacillus* strains to reduce undesired enterococci proliferating in dairy fermentations. In a 1000-L raw milk soft cheese industrial-scale fermentation, *L. plantarum* strain RI-271 at initial concentrations of 10^{5} cfu/g compared to the non-treated cheese reaching up to 6.50×10^{6} cfu/g enterococci after 8 days of ripening.

Based on our genome sequencing data, the genomic variation within 5 lactobacilli species and the genus Lactobacillus was investigated (Chapter 6). The core- and pan-genome of 98 completely sequenced genomes of the genus Lactobacillus and of 234 whole genome datasets from the Lactobacillus species L. delbrueckii, L. helveticus, L. reuterin, L. rhamnosus and L. plantarum were calculated. The core-genome of the Lactobacillus genus contained 266 genes and the non-closed pan-genome 20'800 genes. The core-genome of the 5 Lactobacillus species ranged between 756 and 1037 genes and the pan-genomes between 3350 and 7610 genes. The heterogeneity of L. plantarum was visible in the genomic variation since it is the only species with a non-closed pan-genome. Clustering according to core- and pan-genome showed similar phylogenetic trees with species clustering together in general. Outliers were analyzed in detail. We revealed that *L. casei* type strain ATCC 393 (DSM 20011^T) clustered next to *L. zeae* DSM 20178 instead next to the other *L. casei* and *L.* paracasei strains, which confirmed other studies defining the phylogenetic outlier position of the L. casei type strain by using phenotypic approaches. Analysis of the genetic functions of the core gene revealed that genes involved in "genetic information processing" are conserved in the core-genome, whereas genes involved in "signaling and cellular processes" are not conserved in the core-genome. Twenty genomes of the type-species Lactobacillus delbrueckii clustered according to the coregenome in three major clades including one clade solely for the subspecies Lactobacillus delbrueckii subsp. bulgaricus and two other mixed subspecies clades. No clade specific ecological single nucleotide polymorphisms (ecoSNPs) were detected. A total of 57 genes affected by horizontal exchange were found in L. delbrueckii clades. We illustrated an approach to implement whole genome sequencing data into a polyphasic approach to classify bacteria.

Conclusively, a new approach was established to select protective cultures for fermentation processes to inhibit spoilage outgrowth by biopreservation including a high-throughput antimicrobial

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screening approach, a phenotypic growth limit screening assay to mimic culture conditions and selection of strains which can grow in specific food matrices and a genotypic screening to detect bacteriocin encoding genes. Based on whole genome analysis the strains can be further characterized and classified and their exchange of genetic material with other strains can be detected. Our approach, successfully applied with *Lactobacillus* strains, can now be extended to other genera in order to assign tailor-made protective strains for industrial food fermentations to increase food safety.

Zusammenfassung

Lebensmittelverschwendung ist ein ökonomisches und ethisches Problem und kann in fermentierten Lebensmitteln durch Biopräservation verhindert werden. Dadurch wird die Haltbarkeitsdauer verlängert und das Wachstum von Kontaminanten reduziert. Hierfür werden Schutzkulturen verwendet, welche mittels antimikrobieller Komponenten Kontaminanten hemmen. *Lactobacillus* Spezies kommen in diversen fermentierten Lebensmitteln vor. Für verschiedene Stämme wurde antimikrobielle Aktivität gegen Listerien und andere Gram-positive Bakterien sowie ein breites Spektrum von Pilzen dokumentiert. Trotzdem wurden die Charakterisierung sowie die Anwendung dieser *Lactobacillus*-Schutzkulturen in Lebensmitteln noch nicht optimiert.

Das Ziel dieser Thesis war die Entwicklung einer Methode zur Charakterisierung und Selektion von massgeschneiderten Schutzkulturen aus einer Stamm-Sammlung und deren Implementierung in industrielle Fermentationen. Zudem wollten wir die Mechanismen, welche zur Biopräservation und zur Erhöhung der Lebensmittelsicherheit beitragen, erforschen.

Dafür wurde bei 504 *Lactobacillus* Stämmen die antibakterielle und antifungale Aktivität mit einer neu entwickelten Hochdurchsatz-Methode bestimmt (Kapitel 2). Diese neue Methode basiert auf Mikrotiterplatten und erlaubt es uns 2000 – 5000 antimikrobielle Interaktionen pro Tag zu messen. Insgesamt wurden durch diese neue Methode 65 antibakterielle und 154 antifungale Isolate entdeckt.

Um die antifungale Aktivität in Lactobacillen besser zu verstehen, wurde das Genom von *Lactobacillus plantarum* RI-113 analysiert (Kapitel 3). Mit der Methode "single-molecule real time sequencing" wurde ein geschlossenes Genom sequenziert. Der Stamm RI-113 zeigte antifungale Aktivität gegen eine *Trichosporon* Spezies und gegen *Rhodothorula mucilaginosa* LME. Zusätzlich wurden die Genome von 43 weiteren Lactobacillen der Spezies *L. curvatus, L. fermentum, L. paracasei, L. plantarum, L. rhamnosus* und *L. sakei* vollständig sequenziert (Kapitel 4). Diese Stämme wurden mittels einer phänotypischen Charakterisierung selektioniert und zeigten atypische oder einzigartige physiologische Eigenschaften oder wurden als potentielle Schutzkulturen betrachtet.

Das Wachstum im modifizierten MRS-Medium, welches Bedingungen in Lebensmitteln simulierte, wurde für 504 Lactobacillen bestimmt, um die Isolate besser zu charakterisieren (Kapitel 5). Proteinöse antibakterielle Aktivität wurde für 22 Isolate durch Protease-Verdau von Hitzeinaktiviertem Überstand nachgewiesen. Mittels einer in silico Analyse mit den Online-Tools BAGEL3 und antiSMASH3.0 wurden Bakteriozin-codierende Gene bestimmt, welche das Wachstum von Bakterien hemmen. Antifungale Aktivität in Lactobacillen basiert auf der Produktion von organischen Säuren wie Milchsäure oder Essigsäure, welche in Konzentrationen bis zu 210 mM oder 78 mM vorkommen. Die Stärke der antifungalen Aktivität von *Lactobacillus plantarum* RI-162 wurde bestimmt. In einem Co-Kultur-Experiment reduzierten 1-2 Kolonie-bildende Einheiten (kbe) / ml die

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Anfangskonzentration von 5 x 10⁵ kbe/ml *Rhodotorula mucilaginosa* LME unter das Detektionslimit von 100 kbe/ml innert 48 Stunden in einer 1-ml Co-Kultur. Basierend auf der Kombination von phänotypischer und genotypischer Analyse, wurden potentielle Schutzkulturen für die Fermentation von Rohmilchweichkäse und Salami selektioniert. In einer Salami-Fermentation unter Laborbedingungen wurden 1 Lactobacillus sakei und 5 Lactobacillus plantarum getestet. 4 von 6 Kulturen reduzierten eine Anfangskonzentration von 10⁵ kbe/g Listeria ivanovii DSM 12491^T unter das Detektionslimit von 100 kbe/g bei einer Reifungstemperatur von 24 - 18°C innert 5 Tagen. Alle 6 Kulturen reduzierten den pH unter 5.0 innert 48 Stunden. In einer anschliessenden industriellen Salami-Fermentation wurde die Schutzkultur Lactobacillus sakei RI-409 auf ihre Praktikabilität getestet. Stamm RI-409 reduzierte in situ eine Anfangskonzentration von 6.33 x 10⁵ kbe/g von L. *ivanovii* DSM 12491^T um 96% auf 4.33 x 10⁴ kbe/g innert 5 Tagen. In Abwesenheit von Starter- und Schutzkultur wuchs L. ivanovii DSM 12491^T bis zu einer Konzentration von 6.33 x 10⁶ kbe/g in der gleichen Zeit. In einem anderen Ansatz wurde die Hemmung von unerwünschten Enterokokken durch Zugabe von Lactobacillen in Milchprodukten getestet. In einer 1000-L industriellen Rohmilchweichkäse-Fermentation wurde Lactobacillus plantarum RI-271 in einer Konzentration von 10⁵ kbe/ml inokuliert, um die natürlich vorkommenden Enterkokken zu hemmen. Nach 8 Tagen Reifung wurde im fertigen Rohmilchweichkäse mit Schutzkultur eine Reduktion um 96% auf 2.20 x 10⁵ kbe/g erzielt. Gleichzeitig stieg die Enterokokken-Konzentration in unbehandeltem Rohmilchweichkäse auf 6.50 x 10⁶ kbe/g.

Die genetische Variation in 5 Lactobacillus Spezies und im Genus Lactobacillus wurde anhand unserer sequenzierten Genome analysiert (Kapitel 6). Das Kern- und Pan-Genom wurde für 98 geschlossene Genome des Genus Lactobacillus und für 234 vollständige Genome der Lactobacillus Spezies L. delbrueckii, L. helveticus, L. reuteri, L. rhamnosus und L. plantarum bestimmt. Das Kern-Genome des Genus Lactobacillus enthält 266 und das Pan-Genom 20'800 Gene. Das Kern-Genom der 5 Lactobacillus Spezies enthält zwischen 756 und 1037 und das Pan-Genome zwischen 3350 und 7610 Gene. Aufgrund der Heterogenität in der Spezies L. plantarum hat ebendiese als einzige Spezies ein nicht-geschlossenes Pan-Genom. Clustering aufgrund der Kern- und Pan-Genome produziert phylogenetische Bäume, in welchen die Spezies generell zusammen clustern. Ausreisser wurden detailliert analysiert. Wir zeigten, dass der Typ-Stamm L. casei ATCC 393 (DSM 20011¹) mit L. zeae DSM 20178 zusammen clustert und nicht wie erwartet mit anderen Stämmen der Spezies L. casei und L. paracasei. Dies bestätigt andere Studien, welche ähnliche phylogenetische Resultate mit phänotypischer Klassifizierung erhielten. Genetische Funktionen der Kategorie "Gentische Informationsverarbeitung" sind im Kern-Genom konserviert, während genetische Funktionen der Kategorie "Signalisierung und zelluläre Prozesse" nicht im Kern-Genom konserviert sind. Die 20 Genome der Typ-Spezies L. delbrueckii wurden gemäss ihrem Kern-Genom in drei Gruppen

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geclustert. Eine Gruppe enthält nur Genome der Subspezies *L. delbrueckii* subsp. *bulgaricus,* während die anderen beiden Gruppen verschiedenen Spezien enthalten. In keiner der Gruppen wurden ökologische "single nucleotide polymorphisms" (ecoSNPs) gefunden. In den drei Gruppen wurden insgesamt 57 Gene entdeckt, welche mit horizontalem Gentransfer assoziiert sind. Wir konnten aufzeigen, wie vollständig sequenzierte Genome in einem polyphasischen Ansatz zur Bakterien-Nomenklatur implementiert werden können.

Abschliessend lässt sich sagen, dass wir einen neuen Ansatz entwickelt haben, um Schutzkulturen zu selektionieren und diese zur Biopräservation in Lebensmitteln einzusetzen. Dieser Ansatz beinhaltet ein Hochdurchsatzverfahren zur Bestimmung der antimikrobiellen Aktivität, die Charakterisierung der Wachstumslimiten, welche Bedingungen im Lebensmittel simulieren und eine genotypische Analyse zu Bestimmung von Bakteriozin-codierenden Genen. Mittels der vollständig sequenzierten Genome können die Stämme charakterisiert und klassifiziert werden, sowie deren Genaustausch mit anderen Stämmen bestimmt werden. Unser Ansatz hat erfolgreich *Lactobacillen* Stämme in Lebensmitteln implementiert und kann nun auf andere Genera expandiert werden, um massgeschneiderte Schutzkulturen für industrielle Lebensmittel zu entwickeln und die Lebensmittelsicherheit zu erhöhen.

Chapter 1

General introduction

1 Background

Food waste is an economic and ethical problem and can be reduced by increasing the shelf-life of fermented food products. Therefore, selected bacterial cultures are applied to produce components which inhibit spoilage organisms. These bacteria, later termed protective cultures, since they protect the food product, have to be selected for each food product individually, since they affect specific spoilage organisms, starter cultures, texture, flavor and odor of the product.

In the following chapter, the main pillars of the research in this thesis are introduced: the description of the genus *Lactobacillus* and its role in food fermentation; the mechanisms of antimicrobial activity in lactobacilli; the application of protective cultures; and an approach to cluster lactobacilli with comparative genomics.

2 Lactic acid bacteria

Lactic acid bacteria (LAB) are Gram-positive, acid-tolerant, non-sporulating bacteria with a low %G+C content in their genome which produce primarily lactic acid from hexose sugar fermentation. LAB are a heterogeneous, non-monophyletic group due to their biological definition (Makarova and Koonin, 2007) and most of them belong to the order Lactobacillales (Wood and Holzapfel, 1995). The order Lactobacillales contains 6 families: Streptococcaceae (3 genera including *Streptococcus and Lactococcus*), Enterococcaceae (7 genera including *Enterococcus* and *Vagococcus*), Carnobacteriaceae (16 genera including *Carnobacterium*), Lactobacillaceae (2 genera: *Lactobacillus and Pediococcus*), Leuconostoccaceae (4 genera including *Weissella, Leuconostoc* and *Oenococcus*) and Aerococcaceae (7 genera including *Aerococcus*) (Holzapfel and Wood, 2014).

The family Lactobacillaceae is dominated by the genera *Lactobacillus* with over 170 species, whereas the genus *Pediococcus* contains only 11 species (Goldstein et al., 2015). Until 2011 the family Lactobacillaceae also contained the genus *Paralactobacillus*. This genus was created for the species *Paralactobacillus selangorensis* and later integrated into the genera *Lactobacillus* with the novel species *Lactobacillus selangorensis* (Haakensen et al., 2011; Leisner et al., 2000).

Lactobacillales have a small genome with on average around 2 Mb and approximately 2000 genes (Makarova and Koonin, 2007). The variation in gene numbers, ranging from ~1600 to ~3000 genes, suggest that their evolution is based on acquisition, duplication and gene loss (Makarova and Koonin, 2007). Evolutionary-genomic analysis is based on robust identification of sets of orthologues (Koonin, 2005). *Lactobacillales*-specific clusters of orthologous protein coding genes (LaCOGs) were used to

demonstrate the evolution from a bacilli ancestor via a Lactobacillales ancestor to various species of the genera *Oenococcus, Leuconostoc, Lactobacillus, Pediococcus, Lactococcus* and *Streptococcus* (Makarova and Koonin, 2007). Reconstruction of the ancestor of Lactobacillales shows a major gene loss between ~600 and ~1200 genes and only gaining <100 genes while diverging from the bacilli ancestor (Figure 1.1). This reconstructed evolutionary tree parallels the finding of reconstructed trees with either 42 ribosomal proteins or 7 genes in the Embden-Meyerhof-Parnas pathway and pentose phosphate pathway (Salvetti et al., 2013). However, a reconstruction according to 16S rRNA shows differences in the organization of *L. brevis, L. plantarum* and isolates from *Pediococcus* (Salvetti et al., 2012).



Figure 1.1 Reconstruction of gene content evolution in *Lactobacillales*. Lost (blue) and gained (red) LaCOGs for each node indicating the evolution from a bacilli ancestor via a *Lactobacillales* ancestors to different species of *Lactobacillus, Streptococcus, Pediococcus, Lactococcus, Leuconostoc* and *Oenococcus* (Makarova and Koonin, 2007).

They are associated with the gastrointestinal (GI) tract and mucosal surfaces, with decaying plant material and with various food-related environments like meat, milk, wine and plant based materials (Wood and Holzapfel, 1995; Wood and Warner, 2003). Historically, their presence in fermented food products and the good processability were key factors for their selection as starter cultures in industrial fermentations for dairy products, meat, vegetables, cocoa beans and many more. Nowadays, the acidification mainly due to lactic acid production and the property of many strains to produce antimicrobial substances (e.g. bacteriocins) are added to the selection criteria. Strains with antimicrobial activity can be used as protective cultures to inhibit the outgrowth of spoilage

organisms in food and feed. Some LAB strains are beneficial for human health and are selected for the use as probiotics (Klaenhammer et al., 2008; Makarova et al., 2006).

3 Genus Lactobacillus

Lactobacillus is the predominant genus of Lactobacillales with over 170 species and lactobacilli are isolated from different fermented food products (Goldstein et al., 2015). Isolates of the genus Lactobacillus are non-sporeforming rods with a low %G+C content in the genome and a catalasenegative phenotype (Salvetti et al., 2012). Lactobacilli can grow at temperatures of 2 to 53 °C with best growth between 30 and 40°C and within a pH range from 3 to 8 in aerobic and anaerobic conditions (Holzapfel and Wood, 2014). In general, they are fermentative, with a homofermentative or heterofermentative metabolism (Giraffa et al., 2010; Tannock, 2004). Lactobacilli have been isolated mainly from dairy products, fermented meat products and fermented plant products such as sourdough, beer, wine, silage, sauerkraut and olives (Wood and Holzapfel, 1995). Historically, lactobacilli have been used for biopreservation of food products due to fast acidification by production of organic acids, their fast substrate utilization, the production of antimicrobial peptides and metabolites and their enhancement of texture and flavor (Stiles, 1996). They are further commonly found as a functional part of the human and animal microflora (Mujagic et al., 2017; van Baarlen et al., 2013). The Qualified Presumption of Safety (QPS) status from the European Food Safety Agency (EFSA) facilitates commercial use and acceptance of certain Lactobacillus species and makes them ideal candidates for the use as protective and starter cultures (EFSA - NDA Panel, 2015). Protective cultures against Listeria monocytogenes in fermented meat and against fungal spoilage in dairy products are only two examples of various products that are on the market (Barbosa et al., 2014; Miescher Schwenninger and Meile, 2004). Aside from their preserving qualities, some Lactobacillus species such as L. acidophilus, L. casei, L. gasseri, L. johnsonii, L. reuteri and L. salivarius are also exploited for their health promoting potential as probiotics and vaccine carriers (Goh and Klaenhammer, 2009; Saito, 2004).

The genus *Lactobacillus* is very heterogeneous with a genome size range of 1.23 Mb for *L. sanfranciscensis* to 4.87 Mb for *L. parakefiri* (NCBI Resource Coordinators, 2016). Initially, *Lactobacillus* taxonomy was based on phenotypic markers like temperature and pH growth range, carbohydrate fermentation type and cell wall composition (Klein et al., 1998). Nowadays, lactobacilli are amongst other criteria classified according to their ability to ferment different hexoses and their hetero- or homofermentative metabolism (Carr et al., 2002; Hammes and Hertel, 2009). Homofermentative lactobacilli (group A) ferment hexoses to lactic acid via the Embden-Meyerhof-

Parnas pathway (EMP) or the glycolysis, whereas gluconate and pentoses are not fermented. Facultative heterofermentative species (group B) can ferment pentoses and gluconate with a phosphoketolase in the pentose phosphate pathway (PP) producing ethanol, formic acid and acetic acid when glucose is limited. They can also ferment hexose via EMP to lactic acid. Obligate heterofermentative species (group C) use fructose 1,6-bisphosphate aldolase instead of phosphoketolase to ferment pentoses and hexoses via phosphogluconate pathway to lactic acid, CO₂ and ethanol or acetic acid (Salvetti et al., 2012). There are species with unclear or mixed fermentation patterns, suggesting that taxonomy based only on fermentation patters can be misleading (Hammes and Hertel, 2009).

Based on 16S rRNA gene sequence comparison, the genus *Lactobacillus* and related genera were initially clustered into three subgroups: the *Lactobacillus delbrueckii* group, the *Lactobacillus casei-Pediococcus* group and the *Leuconostoc* group (Collins et al., 1991). New species were described over the past years, which led to a reorganization based on 16S rRNA gene sequences. Only little correlation was detected between 16S rRNA gene sequences clustering and traditional clustering based on fermentation type and metabolic properties (Felis and Dellaglio, 2007). Salvetti et al. (2012) clustered the 16S rRNA gene of each type strain for 152 *Lactobacillus* species which resulted in a phylogenetic tree with 15 major groups containing up to 27 species per group, 4 couples and 10 single species.

Taken together, taxonomic classification of lactobacilli has undergone many changes and a useful grouping based on true evolutionary events is still under discussion.

4 Interaction of lactobacilli with other organisms

Lactobacilli colonize nutrient-rich environments with frequently a high microbial density such as fermenting food products. Adaptation to other microorganisms in the environment is essential to survive and compete for resources. Detection of population density and the associated modification of gene expression is called quorum sensing (QS). A bacterium is using signal molecules concentration such as lactic acid, in the environment to estimate the cell density in its environment (Popat et al., 2014). Autolysis of *Lactobacillus delbrueckii* subsp. *bulgaricus* ATCC BAA-365 is regulated by quorum sensing (Pang et al., 2014) via a two-component (Pang et al., 2016). A 21-amino acid peptide is the QS-signal molecule to regulate autolysis in *L. delbrueckii* subsp. *bulgaricus* ATCC BAA-365. Beside self-regulating processes like autolysis, also defense mechanisms such as bacteriocin production are regulated by QS (Rizzello et al., 2014).

4.1 Antibacterial activity in Lactobacillus

Antibacterial activity in lactobacilli occurs over a wide range of species and is based on unspecific factors such as substrate utilization, acid formation and decrease of pH or specific inhibitors such as bacteriocins, reuterin, reutericyclin, fatty acids or peroxide (Gänzle, 2009).

4.1.1 Bacteriocins

Bacteriocins are ribosomally encoded antimicrobial proteinaceous compounds produced by bacteria to inhibit the growth of other bacteria which are closely related or a broad spectrum across genera (Cotter et al., 2013; Klaenhammer, 1988). Self-killing of the producer strain by its own bacteriocin is inhibited by an immunity gene (Kristiansen et al., 2016). Bacteriocin production can be induced by co-cultivation with live cultures, heat treated cells or supernatant (Chanos and Mygind, 2016). In general, bacteriocins are not harmful for humans, due to their high specificity for bacterial cell membranes. An exception is the bacteriocin cytolysin produced by *Enterococcus faecalis*, which is active against a broad range of cell types including Gram-positive bacteria, eukaryotic cells as well as horse, bovine and human enterocytes, retina cells and human intestinal epithelial cells and can lead to terminal infections in humans (Cox et al., 2005). The cytotoxicity of a purified bacteriocin and its interaction with drugs has to be determined to evaluate the application potential of the bacteriocin (Todorov et al., 2017). The bacteriocin nisin, initially isolated from cheddar cheese, was the first well-documented peptide (Mattick and Hirsch, 1947; Whitehead, 1933). Nisin was first applied in 1951 in Swiss-type cheese to prevent spoilage of anaerobic spore-forming bacteria (Hirsch et al., 1951) and is until today the only bacteriocin approved as a food additive (E234) (European Commission, 2010).

Bacteriocins have been grouped in various schemes ranging from 3 to 5 classes (Kemperman et al., 2003; Klaenhammer, 1993; Nes et al., 1996). In 2005 a classification was proposed with 3 classes: lanthionine-containing lanthibiotics (class I), non-lanthionine-containing bacteriocins (class II), and a group for bacteriolysins and non-lytic bacteriocins (class III) (Cotter et al., 2005). This classification received only minor adjustments since then. In silico detection and annotation is done today with online tools such as Bagel 3 (van Heel et al., 2013) and antiSMASH 3.0 (Weber et al., 2015). These tools compare the submitted gene sequence with an existing database of described bacteriocins to detect potential candidate genes.

Bacteriocins or bacteriocin like inhibitory substances (BLIS) were detected in 26 *Lactobacillus* species (Table 1.1). So far, no class I bacteriocin is documented within the genus *Lactobacillus*. The wide spread of bacteriocin genes in the genus *Lactobacillus* might result in a larger list in the future.

Species	Class II	Class III	unclear	Reference
L. acidophilus	Lactacin B/F	Acidophilucin A		Barefoot and Klaenhammer, 1983; Muriana
L amylovorus	Amylovorin 1471			and Klaenhammer, 1991; Toba et al., 1991
L. animalis			BUIS	Chen and Yanagida 2006
L bayaricus	Bavaricin A			Larsen et al. 1993
L. brevis	Bavarientin		Bacteriocin	Ogunbanwo et al., 2003
L. buchneri			Buchnericin I B	Yildirim et al., 1999
L. casei	Lactacin B, lactocin 705	Caseicin 80		Barefoot and Klaenhammer, 1983; Rammelsberg et al., 1990; Vignolo et al., 1995
L. coryniformis	Lactocin MXJ 32A			Lü et al., 2014
L. crispatus	Crispaticin A			Thara and Kanatani, 1997
L. crustorum	Bacteriocin MN047 A			Yi et al., 2016
L. curvatus	Curvaticin A			Tichaczek et al., 1992
L. delbrueckii	Lacticin			Toba et al., 1991c
L. fermentum			Fermenticin B	Yan and Lee, 1997
L. gasseri	Gassericin A			Pandey et al., 2013
L. helveticus		Helveticin J/V		Joerger and Klaenhammer, 1990; Vaughan et al., 1992
L. hordei			BLIS	Rouse et al., 2008
L. johnsonii	Lactacin F			Abee et al., 1994)
L. murinus		Bacteriocin		Elayaraja et al., 2014
L. paracasei			Bacteriocin 217	Lozo et al., 2004
L. paraplantarum		Paraplantaricin C7		Lee et al., 2007
L. pentosus	Pentocin TV35b			Okkers et al., 1999
L. plantarum	Plantaricin E/F/J/K/S/T/W			Zacharof and Lovitt, 2012
L. reuteri			Reutericin 6	Toba et al., 1991a
L. rhamnosus			Lactocin 160	Li et al., 2005
L. sakei	Sakacin A/G/P			Barbosa et al., 2014
L. salivarius	Salivaricin T/L/P			Messaoudi et al., 2013

Table 1.1 Detected bacteriocins in Lactobacillus species.

BLIS = Bacteriocin-like inhibitory substance

4.1.2 Other antimicrobial substances such as reutericyclin and reuterin

Reutericyclin is a low-molecular-weight tetramic acid with antimicrobial activity produced by some *Lactobacillus reuteri* strains (Lin et al., 2015). The broad range of inhibition of reutericyclin includes amongst others *Bacillus cereus*, *Bacillus subtilis*, *Escherichia coli*, *Staphylococcus aureus*, *Candida krusei*, *Saccharomyces cerevisiae* and various *Lactobacillus* species (Gänzle et al., 2000).

Reuterin is a multi-component system consisting of 3-hydroxypropionaldehyde (3-HPA), 3-HPA dimer and 3-HPA hydrate (Engels et al., 2016b) with a broad unspecific antimicrobial activity including the inhibition of *Listeria innocua* and *Escherichia coli* (Cleusix et al., 2007). Strains from the genera *Enterobacter, Lactobacillus, Clostridium, Klebsiella* and *Citrobacter* (Vollenweider and Lacroix, 2004) as well as the species *Eubacterium hallii* (Engels et al., 2016a) convert glycerol via glycerol dehydratase to 3-HPA. In *L. reuteri* and *E. hallii* 3-HPA is released into the environment where it acts as an antimicrobial compound (Engels et al., 2016a; Vollenweider and Lacroix, 2004).

4.2 Antifungal activity in Lactobacillus

Organic acids such as lactic acid, acetic acid and phenyllactic acid are end-products of the carbohydrate metabolism of lactobacilli. These weak acids lower the pH to a level for which metabolism and growth of bacteria and fungi is inhibited (Batish et al., 1997). However the exact mechanism how organic acid inhibit microbial growth is not fully understood (Crowley et al., 2013a). In theory, non-dissociated acids diffuse into the cytoplasm where protons are released, which results in the acidification of the cytoplasm and a dissipation of the pH gradient over the membrane causing microbial growth inhibition (Piard and Desmazeaud, 1991). Antifungal activity in lactobacilli is mainly caused by lactic acid and to a smaller part by acetic acid (Dang et al., 2009). Acetic acid works synergistically with lactic acid when exerting antifungal activity. Phenyllactic acid (PLA) is one of the most studied antifungal organic acids. PLA is produced from phenylpyruvate via hydroxyphenylpyruvate reductase (EC: 1.1.1.237) or (R)-4-hydroxyphenyllactate dehydrogenase (EC: 1.1.1.222). However, little is known about the mechanism of antifungal inhibition. PLA works pHdependent and its production in lactobacilli can be induced by adding phenylalanine to a particular growth medium (Cortés-Zavaleta et al., 2014; Svanström et al., 2013). PLA is produced by various lactobacilli including strains from the species L. acidophilus, L. casei, L. fermentum, L. rhamnosus, L. reuteri, L. sakei, L. plantarum, L. paracasei and L. brevis and has a broad inhibition spectrum including Aspergillus niger, Asperillus flavus, Penicillium roqueforti, Penicillium expansum, Ebdinyces fibuliger, Botrytis cinerea and Colletorichum gloeospoioides (Cortés-Zavaleta et al., 2014; Prema et al., 2010; Valerio et al., 2016). Further antifungal acids such as formic acid, propionic acid, butyric acid, caproic acid and fatty acids are described. Those acids are not produced or only produced in low concentrations in lactobacilli and are not discussed further here.

Antifungal peptides produced by lactobacilli are rarely described and the mechanism of inhibition is unknown. *Lactobacillus pentosus* TV35b isolated from the vaginal secretion of a prenatal patient produces a bacteriocin-like peptide, pentocin TV35b with a size between 2.3 and 3.4 kDa (Okkers et al., 1999). This peptide inhibits the growth of strains from different species of *Clostridium, Lactobacillus, Propionibacterium* and the yeast *Candida albicans*. Pentocin TV35b is heat-stable for 30 min at 100 °C and can be inactivated with proteinase treatment. Antifungal peptides isolated from lactobacilli associated with plants are more common than from lactobacilli from meat or dairy. Proteinaceous antifungal activity was detected in *L. coryniformis* subsp. *coryniformis* Si3 (Magnusson and Schnürer, 2001) isolated from grass silage and *L. brevis* AM7 (Coda et al., 2008), *L. plantarum* LB1 and *L. rossiae* LB5 (Rizzello et al., 2011) all isolated from sourdough. Antifungal peptides from these strains were shown to inhibit the growth of a wide range of yeasts and molds.

Cyclic dipeptides are very short peptides minimally containing only 2 amino acids and possess antifungal activity (Crowley et al., 2013a). While peptide diversity and inhibition range is well documented, less is known about production and the *modus operandi* (Ryan et al., 2011; Ström et al., 2002; Yang and Chang, 2010). The concentration of cyclic dipeptide which is necessary to inhibit fungal growth is also responsible for bitter flavor and taste. Therefore, the application potential in food products is limited (Da Costa et al., 2010).

5 Lactobacillus applications in food

Lactobacilli were isolated from a broad range of food products, as already mentioned. This makes them suitable for potential food-associated applications such as starter, protective or probiotic cultures.

5.1 Starter, protective and probiotic cultures

An annual food waste of 88 million tonnes is estimated in the European Union, resulting in associated costs of 143 billion Euros. Beside these economical issues, wasting food is also an ethical issue due to the limited ressources on our planet. Food waste occurs along the entire supply chain with a major part of 53% occuring in the households (Stenmarck et al., 2016). Appropriate preservation of food leads to longer shelf life which contributes significantly to reduction of food waste (Martindale, 2017). The consumer trend for minimally processed food shifts the focus of the industry away from chemical preservatives towards more natural preservatives techniques like biopreservation (Crowley et al., 2013b). Biopreservation is an approach were natural or controlled microbiota and/or antimicrobial compounds to increase shelf life and food safety (Ananou et al., 2007). The key components of biopreservation are starter and protective cultures. Starter cultures can be defined as naturally occuring or intentionally added cultures to control a fermentation process. These cultures are selected for criteria such as fast acidifaction and contribution to desired flavor and texture (Campbell et al., 2011). Protective cultures are used to inhibit the outgrowth of specifically targeted food spoilage microorganisms. These cultures are defined as strains which contribute to the food safety by production of antimicrobial substances like bacteriocins, low molecular-weight substances and/or various metabolites that reduce spoilage (Anacarso et al., 2014). Protective cultures are selected based on the targeted spoilage microorganism. While starter and protective cultures benefit taste, sensory aspects and safety of a food product, probiotic cultures are supposed to benefit the health of the food consumer. Probiotic cultures can be defined as viable microorganisms that colonize compartment of the gastrointestinal tract and benefit the health of the host such as Lactobacillus plantarum and Lactobacillus acidophilus (Parvez et al., 2006).

5.2 Properties of a selected protective culture

Protective cultures in food applications should fulfil the following criteria (Holzapfel et al., 1995): (1) they should possess QPS status; (2) produce heat-stable antimicrobial active compounds; (3) not be associated with health risks; (4) benefit the quality, texture and flavor of the food product; (5) not affect the starter culture or any other intentionally added culture in the food product; (6) the inhibitory activity should be stable at least as long as the eat-by date of the product; (7) the economical balance should be positive.

The selection of an appropriate protective culture for a targeted food product is based on the above mentioned criteria. Protective culture with a narrow-activity spectrum can be used in food products with a specific spoilage organism, e.g *Listeria monocytogenes* in meat products (Chapter 5). Protective cultures against Gram-negative bacteria often use an additional treatment to attack the outer cell membrane in combination with bacteriocin activity that targets the inner membrane. These treatments can be amongst others high pressure (Alpas et al., 1999), temperature shock (Boziaris and Adams, 2001) and eukaryotic antimicrobial peptides (Lüders et al., 2003) or a combination of them (Kalchayanand et al., 1998).

A major problem of bacteriocin-producing protective cultures is the maintenance of the *in situ* activity of the bacteriocin. Bacteriocins are absorbed by proteins (Aasen et al., 2003) or fat in the food matrix (Settanni et al., 2005b), resulting in decreased activity. The *in situ* production of bacteriocin is another challenge since it's not necessarily as efficient as the *in vitro* production (Settanni et al., 2005a). Nisin is the only bacteriocin licensed as food additive in Switzerland today (EDI, 2017). Aside from nisin, other bacteriocins have to be produced *in situ* for application.

In general, bacteriocins target spoilage organisms that reduced the shelf-life of a food product and/or are pathogenic for the consumer. Therefore, most of the protective cultures inhibiting spoilage organisms such *Listeria monocytogenes, Salmonella enterica* or *Escherichia coli* O157:H7 (Chaillou et al., 2014; Katla et al., 2001). However, spoilage organisms don't necessarily impact the shelf-life. Enterococci are viewed critical since they have a high prevalence of antibiotic resistance and can transfer the respective genes by horizontal gene transfer (HGT) to other bacteria within or across genus border (Haug et al., 2010; Leisibach, 2004). Therefore, the intake of acquired antibiotic resistances in starter and protective and probiotic cultures should be monitored closely (Kastner et al., 2006). Since those cultures occur in high concentrations in the product, it's crucial to select cultures without antibiotic resistance genes (Marty et al., 2012).

The selection process of a protective culture generally starts with a preferably large set of strains ideally isolated from a latter targeted food product. These isolates are then phenotypically characterized depending on their application range (Marty, 2011). The following patterns are

evaluated amongst others: acid tolerance, salt tolerance, ability to ferment different sugars, ability to grow in different low and high temperature conditions, antimicrobial activity against selected spoilage and/or pathogen indicator, ability to grow in food matrix and antibiotic resistance characterization. Those parameters can be used for a funnel-shaped selection. First cultures with "no-go" attributes are excluded. Classical no-go attributes are transferable antibiotic resistance genes, no antimicrobial activity against targeted spoilage organism and inability to grow or to be metabolic active in a targeted food matrix. Remaining isolates are further tested for their ability to grow in the targeted food matrix. Testing of each isolate in a small-scale fermentation would be too expensive. Therefore, food matrix conditions are classically mimicked with modified growth media such as addition of 10% NaCl. The most promising cultures are selected and tested *in situ* to evaluate: antimicrobial activity in food matrix, impact on flavor, odor and texture of the product, stability of antimicrobial activity and stability of the food product.

5.3 Application of protective cultures in food products

The properties of protective cultures vary for each product. The following protective cultures are exemplary for their food product category.

Meat products – Fermented meat products such as salami are typically contaminated with strains from the genus *Listeria, Staphylococcus* and *Clostridium. L. monocytogenes* can cause listeriosis (Maertens de Noordhout et al., 2014), *S. aureus* can produce toxins which affects the human body (Bosi et al., 2016) and *C. botulinum* is responsible for botulism, a fatal infection which blocks signal transmission in nerves and muscles (Proverbio et al., 2016). *L. plantarum* PCS20 was tested in combination with nitrate for its activity against *Clostridium perfringens* DSM 756 and *Clostridium* sp. DSM 1985, both closely related to *Clostridium botulinum* (Di Gioia et al., 2016). Salami batches were produced with either i) protective culture, ii) 150 mg/kg nitrate or iii) a combination of both and *Clostridium* strains as spoilage organisms. 10⁴ cfu / g *C. perfringens* DSM 756 and 10³ cfu/ g *C.* sp. DSM 1985 were reduced to at least 10² cfu/g and 50 cfu/g, respectively after 9 days. The treatment with nitrate was slightly better than the combination of nitrate and protective culture or the protective culture only. Nevertheless, adding a protective culture enables the reduction of nitrate in meat fermentation due to the proteinaceous antibacterial activity.

Dairy products – Fermented dairy products such as yoghurt typically have a low pH and are therefore susceptible for spoilage by acid-tolerant fungi, such as *Candida parapsilosis, Candida diffluens, Debaryomyces hansenii, Klyveromyces marxianus,* and *Rhodotorula mucilaginosa* (Mayoral et al., 2005). Most fungal spoilage is harmless and only creates an unsavory biofilm, but spoilage with *Candida parapsilosis* can lead to acute health problems (Trofa et al., 2008). Several lactobacilli from

the species *L. harbinensis, L. zeae, L. paracasei* and *L. rhamnosus* were used in challenge tests in yoghurt production at 42°C (Delavenne et al., 2013). The isolate *L. harbinensis* K.V9.3.1Np completely prevented fungal growth despite its slow growth rate at 42°C. The synthesis or the activity of the antifungal metabolite was potentiated by the yoghurt starter culture (Delavenne et al., 2013). Another example of a protective culture application in dairy product is Holdbac YM-C, a commercially available application of a *Lactobacillus-Propionibacterium* co-culture that prevents fungal spoilage in fresh fermented products and white cheese (Miescher Schwenninger et al., 2008; Miescher Schwenninger and Meile, 2004).

Seafood – Seafood products, such as cold smoked salmon contaminated with *L. monocytogenes* are a risk for the consumers. Cold-smoked salmon is a ready-to-eat product that is stored at low temperature at which *L. monocytogenes* is able to grow. *L. sakei* Lb790, a sakacin P producing strains, was used as a protective culture to control the outgrowth of *L. monocytogenes* in cold smoked salmon (Katla et al., 2001). The application with sakacin P or the strain Lb790 controlled the *L. monocytogenes* concentration at the inoculation concentration level of 10⁴ cfu/g, compared to 10⁸ cfu/g in the control. The combination of the strain *L. sakei* Lb790 and sakacin P reduced the *L. monocytogenes* concentration even below 10^2 cfu/g.

Plants – Pest management in agriculture is a key factor for stable food production and reduction of food waste. Fire blight, a wide-spread disease in pome fruits and rosaceaous plants, is caused by the Gram-negative pathogen *Erwinia amylovora*. The antibiotic streptomycin protects against fire blight, but usage is prohibited in Switzerland since 2016 (BLW, 2016). Therefore, the control of fire blight relies nowadays on copper compounds. As an alternative, a protective culture with different *Lactobacillus plantarum* strains was developed and patented (Montesinos Segui et al., 2014). The culture showed similar reduction rates as streptomycin (Roselló et al., 2013).

Non-food – Lactobacilli are also used in protective culture application to prevent non-food products from bacterial or fungal spoilage. As an example, *L. plantarum* is used against *Pseudomonas aeruginosa* and *Bacillus putrefacines* in the industrial production of leather (Kanagaraj et al., 2014) or in several medical applications e.g. as vaginal application to prevent listeriosis in pregnant women (Borges et al., 2013). Especially the medical application as an alternative for antibiotics is discussed frequently (Cotter et al., 2013; Joerger, 2001; Pieterse and Todorov, 2010). For example, nisin F was intranasally administered to control the concentration of *S. aureus* in mice in a preclinical study (De Kwaadsteniet et al., 2009).

More examples of bacteriocin and protective *Lactobacillus* culture applications in food products is listed in Table 1.2.

Table 1.2 Applications of lactobacilli protective cultures and lactobacilli bacteriocins for food products.

Antimicrobial compound	Producer	PC	BA	Application in	Inhibition of	Reference
Sakacin P	L. sakei		x	Cold smoked salmon	Listeria monocytogenes	Katla et al., 2001
Paraplantaracin L- ZB1	L. paraplantarum L-ZB1		x	Rainbow trout fillets stored at 4°C	Enterobacteriaceae, <i>Pseudomonas,</i> spore-forming bacteria	Gui et al., 2014
Bacteriocin-like substance	L. pentosus 39	x		Salmon fillets	Aeromonas hydrophila ATCC 14715, Listeria monocytogenes ATCC 19117	Anacarso et al., 2014
Bacteriocin RC20975	purified bacteriocin from L. rhamnosus		x	Apple juice	Alicyclobacillus acidoterrestris	Pei et al., 2017
Lactic acid, acetic acid, phenyllactic acid	L. plantarum	x		Orange juice	Rhodotorula mucilaginosa	Crowley et al., 2012
Plantaricin	L. plantarum	x		Pome fruits and ornamental rosaceous plants	<i>Erwinia amylovora</i> (fire blight)	Roselló et al., 2013
Bacteriocin mixture	L. curvatus, Pediococcus acidilactici, Enterococcus faecalis		x	Hot dogs	Listeria monocytogenes	Vijayakumar and Muriana, 2017
Bacteriocin	L. fermentum R6		x	Chicken breast meat	Clostridium perfringens	Li et al., 2017
Bacteriocins	L. sakei, L. curvatus	x		Beef	Enterobacteriaceae, Pseudomonsa spp., Brochothriy thermospacta	Katikou et al., 2005
Sakei N1 cocktail	L. sakei	x		Ground beef	Salmonella enterica Typhimurium, Escherichia coli O157:H7	Chaillou et al., 2014
Bacteriocin	L. acidophilus NCDC 291	x		Raw poultry meat	Alternaria alternata	Garcha and Natt, 2012
Propionic acid, lactic acid, acetic acid	Lactobacillus paracasei, Propionibacterium jensenii	x		Yoghurt	Candida pulcherrima, Rhodotorula mucilaginosa	Miescher Schwenninger and Meile, 2004
Antimicrobial peptides	L. plantarum LR/14		х	Wheat grain	iAspergillus niger, Rhizopus Stolonifer, Mucor racemosus, Penicillium chrysogenum	Gupta and Srivastava, 2014
3,6-bis(2- methylpropyl)-2,5- piperazinedion	L. plantarum AF1	x		Soybean	Aspergillus flavus	Yang and Chang, 2010
Peptides	L. rossiae, L. paralimentarius	x		Pantone	Aspergillus japonicus	Garofalo et al., 2012
Metabolites	L. buchneri	x		Corn silage	yeasts	Tabacco et al., 2011
Coriolic acid	L. hammesii	x		Sourdough	Aspergillus niger, Penicillium roqueforti	Black et al., 2013

PC = protective culture; BA = bacteriocin application

6 Comparative genomics

Selection of potential protective cultures is based on a phenotypic and genotypic screening of strains. The created datasets can be used for polyphasic taxonomy and in silico studies. Evolutionary history of a certain isolate and horizontal gene transfer can be detected. In combination with comparative genomics, genetic differences behind phenotypic adaptations can be detected.

6.1 Polyphasic approach

Bacterial taxonomy is based on a polyphasic approach to delineate taxa on all levels. The term "polyphasic" was introduced by Colwell (1970) to describe the taxonomy of the genus *Vibrio*. A polyphasic approach includes phenotypic, genotypic and chemotaxonomic information to characterize and classify a microorganism (Vandamme and Peeters, 2014). The non-standardized approach is adapted for each microorganism according to the predicted genus or species. For each species, a type strain is defined and a new isolate is either closely related to an existing type strain or serves as a new type strain for a novel species (Kyrpides et al., 2014).

Phenotypic classification for bacterial taxonomy includes morphological, physiological and biochemical information and requires consistency to create a useful taxonomy (Vandamme et al., 1996) (Figure 1.2). Morphological features are: bacterial shape, endospore formation, presence and numbers of flagella, forming of inclusion bodies, Gram-staining. The physiological and biochemical features include: temperature range for growth, pH range, salt concentration, ability to grow on different substrates. Genotypic classification is based on: %G+C content in the genome, DNA-DNA hybridization (DDH), rRNA sequence homology, multilocus sequence typing (MLST), whole genome sequence. Chemotaxonomic classification includes: cell wall composition, fatty acid spectra or whole cell protein analysis. Since the approach is constantly evolving new "all in one" methods such as MALDI BioTyper may get integrated soon (Ramasamy et al., 2014; Sogawa et al., 2011). These approaches generally require an already existing structure to rely on while a standardized library based on existing taxonomic books is not yet available (Zhi et al., 2012).

6.1.1 Polyphasic taxonomy of lactobacilli

As already mentioned, the taxonomy of isolates in the genus *Lactobacillus* was based for years on different phenotypic attributes (Klein et al., 1998) and carbohydrate fermentation pattern (Hammes and Hertel, 2009). A more recent clustering based on the 16S rRNA sequence grouped lactobacilli in 15 major clusters (Salvetti et al., 2012). However, certain species couples are not distinguishable



Figure 1.2 Phenotypic, chemotypic and genotypic criteria for a polyphasic approach adapted from Vandamme et al. (1996) for bacterial classification

with 16S rRNA sequence comparison such as L. sakei / L. curvatus and L. helveticus / L. acidophilus (O'Sullivan et al., 2009). Multilocus sequence typing (MLST) was established to cluster below species level and distinguish between closely related species. MLST compares the sequence of several conserved genes in the genome and clusters the isolates accordingly. Lactobacillus delbrueckii was clustered with MLST according to 7 genes into 4 main clusters and 4 subclusters (Tanigawa and Watanabe, 2011). Depending on the modus operandi of MLST, the algorithm uses either sequences or profiles based on sequences which leads to different phylogenetic clusters (Jans et al., 2016). Clustering based on the complete genomic content instead of only selected parts, can be achieved by the implementation of whole genome sequencing (WGS) datasets. Since the sequencing costs of WGS decreased drastically, these datasets are available for every new sequenced bacterium (Ott et al., 2015). An initial implementation of WGS datasets into Lactobacillus taxonomy was based on the Lactobacillales-specific clusters of orthologous protein coding genes (LaCOG) model (Makarova and Koonin, 2007). LaCOGs were defined based on 12 sequenced Lactobacillales genomes according to the COG procedure (Tatusov et al., 1997). However, a major disadvantage of the LaCOG method is the sensitivity due to calculation based on genes affected by horizontal gene transfer (HGT) or paralogs. Therefore, lactobacilli were clustered in this study (Chapter 6) according to the genusindependent approach of average nucleotide identity (ANI). The approach to cluster genomes according to their pairwise calculated ANI uses the entire WGS dataset and not only parts of it (Arahal, 2014). ANI calculates the evolutionary distance between two strains according to the identity of all conserved genes with the BLAST algorithm. A major advantage of ANI is its robustness to horizontal gene transfer events (Arahal, 2014). An ANI score of >94% is comparable with >70% DDH and can be used to cluster species according to Konstantinidis and Tiedje (2005). The calculation of ANI was implemented in the clustering according to the core- and pan-genome of a dataset. The core-genome is defined as all homologous genes present in each genomes (Tettelin et al., 2005). Clustering of the family Lactobacillales according to the core-genome was demonstrated with non-closed genomes (Sun et al., 2015). A comparative genomics approach to cluster *Lactobacillus* genomes according to their core- and pan-genome 6.

6.2 Comparative genomics study designs

Studies in comparative genomics can be classified into five categories (1) comparing of a single genome with other genomes; (2) comparing genomes from a single species in a single habitat; (3) comparing multiple species from a single habitat; (4) comparing a single species from various habitats; (5) comparing multiple species from various habitats. The study design is depending on the aim of a particular study.

6.2.1 Single genome comparison

Studies focusing on a single genome are used for species with little available genome sequences. The genome is compared with isolates from the same habitat or with closely related species. *Lactobacillus iners* AB-1, isolated from human vagina and one of the lactobacilli with the smallest genome (1.3 Mb), was compared with isolates from the species *L. johnsonii, L. gasseri, L. delbrueckii, L. acidophilus* and *L. crispatus* (Macklaim et al., 2011). The predicted core-genome of those 6 species contained 766 genes, which is 64% of the *L. iners* genome content. 65 of 1180 genes were acquired by HGT and 26 of those share >80% amino acid sequence identity with non-*Lactobacillus* organisms. This study demonstrated, how a relatively unknown bacterium can be characterized at genotypic level with comparative genomics.

6.2.2 Single species / single habitat

Studies focusing on genomes from single species in a single habitat are rare and focus on differentiation of the genomes on subspecies level, horizontal gene transfer between the strains, evolution of core- and pan-genome and strain specific genes. Since all included genomes originate

from the same habitat, genetic variation should not be driven by environmental adaptation. The concept of a pan-genome was first established in a study using 8 *Streptococcus agalactiae* genomes from the same habitat (Tettelin et al., 2005). Within those 8 isolates, 69 genomic islands were found. Each of those genomic islands was absent in at least one of those 8 genomes. An atypical nucleotide composition was detected in some of those genomic islands indicating a potential horizontal gene transfer in an early ancestor. A closed core-genome with 1806 genes was calculated, whereas the pan-genome remained open, gaining 33 genes per added genome and a total of 358 genes were found present in only one of the 8 genomes. The open pan-genome indicates, that the analysis requires more than the 8 included genomes to represent the genomic variability.

The serotype clustering showed significant differences with the clustering according to the coregenome (Tettelin et al., 2005). This indicated that serotypes are not adequate indicators for taxonomy based on evolutionary events. Another study in *Streptococcus agalactiae* demonstrated that the exchange of large chromosomal fragments up to 334 kb, are part of evolutionary history (Brochet et al., 2008). Similar content were demonstrated for *Streptococcus pneumoniae* indicating that the presence of a distribute genome is bacterial strategy for host interaction (Hiller et al., 2007).

6.2.3 Multiple species / single habitat

Environmental adaptations can be studied with comparing multiple isolates from the different species in the same habitat. A significantly lower %G+C content in the genome was detected in vaginal isolates compared to non-vaginal isolates in a study comparing 25 species of *Lactobacillus* (Mendes-Soares et al., 2014). COGs exclusively detected in vaginal lactobacilli were related to phosphate transport system, indicating that phosphate acquisition is necessary in the vaginal environment. Adaptations to plant habitat such as degradation of xylan, arabinan, glucans and fructans as well as degradation of typical plant cell wall products was demonstrated in a study comparing two *Lactococcus lactis* isolates (Siezen et al., 2008). Neither of those adaptations were present in the dairy isolated control group. 6 dairy specific genes, encoding for proteins in the proteolytic system and restriction modification systems were detected in a study with 11 lactic acid bacteria (O'Sullivan et al., 2009). These differences indicate the adaptation of strains to their corresponding environment.

6.2.4 Single species / multiple habitats

Studies comparing a single species in multiple habitats generally demonstrate the biotechnological potential and diversity of the species while gaining insights into the genomic complexity of the population. Marker genes for clades within the species such as subspecies or environmental specific genetic adaptations to a specific habitat are another output of these studies. Subspecies

differentiation was demonstrated in the heterogenic species *L. plantarum*, where 22 genes were detected that were absent in *L. plantarum* subsp. *argentoratensis* and present in *L. plantarum* subsp. *plantarum* (Siezen et al., 2010). The pan-genome of 17 *L. casei* isolates revealed genetic exchange between plant fermenting *L. casei* and *L. coryniformis* and *L. casei* from dairy fermentations and *L. fermentum* (Broadbent et al., 2012). Accessory genes with high homology to genes in *Listeria* species were found in *L. casei* strains isolated from dairy and humans but not from plants even *Listeria* is commonly present in plant environment (Broadbent et al., 2012). The population of 100 *L. rhamnosus* isolates could be split into two clades based on their relative shared gene content (Douillard et al., 2013). One clade included isolates from stable, nutrient rich niches such as dairy products and the other isolates from variable environments such as intestinal tracts. The phenotypic and genotypic data also revealed that those *L. rhamnosus* isolates could reside in multiple niches. Acid resistance in *L. plantarum* was linked to the heat-shock protein GrpE, the methionine synthase and a 30S ribosomal protein, while acid sensitivity was linked to a phosphotransacetylase and a adenylosuccinate synthase (Hamon et al., 2014). Studies with a single species allows to determine the genomic variation within a species, which improves the species description.

6.2.5 Multiple species / various habitats.

Comparison of related bacteria from multiple species and habitats with each other is by far the most used study design. This approach determines amongst others the biotechnological potential of the strains, HGT between resident strains, phylogenic classification or variation of modules such as CRISPR. Clustering with 16S rRNA gene sequences or the core-genome is present in almost every study. Phylogenetic trees were constructed based on smaller genus datasets (Bottacini et al., 2016; Kant et al., 2011; Suzuki et al., 2012), hundreds of genomes from closely related lactobacilli (Lukjancenko et al., 2012; Sun et al., 2015) or from not related bacteria with different lifestyles (Merhej et al., 2009). A wide range of genomes allows to cluster the strains for several adaptations or lifestyles. Evolutionary history and the importance of HGT in lactic acid bacteria was illustrated by defining homologous genes for different species and genera (Makarova et al., 2006; Makarova and Koonin, 2007). Co-localization of genetic loci (synteny) was demonstrated for *L. johnsonii* with *L. acidophilus* and *L. gasseri* with an *in silico* comparative genomic approach (Berger et al., 2007). CRISPR sequences of lactobacilli and associated genera were clustered in 3 clades (Sun et al., 2015).

7 Aim of the thesis

7.1 Background and objectives for this thesis

Fermented food products are part of the daily diet of most humans. The fermentation process is controlled by microorganisms such as starter and protective cultures which modify the food product to achieve desired flavor, texture and odor. Additionally, these microorganisms produce compounds which prevents the outgrowth of spoilage organisms. The preservation of food products by intentionally added microorganisms is called biopreservation. Biopreservation can be used to increase the shelf-life and safety of fermented food products. Food waste due to spoilage occurs mainly at the households. Food spoilage is frequently harmless but creates an unsavory odor, taste or texture. On the other hand, contamination with pathogenic microorganisms was initially controlled with chemical preservatives. Consumers these days are objective to these chemical preservatives and prefer natural products that are minimally processed. Those products have a higher risk for contamination with spoilage organisms. Therefore, biopreservation with starter and protective cultures gained more attention recently. The application of selected cultures to preserve our food products from spoilage, could reduce food waste and food related diseases.

Traditionally fermented food products contain a high variety of naturally occurring *Lactobacillus* isolates. Strains from the genus *Lactobacillus* are known for antimicrobial activity inhibiting pathogenic bacteria such as *Listeria* and *Enterococcus* as well as a broad range of fungi. The combination of natural occurrence in fermented food products and antimicrobial activity makes them potential candidates for starter and protective cultures.

In this study, *Lactobacillus* isolates were investigated to inhibit food associated spoilage microorganisms such as yeast, molds and bacteria. The antimicrobial activity was characterized and potential protective cultures were selected according to a phenotypic and genotypic screening. Selected cultures were tested in food fermentation to inhibit the outgrowth of spoilage indicators.

7.2 General objectives

The general objective of this thesis was to further characterize lactobacilli in the Food Biotechnology ETH strain collection and unravel the hidden potential of those cultures for biotechnological applications. Interactions of microorganisms in fermentation processes and biopreservation was investigated and potential protective cultures were selected. A safety analysis of the sequenced genomes of these potential cultures was performed.

7.3 Specific objectives

The following specific objectives were defined for this work:

- Phenotypic characterization of lactobacilli such as determination of growth limits in conditions mimicking food samples and antimicrobial activity screening.
- Genotypic characterization of sequenced genomes of lactobacilli to determine genes encoding for potential bacteriocins
- Safety analyses of sequenced genomes for the presence of antibiotic resistance genes, virulence factors and phage insertions.
- Selection of potential protective cultures according to phenotypic and genotypic data.
- Application of protective cultures in small-scale and industrial-scale food fermentations to inhibit potential spoilage organisms and improve product safety.
- Analysis of genomic variation in sequenced lactobacilli to better understand genomic adaptation to environments, horizontal gene transfer and shared gene content.
Chapter 2

High-throughput screening assays for antibacterial and

antifungal activity of Lactobacillus species

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Abstract

We describe high-throughput screening techniques to rapidly detect either antimicrobial activity, using an agar-well diffusion assay in microtiter plates, or antifungal activity using an agar-spot assay in 24-well plates. 504 *Lactobacillus* isolates were screened with minimal laboratory equipment and screening rates of 2'000 – 5'000 individual antimicrobial interactions.

Scientific work

Fermented food products are part of the daily diet and of high economic importance. The spoilage of such products by microorganisms is a major problem in industry (Ross et al., 2002). Lactic acid bacteria (LAB) like lactobacilli can be isolated from many fermented food products and selected strains exhibit antibacterial or antifungal activity (Barbosa et al., 2014; Gaggia et al., 2011; Jiménez et al., 2013). Therefore, lactobacilli are applied in starter and in protective cultures for fermented products (Delavenne et al., 2013). LAB inhibit growth of spoilage organism via general mechanism such as organic acid production and environmental pH decrease. In addition, strain-specific inhibition occurs via production of for example ribosomally encoded bacteriocins or low-molecular weight compounds (Castellano et al., 2010; Nes et al., 2007). Bacteriocin-related inhibition is associated with blocking of the cell wall biosynthesis or with formation of pores in the cell membrane resulting in leakage of the cell (O'Sullivan et al., 2002). Low-molecular weight compounds, mainly acids, inhibit via various mechanisms including pH reduction and inhibition of metabolic reactions (Batish et al., 1997; Crowley et al., 2013b). The application of protective cultures containing natural occurring strains with antifungal or antibacterial activity is a growing trend in food preservation. Strains with antimicrobial or antifungal activity can be detected by testing spoilage-free food isolates in traditional antimicrobial assays. However, screening antimicrobial activity with such assays, often neglecting pH effects, is cumbersome (Bao et al., 2010; Zhu et al., 2014). Moreover, if individual pH adjustment and microfiltration of supernatant is included in such assays, labor increases rapidly when the number of isolates is increased (Maragkoudakis et al., 2009). Recently, an ESI-LC/MS based high-throughput assay to detect novel bacteriocins was developed but this method requires expensive equipment available in only few laboratories (Perez et al., 2014).

Here we present a rapid and easy-to-handle screening assay for antimicrobial strains. We integrated an agar-well diffusion assay (Grinstead and Barefoot, 1992) and an agar-spot assay (Miescher Schwenninger and Meile, 2004) into a high-throughput screening assay (HSA). A standardized methodology in multi-well plates using common laboratory equipment enables rapid screening for antimicrobial *Lactobacillus* strains.

Lactobacillus strains (Table 2.1) were incubated anaerobically in MRS broth (BioLife, Switzerland) at 30 °C using the oxygen scavenging system AnaeroGen (Thermo Scientific, Switzerland). Growth conditions of the indicator strains used in this study are listed in Table 2.2.

Lactobacillus supernatant for antibacterial assays (HSA-B) was obtained from outgrown cultures in 1.8-ml 96-deep-well plates (Life Systems Design, Switzerland) inoculated from cryo-stocks using a replicator pin. The plate was centrifuged (6000 x g, 15 min) and aliquots of the supernatant were transferred to a 96-well PCR plate and pasteurized at 75 °C for 3 min. The indicator strain media (Table 2.2) were supplemented with 0.5% agar and 0.1 M K₂HPO₄. After sterilization, the media were tempered to 50 °C and inoculated with 0.5% of an overnight-culture of the indicator strain. 50 µl of the inoculated agar was transferred to a 200-µl clear-glass-flat-bottom 96-well microtiter plate (Sigma) using a multichannel pipette and air-dried for 30 min. 30 µl *Lactobacillus* supernatant was transferred to each well and air-dried for 15 min. The optical density at 600 nm (OD₆₀₀) was measured (time = t_0) using a plate reader (PowerWave XS) and the plate was subsequently incubated at conditions preferable for the indicator strain. The OD₆₀₀ at t_0 were classified as inhibited (Figure 2.1A).

The antifungal screening assay (HSA-F) was performed in a 24-well cell-culture plate (Sigma) containing 300 µl of 1.5% MRS agar and 0.1 M K₂HPO₄. *Lactobacillus* strains were spotted at the center of a well with 0.75 µl of an outgrown culture and incubated for 48h. Thereafter wells were overlaid with 100 µl of 0.5% YM soft-agar supplemented with 0.1 M K₂HPO₄ and inoculated either with 10³-10⁴ fungal spores/ml or with 1% of a yeast overnight-culture. The plates were incubated for 24-48 h at conditions preferable for the indicator strain. The inhibition areas were visually recorded daily (Figure 1B). Both assays were performed in a sterile bench to avoid contamination when handling a high amount of plates simultaneously. Initially, all experiments were performed as triplicates. Due to high reproducibility, the following experiments were performed as single screening and only the positives were then confirmed by an agar-well diffusion assay. Antimicrobial activity was tested in agar-well diffusion assay with and without protease XIV (Sigma, Switzerland) digestion to assess proteinaceous characteristics of inhibitory compounds. The HSA-B was also performed in broth instead of soft agar for comparison. The HSA-F was compared to the modified agar-spot assay where colonies were poured onto an agar plate and overlaid with indicator strain inoculated softagar (Miescher Schwenninger and Meile, 2004).

Table 2.1: Lactobacillus strair	s used for the	antimicrobial	assay	(HSA)
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Identification code	species	Number of Isolates ^a	Antimicrobial activity ^b	Antifungal activity $^{\circ}$
ace	L. acetotolerans	1	0	0
aci	L. acidipiscis	1	0	0
aco	L. acidophilus	7	0	4
ani	L. animalis	1	0	0
bre	L. brevis	12	2	5
buc	L. buchneri	6	0	1
cas	L. casei	23	2	7
cet	L. ceti	1	0	0
cor	L. coryniformis	1	0	1
cris	L. crispatus	1	1	1
cru	L. crustorum	1	0	1
cur	L. curvatus	26	2	6
del	L. delbrueckii	49	11	11
fab	L. fabifermentans	1	0	0
far	L. farciminis	1	0	0
fer	L. fermentum	58	6	15
dru	L. fructivorans	4	0	1
gas	L. gasseri	1	0	0
har	L. harbinensis	1	0	0
hel	L. helveticus	12	2	3
hom	L. hominis	1	0	0
joh	L. johnsonii	2	0	1
lac	L. lactis	1	0	0
lin	L. lindneri	3	1	2
mal	L. mali	1	0	0
mur	L. murinus	1	1	0
ota	L. otakiensis	1	0	0
prc	L. paracasei	18	3	3
prp	L. paraplantarum	8	0	2
pen	L. pentosus	3	2	1
pla	L. plantarum	67	9	31
pon	L. pontis	1	0	0
reu	L. reuteri	6	1	0
rha	L. rhamnosus	21	2	6
sak	L. sakei	25	4	10
skc	L. sakei-curvatus ^d	35	2	3
sal	L. salivarius	1	0	1
san	L. sanfranciscensis	1	0	1
ult	L. ultunensis	1	0	0
zea	L. zeae	1	0	0
spp	L. spp. ^e	98	14	37
Total		504	65	154

^a from each species; ^b with antibacterial activity; ^c with antifungal activity. ^d The species *L. sakei-curvatus* contains isolates that belong to either one of these species. ^e Species *L.* spp. contains all *Lactobacillus* with no further species classification.

Indicator strains		Cultivation	Inhibitory lactobacilli	
Genus	Species	Strain	conditions ^a	Identification code ^b
Enterococcus	avium	DSM 20679T	BHI / 37°C / aerobic	bre, cas, del, prc, pen, pla, sak, skc, spp
	casseliflavus	DSM 20680T		cas, cur, del, fer, hel, pla, rha, skc, spp
	cecorum	DSM 20682T		prc, pen, sak, spp
	durans	DSM 20633T		bre, cas, del, prc, pla, rha, sak, spp
	faecalis	DSM 20478T*		cas, del, fer, prc, pla, spp
		DSM 2570		cas, del, mur, prc, pla, sak, spp
		DSM 2981		cas, del, mur, prc, pla, sak, spp
	faecium	DSM 20477T*		cas, del, fer, prc, pla, spp
		SL1.1		del, mur, prc, pla, spp
		SL.10.9		del, mur
	gallinarum	DSM 20628T		cas, cur, del, fer, hel, prc, pen, pla, sak, spp
	hirae	DSM 20160T		bre, del, mur, prc, pla, skc, spp
	saccharolyticus	DSM 20726T		bre cas cri cur fer hel lin pla rha sak skc spp
	sulfureus	DSM 6905T		bre, cas, cur, del, hel, prc, pen, pla, reu, spp
Escherichia	coli	DH5a	BHI / 37°C / aerobic	no inhibition
		K12		no inhibition
Lactobacillus	curvatus	RI-504		del, mur, prc, pla, sak, skc, spp
Lactococcus	lactis	MG 1363	MRS / 30°C / anaerobic	no inhibition
Leuconostoc	mesenteroides	Y105	BHI / 25°C / aerobic	no inhibition
Listeria	innocua	HPB13*	BHI / 37°C / aerobic	prc, pla, spp
		DSM 20649T		mur, pla, spp
		L17		pla
		L19		cas, del, mur, prc, pla, spp
	ivanovii	HPB28*		cas, del, fer, hel, prc, pen, pla, sak, skc, spp
		DSM 20750T		cas, del, hel, mur, prc, pen, pla, sak, skc, spp
		DSM 12491T		del, hel, mur, prc, pen, pla, sak, skc, spp
	monocytogenes	ATCC 19114		del, hel, mur, prc, pla, sak, spp
	, ,	10403S		del, mur, prc, pla, sak, spp
		H90 2008		del, mur, prc, pla, sak, spp
		F95 2008		del. mur. prc. pla. sak. spp
		Lm15		del, mur, prc, pla, sak, spp
Staphylococcus	aureus	DSM1104	BHI / 37°C / aerobic	no inhibition
		463		no inhibition
		DSM 2569		no inhibition
Streptococcus	mutans	DSM 20523T	BHI / 37°C / aerobic	no inhibition
	salivarius	OMZ513	TSY / 37°C / aerobic	no inhibition
		ATCC 9759		no inhibition
	thermophilus	DSM 20617T	BHI / 44°C / aerobic	no inhibition
	vestibularis	CCUG 24686	BHI / 37°C / aerobic	no inhibition
		DSM 5636T		no inhibition
Aspergillus	tamarii	S078*	YM / 25°C / aerobic	no inhibition
Candida	krusei	3-69/2*	YM / 25°C / aerobic	fer, rha
Kluyveromyces	marxianus	LME*	YM / 25°C / aerobic	fer, rha
Rhodotorula	mucilaginosa	LME*	YM / 25°C / aerobic	aci, bre, buc, cas, har, cri, cru, cur, del, fer, fru, hel, joh, lin, prc, prp, pen, pla, rha, sak, skc, sal, san, spp

Table 2.2: Indicator strains, their culture conditions and their inhibition by lactobacilli

^a BHI broth (Labo-Life Sàrl, Switzerland); TSY (Jans et al., 2012); YM broth (Becton Dickinson AG, Switzerland); ^b Identification code from Table 2.1 that indicates that isolates from *Lactobacillus* species were able to inhibit the indicator strain. * Indicator strains that were tested as triplicates.



Figure 2.1: Demonstration of the antibacterial (HSA-B) (a) and antifungal (HSA-F) (b) high-throughput screening assay. The HSA-B (a) with 92 *Lactobacillus* strains and 4 negative control samples against *Lactobacillus curvatus* RI-504, a commercial salami starter culture, as an indicator strain shows clear inhibition in wells B5, B6, B8 – B12, C1, C2, C5, D3, D5, D6, D8, D11, E3, E7 and F2; 4 negative control samples for intact growth of RI-504 are gained from supernatants from MRS incubated without lactobacilli in wells A1, A12, H1 and H12. The HSA-F (b) from 22 *Lactobacillus* stains against *Rhodotorula mucilaginosa* LME as an indicator strain. Complete inhibition is detected in well A1. Medium inhibition is detected in the wells B1, B6, C2, D2 and D3. Weak inhibition is detected in wells B1, C1 and D1; negative controls with no lactobacilli colonies in the wells A5 and A6 are completely grown.

We screened 504 *Lactobacillus* strains from 39 different species mainly isolated from food-products for their activity against potential spoilage organisms (Table 2.2). The pH in inhibition zones was checked and ranged between 6.5 - 6.8, showing that low-pH inhibitory effect can be excluded.

The HSA-B revealed 65 strains active against all *Enterococcus* (n=14) and *Listeria* species (n=13). Among these 65 there was *L. plantarum* 12 BH, previously described to inhibit these species and used as positive control (Wullschleger, 2009). The validation of these 65 strains using agar-well diffusion assay (Grinstead and Barefoot, 1992) revealed no false-positive and false-negative strains. Further, 24 of the 65 inhibiting strains exhibited protease-sensitive activity. No qualitative differences were detected between broth- and soft-agar approaches. However, the HSA-B soft-agar assay was easier to handle, and less water condensed in the wells.

Aspergillus tamari, Kluyveromyces marxianus, Candida krusei and Rhodotorula mucilaginosa strains were tested in the HSA-F. The HSA-F revealed 154 strains, including the antifungal strain *L. plantarum* DSM 20205 as positive control (Miescher, 1999), that inhibited one of the four tested indicator strains with *Rhodotorula mucilaginosa* being most frequently inhibited (Table 2.2). *K. marxianus* and *C. krusei* could be inhibited by 5 isolates and *A. tamarii* was not inhibited. In comparison to the traditional agar-spot assay, HSA-F has the advantage that no overlapping inhibition zones and no mixing of strains occurs because of separation of the tests. The use of buffered media to, avoiding pH adjustment, pasteurization to avoid filtration of supernatants and use of multichannel pipettes enables screening of 2'000 antifungal and 5'000 antibacterial individual interactions per day e.g. 500 lactobacilli with 4 - 10 indicator strains. The classical antibacterial agar-well diffusion and the antifungal agar-spot assays allow screening of approximately 300 interactions per day.

Our new HSA, based on a soft-agar microtiter plate assay, is suggested as fast and accurate primary qualitative screening approach in combination with traditional quantitative methods to detect individual antimicrobial interactions. The screening rate and the low equipment cost make this approach suitable for every laboratory and it can be extended to other bacteria and fungi, bringing phenotypic analyses in pace with next generation sequencing.

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Conflict of Interest

The authors declare no conflict of interest.

Chapter 3

Complete and Assembled Genome Sequence of *Lactobacillus plantarum* RI-113 Isolated from Salami

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Abstract

The complete genome sequence of *Lactobacillus plantarum* RI-113, a strain isolate from salami was determined using single-molecule real-time sequencing (SMRT).

Scientific work

Lactobacillus plantarum strains have been isolated from a broad spectrum of ecosystems, such as silage, olives, sourdough, sauerkraut, cheese and fermented sausages (Rizzello et al., 2011; Siezen and van Hylckama Vlieg, 2011). This habitat diversity of Lactobacillus plantarum might be related to abundant gene functions resulting in a genome size which is one the largest among lactobacilli (Bringel et al., 2001; Kant et al., 2011). Lactobacillus plantarum RI-113 is a single colony isolate from salami and grows at pH of 3.5, at 7.5% of NaCl and at 5% ethanol and at a temperature range of 14°C to 43°C in Man-Rogosa-Sharpe (MRS) medium. The strain shows antifungal activity against Trichosporon sp. and Rhodothorula mucilaginosa as detected in a high-throughput screening (Inglin et al., 2015). Genomic DNA was isolated using a lysozyme-based cell-wall digestion prior to the isolation using the Wizard genomic DNA purification kit (Promega, Dübendorf, Switzerland). The genome was sequenced using single-molecule real-time sequencing (SMRT) cells on a PacBio RS II (Pacific Biosciences, Menlo Park, CA, USA) at the Functional Genomics Center Zurich (Zurich, Switzerland). In total, 94'382 reads with a mean length of 12,974 basepairs (bp) resulting in 370x coverage, were assembled into a single contig and 6 plasmids using the hierarchical genomeassembly process (Chin et al., 2013). The genome was automatically annotated using the NCBI Prokaryotic Genomes Automatic Annotation Pipeline. The genome of L. plantarum RI-113 consists of a 3'462'990 bp circular molecule and comprises 67 tRNA genes and 16 rRNA genes. The G+C content of the genome is 44.34% and a total of 3'361 protein coding sequences (CDS) were predicted.

Accession number(s). Sequence and annotation data of the complete *Lactobacillus plantarum* RI-113 strain are deposited in the GenBank database under the accession number CP017406.1 for the genome and CP017407.1 – CP017412.1 for the 6 plasmids.

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Chapter 4

Draft Genome Sequences of 43 *Lactobacillus* Strains from the Species *L. curvatus, L. fermentum, L. paracasei, L. plantarum, L. rhamnosus, L. sakei,* Isolated from Food Products

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Abstract

The genome sequences of 43 *Lactobacillus* strains from the species *L. curvatus, L. fermentum, L. paracasei, L. plantarum, L. rhamnosus,* and *L. sakei* were determined using Illumina MiSeq.

Scientific work

Lactobacillus strains have been isolated from a broad spectrum of food products, such as salami type sausages, meat, dairy products, sauerkraut and fermented vegetables (Kant et al., 2011; Settanni and Corsetti, 2008). Lactobacilli are used as starter-, and protective cultures in industrial fermentations to control the fermentation process, extend the shelf-life of the fermented product and increase its safety. In addition, some strains are marketed as probiotic and benefit the health of the consumer (Klaenhammer et al., 2008; Makarova et al., 2006). Here, the sequenced genomes of 4 *L. curvatus*, 1 *L. fermentum*, 3 *L. paracasei*, 28 *L. plantarum*, 1 *L. rhamnosus*, and 6 *L. sakei* strains are presented. These strains were selected from a phenotypic screening and exhibited an atypical phenotype, or were selected as potential protective cultures in a high-throughput screening assay (Inglin et al., 2015). Genomic DNA was isolated by using a lysozyme-based cell wall digestion step and subsequently a Wizard genomic DNA purification kit (Promega, Dübendorf, Switzerland). The genomes were sequenced with Illumina MiSeq, pairwise reads of 150 bp, 30-fold coverage at the Functional Genomic Center Zurich (Zurich, Switzerland). Potential functions of predicted genes were automatically annotated using the NCBI Prokaryotic Genome Annotation Pipeline.

Accession number(s). Sequence and annotation data of the *Lactobacillus* strains are deposited as BioProject PRJNA343164 in the GenBank database and corresponding accession numbers listed in Table 4.1.

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Table 4.1 Sequenced genomes of Lactobacillus in NCBI BioProject PRJNA343164

Strains	Accossion	Genome	No. of	% G+C	
Lactobacillus curvatus BI-124	MKDB0000000	1 81	77	/2 0	1838
Lactobacillus curvatus RI-193	MKGD00000000	1.81	82	42.0	1850
Lactobacillus curvatus RI-198	MKGC0000000	1.01	77	42.0	18/18
Lactobacillus curvatus RI-406	MK060000000	2.01	52	42.0	2020
Lactobacillus fermentum BI-508	MKGE0000000	1 92	74	52.2	1959
Lactobacillus paracasei BI-194	MKG20000000	3.06	86	46.3	3197
Lactobacillus paracasei BI-195	MKGA0000000	3.03	125	46.3	3170
Lactobacillus paracasei BI-210	MKEY00000000	3.06	58	46.1	3164
Lactobacillus plantarum BI-011		3.00	33	14.6	3063
Lactobacillus plantarum RI-012	MIHD00000000	3.17	101	44.0 AA A	3175
Lactobacillus plantarum PL 048	MIHG00000000	2 10	101	44.4	3175
Lactobacillus plantarum PL 086		2.09	94	44.5	3003
Lactobacillus plantarum PL 122		2.00	50 60	11.2	2252
Lactobacillus plantarum RI-139		3.52	78	44.5 AA A	3255
Lactobacillus plantarum PL 140	MKD300000000	2.55	70 0E	44.7 44.2	22/1
Lactobacillus plantarum RI-140		3.31	65	44.5	3241
Lactobacillus plantarum PL 147		3.37	100	44.5	2252
Lactobacillus plantarum RI-147		3.32	100	44.4	3233
Lactobacillus plantarum RI-162		3.52	41	44.0	2000
		3.30	101	44.5	3212
Lactobacilius plantarum RI-189		3.10	50	44.5	3012
Lactobacilius plantarum RI-190		3.10	58	44.5	2996
Lactobacilius plantarum RI-208		3.14	82	44.5	3042
Lactobacilius plantarum RI-266		3.47	/1	44.2	3412
Lactobacillus plantarum RI-405		3.32	12	44.3	3273
Lactobacillus plantarum RI-408	MKDH0000000	3.07	123	44.6	2988
Lactobacillus plantarum RI-422	MKDK0000000	3.33	55	44.3	3274
Lactobacillus plantarum RI-505	MKD20000000	3.10	52	44.7	3018
Lactobacillus plantarum RI-506	MKEA0000000	3.39	/6	44.2	3344
Lactobacillus plantarum RI-507	MKEB00000000	3.53	126	44.1	3499
Lactobacillus plantarum RI-509	MKEC00000000	3.32	66	44.4	3296
Lactobacillus plantarum RI-510	MKED0000000	3.37	110	44.2	3353
Lactobacillus plantarum RI-511	MKEE00000000	3.33	105	44.2	3300
Lactobacillus plantarum RI-512	MKEF00000000	3.30	151	44.3	3284
Lactobacillus plantarum RI-513	MKEG0000000	3.28	71	44.4	3199
Lactobacillus plantarum RI-514	MKEH00000000	3.23	61	44.5	3134
Lactobacillus plantarum RI-515	MKGF00000000	3.32	94	44.4	3258
Lactobacillus rhamnosus RI-004	MJHB00000000	2.92	72	46.6	2993
Lactobacillus sakei RI-394	MKDC0000000	1.94	44	41.0	1963
Lactobacillus sakei RI-403	MKDD0000000	2.00	29	41.0	2032
Lactobacillus sakei RI-404	MKDE0000000	1.95	28	41.0	1977
Lactobacillus sakei RI-409	MKGB0000000	1.99	67	40.9	2022
Lactobacillus sakei RI-410	MKDI0000000	1.93	73	41.1	1949
Lactobacillus sakei RI-412	MKDJ0000000	1.92	32	41.1	1934

^a CDS, coding sequence

Chapter 5

An Approach to select *Lactobacillus* isolates as Protective Cultures for Food Fermentations

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Abstract

Food waste reduction can be achieved by applying protective cultures to avoid spoilage of fermented food products. In this study, we present an approach to screen large numbers of strains for potential use as protective cultures in food. A phenotypic screening of 504 *Lactobacillus* strains for 27 food relevant growth conditions revealed variations and physiological limits for the genus. Previously, the strains were tested for their antibacterial activity in a high-throughput-assay. Here, the activity of 22 positive strains from that screening was assessed in more detail, mainly against *Listeria, Enterococcus, Rhodotorula* and *Candida* species. The proteinaceous nature of inhibiting substances was confirmed by protease digestion. 22 antibacterial and 42 antifungal strains were detected. In a co-culture competition-assay 1-2 cfu/ml of *Lactobacillus plantarum* RI-162 were able to inhibit the outgrowth of *Rhodotorula mucilaginosa* LME and reduce the cell number below the detection limit of 50 cfu/ml within 48 hours.

Practical application

To translate the result to industrial application, the potential protective culture *L. sakei* RI-409 reduced the initial *Listeria ivanovii* DSM 12491^{T} concentration in an industrial-scale salami fermentation by 1.4 log within 5 days. In a further small-scale salami fermentation 1 *Lactobacillus sakei* and 5 *Lactobacillus plantarum* strains were tested as protective cultures. Four of them strains reduced the spiked counts of *L. ivanovii* DSM 12491^{T} from 10^{5} cfu/g at the start of fermentation to below the detection limit of 100 cfu/g within 2 days. In a 1000-L small-scale raw milk soft cheese fermentation, the potential protective culture *L. plantarum* RI-271 reduced the endogenous enterococci concentration with 1.5 log compared to untreated raw milk. In conclusion, we have developed an approach to select tailor-made antimicrobial protective cultures for biopreservation in fermented food products.

Introduction

Approximately 88 million tons food waste is produced in the European Union annually. This corresponds to an economic loss of 143 billion euros and occurs over the entire supply chain (Stenmarck et al., 2016). Food waste could be reduced with appropriate preservation (Martindale, 2017). Consumers are however skeptical against chemical preservatives and expect minimally processed food and biological preservation (Crowley et al., 2013b). Biopreservation is an approach where natural or controlled microbiota are used in combination with in situ produced antimicrobial compounds to increase the shelf life and food safety (Ananou et al., 2007). A key component in biopreservation of food products is the application of protective cultures. These cultures occur naturally in food or are added to prevent the outgrowth of spoilage or pathogenic microorganisms. Spoilage of food products can occur by harmless microorganisms that putrefy the food product or by pathogenic microorganisms which might produce toxins or which are invasive such as Listeria monocytogenes. This bacterium is a potential meat spoilage organism which causes listeriosis, in humans after the consumption of such meat (Maertens de Noordhout et al., 2014). A recall of Listeria-contaminated products causes reputational and financial damage for producers. Therefore, the development of highly specialized protective cultures that inhibited outgrowth of spoilage organisms in food products is interesting for the industry. So far only a few studies demonstrated the potential of lactobacilli as protective cultures on cheese surfaces (Loessner et al., 2003) or the application of purified bacteriocins to inhibit L. monocytogenes in fish and meat products (Katla et al., 2001; Vijayakumar and Muriana, 2017). Enterococci can be naturally present in fermented food and their presence does not lead directly to recalls or health risks. However, enterococci frequently carry transferable antibiotic resistances and a reduction of enterococci in food product is desirable. By our knowledge, protective cultures against enterococci are not developed yet.

Protective cultures inhibit other microorganisms by strain-specific mechanisms such as excretion of bacteriocins and low-weight molecular substances. Bacteriocins are genetically encoded peptides of 20-40 amino acids that are active, mostly against closely-related bacteria. Most bacteriocins and antimicrobial metabolites such as lactic and acetic acid are heat resistant. They withstand pasteurization and are useful active compounds of protective cultures in food products (Gaggia et al., 2011).

To select protective cultures, strains are phenotypically screened for desired attributes such as inhibition of targeted spoilage microorganisms and *in situ* bacteriocin production without negatively affecting the starter culture or taste, odor and texture of the product. This latter property is difficult to test for a large set of strains. Therefore, candidate strains are initially tested in conditions that mimic a particular food matrix such as fermentation of a different carbon sources, low pH resistance, salt tolerance, and the ability to grow in low or high temperatures. Additionally, a genotypic screening should be performed to access the safety of the strains. The presence of transferable antibiotic resistance genes, prophages and genes encoding virulence factors are undesired for safety and production reasons (Alkema et al., 2016).

Lactobacilli are lactic acid bacteria that occur in a wide range of fermented food products. Antimicrobial activity of *Lactobacillus* is well documented in specific strains and can be divided in two categories, antibacterial and antifungal activity. Antibacterial activity is mostly based on unspecific mechanisms such as lowering pH via lactic acid production or specific mechanisms such as the production antimicrobial metabolites such as reuterin or reutericyclin and the production antimicrobial peptides or proteins such as bacteriocins (Gänzle, 2009). In the genus *Lactobacillus* several strains produce bacteriocins mostly inhibiting species from the genus *Listeria* and *Enterococcus* (Casaburi et al., 2016; Maldonado-Barragán et al., 2013). Antifungal activity in lactobacilli is mainly based on metabolites such as lactic acid, acetic acid, phenyllactic acid and cyclic dipeptides (Ryan et al., 2011). Strains of the species *L. pentosus*, *L. coryniformis* subsp. *coryniformis*, *L. brevis*, *L. plantarum* and *L. rossiae* also produce antifungal peptides such as subtilisin-like proteases (Fan et al., 2014; Rizzello et al., 2013b). Specific antibacterial activity in lactobacilli is widely distributed but only a few isolates are able to maintain this activity in food products.

Therefore, the aim of this study was to establish a systematic procedure to select and test protective cultures. To understand the antimicrobial mechanisms, active compounds were isolated and characterized depending on their production, stability and activity range. We screened *Lactobacillus* isolates from an existing strain library for different phenotypical attributes as described above. Since isolates with antimicrobial activity were selected, their genome was sequenced and strains were tested *in situ* in small-scale food fermentation models. Candiate protective cultures were implemented into industrial-scale food fermentation applications to test their activity and robustness.

Material and Methods

Microbial strains, media and growth conditions

Lactobacillus strains in this study, originating from the laboratory strain collection, were identified by biochemical analysis, 16S rRNA gene sequence comparison, or whole genome sequencing (Table S5.1). Lactobacilli were routinely grown in MRS broth (BioLife, Switzerland) under anaerobic conditions at 30 °C for 24 h. Anaerobic conditions were achieved using the oxygen scavenging system AnaeroGen (Thermo Scientific, Switzerland). If applicable, the pH of MRS was adjusted using 3 M HCl. To increase the buffer capacity of MRS supplemented with acetate or lactate, 0.1 M potassium phosphate buffer at pH 6.5 was added. Indicator strains from Listeria and Enterococcus (Table S5.2) were grown in BHI broth (Labo-Life Sàrl, Pully, Switzerland) and aerobically incubated at 37 °C for 24 h. Indicator yeast (Table S5.2) were grown in YM broth (Becton Dickinson AG, Allschwil, Switzerland) at 25 °C under aerobic conditions for 48 h. Long-time storage of microorganisms was done by mixing an overnight culture 1:1 with 60% glycerol and storage at -80 °C. To compare the ability of the strains to grow in modified MRS, microtiter plates were used. Lactobacilli pre-cultures were inoculated from cryo stocks and grown in 96-deep-well plates for 48 hours. For the screening, 150 µl MRS modified for the tested condition was pipetted into a 200-µl clear-glass-flat-bottom 96-well microtiter plate (Sigma-Aldrich Chemie GmbH, Buchs, Switzerland) and inoculated with the pre-culture using a replicator pin. The optical density at 600 nm (OD₆₀₀) was measured in a plate reader (PowerWave XS, BioTek, Switzerland) after 24 and 48 h. Growth above $OD_{600} = 0.4$ was defined as threshold for growth - this corresponds to an OD_{600} of approximately 0.85 measured in a 1-cm cuvette. Three independent biological replicates were performed per condition and the median of each triplicate was integrated into the analysis if the variance of the triplicate was < 0.04.

Characterization of antibacterial activity

Antibacterial isolates from high-throughput screening (Inglin et al., 2015) were tested against five food-related indicator strains from the genus *Enterococcus* and *Listeria* using an agar well diffusion assay. 20 ml BHI agar supplemented with 0.1 M potassium phosphate buffer at pH 6.5 was tempered at 50 °C. Subsequently, 1% of an overnight culture of the respective indicator strain was added and the mixture rapidly poured into petri dishes. Holes with 6 mm diameter were cut and filled with 80 µl supernatant from a 24 h grown *Lactobacillus* culture. If needed, supernatants of lactobacilli were concentrated to 10% of the original volume by freeze drying (Virtis Dri-Block® DR-2D, Witec AG, Littau, Switzerland) and digested with 10 mg/ml protease XIV from *Streptomyces griseus* (Sigma-

Aldrich Chemie GmbH, Buchs, Switzerland) in THMS buffer containing 30 mM Tris-HCl (pH 8.0), 3 mM MgCl₂ (both from Sigma-Aldrich Chemie GmbH, Buchs, Switzerland) and 25% sucrose (AppliChem GmbH, Darmstadt, Germany) at 37 °C for 2 h. Digested and non-digested supernatants were diluted with sterile ddH₂O to initial volume and heat treated at 95 °C for 5 min to inactivate the protease. Bacteriocin activity was defined as proteinaceous antibacterial activity that was not affected by the heat treatment.

Analyses of genomes

The genome sequence of selected antibacterial strains (Inglin et al., 2017a, 2017b) were analyzed with the BAGEL3 (van Heel et al., 2013) and antiSMASH3.0 (Weber et al., 2015) software to identify potential bacteriocin-encoding genes.

Characterization of antifungal activity (AF)

AF1 - Overlay assay

Sequenced strains with antifungal activity were included in a standard non-buffered overlay assay (AF1). Therefore, 1 μ l of a *Lactobacillus* spp. culture (approximately 10⁶ cfu) was spotted on MRS plates and incubated anaerobically at 30 °C for 48 h. The plates were then overlaid with 6 ml YM soft agar (0.7%) at 50 °C containing approximately 10⁴ cfu/ml *Rhodotorula mucilaginosa* LME cells and incubated aerobically at 25 °C. Inhibition zones were qualitatively assessed after 70 h and classified into no inhibition (-), weak inhibition (+), moderate inhibition (++) and strong inhibition (+++).

AF2 - Agar well diffusion assay

Antifungal activity of cell free supernatants was assessed using an agar well diffusion assay. 20 ml YM soft agar tempered to 50 °C was inoculated with 10^4 cfu/ml *R. mucilaginosa* LME cells and poured into plates. Holes with 6-mm diameter were cut and filled with 80 µl supernatant. Concentrated supernatants were produced as described above. Crude and 10-fold concentrated supernatants were used in this AF2 assay and agar plates were incubated at 25 °C for 70 h.

To investigate whether antifungal activity was proteinaceous, 10-fold concentrated supernatants were digested with 10 mg/ml of either proteinase K, proteinase E or trypsin (Sigma-Aldrich Chemie GmbH, Buchs, Switzerland) in THMS buffer at 37 °C for 2 h. Thereafter the enzymes were inactivated at 95 °C for 5 min and the supernatant was tested in assay AF2.

AF3 - Induction of antifungal activity with yeast-preparations

L. plantarum strains RI-162, RI-271, RI-422 and WCFS1 were used in AF3, where a potential induction of antifungal activity of lactobacilli by direct contact with yeast-preparations was tested. A culture of 10^7 cfu/ml *R. mucilaginosa* LME was heat-inactivated at 70 °C for 20 min, and subsequently mechanically disrupted (5 x 30 s at 5 m/s) using a FastPrep®-24 kit (MP Biomedicals, Illkirch, France). 1 µl of this yeast-preparation was spotted on a 1 µl spot of a *L. plantarum* overnight culture on an MRS agar plate. Plates were incubated anaerobically at 30 °C and after 48 h overlaid with YM soft agar containing 10^4 cfu/ml *R. mucilaginosa* and incubated at 25 °C for 70 h.

To exclude auto-induction effect by yeast extract from MRS medium the experiments were performed in standard MRS and MRS without added yeast extract.

AF4 - Competition assay with L. plantarum and R. mucilaginosa

An overnight culture of *L. plantarum* RI-162 was inoculated 1/500 in MRS medium and grown to early exponential phase at an $OD_{600} = 0.4$. *R. mucilaginosa* LME was inoculated 1/100 in YM medium and grown to early exponential phase at $OD_{600} = 1$. The lactobacilli culture was serially 10-fold diluted in MRS broth to a final volume of 500 µl. The yeast culture was adjusted to 5 x 10⁵ cfu/ml with YM in a volume of 500 µl. A 1-ml co-cultures of different concentrations of the two cultures were mixed and incubated aerobically, shaking at 90 rpm at 30 °C.

After 48 h of co-cultivation, appropriate dilutions were plated on MRS and YM + 20 mg/L chloramphenicol agar plates and incubated under anaerobic and aerobic conditions, respectively, at 30 °C for 48 h. Each experiment was performed in 3 biological replicates.

AF5 – Yeast cell dependence for antifungal activity

To determinate whether lactobacilli produced antifungal substance regardless of the presence of yeast an overlay assay AF1 was modified. 10 μ l of an overnight culture of *L. plantarum* strains were spot-inoculated on MRS agar plates and incubated for 48 hours. Formed colonies were removed with a swab and any remaining cells killed by UV light (UVC 451x28 mm TUV15 - 15W, Philips Lighting Holding B.V., at a distance of 15 cm) for 45 min. Plates were overlaid with YM soft agar containing 10^4 cfu/ml *R. mucilaginosa* and incubated at 25 °C for 70 h. To investigate the stability of inhibition the plates were incubated at 25 °C for an additional 2 days.

AF6 – Determination of metabolic antifungal activity

Antifungal metabolite production for lactic acid, acetic acid, 2-pyrrolidon-5-carboxylic acid, hydroxyl phenyllactic acid, propionic acid, phenyllactic acid and ethyl-L-lactic acid was determined from cell

free supernatant by HPLC (Hitachi LaChrome, Merck, Dietikon, Switzerland) on an Aminex HPX-87H column (300 x 7.8 mm, BioRad Reinach, Switzerland) and a refractive index detector (Merck, Dietlikon, Switzerland) with a mixture of 5% 10 mM formic acid + 95% 10 mM sulfuric acid as eluent and a flow rate of 0.6 ml min⁻¹.

Application of protective cultures in a salami application

The protective culture *L. sakei* RI-409 was tested in an industrial-scale fermentation. A *L. sakei* RI-409 pre-culture was incubated at 30 °C for 24 h, centrifuged (5000 g, 10 min, 4 °C), washed twice with peptone water and stored on ice for a maximum of 2 hours. 13 kg raw meat (4 kg beef, 6 kg pork, 3 kg back fat from pork), 52 g glucose, 330 g nitrite and 276 g of spices were inoculated with $5x10^5$ cfu/g from a *L. ivanovii* DSM 12491^T pre-culture and with either i) no additional culture; ii) starter culture (Bitec starter LK30, 2 x 10^{10} cfu/g); iii) 10^7 cfu/g protective culture *L. sakei* RI-409. All ingredients were mixed for 5 min and batches of 1200 g were packed into artificial sausage skin. The salamis were ripened at 23 °C with 88% humidity for 36 h and from than at 21 °C, 19 °C and 17 °C each for 24 h at 86% humidity. After ripening the salami were stored at 15 °C for 9 days. For analysis, 10 g samples were homogenized in 90 ml peptone water for 10 min. 0.1 ml of serial dilutions were plated on ALOA Agar (Labo-Life Sàrl, Pully, Switzerland). Samples were taken before ripening, after ripening and after storage. Plates were incubated at 37 °C for 48 – 72 h aerobically.

The 6 protective isolates L. plantarum RI-046, L. plantarum RI-208, L. plantarum RI-303, L. sakei RI-409, L. plantarum RI-460 and L. plantarum RI-461 were tested in a small-scale salami fermentation. Lactobacillus strains were grown in MRS at 30 °C for 24 h, centrifuged (5000 g, 10 min, 4 °C) and washed twice with peptone water. 6 batches were produced, each containing 1 kg frozen lean shoulder from pork, 600 g fresh pork minced to 2 mm pieces, 400 g frozen back fat without rind, 5 x 10^6 cfu/g of a Lactobacillus protective culture candidate and 5 x 10^6 cfu/g of a Staphylococcus carnosus strain, a starter culture from Frutarom (Holdorf, Germany). All ingredients were mixed and the meat batter was kneaded for 1 minute. 30 g/kg curing salt, 10 g/kg ripening mixture (Frutarom Savory Solutions GmbH, Holdorf, Germany) and 5 x 10^4 cfu/g Listeria ivanovii DSM 12491^T were added and the batter was kneaded for 90 seconds. With a piston sausage filler, 700-g portions were filled in 55-mm diameter Walsroder FR nature casings. The sausages were incubated at 24 °C at 75% humidity for 6 hours followed by 48 hours at 24 °C and 94% humidity, 48 hours at 22 °C and 92% humidity and 18 hours at 18 °C and 90% humidity. Cell count and pH was determined after 0, 1, 2 and 5 days. For analysis, 10 g samples were homogenized in 90 ml peptone water for 10 min (BagMixer 400, Huber & Co. AG, Reinach, Switzerland). 0.1 ml of serial dilutions were plated on List Agar for listerial growth (Oxoid, Pratteln, Switzerland) and aerobically incubated at 37 °C for 48 h.

Inhibition of enterococci in raw milk soft cheese

As a food model, we chose a mold-ripened cow raw milk soft cheese with a weight of 140 g and a diameter of 9 cm from a local organic producer. Protective culture of L. plantarum RI-271 was inoculated in MRS without glucose + 20mM lactose and incubated for 16 hours. L. plantarum RI-271 was diluted in peptone water to 5×10^9 , 5×10^8 and 5×10^7 cfu/ml, centrifuged (5000 g, 4 °C, 10 min) and the supernatant was separated and heat-treated at 80 °C for 3 minutes. The cells were washed twice in peptone water, suspended in the same volume and stored on ice for a maximum of 2 hours. In a 1000-L small-scale fermentation, the fresh raw milk was inoculated with 5 x 10⁵ cfu/ml starter culture (CHOOZIT STAM 3 Streptococcus salivarius, Danisco) and 5 x 10⁵ cfu/ml a commercial strain of Penicillium camemberti and incubated at 36 °C for 1 hour. 0.1 ml of the raw milk was plated on KFS agar (Becton Dickinson AG, Allschwil, Switzerland) to determine the initial concentration of enterococci. 1-L batches were inoculated with 0.3 ml natural calf rennet (Winkler, Konolfingen, Switzerland) and 10 ml of *L. plantarum* RI-271 to final concentrations of 5 x 10^7 , 5 x 10^6 and 5 x 10^5 cfu/ml or with the heat-treated supernatant (80 °C for 3 min), incubated at 37 °C for 30 min and cut with a cheese harp. The crude cheese was incubated at 37 °C for another 2 hours and filled into 500ml forms. The cheeses were drained at 25 °C for 7 hours, incubated in a salt bath (22% NaCl) for 30 min and ripened at 14 °C and 94% humidity for 8 days. For analysis, 10 g cheese sample was homogenized in 90 ml peptone water for 10 min. 0.1 ml of serial dilutions were plated on KFS Agar plates and incubated at 43 °C for 48 – 72 h aerobically. 2 technical replicates were performed.

Results

Phenotypic analyses of 504 lactobacilli isolated from food

The phenotypical variation of 504 *Lactobacillus* strains were evaluated for 27 growth conditions using the growth monitoring assay in microtiter-plates. All strains grew within 24 hours in MRS under standard conditions (Figure 5.1Z2).

Bile salt affected the growth of lactobacilli. 81% of the strains grew in the presence 2% bile salt in 24 hours and 93% in 48 hours (Figure 5.1A). In the presence of 10% bile salt, 47% of the strains grew above the threshold in 24 hours and 71% in 48 hours (Figure 5.1B).

A similar growth reduction was observed in the presence of elevated NaCl levels. 86% and 99% of the strains grew at 4% NaCl after 24 and 48 hours, respectively. At 7.5% NaCl, the numbers reduced to 32% and 81% within 24 and 48 hours, respectively. At 10% NaCl only specialized strains such as *L. farciminis* RI-339 and *L. rhamnosus* RI-002 were able to grow within 48 hours (Figure 5.1C - E).

Low pH environments occur with accumulation of acidic metabolites from carbohydrate fermentation and lactobacilli are expected to be tolerant to low pH since they produce lactic acid. While at pH 5.0 99.8% of the strains grew within 48 hours, at pH 4.0 only 84% and at pH 3.5 only 49% were able to grow within 48 hours (Figure 5.1F - H). Only the dairy isolate *L. delbrueckii* RI-233, was sensitive to pH 5.0 and wasn't able to grow within 48 hours.

The ability to grow at low and high temperatures is essential for certain food fermentations e.g. salami. The high temperature screening at 43 °C was the only condition were the median at 24 hours with $OD_{600} = 1.19$ was higher than after 48 hours with $OD_{600} = 0.97$ (Figure 5.11). Out of the 441 and 444 *Lactobacillus* strains with consistent growth behavior, 92% grew in 24 hours and 88% in 48 hours. At 47 °C only 34% and 33% grew within 24 hours and 48 hours, respectively (Figure 5.1J). Reduced growth was detected at 14 °C where 22% and 79% of the strains were able to grow after 24 and 48 hours (Figure 5.1K). At 8 °C, only psychrophilic strains grew and *L. sakei* RI-329 was the only *Lactobacillus* strain able to grow within 24 hours (Figure 5.1L). After 48 hours, 10% of the strains grew at 8 °C. Remarkably the 10 strains reaching the highest OD_{600} after 48 hours at 8 °C belonged all to the species *L. sakei*.

MRS without glucose was the only condition were none of the *Lactobacillus* strains was able to grow after 48 hours (Figure 5.1M). In MRS with mannose as carbohydrate source, 93% and 95% of the strains were able to grow within 24 and 48 hours, respectively (Figure 5.1N). 90% of the strains were able to grow MRS without acetate (Figure 5.1O) and 86% in MRS without magnesium (Figure 5.1P) within 48 hours. In MRS without manganese only 18% of the isolates grew within 48 hours (Figure

5.1Q). Accumulation of acid, even in a buffered environment, is stressful for bacteria. 88% of the strains were able to grow in MRS supplemented with 50 mM acetic acid in 48 hours. At higher acetic acid concentrations of 200 mM only 11% of the strains grew (Figure 5.1R - S). Remarkably, nine out of ten isolates with the highest OD_{600} after 48 hours in 200 mM acetic acid belonged to the species *L. sakei*, the other being *L. plantarum* RI-422. Growth in MRS with 50 mM lactic acid was comparable to MRS with 50 mM acetic acid with 88% growth within 48 hours (Figure 5.1T). 63% of the strains were able to grow in 100 mM lactic acid within 48 hours (Figure 5.1U).

10% hop extract in MRS inhibited 4 strains from the species *L. sakei* and *L. curvatus*, even for 48 hours (Figure 5.1V). Reuterin concentrations of 2 and 10 mM did not inhibit the growth of lactobacilli (Figure 5.1W - X). Reuterin concentration of 20 mM reduced the growth for 42% of the lactobacilli with only 9% of the isolates grew within 24 hours and 58% within 48 hours (Figure 5.1Y). The strains with a tolerance to 200 mM acetic acid showed also tolerance to 20 mM reuterin in 24 hours. At 5% ethanol, 67% of the strains grew in 24 hours, while in MRS with 15% ethanol only 3% of the isolates were able to grow above the threshold even after prolonged incubation for 96 hours (Figure 5.1Z – Z1). 13 of these 23 isolates belong to the species *L.* sakei and the same isolates that showed already a high tolerance to reuterin and acetic acid grew at high ethanol concentrations. Interestingly, *L. sakei* isolates belonged either to the psychrophilic or the acetic-acid-reuterin-ethanol tolerant group. Hence a trade-off between ability to grow at low temperature and resistance to acetic acid, reuterin or ethanol was observed. These growth condition profiles can be used in the selection process to align a potential protective or starter culture to its most suitable environment according to the screening data.

Characterization of antibacterial activity

Typical spoilage microorganisms associated with fermented meat and dairy products were selected as targets in antibacterial assays. Out of 65 antibacterial *Lactobacillus* strains 22 strains showed proteinaceous antibacterial activity against the indicator strains *Enterococcus faecalis* DSM 20478^T, *Enterococcus faecium* SL1.1, *Listera innocua* HPB13, *Listeria ivanovii* DSM 12491^T and *L. monocytogenes* ATCC 19114. In the qualitative antibacterial activity screening *L. plantarum* RI-080 and *L. murinus* RI-256 were solely active against *Listeria monocytogenes* ATCC 19114 (Table 5.1). The genomes of antibacterial strains were sequenced and revealed genes encoding bacteriocins such as plantaricin, sakacin, curvacin, helveticin, enterocin, pediocin and enterolysin for all sequenced antibacterial strains (Table 5.1). For multiple isolates the proteinaceous antibacterial activity was demonstrated *in vitro*, but the corresponding bacteriocin was not determined.

Chapter 5



Figure 5.1 Phenotypic screening of 504 lactobacilli for 27 growth conditions. A MRS with 2% bile salt, **B** MRS with 10% bile salt, **C** MRS with 4% NaCl, **D** MRS with 7.5% NaCl, **E** MRS with 10% NaCl, **F** MRS at pH 5.0, **G** MRS at pH 4.0, **H** MRS at pH 3.5, **I** Growth at 43°C, **J** Growth at 47°C, **K** Growth at 14°C, **L** Growth at 8°C, **M** MRS without glucose, **N** MRS without mannose, **O** MRS without acetate, **P** MRS without magnesium, **Q** MRS without manganese, **R** MRS with 50 mM acetic acid, **S** MRS with 200 mM acetic acid, **T** MRS with 50 mM lactic acid, **U** MRS with 100 mM lactic acid, **V** MRS with 10% hop extract, **W** MRS with 2 mM reuterin, **X** MRS with 10 mM reuterin, **Y** MRS with 20 mM reuterin, **Z** MRS with 5% ethanol, **Z1** MRS with 15% ethanol, **Z2** MRS control. Numbers next to the title indicates how many datasets were included (24 h/48 h). Grey line indicates the growth threshold at OD₆₀₀ = 0.4. Boxplot: box indicates 1. quantile (25%) and 3. quantile (75%), red line = median, wishker = 1 or 3 quantil ± 1.5 x inter-quantil-range or maximal/minimal value, red + = outlier above or below wishker.

Characterization of antifungal activity

Comparative genomics of antifungal and non-antifungal isolates revealed no clear evidence for antifungal related genes (data not shown). However, a subtilisin-like serine protease was detected in the antifungal strain L. plantarum RI-162 (WP_015825367.1) and the antifungal activity of a this gene was already described (Fan et al., 2014; Yu et al., 2012). An overexpression of the subtilisin-like serine protease with the NICE system in Lactococcus lactis NZ9000, showed no antifungal activity (data not shown). A high-throughput screening with supernatant revealed no strains with proteinaceous antifungal activity in our strain collection (data not shown). Based on these initial findings we focused on the mechanism of antifungal activity in lactobacilli. Therefore 45 lactobacilli were selected for the overlay assay AF1 and 33 strains showed strong inhibition against R. mucilaginosa LME and C. parapsilosis 4-5/1 (Table 5.1). These strains belong to L. plantarum (n=28), L. rhamnosus (n=1), L. paracasei (n=3) and L. casei (n=1). In contrast, the 5 tested L. sakei isolates were not active against these two fungal indicators. The 33 antifungal Lactobacillus strains from AF1 were tested in an agar well diffusion assay (AF2) to confirm their antifungal activity. 10-fold concentrated supernatants inhibited fungal growth whereas crude supernatant or the 10-fold concentrated MRS were not active. Digestion of the supernatants with proteases did not result in reduced antifungal activity suggesting that the inhibitory compounds are non-proteinaceous metabolites.

In follow-up experiments, *L. plantarum* RI-162 and *L. plantarum* RI-271, both dairy isolates *L. plantarum* RI-422, a salami isolate and *L. plantarum* WCFS1, a human saliva isolate, were used since they showed reliable antifungal activity and their genome sequence is available. Yeast-preparations achieved by heat and mechanical treatments were used to determine a potential induction of antifungal activity (AF3) by yeast components. Such a yeast-component mediated induction was absent, since no difference in antifungal activity was detected between *Lactobacillus* cells incubated with or without yeast-preparations.

The necessity of living yeast cells presence for antifungal activity was determined with the AF5 assay. For that, two colonies of the *L. plantarum* strains RI-162, RI-217, RI-422 and WCFS1 were overlaid with *R. mucilaginosa* LME. The inhibition zone around the position of the removed *L. plantarum* WCFS1 colony (Figure 5.2A – left side) was similar to that of the WCFS1 colony still present (Figure 5.2A – right side), suggesting that the simultaneous presence of living yeast is not necessary for antifungal activity by lactobacilli. The antifungal activity remained stable for 5 days.

To quantify antifungal activity *L. plantarum* RI-162 and *R. mucilaginosa* LME were mixed in a 1-ml competition co-culture assay (AF4). Different cell numbers of the two microorganisms were tested and the critical ratio where the lactobacilli were not able to inhibit the yeast was determined.

Table 5.1 Lactobacillus strains with antimicrobial activity

Genus	Species	Strain	Listeria innocua HPB13	Listeria ivanovii DSM 12491T	Listeria monocytogenes ATCC 19114	Enterocuccs faecalis DSM 20478T	Enterococcus faecium SL1.1	thodotorula mucilaginosa LME	candida parapsilosis 4-5/1	Bacteriocin predicted with BAGEL3 and antiSMASh3.0	Genome sequenced / Accession number of published genomes
Lactobacillus	rhamnosus	RI-004			-			+	nt	Enterocin X	MJHB00000000
Lactobacillus	plantarum	RI-009						+++	+++	Plantaricin E	no
Lactobacillus	plantarum	RI-011						+++	+++	Plantaricin E	MJHC00000000
Lactobacillus	plantarum	RI-012						+++	+++		MJHD00000000
Lactobacillus	plantarum	RI-029						+++	+++		yes
Lactobacillus	plantarum	RI-031						+++	+++		yes
Lactobacillus	plantarum	RI-046	+++	+++	+++	+	++	nt	nt		no
Lactobacillus	plantarum	RI-048						+++	+++	Plantaricin E/F	MJHG0000000
Lactobacillus	paracasei	RI-075			+++						no
Lactobacillus	paracasei	RI-076	+++	+++	nt	-	+				no
Lactobacillus	paracasei	RI-077	+++	+++	nt	-	-				no
Lactobacillus	plantarum	RI-080	-	-	+++	-	-	nt	nt		no
Lactobacillus	plantarum	RI-086						+++	+++		MKDP00000000
Lactobacillus	plantarum	RI-087						+++	+++		yes
Lactobacillus	plantarum	RI-113						+++	+++	Plantaricin A/E	CP017406-12
Lactobacillus	plantarum	RI-123						+++	+++	Plantaricin E	MKDQ0000000
Lactobacillus	curvatus	RI-124								Sakacin P/Q, Enterocin NRK-5-3-A	MKDR0000000
Lactobacillus	plantarum	RI-139						+++	+++	Plantaricin E	MKDS0000000
Lactobacillus	plantarum	RI-140						+++	+++	Plantaricin E, Enterocin NRK-5-3-A	MKDT0000000
Lactobacillus	plantarum	RI-146						+++	+++	Plantaricin A/E/F	MKDU0000000
Lactobacillus	plantarum	RI-147						+++	+++	Plantaricin E/K	MKDV0000000
Lactobacillus	casei	RI-149						+	nt		MJHH00000000
Lactobacillus	plantarum	RI-162						+++	+++	Plantaricin E/K	yes
Lactobacillus	plantarum	RI-164	+++	+++	+++	+	+	nt	nt		no
Lactobacillus	plantarum	RI-165	+++	+++	+++	+	+	+++	+++	Pediocin PA, Plantaricin A/J	yes
Lactobacillus	plantarum	RI-189						+++	+++	Enterocin X, Plantarcin A/J	M1H100000000
Lactobacillus	plantarum	RI-190						+++	+++	Enterocin X, Plantarcin J	MJHK00000000
Lactobacillus	plantarum	RI-191						+++	+++	Enterocin X, Plantarcin J	yes
Lactobacillus	paracasei	RI-194						++	nt	Enterocin X, Plantarcin A/J	MKFZ0000000
Lactobacillus	paracasei	RI-195						++	nt	Enterocin X, Plantarcin J	MKGA0000000
Lactobacillus	plantarum	RI-203						+++	+++	Plantaricin A/E/F	yes
Lactobacillus	plantarum	RI-208			++			+++	+++	Enterocin X, Plantarcin A/J	MKFX0000000
Lactobacillus	paracasei	RI-210						++	nt	Thermophilicin A	MKFY00000000
Lactobacillus	delbrueckii	RI-247	+++	+++	+++	++	++				no
Lactobacillus	delbrueckii	RI-248	+++	+++	+++	++	++				no
Lactobacillus	delbrueckii	RI-249	+++	+++	+++	++	++				no
Lactobacillus	delbrueckii	RI-250	+++	+++	+++	++	++				no
Lactobacillus	delbrueckii	RI-251	+++	+++	+++	++	++				no
Lactobacillus	delbrueckii	RI-252	+++	+++	+++	++	++				no
Lactobacillus	delbrueckii	RI-253	+++	+++	+++	++	++				no
	rnamnosus	KI-255						++	nt		110
Lactobacillus	nurinus	KI-256	-	-	+++	-	-	-	-	Plantaricin E	10
Lactobacillus	plantarum	RI-205						+++	+++	FidilldTUITE	yes
Lactobacillus	plantarum	RI-200						+++	+++	FidilldTUII A/E/F	
Lactobacillus	sakoi	RI 201			111		-	T.	++	riantalittiii r/423	yes
Lactobacillus	plantarum	BI 303	++	++	+++	+	-	-	-	Plantracin K/N	
Lactobacillus	plantarum	RI-40E						+++	+++	Plantaricin F/E	yes
	holyotia	RI-405						+++	T++		
Lactobacillus	nerveticus	RI-407						++	nt	Helveticin I. Diantariain E/F. Entanalysis A	MKDH0000000
LUCIODACIIIUS	piuntarum	RI-408	I					+++	+++	neiveticini, Piantaricin E/F, Enterolysin A	WINDU000000

Lactobacillus	sakei	RI-409	+++	+++	nt	++	++	-	nt		MKGB0000000
Lactobacillus	sakei	RI-410						-	nt		MKDI0000000
Lactobacillus	sakei	RI-412						-	nt	Sakacin G	MKDJ0000000
Lactobacillus	plantarum	RI-422						+++	+++	Plantracin K/N	MKDK00000000
Lactobacillus	helveticus	RI-434						++	nt		no
Lactobacillus	helveticus	RI-436						+	nt		no
Lactobacillus	helveticus	RI-440						+	nt		no
Lactobacillus	spp	RI-460	+++	+++	+++	-	+				no
Lactobacillus	spp	RI-461	+++	+++	+++	-	+				no
Lactobacillus	sakei	RI-493	-	-	nt	-	-	-	nt	Sakacin A, Plantaricin S-alpha	yes
Lactobacillus	plantarum	RI-498	-	++	nt	-	-				no
Lactobacillus	plantarum	WCFS1						+++	+++		yes

Antibacterial activity score according to halo size: $+++ = \ge 20$ mm; ++ = 14-19 mm; + = 7-13 mm; +/- = unstable inhibition; - = no inhibition; nt = not tested. Antifungal activity was Inhibition zones were qualitatively assessed and classified into no inhibiton (-), weak inhibition (+), moderate inhibition (++) and strong inhibition (+++). Bacteriocin score: x = bacteriocin gene detected with BAGEL3 and antiSMASH3.0. Accession number: yes = genome of isolate is sequenced but not published, no = genome of isolate is not sequenced.



Figure 5.2 Antifungal assay AF5 with **A** *L. plantarum* WCFS1 and **B** *L. plantarum* RI-271. White *Lactobacillus* colony on the left side of the agar plate was removed before YM-soft-agar with *R. mucilaginosa* LME was overlaid. Inhibition zone after 5 days is similar with or without the *Lactobacillus* colony.

An amount of only 1-2 cfu/ml of *L. plantarum* RI-162 were able to reduce an initial *R. mucilaginosa* LME culture of $(8.6\pm0.6) \times 10^5$ cfu/ml to a level of $(4.6\pm3.6) \times 10^2$ cfu/ml within 48 hours, while the lactobacilli grew to a final concentration of $(2.3\pm0.4) \times 10^9$ cfu/ml (Figure 5.3). A diluted concentration of <1 cfu/ml *L. plantarum* RI-162 did not inhibit *R. mucilaginosa* LME. The yeast grew to a final concentration of $(3.6\pm0.3) \times 10^6$ cfu/ml while *L. plantarum* concentration remained below the detection limit after 48 h (Table S5.3).



Initial L. plantarum RI-162 : R. mucilaginosa LME cell ratio

Figure 5.3 Competition co-culture assay with *L. plantarum* RI-162 and *R. mucilaginosa* LME at variable concentration ratios. Dark blue = *L. plantarum* RI-162 before co-cultivation, light blue = *L. plantarum* RI-162 after co-cultivation, dark red = *R. mucilaginosa* LME before co-cultivation, light red = *R. mucilaginosa* LME after co-cultivation. Liquid cultures were mixed in variable concentrations and incubated for 48 h at 30 °C. Dotted line indicates the detection limit of 50 cfu. * indicates isolates below the detection limit. Error bars based on 3 biological replicates.

The dependence of antifungal activity on the acidification rate was determined using *L. plantarum* NZ7306 Δ *rpoN*, a *L. plantarum* WCFS1 derivative with lower acidification rates (Stevens et al., 2010). The halo of the mutant strain was smaller than the halo of *L. plantarum* WCFS1 in an overlay assay (AF1). This suggests, that antifungal activity is affected by lactic acid production. The analysis of the antifungal metabolite production revealed that all 4 tested *L. plantarum* strains produce mainly lactic acid as well as small amounts acetic acid, propionic acid, ethyl-L-lactic acid and phenyllactic acid (Table 5.2).

Analyte [mM]	L. plantarum RI-162	L. plantarum RI-271	L. plantarum RI-422	L. plantarum WCFS1	MRS broth
Lactic acid	206. ± 5	184. ±3	209. ±2	210. ±7	<0.44
Acetic acid	73. ±6	78. ±5	74. ±3	76. ±5	65. ±3
PCA	3.02 ±0.33	3. ±0.32	2.85 ±0.27	2.83 ±0.33	2.92 ±0.47
Propionic acid	4.08 ± 0.40	5.56 ±0.38	1.61 ± 1.04	2.41 ± 0.10	<0.44
Ethyl-L-lactic acid	2.91 ± 1.98	4.02 ± 2.08	2.14 ± 0.87	2.51 ±0.56	1.32 ±0.55
OH-PLA	<0.15	<0.15	0.19 ±0.02	0.21 ±0.01	<0.11
PLA	0.36 ±0.03	0.44 ±0.09	0.51 ±0.05	0.55 ±0.05	<0.06

PCA = 2-Pyrrolidone-5-carboxylic acid; OH-PLA = hydroxyl phenyllactic acid; PLA = phenyllactic acid; ± standard deviation from biological triplicates.

Application of antibacterial strains in fermented sausage

Listeria contamination in fermented sausages like salami is a potential risk in industrial fermentations. The salami-isolate *L. sakei* RI-409 was selected for potential industrial application since it showed antimicrobial activity and a bacteriocin gene curvacin A (WP_011374266.1 / Table 5.1), growth at 8 °C and originates from salami. The in silico genome analysis revealed antibiotic resistance for teichoplanin/vancomycin with the gene *vanZ* (WP_056948756.1). 3 salami batches were prepared with an initial concentration of 6.33 x 10⁵ cfu/g of *Listeria ivanovii* DSM 12491^T. The pH decreased from 5.8 to 5.2 in the spontaneous fermentation within 5 days, whereas in the starter culture and the protective culture batch a pH below 5.0 was reached (Figure 5.4). The listeria concentration increased from 9.6 x 10^4 cfu/g to 5.3×10^6 cfu/g in the spontaneous fermentation without starter or protective culture after 5 days of ripening (Figure 5.4A). In contrast, the listeria concentration decreased from 9.6 x 10^4 cfu/g to 2.5×10^4 cfu/g in the batch with *L. sakei* RI-409 after 5 days (Figure 5.4C). During the 9-days long storage phase, the listeria concentration decreased in all batches to a concentration of approximately 10^4 cfu/g.



Figure 5.4 Survival of *Listeria ivanovii* DSM 12491^t during salami ripening and storage with *Lactobacillus* protective candidate culture. **A** batch produced with no additional culture. **B** batch produced with starter culture only. **C** batch produced with protective candidate culture only. Salamis were 5 days ripened at changing conditions and later stored for 9 days at 15 °C. Black dotted line indicated the detection limit of 100 cfu/g. Error bars based on 3 technical replicates.

Since strain RI-409 showed no significant improvement compared with the starter culture Bitec LK30, strain *L. sakei* RI-409 was tested with the 5 antibacterial strains *L. plantarum* RI-046, RI-208, RI-303, RI-460 and RI-461 in a small-scale industrial application. Therefore, raw meat was inoculated with *Listeria ivanovii* DSM 12491^T as a spoilage organism, an in-house starter culture and one of the tested

Lactobacillus culture. All cultures acidified the sausages to a pH below 5.0 within 48 hours, the standard for salami acidification. The addition of *L. plantarum* RI-046, RI-208, RI-460 and RI-461 resulting in a reduction of *Listeria ivanovii* DSM 12491^T. from the initial concentration of 10⁵ cfu/g to below the detection limit of 100 cfu/g within 48 hours (Figure 5.5A-B / E-F). In contrast, the protective cultures *L. plantarum* RI-303 and *L. sakei* RI-409 reduced *Listeria ivanovii* DSM 12491^T concentration to 8.3 x 10³ and 1.45 x 10⁴ cfu/g within 48 hours, respectively (Figure 5.5C-D). After 120 h, still no listeria was detected in sausages with RI-046, RI-208, RI-460 and RI-461, whereas values of 2.6 x 10³ and 400 cfu/g for RI-303 and RI-409 were detected, respectively. Hence, 4 protective cultures inhibited strongly and 2 moderately *Listeria* in small-scale sausages, which parallels the finding from the industrial-scale tested strain RI-409.





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Application of antibacterial protective culture in raw milk soft cheese

The protective culture *L. plantarum* RI-271 and its supernatant was tested for its capacity to reduce enterococci in a 1000-L raw milk soft cheese fermentation. The fresh raw milk contained approximately 400 cfu/ml indigenous enterococci. A number of 6.50 x 10^6 cfu/g enterococci were detected in the untreated control cheese after 8 days of ripening (Table 5.3). Treatment of the cheese curd with culture supernatant resulted in an enterococci reduction of 56 – 89% compared with untreated raw milk soft cheese. A correlation between the inhibition rate and the cell concentration of the culture was observed. In cheese samples treated with the active cells of *L. plantarum* RI-271, an enterococci reduction of 96-97% was measured, apparently independent of cell concentration since no decrease of inhibition was detected in lower concentrations.

Table 5.3 Growth inhibition	of enterococci b	y Lactobacillus	plantarum R	RI-271 in r	aw milk soft cheese
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L. plantaru	<i>m</i> RI-271 [cfu/ml]	Enterococci [cfu/g]			
type	[pre-culture]	after 8 days	reduction		
u	ntreated	6.50x10 ⁶	0%		
SN	5x10 ⁷	7.00x10⁵	89%		
	5x10 ⁶	1.88x10 ⁶	71%		
	5x10 ⁵	2.88x10 ⁶	56%		
live cells	5x10 ⁷	2.30x10 ⁵	96%		
	5x10 ⁶	2.20x10 ⁵	97%		
	5x10 ⁵	2.50x10 ⁵	96%		

Detection limit at 10^2 cfu/ml, SN = supernatant of *L. plantarum* RI-217, live cells = active cells of L. plantarum RI-217

Discussion

The goal of this study was to establish an approach to select tailor-made protective cultures for fermented food products. Therefore, 504 Lactobacillus strains were tested for their growth ability under 27 different conditions to select potential protective cultures suitable in fermented food products. Tolerance to high bile salt concentrations was measured since bile salt can be regarded as a stress adaptor for lactobacilli (Ruiz et al., 2013). In general, lactobacilli grew up to 10% bile salt (Figure 5.1B) which is higher than the 0.05 - 2% bile salt concentration in human gut and also higher than the MIC of 0.5 - 1.8% bile salt for the species L. rhamnosus and L. fermentum (Bao et al., 2010; Douillard et al., 2013). The ability to grow in salt concentrations up to 5% is another criterion for protective culture selection as these conditions occur during ripening processes in many food products (De Almeida et al., 2016). Halotolerance in strains isolated from fermented meat would be expected as those products contain salt concentration of 3 - 5%. However, it is remarkable that none of our halo-tolerant lactobacilli belonged to the species L. sakei, although 35 out of 504 isolates are isolated from fermented salami. Halotolerance up to 10% NaCl in lactobacilli is documented for isolates of L. acidipiscis, L. alimentarius, L. farciminis, L. sakei subsp. sakei and L. vermoldensis (Hammes and Hertel, 2009; Phalakornkule and Tanasupawat, 2007) which parallels our findings for strain L. farciminis RI-339. Less than 50% of the Lactobacillus isolates in our collection were able to grow at pH 3.5 (Figure 5.1H) and none of them at pH 3.0 (data not shown), which is remarkable since growth of lactobacilli was detected at even lower pH in other studies. Strain L. salivarius UCO_979C-2, isolated from human stomach, grew at pH 2.6 within 48 hours (Sanhueza et al., 2015). Growth and activity at low temperatures is interesting since fermented food products are generally stored at low temperature conditions. 9 out of 60 tested L. sakei strains grew at 8 °C which parallels the finding that several strains of this species are able to grow at low temperatures (Hammes and Hertel, 2009). 18% of the tested lactobacilli grew in absence of manganese, although growth media supplementation with manganese was clamied to be essential for several lactobacilli species (Hammes and Hertel, 2009). The group of 83 "manganese-independent" lactobacilli contained 24 L. sakei strains. Neither of those had a superoxide dismutase (SOD) gene which is present in the type strain L. sakei K23. L. plantarum RI-409 was the only strain with a sod gene with 99% nucleotide identity with the sod gene from L. sakei K23 and a detected growth in the phenotypic screening in MRS without manganese (data not shown). There is a hypothesis that an Mn(II) concentration between 20 and 25 mM in Lactobacillus is as effective to reduce oxygen radicals as micromolar level of SOD in other bacteria (Archibald and Fridovich, 1981). Therefore, the activity of SOD in active strains under manganese starvation could be an explanation. According to our observations, growth under manganese starvation and presence of *sod* genes are not correlating.

All 28 tested *L. plantarum* strains in the screening inhibited *R. mucilaginosa* LME and *C. parapsilosis* 4-5/1. Other *Lactobacillus* species showed no or only weak inhibition (Table 5.1). Strong antifungal activity of *L. plantarum* isolates was already demonstrated *in vitro* (Broberg et al., 2007; Ndagano et al., 2011; Prema et al., 2010; Sjögren et al., 2003; Ström et al., 2002) and confirmed our observations. Whereas *L. plantarum* is commonly associated to antifungal activity, this phenotype is only rarely reported in *L. sakei* which parallels our findings (Crowley et al., 2013b). However, studies are difficult to compare with our experiment since different fungi indicators were used. In the current study, only non-buffered media was used to include antifungal metabolites with a low-pH dependent production such as cyclic dipeptides (Ryan et al., 2009). Antifungal activity based solely on low pH inhibitory effects can however, be excluded since the tested lactobacilli showed antifungal activity in a buffered assay (Inglin et al., 2015).

The supernatant of 4 L. plantarum strains was antifungal in an overlay assay (AF1) but not in an agar well diffusion assay (AF2). 10-fold concentrated supernatant showed antifungal activity in the agar well diffusion assay AF2. Such concentration dependent inhibition activity are in agreement with other studies (Coloretti et al., 2007; Saladino et al., 2016; Yang and Chang, 2010). Moreover, antifungal activity is based on non-proteinaceous compounds (AF2) which parallels findings of other studies (Crowley et al., 2013a; Ndagano et al., 2011; Russo et al., 2017). Antifungal substances are present in L. plantarum supernatant although no simultaneous contact with fungal cells occurred (AF3). The antifungal activity could not be increased by cell-to-cell contact of the lactobacilli with fungi, showing that antifungal activity is not a triggered response to fungi. In fact, we could demonstrate that the presence of a fungus is not required for an antifungal activity of lactobacilli. Antifungal activity of L. plantarum is, amongst others, based on organic acid production as demonstrated for the low glycolysis mutant strain. In theory, the highest achievable lactic acid concentration in MRS is 220 mM originating from 110 mM glucose. Since this is below the lactic acid MIC of >500 mM for R. mucilaginosa (Miescher Schwenninger et al., 2008), we assume a synergistic effect between lactic acid and other organic acids which confirms other studies (Dal Bello et al., 2007; Gerez et al., 2010; Rizzello et al., 2011). The power of antifungal activity in L. plantarum was demonstrated when a 1-2 cfu/ml of *L. plantarum* RI-162 was sufficient to inhibit of 8.6 x 10^5 cfu/ml *R.* mucilaginosa LME in a co-culture assay. The application of a L. plantarum strain could inhibit R. mucilaginosa by fungistatic activity in orange juice over 30 days (Crowley et al., 2012). In our coculture experiment a reduction of R. mucilaginosa was detected and hence there was a fungicide effect, the difference that can be explained by the difference in strain, pH and initial yeast concentration.

In the antibacterial application project, we tested protective cultures against *Listeria* and *Enterococcus* in food models. The genome analysis of the protective strain *L. plantarum* RI-409 revealed resistances against the antibiotics teicoplanin/vancomycin. However, since lactobacilli are intrinsic vancomycin resistant and the resistance gene operon, including *vanZ* is not complete, we regarded RI-409 as safe for use in applications (Arthur et al., 1995; Maragkoudakis et al., 2006). Further the protective culture *L. plantarum* RI-409 was tested in large-scale industrial production, showing no significant improvement compared to the starter culture treatment. However, the listeria concentration was decreased compared to the increased salt concentration during the drying, even if resistance to high salt concentration was demonstrated in listeria (Nightingale et al., 2006). Nevertheless, the addition of a starter culture and a protective culture is a necessity to reduce listeria concentration in short ripened salami.

In a preliminary experiment, we tested the ability of *L. plantarum* RI-271 and its bacteriocin plantaricin F, to reduce the outgrowth of enterococci in the 1000-L small-scale raw milk soft cheese fermentation. The inhibition with heat-treated supernatant was concentration dependent, whereas the inhibition in batches treated with live protective culture cells was not concentration dependent. This suggest that bacteriocins are produced *in situ*, as reported for other lactobacilli (Gálvez et al., 2010). Therefore, the application with the lowest concentration of protective culture cells has the best trade-off between additional costs and efficiency. The enterococci reduction of 97% between protective culture and the non-treated batch needs improvement before applying for larger industrial applications.
Conclusion

In this study, we demonstrated an approach to select and apply *Lactobacillus* isolates as protective culture for food fermentations to inhibit spoilage organisms such as *Listeria*, *Enterococcus* and fungi. The combination of phenotypic screening and whole genome sequencing reveals an optimal output of information to characterize and select bacteria. The screening of existing culture collection unraveled their biotechnological potential since protective cultures can also be expanded to nonfood products such as microbial pest management. Since our approach is based on low cost equipment and the cost of genome sequencing reduced drastically over the past years, this approach is suitable for every laboratory.

Supporting information

Genus	Species	Subspecies	Strain	Source
Lactobacillus	casei		RI-001	Dairy
Lactobacillus	rhamnosus		RI-002	Unknown
Lactobacillus	casei		RI-003	Dairy
Lactobacillus	reuteri		RI-004	Dairy
Lactobacillus	rhamnosus		RI-005	Dairy
Lactobacillus	delbrueckii	lactis	RI-006	Dairy
Lactobacillus	acidophilus		RI-007	Dairy
Lactobacillus	zeae		RI-008	Dairy
Lactobacillus	plantarum		RI-009	Dairy
Lactobacillus	paracasei	paracasei	RI-010	Dairy
Lactobacillus	reuteri		RI-011	Dairy
Lactobacillus	plantarum		RI-012	Dairy
Lactobacillus	rhamnosus		RI-013	Dairy
Lactobacillus	casei		RI-014	Dairy
Lactobacillus	casei		RI-015	Dairy
Lactobacillus	casei		RI-016	Dairy
Lactobacillus	casei		RI-017	Dairy
Lactobacillus	casei		RI-018	Dairy
Lactobacillus	rhamnosus		RI-019	Dairy
Lactobacillus	rhamnosus		RI-020	Dairy
Lactobacillus	rhamnosus		RI-021	Dairy
Lactobacillus	rhamnosus		RI-022	Dairy
Lactobacillus	rhamnosus		RI-023	Dairy
Lactobacillus	crispatus		RI-024	Dairy
Lactobacillus	rhamnosus		RI-025	Dairy
Lactobacillus	casei		RI-026	Dairy
Lactobacillus	casei		RI-027	Dairy
Lactobacillus	brevis		RI-028	Dairy
Lactobacillus	plantarum		RI-029	Dairy
Lactobacillus	curvatus		RI-030	Dairy
Lactobacillus	plantarum		RI-031	Dairy
Lactobacillus	delbrueckii	delbrueckii	RI-032	Dairy
Lactobacillus	acidophilus		RI-033	Dairy
Lactobacillus	acidophilus		RI-034	Dairy
Lactobacillus	coryniformis	coryniformis	RI-035	Dairy
Lactobacillus	casei		RI-036	Dairy
Lactobacillus	casei		RI-037	Dairy
Lactobacillus	casei		RI-038	Dairy
Lactobacillus	casei		RI-039	Dairy
Lactobacillus	casei		RI-040	Dairy
	casei		KI-U41	Dairy
	riiamnosus		KI-U4Z	Dairy
	uciaopniius		KI-U43	Dairy
	cuser			
	sallvarius			Dairy
	piantarum			Dairy
Lactobacillus	curvatus		кі-047	Dairy

Table S5.1 Lactobacillus strains used for phenotypic screening in chapter 5

Lactobacillus	curvatus		RI-048	Dairy
Lactobacillus	curvatus		RI-049	Dairy
Lactobacillus	curvatus		RI-050	Dairy
Lactobacillus	curvatus		RI-051	Dairy
Lactobacillus	delbrueckii	bulgaricus	RI-052	Dairy
Lactobacillus	delbrueckii	bulgaricus	RI-053	Dairy
Lactobacillus	delbrueckii	bulgaricus	RI-054	Dairy
Lactobacillus	delbrueckii	lactis	RI-055	Dairy
Lactobacillus	delbrueckii	lactis	RI-056	Dairy
Lactobacillus	delbrueckii	lactis	RI-057	Dairy
Lactobacillus	delbrueckii	lactis	RI-058	Dairy
Lactobacillus	delbrueckii	lactis	RI-059	Dairy
Lactobacillus	delbrueckii	lactis	RI-060	Dairy
Lactobacillus	delbrueckii	lactis	RI-061	Dairy
Lactobacillus	fermentum		RI-062	Dairy
Lactobacillus	fermentum		RI-063	Dairy
Lactobacillus	fermentum		RI-064	Dairy
Lactobacillus	fermentum		RI-065	Dairy
Lactobacillus	fermentum		RI-066	Dairy
Lactobacillus	fermentum		RI-067	Dairy
Lactobacillus	fermentum		RI-068	Dairy
Lactobacillus	fermentum		RI-069	Dairy
Lactobacillus	fermentum		RI-070	Dairy
Lactobacillus	fermentum		RI-071	Dairy
Lactobacillus	fermentum		RI-072	Dairy
Lactobacillus	fermentum		RI-073	Dairy
Lactobacillus	fermentum		RI-074	Dairy
Lactobacillus	paracasei	paracasei	RI-075	Dairy
Lactobacillus	plantarum		RI-076	Dairy
Lactobacillus	plantarum		RI-077	Dairy
Lactobacillus	paracasei	paracasei	RI-078	Dairy
Lactobacillus	paracasei	paracasei	RI-079	Dairy
Lactobacillus	plantarum		RI-080	Dairy
Lactobacillus	plantarum		RI-081	Dairy
Lactobacillus	plantarum		RI-082	Dairy
Lactobacillus	plantarum		RI-083	Dairy
Lactobacillus	plantarum		RI-084	Dairy
Lactobacillus	plantarum		RI-085	Dairy
Lactobacillus	plantarum		RI-086	Dairy
Lactobacillus	plantarum		RI-087	Dairy
Lactobacillus	plantarum		RI-088	Dairy
Lactobacillus	rhamnosus		RI-089	Dairy
Lactobacillus	rhamnosus		RI-090	Dairy
Lactobacillus	paracasei		RI-091	Dairy
Lactobacillus	sp.		RI-092	Dairy
Lactobacillus	paracasei	paracasei	RI-093	Dairy
Lactobacillus	fermentum		RI-094	Dairy
Lactobacillus	fermentum		RI-095	Dairy
Lactobacillus	fermentum		RI-096	Dairy
Lactobacillus	plantarum		RI-097	Dairy
Lactobacillus	curvatus		RI-098	Dairy
Lactobacillus	acidophilus		RI-099	Unknown
Lactobacillus	casei		RI-100	Unknown

Lactobacillus	johnsonii		RI-101	Unknown
Lactobacillus	plantarum		RI-102	Unknown
Lactobacillus	brevis		RI-103	Unknown
Lactobacillus	casei		RI-104	Unknown
Lactobacillus	acetotolerans		RI-105	Sourdough
Lactobacillus	acidophilus		RI-106	Sourdough
Lactobacillus	brevis		RI-107	Sauerkraut
Lactobacillus	brevis		RI-108	Sauerkraut
Lactobacillus	brevis		RI-109	Sauerkraut
Lactobacillus	buchneri		RI-110	Sauerkraut
Lactobacillus	sp.		RI-111	Fermented meat
Lactobacillus	curvatus		RI-112	Fermented meat
Lactobacillus	plantarum		RI-113	Fermented meat
Lactobacillus	sp.		RI-114	Unknown
Lactobacillus	delbrueckii	delbrueckii	RI-115	Fermented meat
Lactobacillus	lindneri		RI-116	Sauerkraut
Lactobacillus	lindneri		RI-117	Fermented meat
Lactobacillus	plantarum		RI-118	Fermented meat
Lactobacillus	plantarum		RI-119	Fermented meat
Lactobacillus	plantarum		RI-120	Fermented meat
Lactobacillus	plantarum		RI-121	Fermented meat
Lactobacillus	plantarum		RI-122	Sourdough
Lactobacillus	plantarum		RI-123	Sourdough
Lactobacillus	sakei/curvatus		RI-124	Sauerkraut
Lactobacillus	sakei		RI-125	Fermented meat
Lactobacillus	brevis		RI-126	Gras silage
Lactobacillus	brevis		RI-127	Gras silage
Lactobacillus	brevis		RI-128	Gras silage
Lactobacillus	brevis		RI-129	Gras silage
Lactobacillus	buchneri		RI-130	Maize silage
Lactobacillus	delbrueckii	bulgaricus	RI-131	Dairy
Lactobacillus	fructivorans		RI-132	Gras silage
Lactobacillus	fructivorans		RI-133	Gras silage
Lactobacillus	fructivorans		RI-134	Gras silage
Lactobacillus	lindneri		RI-135	Gras silage
Lactobacillus	paracasei	paracasei	RI-136	Fermented meat
Lactobacillus	plantarum		RI-137	Fermented meat
Lactobacillus	plantarum		RI-138	Fermented meat
Lactobacillus	plantarum		RI-139	Gras silage
Lactobacillus	plantarum		RI-140	Maize silage
Lactobacillus	brevis		RI-141	Maize silage
Lactobacillus	fructivorans		RI-142	Gras silage
Lactobacillus	paracasei	paracasei	RI-143	Fermented meat
Lactobacillus	paracasei	paracasei	RI-144	Fermented meat
Lactobacillus	paracasei	paracasei	RI-145	Fermented meat
Lactobacillus	plantarum		RI-146	Maize silage
Lactobacillus	plantarum		RI-147	Maize silage
Lactobacillus	plantarum		RI-148	Unknown
Lactobacillus	plantarum		RI-149	Unknown
Lactobacillus	fermentum		RI-150	Chicken intestine
Lactobacillus	fermentum		RI-151	Chicken intestine
Lactobacillus	fermentum		RI-152	Chicken intestine
Lactobacillus	fermentum		RI-153	Chicken intestine

Lactobacillus	fermentum		RI-154	Chicken intestine
Lactobacillus	fermentum		RI-155	Chicken intestine
Lactobacillus	paracasei	paracasei	RI-156	Dairy
Lactobacillus	paracasei	paracasei	RI-157	Dairy
Lactobacillus	paracasei	paracasei	RI-158	Dairy
Lactobacillus	paracasei	paracasei	RI-159	Dairy
Lactobacillus	, plantarum		RI-160	Unknown
Lactobacillus	, rhamnosus		RI-161	Dairv
Lactobacillus	helveticus		RI-162	Unknown
Lactobacillus	rhamnosus		RI-163	Dairy
Lactobacillus	plantarum		RI-164	Dairy
Lactobacillus	plantarum		RI-165	Unknown
Lactobacillus	plantarum		RI-166	Dairy
Lactobacillus	nlantarum		RI-167	Dairy
Lactobacillus	nlantarum		RI-168	Dairy
Lactobacillus	nlantarum		RI-169	Dairy
Lactobacillus	plantarum		RI-170	Dairy
Lactobacillus	plantarum		RI-171	Dairy
Lactobacillus	plantarum		RI_171	Dainy
Lactobacillus	plantarum		NI-172 DI 172	
Lactobacillus	plantarum		NI-173	Dainy
Lactobacillus	plantarum			Dainy
Lactobacillus	plantarum			Dainy
Lactobacillus	plantarum		NI-170	Dainy
	plantarum		RI-177	Dairy
Lactobacillus	plantarum		RI-178	Dairy
Lactobacillus	plantarum		RI-179	Dairy
Lactobacilius	sp.		RI-180	Dairy
Lactobacillus	sp.		RI-181	Dairy
Lactobacillus	sp.		RI-182	Dairy
Lactobacillus	sp.		RI-183	Dairy
Lactobacillus	sp.		RI-184	Dairy
Lactobacillus	sp.		RI-185	Dairy
Lactobacillus	sp.		RI-186	Dairy
Lactobacillus	delbrueckii	lactis	RI-187	Dairy
Lactobacillus	paraplantarum		RI-188	Unknown
Lactobacillus	plantarum		RI-189	Unknown
Lactobacillus	reuteri		RI-190	Human intestine
Lactobacillus	reuteri		RI-191	Dairy
Lactobacillus	sp.		RI-192	Unknown
Lactobacillus	paracasei	paracasei	RI-193	Unknown
Lactobacillus	paracasei	paracasei	RI-194	Unknown
Lactobacillus	paracasei	paracasei	RI-195	Unknown
Lactobacillus	curvatus		RI-196	Fermented plant
Lactobacillus	curvatus		RI-197	Fermented plant
Lactobacillus	helveticus		RI-198	Fermented plant
Lactobacillus	helveticus		RI-199	Fermented plant
Lactobacillus	sp.		RI-200	Fermented plant
Lactobacillus	pentosus		RI-201	Fermented plant
Lactobacillus	delbrueckii	lactis	RI-202	Fermented plant
Lactobacillus	plantarum		RI-203	Fermented plant
Lactobacillus	sakei		RI-204	Fermented plant
Lactobacillus	rhamnosus		RI-205	Dairy
Lactobacillus	rhamnosus		RI-206	Dairy

Lactobacillus	acidophilus		RI-207	Unknown
Lactobacillus	, plantarum		RI-208	Unknown
Lactobacillus	, casei	casei	RI-209	Unknown
Lactobacillus	helveticus		RI-210	Unknown
Lactobacillus	fermentum		RI-211	Dairv
Lactobacillus	delbrueckii	lactis	RI-212	Unknown
Lactobacillus	delbrueckii	bulgaricus	RI-213	Unknown
Lactobacillus	delbrueckii	lactis	RI-214	Unknown
Lactobacillus	naracasei / casei	hacens	RI-215	Unknown
Lactobacillus	delbrueckii	lactis	RI-216	Unknown
Lactobacillus	delbrueckii	lactis	RI-217	Unknown
Lactobacillus	delbrueckii	lactis	RI-218	Unknown
Lactobacillus	delbrueckii	lactis	RI-219	Unknown
Lactobacillus	delbrueckii	lactis	RI-213	Unknown
Lactobacillus	ch	luctis	NI-220 DI 221	Unknown
Lactobacillus	sp. dolbruockii	lactic		Unknown
Lactobacillus	delbrueckii	lactis		Unknown
Lactobacillus	delbrueckii	lactis	NI-225	Unknown
Lactobacillus	delbrueckii	lactic		Unknown
Lactobacillus	deibrueckii	lactis	RI-225	Unknown
Lactobacillus	aeibrueckii	lactis	KI-220	Unknown
Lactobacillus	sp.		RI-227	Unknown
Lactobacillus	sp.		RI-228	Unknown
Lactobacillus	delbrueckii	lactis	RI-229	Unknown
Lactobacillus	delbrueckii	lactis	RI-230	Unknown
Lactobacillus	sp.		RI-231	Unknown
Lactobacillus	sp.		RI-232	Unknown
Lactobacillus	delbrueckii	lactis	RI-233	Unknown
Lactobacillus	delbrueckii	lactis	RI-234	Unknown
Lactobacillus	delbrueckii	lactis	RI-235	Unknown
Lactobacillus	sp.		RI-236	Unknown
Lactobacillus	sp.		RI-237	Unknown
Lactobacillus	sp.		RI-238	Unknown
Lactobacillus	delbrueckii	lactis	RI-239	Unknown
Lactobacillus	sp.		RI-240	Unknown
Lactobacillus	curvatus		RI-241	Unknown
Lactobacillus	sp.		RI-242	Unknown
Lactobacillus	sp.		RI-243	Unknown
Lactobacillus	fermentum		RI-244	Unknown
Lactobacillus	fermentum		RI-245	Unknown
Lactobacillus	plantarum		RI-246	Unknown
Lactobacillus	plantarum		RI-247	Unknown
Lactobacillus	plantarum		RI-248	Unknown
Lactobacillus	plantarum		RI-249	Unknown
Lactobacillus	plantarum		RI-250	Unknown
Lactobacillus	plantarum		RI-251	Unknown
Lactobacillus	plantarum		RI-252	Unknown
Lactobacillus	plantarum		RI-253	Unknown
Lactobacillus	johnsonii		RI-254	Mouse
Lactobacillus	reuteri		RI-255	Mouse
Lactobacillus	murinus		RI-256	Mouse
Lactobacillus	delbrueckii		RI-257	Fermented plant
Lactobacillus	delbrueckii		RI-258	Fermented plant
Lactobacillus	sp.		RI-259	Unknown

Lactobacillus	sp.	RI-260	Unknown
Lactobacillus	sp.	RI-261	Unknown
Lactobacillus	curvatus	RI-262	Fermented plant
Lactobacillus	curvatus	RI-263	Fermented plant
Lactobacillus	plantarum	RI-264	Fermented plant
Lactobacillus	plantarum	RI-265	Fermented plant
Lactobacillus	, plantarum	RI-266	Fermented plant
Lactobacillus	lactis	RI-267	Fermented plant
Lactobacillus	fermentum	RI-268	Dairy
Lactobacillus	fermentum	RI-269	Dairy
Lactobacillus	plantarum	RI-270	Dairy
Lactobacillus	plantarum	RI-271	Dairy
Lactobacillus	fermentum	RI-272	Dairy
Lactobacillus	sn	RI-272	Unknown
Lactobacillus	sp. fermentum	RI-274	Dairy
Lactobacillus	sn	RI_275	Unknown
Lactobacillus	sp.	RI-275	Unknown
Lactobacillus	sp.	RI-270	Dainy
Lactobacillus	formentum	NI-277	Dainy
Luciobucillus	formentum	NI-270	Dality
Lactobacillus	formentum	RI-279	Dairy
	fermentum farma antima	RI-280	Dairy
Lactobacillus	fermentum	RI-281	Dairy
Lactobacillus	fermentum	RI-282	Dairy
Lactobacilius	fermentum	RI-283	Dairy
Lactobacillus	fermentum	RI-284	Dairy
Lactobacillus	fermentum	RI-285	Dairy
Lactobacillus	fermentum	RI-286	Dairy
Lactobacillus	sp.	RI-287	Unknown
Lactobacillus	fermentum	RI-288	Dairy
Lactobacillus	fermentum	RI-289	Dairy
Lactobacillus	fermentum	RI-290	Dairy
Lactobacillus	fermentum	RI-291	Dairy
Lactobacillus	fermentum	RI-292	Dairy
Lactobacillus	fermentum	RI-293	Dairy
Lactobacillus	fermentum	RI-294	Dairy
Lactobacillus	fermentum	RI-295	Dairy
Lactobacillus	fermentum	RI-296	Dairy
Lactobacillus	fermentum	RI-297	Dairy
Lactobacillus	fermentum	RI-298	Dairy
Lactobacillus	fermentum	RI-299	Dairy
Lactobacillus	fermentum	RI-300	Dairy
Lactobacillus	fermentum	RI-301	Dairy
Lactobacillus	fermentum	RI-302	Dairy
Lactobacillus	plantarum	RI-303	Dairy
Lactobacillus	plantarum	RI-304	Dairy
Lactobacillus	plantarum	RI-305	Dairy
Lactobacillus	plantarum	RI-306	Dairy
Lactobacillus	plantarum	RI-307	Dairy
Lactobacillus	plantarum	RI-308	Dairy
Lactobacillus	plantarum	RI-309	Dairy
Lactobacillus	pontis	RI-310	Dairy
Lactobacillus	sp.	RI-311	Unknown
Lactobacillus	sp.	RI-312	Unknown

Lactobacillus	sp.	RI-313	Dairy
Lactobacillus	sp.	RI-314	Dairy
Lactobacillus	sp.	RI-315	Dairy
Lactobacillus	sp.	RI-316	Dairy
Lactobacillus	sp.	RI-317	Unknown
Lactobacillus	sp.	RI-318	Dairy
Lactobacillus	sanfranciscensis	RI-319	Sourdough
Lactobacillus	reuteri	RI-320	Animal
Lactobacillus	pentosus	RI-321	Unknown
Lactobacillus	casei	RI-322	Dairy
Lactobacillus	buchneri	RI-323	Fermented meat
Lactobacillus	brevis	RI-324	Fermented meat
Lactobacillus	curvatus	RI-325	Fermented meat
Lactobacillus	paraplantarum	RI-326	Fermented meat
Lactobacillus	plantarum	RI-327	Fermented meat
Lactobacillus	, plantarum	RI-328	Fermented meat
Lactobacillus	sakei	RI-329	Fermented meat
Lactobacillus	sakei	RI-330	Fermented meat
Lactobacillus	sakei	RI-331	Fermented meat
Lactobacillus	sp.	RI-332	Unknown
Lactobacillus	sp.	RI-333	Unknown
Lactobacillus	sp.	RI-334	Unknown
Lactobacillus	sp.	RI-335	Unknown
Lactobacillus	sp.	RI-336	Unknown
Lactobacillus	ultunensis	RI-337	Human intestine
Lactobacillus	harbinensis	RI-338	Fermented plant
Lactobacillus	farciminis	RI-339	Fermented meat
Lactobacillus	mali	RI-340	Apple Juice
Lactobacillus	sp.	RI-341	Unknown
Lactobacillus	fabifermentans	RI-342	Сасао
Lactobacillus	ceti	RI-343	Lungs of a whale
Lactobacillus	hominis	RI-344	Human intestine
Lactobacillus	acidipiscis	RI-345	Dairy
Lactobacillus	otakiensis	RI-346	Fermented plant
Lactobacillus	gasseri	RI-347	Unknown
Lactobacillus	animalis	RI-348	Dental plaque of baboon
Lactobacillus	sp.	RI-349	Unknown
Lactobacillus	sp.	RI-350	Unknown
Lactobacillus	sp.	RI-351	Unknown
Lactobacillus	sp.	RI-352	Unknown
Lactobacillus	curvatus	RI-353	Fermented meat
Lactobacillus	curvatus	RI-354	Fermented meat
Lactobacillus	sp.	RI-355	Unknown
Lactobacillus	curvatus	RI-357	Fermented meat
Lactobacillus	curvatus	RI-358	Fermented meat
Lactobacillus	curvatus	RI-359	Fermented meat
Lactobacillus	sp.	RI-360	Fermented meat
Lactobacillus	curvatus	RI-361	Fermented meat
Lactobacillus	curvatus	RI-362	Fermented meat
Lactobacillus	curvatus	RI-363	Fermented meat
Lactobacillus	curvatus	RI-364	Fermented meat
Lactobacillus	plantarum	RI-365	Fermented meat
Lactobacillus	paraplantarum	RI-366	Fermented meat

Lactobacillus	paraplantarum	RI-367	Fermented meat
Lactobacillus	paraplantarum	RI-368	Fermented meat
Lactobacillus	paraplantarum	RI-369	Fermented meat
Lactobacillus	sp.	RI-370	Unknown
Lactobacillus	paraplantarum	RI-371	Fermented meat
Lactobacillus	sp.	RI-372	Unknown
Lactobacillus	sp.	RI-373	Unknown
Lactobacillus	plantarum	RI-374	Fermented meat
Lactobacillus	sp.	RI-375	Unknown
Lactobacillus	plantarum	RI-376	Fermented meat
Lactobacillus	sp.	RI-377	Unknown
Lactobacillus	sakei	RI-378	Fermented meat
Lactobacillus	sp.	RI-379	Unknown
Lactobacillus	sakei	RI-380	Fermented meat
Lactobacillus	sakei	RI-381	Fermented meat
Lactobacillus	sp.	RI-382	Unknown
Lactobacillus	sp.	RI-383	Unknown
Lactobacillus	sakei	RI-384	Fermented meat
Lactobacillus	sakei	RI-385	Fermented meat
Lactobacillus	sakei	RI-386	Fermented meat
Lactobacillus	sakei	RI-387	Fermented meat
Lactobacillus	sakei	RI-388	Fermented meat
Lactobacillus	sakei	RI-389	Fermented meat
Lactobacillus	sakei	RI-390	Fermented meat
Lactobacillus	sakei	RI-391	Fermented meat
Lactobacillus	sakei	RI-392	Fermented meat
Lactobacillus	sakei	RI-393	Fermented meat
Lactobacillus	sakei-curvatus	RI-394	Fermented meat
Lactobacillus	sakei-curvatus	RI-395	Fermented meat
Lactobacillus	sakei-curvatus	RI-396	Fermented meat
Lactobacillus	sakei-curvatus	RI-397	Fermented meat
Lactobacillus	sakei-curvatus	RI-398	Fermented meat
Lactobacillus	sakei-curvatus	RI-399	Fermented meat
Lactobacillus	sakei-curvatus	RI-400	Fermented meat
Lactobacillus	sakei-curvatus	RI-401	Fermented meat
Lactobacillus	sakei-curvatus	RI-402	Fermented meat
Lactobacillus	sakei-curvatus	RI-403	Fermented meat
Lactobacillus	sakei-curvatus	RI-404	Fermented meat
Lactobacillus	sakei-curvatus	RI-405	Fermented meat
Lactobacillus	sakei-curvatus	RI-406	Fermented meat
Lactobacillus	sakei-curvatus	RI-407	Fermented meat
Lactobacillus	sakei-curvatus	RI-408	Fermented meat
Lactobacillus	sakei	RI-409	Fermented meat
Lactobacillus	sakei-curvatus	RI-410	Fermented meat
Lactobacillus	sakei-curvatus	RI-411	Fermented meat
Lactobacillus	sakei-curvatus	RI-412	Fermented meat
Lactobacillus	sakei-curvatus	RI-413	Fermented meat
Lactobacillus	sakei-curvatus	RI-414	Fermented meat
Lactobacillus	sakei-curvatus	RI-415	Fermented meat
Lactobacillus	sp.	RI-416	Unknown
Lactobacillus	sakei-curvatus	RI-417	Fermented meat
Lactobacillus	sakei-curvatus	RI-418	Fermented meat
Lactobacillus	sakei-curvatus	RI-419	Fermented meat

Lactobacillus	sakei-curvatus	RI-420	Fermented meat
Lactobacillus	sakei-curvatus	RI-421	Fermented meat
Lactobacillus	sakei-curvatus	RI-422	Fermented meat
Lactobacillus	sakei-curvatus	RI-423	Fermented meat
Lactobacillus	sakei-curvatus	RI-424	Fermented meat
Lactobacillus	sakei-curvatus	RI-425	Fermented meat
Lactobacillus	sakei-curvatus	RI-426	Fermented meat
Lactobacillus	sakei-curvatus	RI-427	Fermented meat
Lactobacillus	sakei-curvatus	RI-428	Fermented meat
Lactobacillus	sakei-curvatus	RI-429	Fermented meat
Lactobacillus	sp.	RI-430	Fermented meat
Lactobacillus	fermentum	RI-431	Unknown
Lactobacillus	fermentum	RI-432	Unknown
Lactobacillus	fermentum	RI_432	Unknown
Lactobacillus	helveticus	RI-435	Unknown
Lactobacillus	helyoticus	DI 425	Unknown
Lactobacillus	helveticus	RI-435	Unknown
Lactobacillus	neiveticus	RI-430 DI 427	Unknown
Lactobacillus	sp.	RI-437	Unknown
Lactobacillus	sp.	RI-438	Unknown
Lactobacilius	sp.	RI-439	Unknown
Lactobacilius	neiveticus	RI-440	Unknown
Lactobacillus	helveticus	RI-441	Unknown
Lactobacillus	sp.	RI-442	Unknown
Lactobacillus	helveticus	RI-443	Unknown
Lactobacillus	sp.	RI-444	Unknown
Lactobacillus	sp.	RI-445	Unknown
Lactobacillus	sp.	RI-446	Unknown
Lactobacillus	sp.	RI-447	Unknown
Lactobacillus	sp.	RI-448	Unknown
Lactobacillus	sp.	RI-449	Unknown
Lactobacillus	sp.	RI-450	Unknown
Lactobacillus	sp.	RI-451	Unknown
Lactobacillus	sp.	RI-452	Unknown
Lactobacillus	sp.	RI-453	Unknown
Lactobacillus	sp.	RI-454	Unknown
Lactobacillus	sp.	RI-455	Unknown
Lactobacillus	sp.	RI-456	Unknown
Lactobacillus	sp.	RI-457	Unknown
Lactobacillus	sp.	RI-458	Unknown
Lactobacillus	sp.	RI-459	Unknown
Lactobacillus	plantarum	RI-460	Unknown
Lactobacillus	plantarum	RI-461	Unknown
Lactobacillus	sp.	RI-462	Unknown
Lactobacillus	sp.	RI-463	Unknown
Lactobacillus	sp.	RI-464	Unknown
Lactobacillus	sp.	RI-465	Unknown
Lactobacillus	sp.	RI-466	Unknown
Lactobacillus	sp.	RI-467	Unknown
Lactobacillus	sp.	RI-468	Unknown
Lactobacillus	sp.	RI-469	Unknown
Lactobacillus	sp.	RI-470	Unknown
Lactobacillus	sp.	RI-471	Unknown
Lactobacillus	sp.	RI-472	Unknown

Lactobacillus	sp.	RI-473	Unknown
Lactobacillus	sp.	RI-474	Unknown
Lactobacillus	sp.	RI-475	Unknown
Lactobacillus	sp.	RI-476	Unknown
Lactobacillus	sp.	RI-477	Unknown
Lactobacillus	sp.	RI-478	Unknown
Lactobacillus	sp.	RI-479	Unknown
Lactobacillus	brevis	RI-480	Fermented plant
Lactobacillus	buchneri	RI-481	Fermented plant
Lactobacillus	buchneri	RI-482	Fermented plant
Lactobacillus	buchneri	RI-483	Fermented plant
Lactobacillus	casei	RI-484	Fermented plant
Lactobacillus	casei	RI-485	Fermented plant
Lactobacillus	casei	RI-486	Fermented plant
Lactobacillus	crustorum	RI-487	Fermented plant
Lactobacillus	fermentum	RI-488	Fermented plant
Lactobacillus	plantarum	RI-489	Fermented plant
Lactobacillus	sakei	RI-490	Fermented meat
Lactobacillus	plantarum	RI-491	Fermented meat
Lactobacillus	plantarum	RI-492	Fermented meat
Lactobacillus	sakei	RI-493	Fermented meat
Lactobacillus	sakei	RI-494	Fermented meat
Lactobacillus	sakei	RI-495	Fermented meat
Lactobacillus	sakei	RI-496	Fermented meat
Lactobacillus	plantarum	RI-497	Fermented meat
Lactobacillus	plantarum	RI-498	Fermented meat
Lactobacillus	sakei	RI-499	Fermented meat
Lactobacillus	sakei	RI-500	Fermented meat
Lactobacillus	curvatus	RI-502	Fermented meat
Lactobacillus	curvatus	RI-503	Fermented meat
Lactobacillus	curvatus	RI-504	Fermented meat
Lactobacillus	plantarum	RI-505	Fermented cacao bean
Lactobacillus	, plantarum	RI-506	Fermented cacao bean
Lactobacillus	plantarum	RI-507	Fermented cacao bean
Lactobacillus	, plantarum	RI-508	Fermented cacao bean
Lactobacillus	plantarum	RI-509	Fermented cacao bean
Lactobacillus	plantarum	RI-510	Fermented cacao bean
Lactobacillus	plantarum	RI-511	Fermented cacao bean
Lactobacillus	plantarum	RI-512	Fermented cacao bean
Lactobacillus	plantarum	RI-513	Fermented cacao bean
Lactobacillus	plantarum	RI-514	Fermented cacao bean
Lactobacillus	plantarum	RI-515	Unknown
Lactobacillus	plantarum	RI-516	Fermented meat
Lactobacillus	plantarum	RI-517	Fermented meat
Lactobacillus	plantarum	NZ7306 ∆rpoN	Unknown
Lactobacillus	plantarum	WCFS1	Human saliva

Genus	Species	Strain	Organism
Enterococcus	faecalis	DSM 20478 ^T	bacterium
Enterococcus	faecium	SL1.1	bacterium
Listeria	innocua	HPB13	bacterium
Listeria	ivanovii	DSM 12491T	bacterium
Listeria	monocytogenes	ATCC 19114	bacterium
Rhodotorula	mucilaginosa	LME	yeast
Candida	parapsilosis	4/5-1	yeast

Table S5.2 Indicator strains for antibacterial screening

Table S5.3 Cell counts in competition co-culture experiment

Initial concentration in cfu/ml		Concentration in cfu	ı/ml after co-culture
L. plantarum RI-162	<i>R. mucilaginosa</i> LME	L. plantarum RI-162	<i>R. mucilaginosa</i> LME
$(1.4\pm0.1) \times 10^7$	(8.6±0.6) x 10 ⁵	(6.4±1.7) x 10 ⁸	<1.8 x 10 ²
(1.4±0.1) x 10 ⁶	(8.6±0.6) x 10 ⁵	(6.7±1.7) x 10 ⁸	ND
$(1.4\pm0.1) \times 10^{5}$	(8.6±0.6) x 10 ⁵	(1.9±0.2) x 10 ⁹	ND
$(1.4\pm0.1) \times 10^4$	(8.6±0.6) x 10 ⁵	(3.1±0.1) x 10 ⁹	ND
$(1.4\pm0.1) \times 10^3$	(8.6±0.6) x 10 ⁵	(3.0±0.5) x 10 ⁹	$<4.7 \times 10^{2}$
$(1.4\pm0.1) \times 10^2$	(8.6±0.6) x 10 ⁵	(2.9±0.5) x 10 ⁹	$<3.1 \times 10^{2}$
14	(8.6±0.6) x 10 ⁵	(2.3±0.7) x 10 ⁹	$<3.4 \times 10^{2}$
1.4	(8.6±0.6) x 10 ⁵	(2.3±0.4) x 10 ⁹	(4.6±3.6) x 10 ²
<1	(8.6±0.6) x 10 ⁵	ND	(3.6±0.3) x 10 ⁶

Data from Figure 5.3, AF4 assay has a detection limit of 50 cfu/ml. ND = not detected, ± SD from biological triplicates.

Chapter 6

Clustering of Pan- and Core-Genome of *Lactobacillus* Provides Novel Evolutionary Insights

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Abstract

Background: Bacterial taxonomy aims to classify bacteria based on true evolutionary events. It relies on a polyphasic approach including phenotypic, genotypic and chemotaxonomic parameters. Most studies focus on average nucleotide identity or index based distance of shared genes. The complete genome is ignored in such analyses although evolution occurs on the whole organism.

Results: we clustered 98 complete sequenced genomes of the genus *Lactobacillus* and 234 genomes of 5 different *Lactobacillus* species. i.e. *L. reuteri*, *L. delbrueckii*, *L. plantarum*, *L. rhsmnosus* and *L. helveticus*. The core-genome of the genus *Lactobacillus* contains 266 genes in a pan-genome of 20'800 genes. Clustering of the *Lactobacillus* pan- and core-genome resulted in highly similar trees. This shows that evolutionary history is traceable in the core-genome and that clustering of the core-genome is sufficient to explore relationships. Clustering of core a pan-genome at species' level resulted in similar trees as well. Detailed analyses of the core-genome showed that the functional class "genetic information processing" is conserved in the core-genome but that "signaling and cellular processes" is not. The latter class encoded functions that direct with the environment. The type species *L. delbrueckii* was analyzed in detail and its pan-genome tree contained two major clades, which contain different genes yet identical function. This shows that convergent evolution appears in lactobacilli. In addition, evidence for horizontal gene transfer between strains of *L. delbrueckii, L. plantarum*, and *L. rhamnosus*, and between species in the genus *Lactobacillus* is presented. Our data provide evidence for evolution of lactobacilli according to the parapatric model for species differentiation.

Conclusions: Core-genome trees are useful to detect evolutionary relationships in lactobacilli. *Lactobacillus* evolution is directed by the environment, convergent evolution and HGT according to the parapatric model.

Background

Sequencing of complete genomes developed within 10 years from research that required a consortium to an effort that a single researcher can manage (Ekblom and Wolf, 2014). Bioinformatics tools and advances in next generation sequencing (NGS) technology developed rapidly, resulting in decreasing costs and increasing speed of complete genomes sequencing. These developments have led to an enormous amount of data of various quality and that is not completely analyzed yet (Goodwin et al., 2016). However, research on diseases or phenotypic variations that targets specific genes needs high quality genome sequences (Ott et al., 2015). Whole genome sequencing (WGS) generates completely assembled chromosome and plasmid sequences. Such genomes are pivotal for complex applications such as like sub-typing *Salmonella enterica* for monitoring outbreaks or calculating a bacterial pan-genome (Leekitcharoenphon et al., 2014; Tettelin et al., 2008). Until now, WGS is only poorly applied in bacterial classification and phylogenetic studies (Vandamme and Peeters, 2014). Evolutionary pressure works; however, on the complete organism and complete genomes are therefore most preferable for phylogenetic studies.

A polyphasic approach is used for bacterial classification and to analyze evolutionary relationships (Colwell, 1970; Murray et al., 1990). Polyphasic approaches are not standardized and include phenotypic, genotypic and chemotaxonomic parameters to determine whether a bacterial isolate belongs to an existing species or if a new species has to be defined (Vandamme et al., 1996; Vandamme and Peeters, 2014). Nowadays, assigning a bacterial strain to a species is based amongst others on two genotypic parameters: sequence similarity of more than 98.7% in the 16S rRNA gene and a DNA-DNA hybridization (DDH) degree of more than 70% (Stackebrandt and Ebers, 2006; Wayne et al., 1987). EcoSNPs are SNPs that are specific for a dimorphic nucleotide position in a clade. EcoSNPs are frequently used to determine relation and build phylogenetic trees such as in MLST approaches and 16S rRNA trees (Shapiro et al., 2012). To compare complete genome sequences to 70% DNA-DNA hybridization levels parameters are defined for conserved DNA regions and maximal unique matches (Deloger et al., 2009; Goris et al., 2007; Richter and Rosselló-Móra, 2009). Additionally, an average nucleotide identity (ANI) value of 94% corresponds to 70% DNA-DNA hybridization and is thus also usable parameter to define species.

The core-genome is the set of homologous genes that are present in all genomes of an analyzed dataset and the pan-genome is the set of all genes that are present in the analyzed dataset (Tettelin et al., 2005). In addition, the softcore-genome is the set of genes, present in \geq 95% of the genomes (Kaas et al., 2012). The softcore-genome is useful, because it circumvents the absolute impact of poor quality genomes on the core-genome. An open pan-genome is increasing with every new genome included whereas a closed pan-genome remains on a constant gene number after a certain

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number of genomes were included (Lefébure et al., 2010). The status of the core- and pan-genomes depends on number of analyzed genomes, on the ability of the species to integrate exogenous DNA and on the species' lifestyle and environment (Bosi et al., 2016; Georgiades and Raoult, 2011; Medini et al., 2005).

Classification of bacterial taxonomy using the core- and pan-genome might be a powerful extension of the polyphasic approach. In addition, pan-genome clustering of 29 *Geobacillus* genomes revealed horizontal gene transfer as a factor in evolution of *Geobacillus* and such transfer should be implemented in its taxonomy (Bezuidt et al., 2016). Horizontal gene transfer was also detected in a recently diverged *Vibrio* population, where ecological differentiation based on single nucleotide polymorphisms occurred (Shapiro et al., 2012).

The heterogeneous genus Lactobacillus (L.) contains 173 species not including 17 subspecies (Goldstein et al., 2015). Lactobacilli have been isolated from a whole range of fermented food products such as yoghurt, cheese, vegetables, wine and beer, sausages and sourdough. Further, lactobacilli are also found in the human and animal tracts (Claesson et al., 2007). The Qualified Presumption of Safety (QPS) status from the European Food Safety Agency (EFSA) facilitates commercial use and acceptance of most Lactobacillus species and makes them ideal candidates for the use as protective and starter cultures (EFSA - NDA Panel, 2015). Aside from their preserving qualities, some Lactobacillus species are also exploited for their health promoting potential as probiotics and vaccine carrier (Goh and Klaenhammer, 2009; Saito, 2004). In December 2016, a total of 121 completely sequenced and assembled genomes were available in public databases with sizes ranging from 1.37 Mpb for L. sanfranciscensis TMW 1.1 to 3.74 Mbp for L. paracellinoides TMW 1.1995 (NCBI Resource Coordinators, 2016). Lactobacillus and related genera were initially clustered into three subgroups based on 16S RNA gene comparison: the Lactobacillus delbrueckii group, the Lactobacillus casei-Pediococcus group and the Leuconostoc group (Collins et al., 1991; Felis and Dellaglio, 2007). A recent 16S rRNA gene based clustering of the Lactobacillus type strains species resulted in a phylogenetic tree with 15 major groups (Salvetti et al., 2012). There is; however, only moderate correlation between 16S rRNA gene sequence clustering and grouping based on fermentation type and metabolic properties.

The goal of this study was to analyze the phylogeny of the *Lactobacillus* genus and a dedicated set of species via core-, softcore- and pan-genome clustering. Such complete genome based clustering provides a detailed overview of gene contents of the core- and pan-genome and will provide insights on relationship of species and their gene exchange.

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Material and Methods

Genome sequences

A total of 98 complete sequenced *Lactobacillus* genomes and 202 draft genomes belonging to the species *Lactobacillus plantarum*, *Lactobacillus helveticus*, *Lactobacillus delbrueckii*, *Lactobacillus reuteri* and *Lactobacillus rhamnosus* were obtained from public databases (Table S6.1). To prevent too high impact of poorly assembled genomes for the *Lactobacillus* species calculation, draft genomes were only used if they fell within a range of $\pm 2\sigma$ around the average gene and protein number of the species.

Calculation of core- and pan-genome

Orthologous cluster were created using the Perl script collection GET_HOMOLOGOUS (Contreras-Moreira and Vinuesa, 2013) applying the following for identification and clustering CDS into orthologous groups: -E < 1e-05 for blastp searches and -C 75% minimum alignment coverage. The core-genome was determined using the Ortho Markov Cluster algorithm (OMCL) (Li et al., 2003) and the pan-genome using the OMCL algorithm with -t 0; reporting all clusters in the pan-genome. A pan-genome matrix was created using the script compare_clusters with the settings: -d including only OMCL data, -m produce intersection in pan-genome matrix.

The core-genome was defined by genes present in all genomes, the softcore by genes present in 95 - 100% of the genomes, the shell by genes present in more than 2 genomes but less than 95% of the genomes and the cloud genes present in 2 or less of the genomes and calculated with the parse_pangenome_matrix script: -*s* report clusters.

The development-calculation of core- and pan-genome starts with comparing two genomes and including single genomes step-by-step until all genomes are integrated. The order of the included genome was randomized n-times (n=number of included genomes) and calculated with a home-made script in MATLAB R2014b based on the pangenome_matrix_t0.

Clustering and analyses of core- and pan-genome

Protein-based clustering was performed with GET_HOMOLOGOUS (Contreras-Moreira and Vinuesa, 2013) using the OMCL algorithm as follows: $-t \ 0$, $-t \ all \ or -t \ n \ (n=0.95 \ x \ number \ of included genomes)$ for clustering the pan-, core- and softcore-genome, -M; with the OMCL algorithm and -A; to create an average identity matrix. The created average identity matrix of clustered sequences was visualized using the script hcluster_matrix with the option -d gower; for selecting the gower distance calculation for clustering (Gower, 1966). Core- and pan-genome (Table S6.1) were analyzed with the

metagenome analysis tool GhostKOALA against "genus_prokaryotes + family_eukaryotes" database using the Brite, Pathway and Module reconstruction algorithm (Kanehisa et al., 2016). Brite reconstruction uses KEGG Brite hierarchies with combined sets of K numbers. Pathway reconstruction aligns gene to the KEGG pathway map and Module reconstruction uses sets of K numbers to evaluate if a block (pathway or structural complex) is complete. Increase of entries from reconstruction results of core- and pan-genomes were calculated and analyzed with Fisher's exact test with a p-value of 0.01 in MATLAB R2014b.

Identification of clade specific genes.

Identification of clade specific genes in a set of bacterial isolate was performed using the parse_pangenome_matrix script of GET_HOMOLOGOUS (Contreras-Moreira and Vinuesa, 2013) with option; -A a list of genomes in one clade; option -B a list genomes of another clade to compare against; -g finding genes present in genomes of clade A and absent in genomes of clade B; -e find gene family expansions in A with respect to B. To determine if a gene encodes a unique function in a clade that is not compensated by isoenzymes in the other clade, the core-genome of the clade was compared with the pan-genome of all other clades using GhostKOALA (Kanehisa et al., 2016). The presence of isoenzymes was analyzed for each gene manually.

Identification of representative core genes for classification of the type species *Lactobacillus delbrueckii*

To identify which gene or set of genes represents most closely the pan-genome phylogenetic tree of *L. delbrueckii*, the tree of each core gene was compared to the tree of the pan genome of *L. delbrueckii* using TOPD/FMTS (Puigbò et al., 2007) and CLC Workbench 8 (CLC Genomic, Aarhus, Denmark). Each homologous gene set from the core genome was imported as multi-entry FASTA into CLC Genomic Workbench 8. The genes were as aligned using the "Create alignment" tool using standard parameters. Trees were created with the toolbox "Create Tree" using "Neighbor Joining" as tree construction method and "Junkes-Cantor" as nucleotide distance measure with a Bootstrap value of 100. Trees were exported as nexus files and compared to the pan-genome tree using TOPD/FMTS using the following parameters: *-m* nodal method of calculation; *-n* 10 number of random sequences; *-c* reference comparing all versus pan-genome tree. Identical trees have a nodal distance = 0. The higher the nodal distance is the less identical are the trees. The 5% and 95% percentile was calculated for all core gene nodal distances and the genes outside this range analyzed manually.

Identification of ecoSNPs in Lactobacillus delbrueckii

To analyze ecoSNP distribution, core gene alignments were exported as ClustalW files and import into MATLAB R2014b and consensus sequence was calculated. Each gene was compared with the consensus sequence and SNPs were determined and analyzed for its specificity to a clade in the pangenome of *L. delbrueckii*.

Potential horizontal gene transfer within clades

For identification of potential horizontal gene transfer (HGT) events, genes with a 30 - 70% presence in all clades were selected. An absence-presence matrix for all genes and strains was constructed for each clade in MS Excel and genes within the 30-70% criterion selected.

Results

Calculation of core- and pan-genome of complete *Lactobacillus* genomes

To obtain a general view of *Lactobacillus* genome contents, the core- and pan-genome for 98 completely assembled *Lactobacillus* genomes were calculated. The pan-genome for *Lactobacillus* genus still increases with approximately 50 genes after addition of a 98th genome and thus can be considered as open (Figure 6.1A). The core- genome rapidly decreases with the first set of genomes, but stabilizes after the 70th is added, showing it's closed (Figure 6.1B). The core-genome contained 266 genes and the pan-genome 20'800 genes (Table 6.1). A core-genome based clustering revealed 4 major, mostly multiple-species clades: (A), a *reuteri-fermentum-salivarius* clade, (B), a *plantarum-paraplantarum* clade, (C) a *casei-paracasei-rhamnosus* clade and (D) a *helveticus-delbrueckii-johnsonii* clade (Figure 6.2). The softcore- and pan-genome were also clustered and the 4 clades appeared again as separate clusters and contained the same isolates (Figure 6.3). The highly similar pan and core genome clusters shows that evolutionary relationship appears already in the core genome. In general, species clustered together. However, some strains from the species *L. casei / L. paracasei* and *L. helveticus / L. gallinarum* did not.

 Table 6.1 Size of core- and pan-genome of the genus Lactobacillus and the 5 species.

		Number of	Genome size	Genes					
Genus	Species	genomes	mean ± SD (Mb)	mean ± SD (Mb)	core	softcore	shell	cloud	pan
Lactobacillus	spp	98	2.47±0.55	2274±528	266	594	7249	12957	20800
Lactobacillus	helveticus	19	2.02±0.13	2050±164	908	1062	1133	1155	3350
Lactobacillus	reuteri	25	2.10±0.12	2050±117	897	1306	1364	1290	3960
Lactobacillus	rhamnosus	51	2.97±0.08	2788±71	811	1920	1736	1233	4889
Lactobacillus	plantarum	122	3.27±0.13	3075±140	1037	2144	2826	2640	7610
Lactobacillus	delbrueckii	29	1.88±0.13	1873±93	756	1042	1336	1082	3460

Detailed analysis of strains with deviating cluster behavior

The *L. casei* type strain ATCC 393 did not clusters with other *L. casei* strains, but with the 6 *L. rhamnosus* strains (Figure 6.2 and Figure 6.3). The genome of strain ATCC 393 contains 213 KEGG orthology (KO) assignments that are not present in any other of the 21 a *L. casei*, *L. paracasei* and *L. rhamnosus* genomes. 11 of these 213 KOs are related to carbohydrate metabolism, 7 to environmental information processing and all other to hypothetical functions (Table 6.2). From 27 annotated KOs, 22 describe functions that are present in other *L. casei*, *L. paracasei* and *L. rhamnosus*

isolates but are encoded by isogenes. *L. casei* ATCC 393 contains 5 KOs with a unique function (Table 3). Beside 3 KOs located in metabolic pathways this strains also contains a catalase function (EC 1.11.1.6).

L. zeae was not included in the pan/core-genome analyses because a closed genome is not available for the species. If the incomplete genome of *L. zeae* DSM 20178 is included, its clusters together with *L. casei* ATCC 393 and next to the *rhamnosus* clade (Figure S6.1).

L. gallinarum HFD4 clusters in the core-genome cluster with the 8 *L. helveticus* strains in the *helveticus* clade (Figure 6.2 and Figure S6.2). In the pan-genome; however, it clusters outside of the *helveticus* clade (Figure 6.3). Analyses of the 16S rRNA sequence search of HDF4 revealed over 99% identity with the 16S rRNA sequence of various *L. helveticus* strains. *L. gallinarum* HFD4 contains 181



Figure 6.1 Pan- and core-genome evolution of *Lactobacillus*. **A** For every included genome the size of the pangenome increases. **B** Evolution of core-genome of 98 complete *Lactobacillus* genomes. After 70 genomes, the size of the core-genome is only decreasing by a few genes per included genome. Order of calculation was randomized for 98 sets, each represented with a single point.



Figure 6.2 Core-genome clustering of genus *Lactobacillus*. Heatmap clustering according to 266 core genes from 98 *Lactobacillus* genomes. Gower distance score based on ANI: Red = more similar, white = less similar, Outlier marked with red arrows. (A) reuteri-fermentum-salivarius clade, (B) plantarum clade, (C) casei-paracasei-rhamnosus clade and (D) helveticus-delbrueckii-johnsonii clade.



Figure 6.3 Pan- genome clustering of genus *Lactobacillus*. Heatmap clustering according to 20'800 pan-genome genes from 98 *Lactobacillus* genomes. Gower distance score based on ANI: Red = more similar, white = less similar, Outlier marked with red arrows. (A) reuteri-fermentum-salivarius clade, (B) plantarum clade, (C) casei-paracasei-rhamnosus clade and (D) helveticus-delbrueckii-johnsonii clade.

KEGG orthology	<i>L. casei</i> ATCC393	<i>L. gallinarum</i> HDF4	bulgaricus clade	diverse clade	
Carbohydrate metabolism	11	5	7	1	
Energy metabolism	0	0	2	1	
Lipid metabolism	0	2	3	0	
Nucleotide metabolism	1	0	0	1	
Amino acid metabolism	1	3	3	3	
Metabolism of other amino acid	1	0	0	0	
Glycan biosynthesis and metabolism	2	0	0	0	
Metabolism of cofactors and vitamins	0	3	0	1	
Metabolism of terpenoids and polyketides	0	1	0	0	
Biosynthesis of other secondary metabolites	0	1	0	0	
Xenobiotics biodegradation and metabolism	0	0	3	0	
Enzyme families	2	1	0	0	
Genetic Information Processing	2	11	1	1	
Environmental Information Processing	7	9	6	0	
Cellular Processes	5	6	3	0	
Organismal Systems	1	0	0	0	
Human Diseases	1	2	2	0	
Unclassified	5	9	0	1	
Annotated KEGG onthologys	27	38	12	5	
Hypothetical function	186	143	30	5	
Query dataset	213	181	42	10	

Table 6.2 Unique genes per isolate analyzed with GhostKOALA functional categories.

KOs that are not present in the 8 *L. helveticus* strains. Beside 135 hypotheticals, 10 KOs are associated with genetic information processing and 9 KOs with environmental information processing. Isolate HFD4 possesses an L-aspartate oxidase, an enzyme that converts L-aspartate to oxaloacetate and a DNA (cytoseine-5)-methyltransferase 1, which catalyses the conversion from L-aspartate-4-semialdehyde to L-homoserine. However, these two KOs do not allow the strain to produce additional amino acids compared to the 8 *L. helveticus* strains. Additionally, isolate HFD4 contains macrolide transport system ATP-binding/permease protein (Table 6.3).

Analysis of core- and pan-genome of the genus Lactobacillus

The metabolic capacity of the core- and pan-genome of the genus *Lactobacillus* was analyzed by using Brite protein family enrichment and pathway reconstruction in GhostKOALA. Reconstruction of protein families revealed a 6.1-fold increase from core- to pan-genome. A significant lower increase of 2.8-fold was observed in the class "genetic information processing" from core- to pan-genome and

a significant higher increase of 17.9 -fold in the "signalling and cellular processes" class (Table 6.4). The pathway reconstruction showed similar result with a 7.1-fold increase core- to pan-genome, a significant lower increase of 2.4-fold of genes in "genetic information processing" and a significant higher 24.9-fold increase for "Environmental information processing".

Table 6.3 Unique genes of strains from table 2 with no isoenzymes in the compared pan-genome which wouldcomply the same function. K-number according to KEGG database.

Present in isolate	K-number	EC-number	Function
	K01788	5.1.3.9	N-acylglucosamine-6-phosphate 2-epimerase
	K03781	1.11.1.6	Catalase
L. casei ATCC393	K00681	2.3.2.2	gamma-glutamyltranspeptidase
	K00681	3.4.19.13	glutathione hydrolase
	K20997		polysaccharide biosynthesis protein (psIA)
	K00278	1.4.3.16	L-aspartate oxidase (nadB)
L gallingrium HEDA	K00558	2.1.1.37	DNA (cytosine-5)-methyltransferase 1
L. guillinununn m D4	K03517	2.5.1.72	quinolinate synthase (nadA)
	K18231		Macrolide transporter symstem ATP-binding/permease protein (msrA)
	K00135	1.2.1.16	Succinate semialdehyde dehydrogenase
divorso clustor	K00926	2.7.2.2	carbamate kinase
uiverse cluster	K00611	2.1.3.3	ortnithine carbamoyltransferase
	K02970		small subunit ribosomal protein S21

Table 6.4 Reconstruction of core-, softcore- and pan-genome of Lactobacillus delbrueckii species with Brite andPathway algorithm of GhostKOALA.

Brite Reconstruction Result		n-fold increase			
	core	softcore	pan	core-pan	softcore-pan
Orthologs and modules	237	471	1650	7.0*	3.5*
Protein families: metabolism	180	320	1093	6.1	3.4
Protein families: genetic information processing	165	292	458	2.8*	1.6*
Protein families: signaling and cellular processes	27	73	484	17.9*	6.6*
Total	609	1156	3685	6.1	3.2

Pathway Reconstruction Result			n-fold increase			
	core	softcore	pan	core to pan	softcore-pan	
Metabolism	303	502	2298	7.6*	4.6*	
Genetic Information Processing	84	156	199	2.4*	1.3*	
Environmental Information Processing	10	28	249	24.9*	8.9*	
Cellular Processes	11	20	135	12.3	6.8	
Organismal Systems	8	9	83	10.4	9.2	
Human Diseases	18	33	109	6.1	3.3	
Total	434	748	3073	7.1	4.1	
** ** • • • • • • • • • • • • • • • • •						

* indicates p-value < 0.01</p>

Core- and pan-genome of the type species *Lactobacillus delbrueckii*

To gain insight in the core- and pan-genome of a *Lactobacillus* species, similar analyses were performed with the type species of the genus *Lactobacillus delbrueckii*. The *L. delbrueckii* core-genome contained 756 genes, the softcore-genome 1042 genes and the pan-genome 3460 genes, with an average genome size of 1873±93 genes (Table 6.1). After 26 included genomes the pan-genome of *L. delbrueckii* is gaining only 4-5 genes per genome and can be considered as closed (Figure 6.4).

If *L. delbrueckii* MN-BM-F01, formerly *L. acidophilus* MN-BM-F01 (Yang et al., 2016), was excluded from the analyses, the core-genome increased only by 4 genes. This supports strongly the new classification of *L. delbrueckii* MN-BM-F01.

The quality criterion for genomes was set that the gene number should be within a range of $\pm 2\sigma$ around the average gene and protein number. If genomes that do not match this criterion were included, e.g. the genomes of *L. delbrueckii* JCM1002, *L. delbrueckii* JCM1012 and *L. delbrueckii* CRL871, the core-genome dropped dramatically from 756 to 302 core genes, showing clearly the sensibility of the core genome for low quality sequenced genomes.

The 29 *L. delbrueckii* strains are separated in 2 clades in both softcore- and pan-genome (Figure 6.5). In the core-genome a small third clade containing the 3 strains PB2003_004-T3-4, ND02 and JCM17838 occurs. One clade in the pan-genome contains 13 strains that belong all to *Lactobacillus delbrueckii* subsp. *bulgaricus* and was therefore designated "*bulgaricus*" clade. The second clade, contains 16 isolates of the subspecies *Lactobacillus delbrueckii* subsp. *delbrueckii*, *-lactis*, *-indicus*, *- sunkii*, *-jakobsenii* and *-bulgaricus*, was designated "diverse" clade.

The average ANI over all *L. delbrueckii* genomes was 96.58±0.93%. The average ANI in the *bulgaricus* clade was 98.05±0.23% and in the diverse clade 96.23±0.93%.

Core-genomes of both clades were constructed and the core genes were categorized with GhostKOALA. The *bulgaricus* clade core-genome contains 42 KO that are not found in the diverse core-genome, of which 30 are hypothetical KOs. The 12 functionally annotated KOs are associated with carbon metabolism and environmental information processing (Table 6.2), including a complete sucrose-specific type II PTS system. There were, however, no functional differences between the two clades. This shows that evolutionary distinct genes with identical functions were acquired by the strains in the clades, a process known as convergent evolution occurred.

The diverse clade core-genome contains 10 KOs that are not present in the *bulgaricus* clade. Of these KOs, 5 encoded for hypothetical KOs, 3 for amino acid metabolism KOs and one small subunit

ribosomal protein S21 (Table 6.3). An α -glucoside transport system is uniquely present in the diverse cluster. This ABC transporter transports, amongst others, maltose.



Figure 6.4 Pan- and core-genome evolution of *Lactobacillus delbrueckii*. **A** Evolution of the pan-genome for *L. delbrueckii*. After 20 included genomes, the pan-genome is closed. **B** Evolution of the core-genome for *L. delbrueckii*. Order of calculation was randomized for 29 sets, each represented with a single point.

Core



Figure 6.5 Heatmap of core-, softcore- and pan-genome for *L. delbrueckii*. In the core-genome heatmap the *bulgaricus* clade is embedded within the diverse clade. In the softcore- and pan-genome heatmap is the *bulgaricus* and the diverse clade are separated. Gower distance score based on ANI: Red = more similar, white = less similar.

Analysis of core- and pan-genome of *Lactobacillus* delbrueckii

The metabolic capacity of the core- and pan-genome of *L. delbrueckii* was analyzed using protein family enrichment and pathway reconstruction in GhostKOALA. An increase of 1.8-fold from core- to pan-genome was measured with a significant lower increase of 1.4-fold in the class "genetic information processing" from core- to pan-genome and a significant 2.6 -fold increase in the "signaling and cellular processes" class (Table S6.2). In the pathway reconstruction, a significant lower increase of genes in "genetic information processing" and a higher increase for "Environmental information processing" was measured. These findings parallel the previous analysis the core- and pan-genome of the genus *Lactobacillus* (Table 6.4).

The reconstruction according to manually defined functional units, KEGG modules, revealed that short pathways are completely present in the core-genome whereas longer pathways frequently 1 or 2 enzymes (Table S6.3). However, many of such longer pathways such as the glycolysis, purine ribonucleotide biosynthesis, RNA polymerase, aminoacyl-tRNA biosynthesis and the ribosome protein complex are complete in the softcore. Taken together, fundamental processes in the cell are conserved in the softcore-genome and processes involved in interactions with the environment are only complete in the pan-genome.

Analyses of core-genes of L. delbrueckii

To determine which SNPs in the core genes are responsible for the occurrence of the clades in the core-genome matrix, the consensus sequence for all 756 genes in the *L. delbrueckii* core-genome was calculated and SNPs in all 29 strains analysed. In total, 53'583 SNP were detected in all core genes. However, no cluster specific SNPs were detected, showing that the formation of 2 clades in the clustering is not dependent on a small set of SNPs.

To analyse if all genes in the core genome had a similar phylogenetic tree as the strains, the tree of every gene in the core-genome was compared to the tree from the pan-genome (Figure 6.5). The 38 genes (5%) with trees most similar to the strain tree had an average nodal distance score of 2.10±0.13 and an average gene size of 1424 bp. The consensus sequences of the genes had an SNP density of 75.3 SNPs/kb. Of these 38 genes, 9 genes were interacting with DNA or RNA and there were no hypothetical genes (Table S6.4). The genes least similar to the strain tree had an average nodal distance score of 6.47±0.78, an average gene size of 407 bp. Of the 38 genes, 16 are either annotated as 30S or 50S ribosomal proteins. The consensus sequences of the 38 genes had an SNP density of 26.54 SNPs/kb, a density that is clearly lower than the average SNP density of 70.25 SNPs/kb. The 38 genes are thus highly homologous. This shows that highly conserved genes have a

different phylogenetic tree than moderate conserved genes and such genes are not useful for phylogenetic reconstruction at species level.

Potential HGT in Lactobacillus delbrueckii

To detect whether gene transfer appeared between the two clades in *L. delbrueckii*, we screened for potential HGT-genes within the two clades. In the *L. delbrueckii* pan-genome, a total of 57 genes were detected that were present in a subset of strains in both clades and are therefore potentially involved in HGT. 42 of those 57 genes were encode for hypothetical proteins or are associated with phages or transposons (Table S6.5). Phages and transposons are commonly associated with HGT and their occurrence shows that our simple algorithm can detect HGT related genes.

Core- and pan-genome of other Lactobacillus species

To determine if the type species *L. delbrueckii* is representative for other *Lactobacillus* species, we calculated the core- and pan-genome for four other species selected from each of the four clades observed in the core-genome clustering (Figure 6.1); *L. helveticus*, *L. rhamnosus*, *L. reuteri* and *L. plantarum*. *L. helveticus* has a core-genome of 908 and pan-genome of 3350 genes with an average genome size of 2050±164 genes (Table 6.1). A similar ratio of core-genome to average genome size was calculated for *L. reuteri* with 897 core genes and 3960 pan genes on an average genome size of 2050±117 genes (Table 6.1). A lower ratio of core-genome to average genome size was calculated for *L. rhamnosus* with 811 core genes and 4889 pan genes on an average genome size of 2788±71 genes (Table 6.1). The core- and pan-genome for those three species are all closed (Figure S6.3 – S6.5). The biggest core-genome was calculated for the species *L. plantarum* with 1037 core-genes which is around 34% of the average genes in a *L. plantarum* genome (Table 6.1). Neither the core- nor the pan-genome of *L. plantarum* were closed even after 122 genomes were included (Figure S6.6).

Clustering of core- and pan-genome of other *Lactobacillus species*

L. rhamnosus and *L. plantarum* clustered in two clades (Figure S6.7 – S6.8), *L. reuteri* and *L. helveticus* in a number of minor clades (Figure S6.9 – S6.10). The smaller *L plantarum* clade contained the type strain *L. plantarum* subsp. *argentoratensis* DSM 16365 and was designated the *argentoratensis* clade whereas the bigger clade contained the type strain *L. plantarum* subsp. *plantarum* ATCC 14917 and was designated the *plantarum* clade.

Potential HGT in other *Lactobacillus* species

The species *L. plantarum* and *L. rhamnosus* cluster in 2 clearly separated clades and were used for HGT analyses. *L. plantarum* and *L. rhamnosus* possess 95 and 38 potential HGT genes in their pangenome, respectively (Table 6.5). The majority of those genes encode hypothetical proteins. In *L. helveticus* only one gene was detected, a transposase, and none in *L. reuteri*.

Table 6.5 Gene	e annotation for	potential HGT	genes in Lactob	acillus species.
			0	

			Genes related to					
Organism	Clades	Mobile elements	phage	transposon	hypothetical	others		
L. delbrueckii	2	57	0	5	26	26		
L. helveticus	3	1	0	1	0	0		
L. plantarum	2	95	5	2	44	44		
L. reuteri	2	0	0	0	0	0		
L. rhamnosus	2	38	6	5	11	16		

HGT between clades on genus level

Since we detected in four out of five analysed species potential genes related to HGT, also the pangenome of *Lactobacillus* genus was analysed for HGT. From 20'800 pan genes for the genus *Lactobacillus* only 2 genes occur in all 4 clades with a probability of 30 – 70% (Table 6.6). Gene 1 is a type I restriction-modification system subunit M (ID= YP_004888889 in *L. plantarum* WCFS1) with a length of 539 aa. Gene 2 is a putative cell division protein (ADY84228 in *L. delbrueckii* 2038) with a length of 659 aa. Therefore, HGT occurs even between species.

Table 6.6 Gene annotation of potential HGT genes in the genus Lactobacillus. Mobile elements within cladesare marked in green.

	lade D	lade C	lade B	lade A		C and A	B and A	C and B	3 and A	
Unique identifiver	resence in c	resence in c	resence in c	resence in c	Mobile in all	Nobile in D ,	Mobile in D,	Nobile in D ,	Mobile in C, I	Function
725 type L restriction-mfaa	0.32	0.59	0.35	0.55	YES	YES	YES	YES	YES	type I restrmod. system subunit M
222713 hypothetical protein.faa	0.42	0.55	0.53	0.41	YES	YES	YES	YES	YES	putative cell divison protein
31 transposase.faa	0.35	0.55	0.06	0.48	NO	YES	NO	NO	NO	transposase
727_hypothetical_protein.faa	0.32	0.36	0.29	0.38	NO	YES	NO	NO	NO	hypothetical protein
2478_hydrophobic_protein.faa	0.39	0.59	0.06	0.31	NO	YES	NO	NO	NO	hypothetical protein
2798_transcriptional_regufaa	0.32	0.68	1.00	0.41	NO	YES	NO	NO	NO	hydrophobic protein
3031_DNA-binding_responsefaa	0.39	0.55	1.00	0.41	NO	YES	NO	NO	NO	DNA-binding response regulator
3032_two-component_sensorfaa	0.39	0.55	0.94	0.41	NO	YES	NO	NO	NO	two-component sensor histidine kinase
5428_MarR_family_transcrifaa	0.39	0.32	1.00	0.48	NO	YES	NO	NO	NO	MarR familify transcriction regulator
9953_Lj965_prophage_reprefaa	0.39	0.68	0.18	0.31	NO	YES	NO	NO	NO	phage related protein
222000_rpmG.faa	0.65	0.45	1.00	0.55	NO	YES	NO	NO	NO	50S ribosomal protein L33
222041_lmrA.faa	0.32	0.68	1.00	0.59	NO	YES	NO	NO	NO	ABC transporter permease
54_transcriptional_regufaa	0.58	0.27	0.35	0.34	NO	NO	YES	NO	NO	transcription regulator
728_restriction_endonuclfaa	0.39	0.77	0.59	0.55	NO	NO	YES	NO	NO	type I site-specific restrmod. system R
1590_glycosyl_transferase.faa	0.42	0.18	0.35	0.41	NO	NO	YES	NO	NO	glycosyl transferase
222568_hypothetical_protein.faa	0.48	0.14	0.47	0.31	NO	NO	YES	NO	NO	hypothetical protein
222729_hypothetical_protein.faa	0.35	0.05	0.53	0.41	NO	NO	YES	NO	NO	hypothetical protein
222774_hypothetical_protein.faa	0.65	0.00	0.53	0.52	NO	NO	YES	NO	NO	hypothetical protein
2432_Trp_operon_repressor.faa	0.58	0.68	0.47	0.79	NO	NO	NO	YES	NO	flavodoxin
2550_macrolide_transportefaa	0.35	0.68	0.65	0.17	NO	NO	NO	YES	NO	major facilitator superfamily permease
222722_glf.faa	0.52	0.32	0.59	0.79	NO	NO	NO	YES	NO	UDP galactopyranose mutase
18529_hypothetical_protein.faa	0.00	0.68	0.59	0.34	NO	NO	NO	NO	YES	hypothetical protein
20678_prophage_pi1_proteinfaa	0.06	0.55	0.65	0.38	NO	NO	NO	NO	YES	phage related protein

Discussion

We clustered 98 complete sequenced genomes of 32 species of the genus *Lactobacillus* and calculated core- and pan-genome. The core-genome contained 266 genes. A core-genome of 175 *Lactobacillus* isolates and 26 strains from 8 *Lactobacillus*- calculated with similar parameters presented a core-genome of only 73 genes (Sun et al., 2015). The lower amount of core genes in the latter study might be due to the higher number of genomes in the dataset, the integration of genomes from other genera, and including draft genomes in the analysis (Lefébure et al., 2010). Especially incomplete or poorly assembled genomes have a large impact on the core-genome, as shown for core-genome of *L. delbrueckii* in this work. Since the core-genome is very sensitive to heterogeneous datasets and low sequence quality, a prior quality selection is necessary (Mendes-Soares et al., 2014; Tettelin et al., 2005). The minimum standards for submitting a prokaryotic genome to Genbank are, amongst others, at least one copy of 5S, 16S and 23S rRNA-operon, a tRNA gene for each amino acid, and a ratio of genes to genome length close to 1 (NCBI Genome Annotation Coordinators, 2017). However, we showed that those standards not restrictive enough for core-genome analysis and an additional selection of 2-fold the standard deviation of genes number was therefore used.

Another study using closed genomes revealed that the core-genome of 67 Lactobacillus strains from 25 species contained 311 genes (Mendes-Soares et al., 2014). The core-genome of Lactobacillus in our study was however, not closed after 67 genomes and between 290 and 406 genes (Figure 6.1). The difference in the core-genomes is likely due to the lower number of genomes in the previous study. The pan-genome of the Lactobacillus genus based on 67 strains contained 11'047 genes, clearly less than the pan-genome calculated in this study: 16148 - 18318 genes for 67 genomes and 20'800 genes for 98 genomes. The larger pan-genome in our study is likely due to the more heterogenic dataset containing 32 species. Remarkably, the pan-genome of Lactobacillus is 4 times larger than the combined pan-genome of the narrow range genera *Staphylococcus* and *Macrococcus*. This exemplifies the wide habitat range and versatility of the Lactobacillus genus compared to Staphylococcus and Macrococcus (Mendes-Soares et al., 2014; Suzuki et al., 2012). Moreover, the pan-genome of Lactobacillus was not closed after 98 genomes (Figure 6.1). A closed pan-genome is rapidly reached in species that occur in a few habitats only or have a low capacity to acquire genes, such as Bacillus anthracis (Rouli et al., 2014), and in this study L. delbrueckii. Non-closed pangenomes are typical for heterogeneous datasets, like the Lactobacillus dataset in this study, for species with diverse habitats, like L. plantarum, and in species with high acquisition of genes, such as natural competent streptococci (Bosi et al., 2016; Tettelin et al., 2005). Further, HGT occurs in lactobacilli, which parallels observations in another genus frequently associated with the human gut: Bifidobacteria (Vazquez-Gutierrez et al., 2017).

We analyzed the Lactobacillus type species L. delbrueckii, and the species L. helveticus, L. reuteri, L. rhamnosus and L. plantarum in more detail. The relative core-genome to average genome size was similar for all 5 species. In general, species with more genomes included in pan-genome analyses and higher genomic diversity, such as L. plantarum, have a lower core-genome than species with less included genomes and a lower genomic diversity such as L. delbrueckii (Siezen et al., 2010; Song et al., 2016). A previous study calculated a core-genome of 2164 genes for 40 L. rhamnosus genomes (Ceapa et al., 2016) which is lower than the 811 genes in our core-genome, yet close to soft-core genome of 1920 genes from 51 isolates (Table 6.1). Other studies revealed a L. plantarum coregenome of 1957 genes from 54 genomes and for L. rhamnosus a core-genome of 2419 genes from 100 included genomes (Douillard et al., 2013; Martino et al., 2016), again much higher compared to the core-genomes in this study (Table 6.1). These core-genomes were however, based on conserved function and not on sequence identity. The homologous based comparison in this study is however preferable, because it is based on true evolutionary events and are not affected by convergent evolution. The impact of convergent evolution was illustrated by the two clades in the core-genome of L. delbrueckii. The clades are clearly different from evolutionary view, but possess identical functional capacity (Table 6.3).

Analysis of the core- and pan-genome content revealed that fundamental processes like processing of genetic information and key metabolic pathways were conserved in the core-genome of *L. delbrueckii*, whereas environmental genes were not. These results are similar with compositions found in *S. aureus* (Bosi et al., 2016) and *P. aeruginosa* (Ozer et al., 2014), and parallels previous finding in lactobacilli (Mendes-Soares et al., 2014).

In general, the clustering of core- and pan-genome resulted in highly similar trees. Since the core genome contains the same genes for all isolates, the clustering has to be based on information in these sequences. The strains of *Lactobacillus* clustered in species specific clusters (Figure 6.1), with the exception of two strains: *L. casei* ATCC 393 and *L. gallinarum* HFD4. Differences of type strain *L. casei* ATCC 393 with other strains of *L. casei* are well documented (Acedo-Félix and Pérez-Martínez, 2003; Chen et al., 2000; Collins et al., 1989; Dellaglio et al., 1991, 1975; Dicks et al., 1996; Felis et al., 2001; Ferrero et al., 1996; Mills and Lessel, 1973; Mori et al., 1997). The clustering in this study shows that ATCC 393 is most closely related to *L. zeae* DSM 20178 (Figure S6.1), which confirms previous studies (Dicks et al., 1996; Toh et al., 2013). However, a reclassification of type strain ATCC 393 as *L. zeae* was rejected by the Judicial Commission of the International Committee on Systematics of Bacteria (Tindall, 2008). Strain *L. gallinarum* HFD4 clustered different in core- and pan-genome clustering (Figure 6.2 and Figure 6.3). Genotypic differentiation for *L. gallinarum* and *L*.

helveticus based on 16S rRNA sequence is not evident (Jebava et al., 2014). Initially, *L. gallinarum* and *L. helveticus* were differentiated based on their sugar fermentation pattern; *L. gallinarum* ferments amygdalin, cellobiose, salicin and sucrose, *L. helveticus* not (Hammes and Hertel, 2009). However, none of the 181 KOs uniquely present in HDF4 encodes for any of these carbon sources and the phylogenetic differentiation between *L. helveticus* and *L. gallinarum* remains therefore unclear.

A separation in subspecies in the clustering of *L. delbrueckii* was already detected in a previous study based on MLST (Tanigawa and Watanabe, 2011). The separation is also visible in the ANI values within the clades, which were higher in the subspecies *bulgaricus* clade was than in the mixed subspecies clade. Nevertheless, those ANI values were still above the cutoff value of 94% for different species (Konstantinidis and Tiedje, 2005) and all the analyses strains belong therefore to the same species.

Separation of populations into groups and further to species has been explained with several models. The infinitely many genes (IMG) model relates evolution and separation to all non-core-genome genes (Baumdicker et al., 2012). Since the *bulgaricus* clade separation already appears in the core-genome, the IMG model does not fit the evolution of *L. delbrueckii*. The ecotype model relates a mutation, identifiable as an ecoSNP, within a population to evolve into two subpopulations (Cohan and Perry, 2007; Fraser et al., 2009). EcoSNPs were not found in the *L. delbrueckii* analyses However, ecoSNPs are only visible in recently diverged populations (Shapiro et al., 2012) whereas the division of *L. delbrueckii* into subspecies might not be recent. Convergent evolution was suggested in the genus *Lactobacillus* (Makarova and Koonin, 2007), and we showed it here for *L. delbrueckii*. In addition, gene exchange between the *L. delbrueckii* subpopulations occurred.

The enrichment of environmental function in the accessory genome suggests that a *L. delbrueckii* population occupies a novel niche and then adapts via gene gain. Other populations can undergo same adaptation but via different genes, i.e. convergent evolution. The distribution of homologous genes shows that horizontal gene transfer between the populations is still possible. *L. delbrueckii* evolved therefore into subspecies according to the parapatric model: a novel niche is occupied by a subpopulation. These subpopulations differentiate, but gene exchange is still possible. The detection of HGT in *L. plantarum*, and *L. rhamnosus*, suggests they evolve similarly. Remarkably, *L. plantarum*, and *L. rhamnosus* were both considered as nomadic in a recent study (Duar et al., 2017) and such lifestyle provides opportunities for parapatric specification. *L. reuteri* and *L. helveticus* were not considered as nomadic (Duar et al., 2017) and indeed no evidence for parapatric differentiation in these species was found in our analyses.

Conclusion

The sequenced based core- and pan-genome analyses of *Lactobacillus* and are useful to cluster and classify lactobacilli. The core- and pan-genome clustering yield similar trees. However, core-genomes clustering does not respect environmental adaptations, convergent evolution or horizontal gene transfer. Pan-genome clustering was therefore necessary to show that *L. delbrueckii* evolved into subspecies via a sympatric model.
Supporting information

AccessionGenusspeciessubspeciesstrainqualityAPO14808LactobacillusacidophilusFSI4completeCP010432LactobacillusacidophilusLa-14completeNC_006814LactobacillusacidophilusNCFMcompleteCP012559LactobacillusamylovorusGRL 1112completeCP012559LactobacillusamylovorusGRL 1112completeNC_017474LactobacillusamylovorusGRL 1112completeNC_0208497LactobacillusbrevisKB290completeNC_020819LactobacillusbrevisKB290completeNC_015428LactobacillusbuchneriNRRL 830029completeCP006690Lactobacilluscasei12AcompleteNC_018256LactobacilluscaseiBD-IIcompleteNC_017474LactobacilluscaseiBL3completeNC_017473LactobacilluscaseiLC2WcompleteNC_017473LactobacilluscaseiLC2WcompleteNC_017473LactobacilluscaseiLC2WcompleteNC_017473LactobacilluscaseiLC2WcompleteNC_013841LactobacilluscaseiST1completeNC_014769LactobacilluscaseiST1completeNC_014769LactobacilluscaseiST1not completeNC_014769LactobacillusdelbrueckiibulgaricusNCH1-1519not		-				sequence
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LUGK0000000LactobacillusdelbrueckiibulgaricusLBB.B5not completeCCET00000000LactobacillusdelbrueckiibulgaricusLBVIB27not completeCCEU00000000LactobacillusdelbrueckiibulgaricusLBVIB44not completeCP013610LactobacillusdelbrueckiibulgaricusMN-BM-F01completeNC_014727LactobacillusdelbrueckiibulgaricusND02completeAEAT0000000LactobacillusdelbrueckiibulgaricusPB2003/004-T3-4not completeAZCR00000000LactobacillusdelbrueckiidelbrueckiiDSM 20074not completeAZCR00000000LactobacillusdelbrueckiidelbrueckiiJCM 1012not completeBALP00000000LactobacillusdelbrueckiidelbrueckiiICM 1012not completeLBAS00000000LactobacillusdelbrueckiiindicusDSM 15996not completeAZFL00000000LactobacillusdelbrueckiijakobseniiDSM 26046not completeJQCG0000000LactobacillusdelbrueckiijakobseniiZN7a-9not completeATBQ0000000LactobacillusdelbrueckiilactisCRL581not completeAZEN00000000LactobacillusdelbrueckiilactisDSM 20072-1not completeATBQ00000000LactobacillusdelbrueckiilactisDSM 20072-2not completeAZEN00000000LactobacillusdelbrueckiilactisDSM 20072-2not complete<	LVWX0000000	Lactobacillus	delbrueckii	bulgaricus	Lb1-WT	not complete
CCET0000000LactobacillusdelbrueckiibulgaricusLBVIB27not completeCCEU00000000LactobacillusdelbrueckiibulgaricusLBVIB44not completeCP013610LactobacillusdelbrueckiibulgaricusMN-BM-F01completeNC_014727LactobacillusdelbrueckiibulgaricusND02completeAEAT0000000LactobacillusdelbrueckiibulgaricusPB2003/004-T3-4not completeAZCR0000000LactobacillusdelbrueckiidelbrueckiiDSM 20074not completeBALP00000000LactobacillusdelbrueckiidelbrueckiiJCM 1012not completeBALP00000000LactobacillusdelbrueckiidelbrueckiiKACC 13439not completeLHPL00000000LactobacillusdelbrueckiiindicusDSM 15996not completeLGAS0000000LactobacillusdelbrueckiijakobseniiDSM 26046not completeJQCG0000000LactobacillusdelbrueckiijakobseniiZN7a-9not completeATBQ0000000LactobacillusdelbrueckiilactisCRL581not completeAEXU00000000LactobacillusdelbrueckiilactisDSM 20072-1not completeAZER00000000LactobacillusdelbrueckiilactisDSM 20072-2not completeATBQ0000000LactobacillusdelbrueckiilactisDSM 20072-2not completeAZER00000000LactobacillusdelbrueckiilactisDSM 20072-2not complete<	LUGK0000000	Lactobacillus	delbrueckii	bulgaricus	LBB.B5	not complete
CCEU00000000LactobacillusdelbrueckiibulgaricusLBVIB44not completeCP013610LactobacillusdelbrueckiibulgaricusMN-BM-F01completeNC_014727LactobacillusdelbrueckiibulgaricusND02completeAEAT00000000LactobacillusdelbrueckiibulgaricusPB2003/004-T3-4not completeAZCR00000000LactobacillusdelbrueckiidelbrueckiiDSM 20074not completeBALP00000000LactobacillusdelbrueckiidelbrueckiiJCM 1012not completeBALP00000000LactobacillusdelbrueckiidelbrueckiiKACC 13439not completeLHPL00000000LactobacillusdelbrueckiiindicusDSM 15996not completeLGAS0000000LactobacillusdelbrueckiiindicusJCM 15610not completeJQCG0000000LactobacillusdelbrueckiijakobseniiDSM 26046not completeALPY00000000LactobacillusdelbrueckiilactisCRL581not completeATBQ0000000LactobacillusdelbrueckiilactisDSM 20072-1not completeAZEN00000000LactobacillusdelbrueckiilactisDSM 20072-2not completeAZEN00000000LactobacillusdelbrueckiilactisDSM 20072-2not completeAZEN00000000LactobacillusdelbrueckiilactisDSM 20072-2not completeAZEN00000000LactobacillusdelbrueckiilactisDSM 20072-2not complete </td <td>CCET00000000</td> <td>Lactobacillus</td> <td>delbrueckii</td> <td>bulgaricus</td> <td>LBVIB27</td> <td>not complete</td>	CCET00000000	Lactobacillus	delbrueckii	bulgaricus	LBVIB27	not complete
CP013610LactobacillusdelbrueckiibulgaricusMN-BM-F01completeNC_014727LactobacillusdelbrueckiibulgaricusND02completeAEAT00000000LactobacillusdelbrueckiibulgaricusPB2003/004-T3-4not completeAZCR00000000LactobacillusdelbrueckiidelbrueckiiDSM 20074not completeBALP00000000LactobacillusdelbrueckiidelbrueckiiJCM 1012not completeBALP00000000LactobacillusdelbrueckiidelbrueckiiKACC 13439not completeLHPL00000000LactobacillusdelbrueckiiindicusDSM 15996not completeLGAS0000000LactobacillusdelbrueckiiindicusJCM 15610not completeJQCG0000000LactobacillusdelbrueckiijakobseniiDSM 26046not completeALPY00000000LactobacillusdelbrueckiilactisCRL581not completeATBQ0000000LactobacillusdelbrueckiilactisDSM 20072-1not completeAZENU0000000LactobacillusdelbrueckiilactisDSM 20072-2not completeAZENU0000000LactobacillusdelbrueckiilactisDSM 20072-2not completeAZENU0000000LactobacillusdelbrueckiilactisDSM 20072-2not completeAZENU0000000LactobacillusdelbrueckiilactisDSM 20072-2not completeAZENU0000000LactobacillusdelbrueckiilactisDSM 20072-2not complete </td <td>CCEU00000000</td> <td>Lactobacillus</td> <td>delbrueckii</td> <td>bulgaricus</td> <td>LBVIB44</td> <td>not complete</td>	CCEU00000000	Lactobacillus	delbrueckii	bulgaricus	LBVIB44	not complete
NC_014727LactobacillusdelbrueckiibulgaricusND02completeAEAT0000000LactobacillusdelbrueckiibulgaricusPB2003/004-T3-4not completeAZCR00000000LactobacillusdelbrueckiidelbrueckiiDSM 20074not completeBALP00000000LactobacillusdelbrueckiidelbrueckiiJCM 1012not completeLHPL00000000LactobacillusdelbrueckiidelbrueckiiKACC 13439not completeLGAS0000000LactobacillusdelbrueckiiindicusDSM 15996not completeJQCG0000000LactobacillusdelbrueckiijakobseniiDSM 26046not completeALPY0000000LactobacillusdelbrueckiijakobseniiZN7a-9not completeATBQ0000000LactobacillusdelbrueckiilactisCRL581not completeAZENU0000000LactobacillusdelbrueckiilactisDSM 20072-1not completeAZENU0000000LactobacillusdelbrueckiilactisDSM 20072-2not completeAZENU0000000LactobacillusdelbrueckiilactisDSM 20072-2not completeAZENU0000000LactobacillusdelbrueckiilactisDSM 20072-2not completeAZENU0000000LactobacillusdelbrueckiilactisDSM 20072-2not completeAZENU0000000LactobacillusdelbrueckiilactisDSM 20072-2not completeAZENU0000000LactobacillusdelbrueckiilactisDSM 20072-2not complete <td>CP013610</td> <td>Lactobacillus</td> <td>delbrueckii</td> <td>bulgaricus</td> <td>MN-BM-F01</td> <td>complete</td>	CP013610	Lactobacillus	delbrueckii	bulgaricus	MN-BM-F01	complete
AEAT00000000LactobacillusdelbrueckiibulgaricusPB2003/004-T3-4not completeAZCR00000000LactobacillusdelbrueckiidelbrueckiiDSM 20074not completeBALP00000000LactobacillusdelbrueckiidelbrueckiiJCM 1012not completeLHPL00000000LactobacillusdelbrueckiidelbrueckiiKACC 13439not completeAZFL00000000LactobacillusdelbrueckiiindicusDSM 15996not completeLGAS0000000LactobacillusdelbrueckiiindicusJCM 15610not completeJQCG0000000LactobacillusdelbrueckiijakobseniiDSM 26046not completeALPY00000000LactobacillusdelbrueckiijakobseniiZN7a-9not completeATBQ00000000LactobacillusdelbrueckiilactisCRL581not completeAZEXU0000000LactobacillusdelbrueckiilactisDSM 20072-1not completeAZDE0000000LactobacillusdelbrueckiilactisDSM 20072-2not completeAZDE0000000LactobacillusdelbrueckiilactisDSM 20072-2not complete	NC_014727	Lactobacillus	delbrueckii	bulgaricus	ND02	complete
AZCR00000000LactobacillusdelbrueckiidelbrueckiiDSM 20074not completeBALP00000000LactobacillusdelbrueckiidelbrueckiiJCM 1012not completeLHPL00000000LactobacillusdelbrueckiidelbrueckiiKACC 13439not completeAZFL00000000LactobacillusdelbrueckiiindicusDSM 15996not completeLGAS0000000LactobacillusdelbrueckiiindicusJCM 15610not completeJQCG0000000LactobacillusdelbrueckiijakobseniiDSM 26046not completeALPY00000000LactobacillusdelbrueckiijakobseniiZN7a-9not completeATBQ0000000LactobacillusdelbrueckiilactisCRL581not completeAZEVU0000000LactobacillusdelbrueckiilactisDSM 20072-1not completeAZDE0000000LactobacillusdelbrueckiilactisDSM 20072-2not completeAZDE0000000LactobacillusdelbrueckiilactisDSM 20072-2not complete	AEAT00000000	Lactobacillus	delbrueckii	bulgaricus	PB2003/004-T3-4	not complete
BALP00000000LactobacillusdelbrueckiidelbrueckiiJCM 1012not completeLHPL00000000LactobacillusdelbrueckiidelbrueckiiKACC 13439not completeAZFL00000000LactobacillusdelbrueckiiindicusDSM 15996not completeLGAS00000000LactobacillusdelbrueckiiindicusJCM 15610not completeJQCG0000000LactobacillusdelbrueckiijakobseniiDSM 26046not completeALPY00000000LactobacillusdelbrueckiijakobseniiZN7a-9not completeATBQ00000000LactobacillusdelbrueckiilactisCRL581not completeAEXU00000000LactobacillusdelbrueckiilactisDSM 20072-1not completeAZDE00000000LactobacillusdelbrueckiilactisDSM 20072-2not completeAZDE00000000LactobacillusdelbrueckiilactisDSM 20072-2not completeAZDE00000000LactobacillusdelbrueckiilactisDSM 20072-2not complete	AZCR00000000	Lactobacillus	delbrueckii	delbrueckii	DSM 20074	not complete
LHPL00000000LactobacillusdelbrueckiidelbrueckiiKACC 13439not completeAZFL00000000LactobacillusdelbrueckiiindicusDSM 15996not completeLGAS00000000LactobacillusdelbrueckiiindicusJCM 15610not completeJQCG00000000LactobacillusdelbrueckiijakobseniiDSM 26046not completeALPY00000000LactobacillusdelbrueckiijakobseniiZN7a-9not completeATBQ00000000LactobacillusdelbrueckiilactisCRL581not completeAEXU00000000LactobacillusdelbrueckiilactisDSM 20072-1not completeAZDE00000000LactobacillusdelbrueckiilactisDSM 20072-2not completeAZDE00000000LactobacillusdelbrueckiilactisDSM 20072-2not completeAZDE00000000LactobacillusdelbrueckiilactisDSM 20072-2not complete	BALP00000000	Lactobacillus	delbrueckii	delbrueckii	JCM 1012	not complete
AZFL00000000LactobacillusdelbrueckiiindicusDSM 15996not completeLGAS0000000LactobacillusdelbrueckiiindicusJCM 15610not completeJQCG00000000LactobacillusdelbrueckiijakobseniiDSM 26046not completeALPY00000000LactobacillusdelbrueckiijakobseniiZN7a-9not completeATBQ00000000LactobacillusdelbrueckiilactisCRL581not completeAEXU0000000LactobacillusdelbrueckiilactisDSM 20072-1not completeAZDE00000000LactobacillusdelbrueckiilactisDSM 20072-2not completeCCDT00000000LactobacillusdelbrueckiilactisDSM 20072-2not complete	LHPL0000000	Lactobacillus	delbrueckii	delbrueckii	KACC 13439	not complete
LGAS00000000LactobacillusdelbrueckiiindicusJCM 15610not completeJQCG0000000LactobacillusdelbrueckiijakobseniiDSM 26046not completeALPY00000000LactobacillusdelbrueckiijakobseniiZN7a-9not completeATBQ00000000LactobacillusdelbrueckiilactisCRL581not completeAEXU00000000LactobacillusdelbrueckiilactisDSM 20072-1not completeAZDE00000000LactobacillusdelbrueckiilactisDSM 20072-2not completeCCDT00000000LactobacillusdelbrueckiilactisDSM 20072-2not complete	AZFL00000000	Lactobacillus	delbrueckii	indicus	DSM 15996	not complete
JQCG00000000LactobacillusdelbrueckiijakobseniiDSM 26046not completeALPY00000000LactobacillusdelbrueckiijakobseniiZN7a-9not completeATBQ000000000LactobacillusdelbrueckiilactisCRL581not completeAEXU00000000LactobacillusdelbrueckiilactisDSM 20072-1not completeAZDE00000000LactobacillusdelbrueckiilactisDSM 20072-2not completeCCDT00000000LactobacillusdelbrueckiilactisDSM 20072-2not complete	LGAS0000000	Lactobacillus	delbrueckii	indicus	JCM 15610	not complete
ALPY00000000LactobacillusdelbrueckiijakobseniiZN7a-9not completeATBQ00000000LactobacillusdelbrueckiilactisCRL581not completeAEXU00000000LactobacillusdelbrueckiilactisDSM 20072-1not completeAZDE00000000LactobacillusdelbrueckiilactisDSM 20072-2not completeCCDT00000000LactobacillusdelbrueckiilactisLBCNRZ226not complete	JQCG0000000	Lactobacillus	delbrueckii	jakobsenii	DSM 26046	not complete
ATBQ00000000LactobacillusdelbrueckiilactisCRL581not completeAEXU00000000LactobacillusdelbrueckiilactisDSM 20072-1not completeAZDE00000000LactobacillusdelbrueckiilactisDSM 20072-2not completeCCDT00000000LactobacillusdelbrueckiilactisLBCNRZ226not complete	ALPY00000000	Lactobacillus	delbrueckii	jakobsenii	ZN7a-9	not complete
AEXU00000000LactobacillusdelbrueckiilactisDSM 20072-1not completeAZDE00000000LactobacillusdelbrueckiilactisDSM 20072-2not completeCCDT00000000LactobacillusdelbrueckiilactisLBCNRZ226not complete	ATBQ00000000	Lactobacillus	delbrueckii	lactis	CRL581	not complete
AZDE00000000LactobacillusdelbrueckiilactisDSM 20072-2not completeCCDT00000000LactobacillusdelbrueckiilactisLBCNRZ226not complete	AEXU00000000	Lactobacillus	delbrueckii	lactis	DSM 20072-1	not complete
CCDT00000000 Lactobacillus delbrueckii lactis LBCNRZ226 not complete	AZDE00000000	Lactobacillus	delbrueckii	lactis	DSM 20072-2	not complete
	CCDT0000000	Lactobacillus	delbrueckii	lactis	LBCNRZ226	not complete

 Table S6.1 All strains used for core- and pan-genome analysis study in chapter 6

CCDV0000000	Lactobacillus	delbrueckii	lactis	LBCNRZ327	not complete
CCDS0000000	Lactobacillus	delbrueckii	lactis	LBCNRZ333	not complete
CCDU00000000	Lactobacillus	delbrueckii	lactis	LBCNRZ700	not complete
LGHR0000000	Lactobacillus	delbrueckii	sunkii	JCM 17838	not complete
CP011536	Lactobacillus	fermentum		3872	complete
NC 021235	Lactobacillus	fermentum		F-6	complete
_ NC 010610	Lactobacillus	, fermentum		IFO 3956	complete
CP012890	Lactobacillus	aallinarum		HFD4	complete
CP006809	Lactobacillus	aasseri		130918	complete
NC 008530	Lactobacillus	gasseri		ΔΤΓΓ 33323	complete
CP012034	Lactobacillus	ainsenosidimutans		FMMI 3041	complete
CP012559	Lactobacillus	heilonaiianaensis		DSM 28069	complete
	Lactobacillus	helveticus		ATCC 10386	not complete
CD012281	Lactobacillus	helveticus			complete
AZEK0000000	Lactobacillus	helveticus		CAULIO	
	Lactobacillus	helveticus			not complete
CBUIL010000000	Luciobucillus	helveticus			not complete
CBUL01000000	Luciobucillus	helveticus			not complete
		helveticus		CIRIVI-BIA 951	not complete
NC_021744	Lactobacilius	neiveticus		CNRZ3Z	complete
CBOW00000000	Lactobacillus	helveticus		CRIM-BIA 103	not complete
NC_010080	Lactobacillus	helveticus		DPC 45/1	complete .
ACLM00000000	Lactobacillus	helveticus		DSM 20075	not complete
NC_017467	Lactobacillus	helveticus		H10	complete
CP002427	Lactobacillus	helveticus		H9	complete
CP009907	Lactobacillus	helveticus		KLDS1.8701	complete
LSVI0000000	Lactobacillus	helveticus		Lh 12	not complete
LSVJ0000000	Lactobacillus	helveticus		Lh 23	not complete
JQCJ0000000	Lactobacillus	helveticus		LMG 22464	not complete
JRTS0000000	Lactobacillus	helveticus		M3	not complete
CP011386	Lactobacillus	helveticus		MB2-1	complete
NC_018528	Lactobacillus	helveticus		R0052	complete
AP014680	Lactobacillus	hokkaidonensis		DNA	complete
NC_017477	Lactobacillus	johnsonii		DPC 6026	complete
NC_013504	Lactobacillus	johnsonii		FI9785	complete
NC_022909	Lactobacillus	johnsonii		N6.2	complete
NC_005362	Lactobacillus	johnsonii		NCC 533	complete
NC_015602	Lactobacillus	kefiranofaciens		ZW3	complete
CP012033	Lactobacillus	koreensis		26-25	complete
CP012920	Lactobacillus	kunkeei		MP2	complete
CP011013	Lactobacillus	mucosae		LM1	complete
CP014787	Lactobacillus	oris		J-1	complete
NC_022112	Lactobacillus	paracasei		8700-2	complete
CP000423	Lactobacillus	paracasei		ATCC 334	complete
CP012187	Lactobacillus	paracasei		CAUH35	complete
AP012541	Lactobacillus	paracasei		JCM 8130	complete
CP013921	Lactobacillus	, paracasei		KL1	complete
CP012148	Lactobacillus	, paracasei		L9	complete
CP007122	Lactobacillus	, paracasei		N1115	complete
CP013130	Lactobacillus	paraplantarum		1-759	complete
CBZW000000000	Lactobacillus	plantarum		80	not complete
AVFJ00000000	Lactobacillus	plantarum		2025	not complete
NC 021514	Lactobacillus	plantarum		16	complete
	Lactobacillus	nlantarum		 1913	not complete
	Lactobacillas	plantaran		1713	not complete

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AYTU00000000 LOMH0000000 CP009236 LBDF0000000 JXAX00000000 JHWA0000000 ACGZ0000000 CP010528 CP015126 LIGM0000000 AZEJ0000000 JSUW0000000 LUWN0000000 LNCP0000000 JOJT00000000 CP004406 JQAW0000000 AZFR0000000 LUXL0000000 LQHB0000000 LQHC0000000 LQHD0000000 JPSU0000000 CP012650 ASJE00000000 CP014780 NC 012984 LEKW0000000 LDEL0000000 LDEM0000000 JIBX00000000 CP012122 LUXN0000000 AGRI0000000 LTAU00000000 LUWA0000000 LUWB0000000 LUWC0000000 LUWD0000000 LUWE0000000 LUWF0000000 LUWG0000000 LUWH0000000 LUWI0000000 LUWJ0000000 LUWK0000000 LUWL0000000 LUWM0000000 LUWO0000000 LUWP0000000 LUWQ0000000 LUWR0000000 LUWS0000000

Lactobacillus Lactobacillus

plantarum plantarum

4_3 43-3 5-2 8 RA-3 90sk AG30 ATCC 14917 B21 CAUH2 CGMCC 1.557 CGMCC1.2437 CIP104448 CNW10 CRL 1506 DmCS 001 DOMLa DSM 13273 DSM 16365 ER FBR4 FBR5 FBR6 FMNP01 HFC8 IPLA88 JBE245 JDM1 L31-1 Lp1610 Lp1612 Lp90 LZ95 NAB1 NC8 Nizo1837 Nizo1838 Nizo1839 Nizo1840 Nizo2029 Nizo2256 Nizo2257 Nizo2258 Nizo2259 Nizo2260 Nizo2262 Nizo2263 Nizo2264 Nizo2457 Nizo2484 Nizo2485 Nizo2494 Nizo2535 Nizo2726

not complete not complete complete not complete not complete not complete not complete complete complete not complete not complete not complete not complete not complete not complete complete not complete complete not complete complete complete not complete not complete not complete not complete complete not complete

not complete

LUWT0000000	Lactobacillus	plantarum	Nizo2741	not complete
LUWU00000000	Lactobacillus	plantarum	Nizo2753	not complete
LUWV0000000	Lactobacillus	plantarum	Nizo2757	not complete
LUWW00000000	Lactobacillus	plantarum	Nizo2766	not complete
LUWX0000000	Lactobacillus	plantarum	Nizo2776	not complete
LUWY00000000	Lactobacillus	plantarum	Nizo2801	not complete
LUWZ0000000	Lactobacillus	plantarum	Nizo2802	not complete
LUXA0000000	Lactobacillus	plantarum	Nizo2806	not complete
LUXB0000000	Lactobacillus	plantarum	Nizo2814	not complete
LUXC0000000	Lactobacillus	plantarum	Nizo2830	not complete
LUXD0000000	Lactobacillus	plantarum	Nizo2831	not complete
LUXE0000000	Lactobacillus	plantarum	Nizo2855	not complete
LKHZ0000000	Lactobacillus	plantarum	Nizo2877	not complete
LUXF00000000	Lactobacillus	plantarum	Nizo2889	not complete
LUXG0000000	Lactobacillus	plantarum	Nizo2891	not complete
LUXH0000000	Lactobacillus	plantarum	Nizo3400	not complete
LUXI0000000	Lactobacillus	plantarum	Nizo3892	not complete
LUXJ0000000	Lactobacillus	plantarum	Nizo3893	not complete
LUXK0000000	Lactobacillus	plantarum	Nizo3894	not complete
JZSB0000000	Lactobacillus	plantarum	NL42	not complete
LUSM0000000	Lactobacillus	plantarum	NRCC1	not complete
NC_021224	Lactobacillus	plantarum	P-8	complete
LBHS0000000	Lactobacillus	plantarum	PS128	not complete
MJHC00000000	Lactobacillus	plantarum	RI-011	not complete
MJHD00000000	Lactobacillus	plantarum	RI-012	not complete
MJHG00000000	Lactobacillus	plantarum	RI-048	not complete
MKDP0000000	Lactobacillus	plantarum	RI-086	not complete
CP017406	Lactobacillus	plantarum	RI-113	complete
MKDQ0000000	Lactobacillus	plantarum	RI-123	not complete
MKDS0000000	Lactobacillus	plantarum	RI-139	not complete
MKDT0000000	Lactobacillus	plantarum	RI-140	not complete
MKDU0000000	Lactobacillus	plantarum	RI-146	not complete
MKDV0000000	Lactobacillus	plantarum	RI-147	not complete
MJHH00000000	Lactobacillus	plantarum	RI-162	not complete
MJHI00000000	Lactobacillus	plantarum	RI-165	not complete
M1H100000000	Lactobacillus	plantarum	RI-189	not complete
МЈНКООООООО	Lactobacillus	plantarum	RI-190	not complete
MKFX0000000	Lactobacillus	plantarum	RI-208	not complete
MKDY0000000	Lactobacillus	plantarum	RI-266	not complete
MKDF0000000	Lactobacillus	plantarum	RI-405	not complete
MKDH0000000	Lactobacillus	plantarum	RI-408	not complete
MKDK0000000	Lactobacillus	plantarum	RI-422	not complete
MKDZ0000000	Lactobacillus	plantarum	RI-505	not complete
MKEA0000000	Lactobacillus	plantarum	RI-506	not complete
MKEB0000000	Lactobacillus	plantarum	RI-507	not complete
MKEC00000000	Lactobacillus	plantarum	RI-509	not complete
MKED0000000	Lactobacillus	plantarum	RI-510	not complete
MKEE00000000	Lactobacillus	plantarum	RI-511	not complete
MKEF00000000	Lactobacillus	plantarum	RI-512	not complete
MKEG0000000	Lactobacillus	plantarum	RI-513	not complete
MKEH00000000	Lactobacillus	plantarum	RI-514	not complete
MKGF0000000	Lactobacillus	plantarum	RI-515	not complete
LMVD0000000	Lactobacillus	plantarum	SF2A35B	not complete

LGIM0000000	Lactobacillus	plantarum	SNU.Lp177	not complete
NC_014554	Lactobacillus	plantarum	ST-III	complete
JSUX00000000	Lactobacillus	plantarum	TIFN101	not complete
LSND0000000	Lactobacillus	plantarum	UC8491	not complete
APHP00000000	Lactobacillus	plantarum	UCMA 3037	not complete
NC_004567	Lactobacillus	plantarum	WCFS1	complete
JMEL0000000	Lactobacillus	plantarum	wikim18	not complete
LKLZ00000000	Lactobacillus	plantarum	WJL	not complete
AUTE00000000	Lactobacillus	plantarum	WJL136	not complete
LKCO00000000	Lactobacillus	plantarum	WLPL04	not complete
CP011769	Lactobacillus	plantarum	Zhang-LL	complete
NC 020229	Lactobacillus	plantarum	ZJ316	complete
CP012122	Lactobacillus	plantarum	ZJ95	complete
CP012343	Lactobacillus	, plantarum	ZS2085	complete
AAPZ00000000	Lactobacillus	reuteri	100-23	not complete
MBL000000000	Lactobacillus	reuteri	480 44	not complete
MBLR00000000	Lactobacillus	reuteri	482 46	not complete
MBI \$0000000	Lactobacillus	reuteri	482 54	not complete
MBLU0000000	Lactobacillus	reuteri	484 39	not complete
FR85/1373	Lactobacillus	reuteri	ATCC 53609	not complete
	Lactobacillus	reuteri	CF48-34	not complete
	Lactobacillus	reuteri	CRI 1098	not complete
NC 009513	Lactobacillus	reuteri	DSM 20016	complete
NC_000010	Lactobacillus	routori	DSM 20016 1	not complete
CD015409	Lactobacillus	routori	140	complete
NC 021404	Lactobacillus	reuteri	145	complete
NC_021494	Lactobacillus	reuteri	Inunh	not complete
CD011024	Lactobacillus	reuteri	іраріі	complete
CP011024	Lactobacillus	reuteri		complete
	Lactobacillus	reuteri		
10000000000	Lactobacillus	reuteri		
	Lactobacillus	reuteri		not complete
AEAW00000000	Lactobacillus	reuteri		not complete
ACLB0000000	Lactobacillus	reuteri		not complete
ACGX0000000	Lactobacillus			not complete
NC_015697	Lactobacillus	reuteri	5D2112	complete
NC_021872	Lactobacillus	reuteri		complete
JOKX0000000	Lactobacillus	reuteri	TMW1.112	not complete
JOSW0000000	Lactobacillus	reuteri	TMW1.656	not complete
CP014786	Lactobacillus	reuteri	ZLR003	complete
JP2B0000000	Lactobacillus	rhamnosus	24	not complete
J1DC0000000	Lactobacillus	rhamnosus	116	not complete
JWHC00000000	Lactobacillus	rhamnosus	308	not complete
LFNB0000000	Lactobacillus	rhamnosus	313	not complete
JVQV0000000	Lactobacillus	rhamnosus	186_LRHA	not complete
JVPR0000000	Lactobacillus	rhamnosus	214_LRHA	not complete
JVLT01000001	Lactobacillus	rhamnosus	319_LRHA	not complete
JVIZ0000000	Lactobacillus	rhamnosus	390_LRHA	not complete
LFNA00000000	Lactobacillus	rhamnosus	40†	not complete
JMSI0000000	Lactobacillus	rhamnosus	51B	not complete
JVDP0000000	Lactobacillus	rhamnosus	526_LRHA	not complete
JVCX00000000	Lactobacillus	rhamnosus	541_LRHA	not complete
JUWQ0000000	Lactobacillus	rhamnosus	699_LRHA	not complete
JUWG0000000	Lactobacillus	rhamnosus	708_LRHA	not complete

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not complete

JUTS00000000 JUTB0000000 JUPX0000000 JUPA0000000 JUON0000000 JUMP0000000 JULF0000000 JUKV00000000 CP014645 AFZY0000000 NC_017482 NC_017491 CBZU000000000 LT220504 LAZE00000000 JYCS0000000 BALT0000000 JDRW0000000 NC_013198 ABWJ0000000 JNNV00000000 AYTR0000000 AYTP00000000 NC_013199 ACIZ0000000 NC 021723 NC 021725 JUIL0000000 JUIK0000000 JUIH00000000 JUII00000000 AMQW0000000 AMQX0000000 JDFQ0000000 JDFR0000000 AGKC0000000 LWBT0000000 NC_015975 NC_007576 NC_017481 CP007646 CP011403 NC_007929 NC_015978 CP009531 AZCT0000000

rhamnosus ruminis sakei salivarius salivarius salivarius salivarius sanfranciscensis spp

Lactobacillus

zeae

769_LRHA 784_LRHA 870_LRHA 893_LRHA 906_LRHA 943 LRHA 979_LRHA 988_LRHA **ASCC 290** ATCC 21052 ATCC 53103 ATCC 8530 BPL15 BPL5 CNCM-I-3698 CSL17 DSM 20021 E800 GG HN001 K32 L34 L35 Lc 705 LMS2-1 LOCK900 LOCk908 LR071 LR073 LR108 LR138 LRHMPD2 LRHMPD3 PEL5 PEL6 R0011 R19-3 ATCC 27782 23K **CECT 5713** JCM1046 Ren UCC118 TMW 1.1304 wkB8 DSM 20178

not complete complete not complete complete complete not complete complete not complete not complete not complete not complete complete not complete not complete not complete not complete complete not complete complete complete not complete complete complete complete complete complete complete complete complete not complete **Table S6.2** Reconstruction of core, softcore- and pan-genome of Lactobacillus delbrueckii species with the Brite and pathway algorithm of GhostKOALA.

Brite Reconstruction Result				n-fold in	crease		
	core	softcore	pan	core-pan	softcore-pan		
Orthologs and modules	498	623	933	1.8	1.5		
Protein families: metabolism	328	426	617	1.9	1.5		
Protein families: genetic information processing	251	315	342	1.4*	1.1*		
Protein families: signaling and cellular processes	91	116	237	2.6*	2.0*		
Total	1168	1480	2129	1.8	1.4		

Pathway Reconstruction Result			n-fold in	crease	
	core	softcore	pan	core to pan	softcore-pan
Metabolism	611	792	1248	2.0	1.6
Genetic Information Processing	129	159	166	1.3*	1.0*
Environmental Information Processing	45	59	114	2.5	1.9
Cellular Processes	19	25	57	3.0	2.3
Organismal Systems	7	9	15	2.1	1.7
Human Diseases	27	40	54	2.0	1.4
Total	838	1084	1654	2.0	1.5

* indicates p-value < 0.01

Table S6.3 Reconstruction of core, softcore- and pan-genome of *Lactobacillus delbrueckii* species with the Module algorithm of GhostKOALA. Pathways are fractured in blocks (number). Green = pathway complete; yellow = 1 block is missing in the pathway; red = 2 or more blocks are missing in the pathway.

Pathwav mod	ule		Blog	ck in pathw	av
Energy metab	olism	core		softcore	pan
Carbon fixatio	n				
M00579	Phosphate acetyltransferase-acetate kinase pathway, acetyl-CoA => acetate		2	2	2
Carbohydrate	and lipid metabolism				
Central carbol	hydrate metabolism				
M00001	Glycolysis (Embden-Meyerhof pathway), glucose => pyruvate	-	14	16	18
M00002	Glycolysis, core involving three-carbon compounds	:	10	12	14
M00006	Pentose phosphate pathway, oxidative phase, glucose 6P => ribulose 5P		3	3	3
M00005	PRPP biosynthesis, ribose 5P => PRPP		1	1	2
Other carbohy	ydrate metabolism				
M00632	Galactose degradation, Leloir pathway, galactose => alpha-D-glucose-1P		0	0	6
M00549	Nucleotide sugar biosynthesis, glucose => UDP-glucose		3	3	4
M00554	Nucleotide sugar biosynthesis, galactose => UDP-galactose		0	0	3
M00793	dTDP-L-rhamnose biosynthesis		0	0	4
Fatty acid met	tabolism				
M00082	Fatty acid biosynthesis, initiation		3	6	6
M00083	Fatty acid biosynthesis, elongation		5	7	12
Terpenoid bad	kbone biosynthesis				
M00364	C10-C20 isoprenoid biosynthesis, bacteria		2	2	2
Nucleotide an	d amino acid metabolism				
Purine metab	olism				
M00048	Inosine monophosphate biosynthesis, PRPP + glutamine => IMP		0	11	13
M00049	Adenine ribonucleotide biosynthesis, IMP => ADP,ATP		3	4	4
M00050	Guanine ribonucleotide biosynthesis IMP => GDP.GTP		5	5	5
Serine and the	reonine metabolism			Ū.	J. J.
M00018	Threonine biosynthesis, aspartate => homoserine => threonine		0	3	6
Cysteine and I	methionine metabolism				
M00021	Cysteine biosynthesis, serine => cysteine		0	0	3
M00017	Methionine biosynthesis, apartate => homoserine => methionine		0	0	10
Lysine metabo	niemonine biosynthesis, apartate 's nonosenne's inethionine		Ū	Ū	10
M00525	I vsine hiosynthesis, acetyl-DAP nathway, aspartate => lysine		0	0	12
Arginine and r	roline metabolism		Ŭ	U	12
M00015	Proline hiosynthesis glutamate => proline		0	0	Л
Cofactor and y	vitamin biosynthesis		0	U	
	Cognzyme A biosynthesis nantothenate => CoA		Л	Л	1
100120	coenzyme A biosynthesis, pantothenate -> coA		4	4	4
Structural con	anlay				
Energy metab	alism				
ATD synthesis	01511				
MO0157	E type ATPase, prokaryotos and chloroplasts		0	0	0
10100137	r-type Airase, piokaiyotes and chioropiasts		0	0	0
Genetic inform	nation processing				
	DNA polymoraso III somplex bactoria		5	7	0
	Dive polymerase in complex, Dacteria		5	/	9
	PNA polymoraso hastoria		2	4	- F
NIUU183	NIVA polymerase, Daclena		3	4	5
	Pikesoma kastaria		12	17	50
1001/8	הואסצטוווב, אמנופוומ		+S	47	50

Environmenta	l information processing			
Mineral and o	rganic ion transport system			
M00299	Spermidine/putrescine transport system	3	4	4
M00193	Putative spermidine/putrescine transport system	0	0	4
M00209	Osmoprotectant transport system	0	1	8
Saccharide, po	lyol, and lipid transport system			
M00491	arabinogalactan oligomer/maltooligosaccharide transport system	0	0	4
M00207	Putative multiple sugar transport system	0	3	5
M00211	Putative ABC transport system	1	1	2
Phosphate and	amino acid transport system			
M00222	Phosphate transport system	2	4	4
M00223	Phosphonate transport system	4	4	4
M00234	Cystine transport system	0	0	3
M00589	Putative lysine transport system	2	3	3
M00237	Branched-chain amino acid transport system	0	0	5
M00238	D-Methionine transport system	2	3	4
M00228	Putative glutamine transport system	4	5	5
M00236	Putative polar amino acid transport system	6	6	14
Peptide and ni	ckel transport system			
M00439	Oligopeptide transport system	4	5	32
M00239	Peptides/nickel transport system	0	1	4
Metallic cation	, iron-siderophore and vitamin B12 transport system			
M00240	Iron complex transport system	0	0	3
M00582	Energy-coupling factor transport system	5	5	14
M00247	Putative ABC transport system	1	1	6
ABC-2 type an	d other transport systems			
M00298	Multidrug/hemolysin transport system	0	0	2
M00258	Putative ABC transport system	2	2	9
M00254	ABC-2 type transport system	1	3	10
Drug efflux tra	nsporter/pump			
M00707	Multidrug resistance, SmdAB/MdIAB transporter	2	2	2
M00708	Multidrug resistance, PatAB transporter	0	0	2
Phosphotrans	erase system (PTS)			
M00269	PTS system, sucrose-specific II component	0	0	3
M00271	PTS system, beta-glucosides-specific II component	0	0	9
M00273	PTS system, fructose-specific II component	0	0	3
M00274	PTS system, mannitol-specific II component	0	0	3
M00275	PTS system, cellobiose-specific II component	1	1	10
M00807	PTS system, galactose-specific II component	0	0	3
M00276	PTS system, mannose-specific II component	0	4	4
Functional set				
Metabolism				
Aminoacyl tRN	IA			
M00360	Aminoacyl-tRNA biosynthesis, prokaryotes	0	20	26
Environmenta	I information processing			
Two-compone	nt regulatory system			
M00434	PhoR-PhoB (phosphate starvation response) two-component regulatory system	0	1	2

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Table S6.4 TOPD/FMTS nodal distance scores and SNPs evaluation Genes are sorted according to the nodal distance scores compared with the pan-genome tree and the 5% and 95% quantile is listed. Sum SNP – The sum of all SNP according to the consensus sequence of the 29 homologous sequences; SNP/base – sum of SNP divided by length of consensus sequence in nucleotide; Sum polyvariable positions (SPP) – sum of all positions with 3 or more different nucleotides a specific position; Length in bp – length of consensus sequence.

	ТОР	D/FMTS So	core				
Gene	core	softcore	pan	Sum SNP	SNP/Base	SPP	Length in bp
17524_aspS	2.431	2.359	1.778	94	0.051	0	1845
17294_phosphonate_ABC_tran	2.412	2.031	1.818	44	0.055	0	801
17742_DNA_repair_protein_R 16818_oligoendopentidase_F	2.614	2.518	1.825	79 104	0.057	1	1377
17523_histidinetRNA_liga	2.518	2.561	1.931	64	0.050	2	1287
16623_translation_initiati	2.752	2.621	1.981	108	0.044	2	2478
17738_MFS_transporter	2.266	2.301	2.007	66	0.054	1	1221
17241_LytR_family_transcri	2.570	2.360	2.012	175	0.153	4	1143
17199_two-component_sensor	2.504	2.709	2.017	59	0.049	0	1209
17395 flavocytochrome c	2.674	2.308	2.029	53	0.033	2	1398
17672_MFS_transporter	2.576	2.507	2.038	107	0.087	3	1236
16633_adenine_phosphoribos	2.309	2.262	2.039	29	0.055	0	528
17312_acetylesterase	2.421	2.352	2.067	108	0.125	1	867
16543_amidophosphoribosylt	2.433	2.242	2.077	149	0.101	5	1479
16462_DNA_primase	2.211	2.161	2.088	84 144	0.046	2	1839
17559 DNA-binding protein	2.428	2.420	2.100	47	0.054	1	933
16888_GMP_synthetase	2.596	2.506	2.117	76	0.049	1	1554
16694_tig	2.553	2.563	2.121	59	0.044	2	1326
16765_cell_division_protei	2.970	2.919	2.127	51	0.038	1	1353
17488_enoylacyl-carrier	2.716	2.677	2.131	56	0.073	1	765
16525_multidrug_IVIFS_transp	2.690	2.719	2.159	63 73	0.043	1	1449 615
16927 phosphate ABC transp.	2.684	2.574	2.164	73	0.088	1	885
17608_DNA_polymerase_IV	2.639	2.527	2.164	45	0.040	0	1116
17419_glucan_modification	2.744	2.624	2.185	189	0.063	2	3003
16813_DNA_polymerase_III_s	2.375	2.501	2.186	306	0.088	0	3480
17729_esterase	2.365	2.404	2.192	66	0.081	2	819
1/214_nelicase	2.662	2.649	2.199	141	0.051	3	2/6/
16731 ATP synthase F0F1 su.	3.144	2.950	2.225	24	0.044	40	543
17668_phosphoglucomutase	2.720	2.612	2.226	91	0.053	0	1722
16440_ribonuclease_HII	3.019	2.803	2.232	49	0.064	2	771
17657_dipeptidase_PepV	2.726	2.459	2.236	94	0.067	4	1413
17165_tormatetetrahydrot.	2.281	2.181	2.239	63	0.038	2	1680
16824_glucosamine-o-phosphi.	2.692	2.550	2.242	76	0.108	2	1386
10000_bitalicional_it acciji	2.500	2.071	2.250	50	0.000	-	1555
17803_S-ribosylhomocystein	5.520	5.312	5.600	14	0.029	0	480
16607_hypothetical_protein	5.255	5.373	5.663	21	0.048	1	435
17297_universal_stress_pro	4.910	5.050	5.703	23	0.049	1	474
17572_sigma-54_modulation	5.491	5.797	5 735	14	0.025	0	324
17131 DNA-binding response.	5.365	5.593	5.753	24	0.034	1	714
16794_30S_ribosomal_protei	5.974	5.796	5.773	7	0.026	0	270
17045_preprotein_transloca	5.554	5.554	5.788	3	0.013	0	234
16651_rplL	5.477	5.708	5.813	4	0.011	0	366
16996_50S_ribosomal_protei	5.220	5.380	5.816	12	0.019	1	618
17253 hypothetical protein	5 772	6 010	5 869	23	0.024	0	339
16489 phosphopyruvate hydr	5.217	5.424	5.940	13	0.010	0	1278
16775_hypothetical_protein	5.456	5.741	5.942	12	0.035	0	342
17693_peptide_ABC_transpor	5.770	5.794	5.956	50	0.048	0	1044
16556_50S_ribosomal_protei	5.782	6.086	5.960	5	0.017	0	294
17341_acylphosphatase	5.547	5.778	6.036	14	0.051	0	273
16995 50S ribosomal protei	5 727	5 695	6 1 3 8	10	0.032	0	297
16851_30S_ribosomal_protei	5.968	5.920	6.217	5	0.013	0	396
16413_AsnC_family_transcri	5.697	5.858	6.260	30	0.062	0	486
17282_phosphocarrier_prote	5.888	6.058	6.296	7	0.026	1	267
16639_hypothetical_protein	6.230	6.244	6.339	9	0.042	0	216
17001_30S_ribosomal_protei	6.017	6.022	6.369	7	0.017	1	408
16967 rpmF2	5 998	6 2 3 0	6 645	1/	0.032	0	252
17604_hypothetical_protein	6.203	6.405	6.647	6	0.023	0	258
16852_50S_ribosomal_protei	6.654	6.814	7.023	4	0.009	0	444
16793_30S_ribosomal_protei	6.719	6.783	7.044	6	0.024	0	252
16642_50S_ribosomal_protei	6.557	6.887	7.100	3	0.020	0	150
16976 infA	7 520	7.437	7.502	5	0.024	0	210
16645 50S ribosomal protei	7.452	7 577	7.701	2	0.009	0	426
16555_50S_ribosomal_protei	7.888	7.907	7.814	4	0.010	0	312
16987_50S_ribosomal_protei	8.014	7.770	7.858	6	0.016	0	369
16972_50S_ribosomal_protei	7.897	7.757	7.861	2	0.005	0	384
16992_50S_ribosomal_protei	7.910	7.673	7.864	9	0.025	1	354
16988_305_ribosomal_protei	8.061	7.909	7.910	3	0.011	0	267

Table S6.5	5 Potential	HGT in	Lactobacillu	s delbruecki	i. Clade I	B =	bulgaricus	clade,	clade	D = d	liverse	clade,
Number in	ndicates co	pies of g	gene within t	he genome,	presence	e = p	ossibility o	f occu	rrence	withir	n the cl	ade in
percent.												

Clade	В	В	В	В	в	В	В	В	В	в	В	В	В	D	D	D	D	D	D	D	D	D	D	D	D	D	D	D	D		
					¥																					.gbk					
	¥	×			55.gb		gbk				9.gbk	2.gbk		×	gbk		¥	gbk	~	¥	×	×		÷	×	T3_4		~	¥	sn	
	31.gb	42.gl		åk	A_36	×	F01.		1.gbk	^{gb} k	1519	1632	şþk	27.gl	72_1	Å	74.gb	72_2	8.gbl	t6.gb	139.gl	26.gl	~	00.gl	33.gl	202	Å	0.gbl	96.gb	garic	erse
	2008	:118	.gbk	B44.¦	BA	35.gl	В	.gbk	<u>S</u>	WT.	5	5	B27.¦	IRZ3	2007	- 8.6	2007	2007	1783	2604	:134	IRZ2	2.gbl	IRZ7	IRZ3	03_0	81.g	L561	1599	inq:	dive
	DSM	ATCO	2038	LBVII	ATCC	LBB.I	ΜN	CFL1	Lb1_	Lb1_	CNCI	CNCI	LBVII	LBCN	DSM	ZN7a	DSM	DSM	ICM:	DSM	KACC	LBCN	NDO	LBCN	LBCN	PB2C	CRL5	ICM:	DSM	calde	calde
	ckii	ckii	iii Iii	ŝ.	, L	Ë,	, 	ŝ		Ë,	ŝ	ŝ.	ŝ	ckii	ŝ.	i N	скії П	скії П	, ∟.	ŝ.	Ë.	, 	ŝ.	, E	Ë.	, L	ŝ	, ∟.	скії П	e in e	e in o
	orue	orue	orue	orue	orue	orue	orue	orue	orue	orue	orue	orue	orue	orue	orue	orue	orue	orue	orue	orue	orue	orue	orue	orue	orue	orue	orue	orue	orue	senci	senci
unique identifier	dell	dell	dell	dell	dell	dell	dell	dell	dell	dell	dell	dell	dell	dell	dell	dell	dell	dell	dell	dell	dell	dell	dell	dell	dell	dell	dell	dell	dell	Pre	Pre
48_hypothetical_protein.faa	1	1	1	0	0	1	1	0	0	0	1	0	1	0	1	0) 1	1	0	0	0	0	1	1	0	1	1	1	0.54	0.50
210_prolyl_tKNA_editingtaa 348_carbamovl_phosphatefaa	1	1	1	0	0	1	1	0	0	0	1	0	1	1	1	0) 1	1	0	0	0	1	1	1	. 1	1	1	1	0.54	0.56
639_transposase.faa	0	12	7	5	4	1	5	0	0	0	0	0	0	1	1	1		0 0	0	0	1	6	9	0	0	1	0	3	0	0.46	0.50
1533_hypothetical_protein.faa	1	1	1	0	0	1	1	0	0	0	1	0	1	0	1	0	0) 1	1	0	0	0	0	1	1	1	1	0	0	0.54	0.44
1857_hypothetical_protein.faa	1	1	0	0	1	0	1	0	1	1	0	0	0	0	0	1	0	0	1	1	0	0	0	0	0	1	1	1	1	0.46	0.44
3257_hypothetical_protein.faa	1	1	0	0	1	0	0	0	1	2	1	0	1	2	2	0) 2	1	0	0	0	0	2	1	. 0	1	1	1	0.54	0.56
4060_Integrase.raa	1	1	0	1	0	1	1	0	0	0	1	1	1	0	0	0		, 0 , 0	1	0	0	1	1	1	1	1	1	1	1	0.54	0.50
17105_hypothetical_protein.faa	1	1	1	0	1	0	1	0	1	1	0	0	0	1	1	0	1	1	0	0	1	0	0	1	1	0	1	0	0	0.54	0.50
17502_hypothetical_protein.faa	0	0	1	1	0	1	1	0	0	0	1	0	1	1	0	0	1	0	0	0	1	0	1	0	1	1	1	1	1	0.46	0.56
17812_NAD_dependent_dehydrfaa	0	0	1	0	1	1	0	0	1	1	1	0	1	0	0	0	1	0	1	0	1	1	1	1	1	1	1	0	0	0.54	0.56
17814_hypothetical_protein.faa	0	0	1	0	1	1	0	0	1	1	1	0	1	0	1	0	1	1	1	0	1	1	0	1	0	1	0	0	0	0.54	0.50
18_hemagglutinin.faa	1	0	1	1	1	1	1	1	0	1	0	1	0	1	1	1		0 0	1	1	0	0	0	1	1	. 0	1	1	0	0.31	0.38
64 transposase.faa	0	4	4	0	0	1	4	0	0	0	0	0	1	6	0	1) 0) 0	1	1	0	1	8	0	0	1	1	1	0	0.38	0.56
89_hypothetical_protein.faa	1	1	1	1	0	1	1	1	0	0	0	1	0	1	1	0) 1	1	0	0	0	1	1	1	0	1	1	1	0.62	0.63
390_hypothetical_protein.faa	0	0	1	1	1	1	0	1	1	1	0	1	0	1	1	0	0) 1	0	0	0	0	1	0	1	0	1	0	0	0.62	0.38
473_hypothetical_protein.faa	0	0	1	0	0	1	1	0	1	1	0	0	0	1	1	1	. 0) 1	1	1	0	1	0	0	0	1	0	1	1	0.38	0.63
784_serine_threonine_profaa	1	1	1	1	0	0	0	1	0	0	0	1	0	1	1	1	. 0) 1	1	1	0	1	1	0	0	1	1	0	0	0.46	0.63
827_tumarate_reductase.taa	1	2	2	0	0	1	2	0	0	0	1	0	1	1	1	1) 1	1	1	0	1	0	1	1	. 1	1	0	0	0.54	0.69
887 MmcQ family protein.faa	0	0	1	1	1	0	0	1	1	1	1	1	1	1	0	0) 1) 0	1	0	0	1	1	0	1	1	0	1	1	0.69	0.50
890_methylase.faa	0	0	1	1	0	0	0	1	0	0	0	1	0	1	0	0	0	0	0	0	0	1	1	1	1	1	1	1	1	0.31	0.56
891_restriction_endonuclfaa	0	0	1	1	0	0	0	1	0	0	0	1	0	0	0	0	0	0 0	0	0	0	1	1	1	0	1	1	1	1	0.31	0.44
930_methyltransferase.faa	1	1	1	1	0	0	1	1	0	0	0	0	0	0	0	0	0	0 0	1	0	0	1	0	0	0	0	1	1	1	0.46	0.31
1020_integrase.faa	1	1	1	0	0	1	1	0	0	0	1	0	1	1	1	0) 1	0	0	0	0	0	1	1	0	1	0	0	0.54	0.38
1143_transposase.taa	0	0	3	1	1	1	1	0	0	0	1	0	1	0	1	1) U) 1	1	1	0	2	1	1	2	3 0	1	0	0	0.31	0.44
1471_transposase.faa	0	0	1	0	0	2	0	1	0	0	0	1	0	7	0	1) 0	1	1	0	0	7	2	2	1	1	1	0	0.31	0.63
1529_membrane_protein.faa	0	0	1	1	0	1	1	1	0	0	1	1	1	1	1	0	0) 1	0	0	0	0	1	1	1	. 1	1	1	1	0.62	0.63
1530_membrane_protein.faa	0	0	1	1	0	1	1	1	0	0	1	1	1	1	1	0	0) 1	0	0	0	0	1	2	1	1	1	1	1	0.62	0.63
1531_hypothetical_protein.faa	0	0	1	1	0	1	1	1	0	0	1	1	1	1	1	0	0) 1	0	0	0	0	1	1	1	1	1	1	1	0.62	0.63
1630_hypothetical_protein.faa	1	1	1	0	1	1	1	0	0	0	0	0	0	1	1	1) 1	1	0	0	1	0	1	1	. 0	1	1	1	0.31	0.50
1653 transcriptional regufaa	0	0	0	0	1	0	0	1	1	1	0	0	0	2	2	0) 2	1	0	0	0	1	1	2	1	1	0	0	0.40	0.56
2305_CRISPR_associated_hefaa	1	1	0	0	1	1	0	0	1	1	1	1	1	0	0	0	0	0	1	0	0	0	1	0	0	1	0	1	1	0.69	0.31
2401_hypothetical_protein.faa	0	0	0	0	1	0	0	0	1	1	1	0	1	1	1	0	0) 1	0	0	0	0	0	1	1	0	1	0	0	0.38	0.38
2692_hypothetical_protein.faa	0	0	0	1	1	0	0	1	1	1	0	1	0	0	0	1	. 0	0 (0	1	0	1	1	0	0	0	1	0	0	0.46	0.31
2693_hypothetical_protein.faa	1	1	0	1	1	0	1	1	1	1	0	1	0	1	1	0) 1	1	0	0	1	1	1	1	0	0	0	0	0.69	0.50
2935_diguanylate_prosphodtaa	1	1	0	1	1	0	1	0	1	1	1	0	1	1	1	1) U) 1	1	1	0	0	1	1	1	1	0	1	1	0.69	0.31
3072_hypothetical_protein.faa	1	1	0	1	1	0	0	1	1	1	0	1	0	0	0	1) 0	0	1	0	1	1	0	0	1	1	0	0	0.62	0.38
4058_restriction_endonuclfaa	1	1	0	1	0	1	1	1	0	0	0	1	0	0	0	0	0	0	0	0	0	1	1	0	1	. 1	1	0	0	0.54	0.31
4062_hypothetical_protein.faa	1	1	0	1	0	1	1	1	0	0	0	0	0	0	1	0	0) 1	1	0	0	1	1	1	1	1	1	1	1	0.46	0.69
4863_spermidine_putrescinfaa	1	1	0	0	0	1	1	0	0	0	0	1	0	0	0	1	. 0	0	0	1	0	0	1	0	0	0	0	2	2	0.38	0.31
5480_hypothetical_protein.faa	0	0	0	2	0	1	1	1	0	0	0	0	0	0	0	0		0	0	0	0	0	1	1	1	. 0	1	0	1	0.31	0.31
6095_nypotnetical_protein.faa	0	0	0	0	0	0	1	1	0	0	1	0	1	0	0	1) ()) ()	1	1	0	1	1	1	1	0	1	1	0	0.54	0.63
7099_membrane_protein.faa	0	0	0	0	0	1	1	0	0	0	1	0	1	0	0	0		0	1	0	0	0	1	1	1	0	1	0	0	0.31	0.31
 16400_hypothetical_protein.faa	1	1	1	1	0	0	0	1	0	0	0	0	0	1	1	0	1	1	0	0	1	0	0	1	0	1	1	0	0	0.38	0.50
16479_N_6_DNA_methylase.faa	1	1	1	1	0	1	1	1	0	0	0	1	0	0	0	0	2	2 0	0	0	2	1	1	2	2	1	1	2	2	0.62	0.63
16484_hypothetical_protein.faa	1	1	0	1	0	1	2	1	0	0	1	1	1	1	1	1	. 1	1	1	1	1	1	0	0	0	0	0	1	1	0.69	0.69
17378_hypothetical_protein.faa	1	1	0	1	0	0	1	1	0	0	1	1	1	0	1	1	1	1	1	1	1	1	1	0	0	1	1	0	0	0.62	0.69
17402_hypothetical_protein.raa	1	U L	U N	1	1	0 N	0 N	1	1	1	0	1	1	U T	0 n	1	1	L U	U T	1	1	U T	1	0	1	. 1	U N	U N	0	0.31	0.30
17811_2_hydroxyacid_dehydrfaa	1	1	1	0	1	1	1	0	1	1	0	0	0	0	0	1	1	0	1	1	1	1	1	1	1	1	1	0	0	0.62	0.69



Figure S6.1 Heatmap clustering according to 20969 pan-genome genes from 99 *Lactobacillus* genomes including the non-complete genome of *L. zeae* DSM 20178. Gower distance score based on ANI: Red = more similar, white = less similar. *L. zeae* DSM 20178 marked with a ed arrow.



Figure S6.2 Heatmap clustering according to 594 softcore genes from 98 *Lactobacillus* genomes. Gower distance score based on ANI: Red = more similar, white = less similar.



Figure S6.3 A Evolution of the pan-genome for *L. helveticus*. After 14 included genomes, the pan-genome is closed. **B** Evolution of the core-genome for *L. helveticus*. Order of calculation was randomized for 19 sets, each represented with a single point.



Figure S6.4 A Evolution of the pan-genome for *L. reuteri*. After 20 included genomes, the pan-genome is closed. **B** Evolution of the core-genome for *L. reuteri*. Order of calculation was randomized for 25 sets, each represented with a single point.



Figure S6.5 A Evolution of the pan-genome for *L. rhamnosus*. After 20 included genomes, the pan-genome is closed. **B** Evolution of the core-genome for *L. rhamnosus*. Order of calculation was randomized for 51 sets, each represented with a single point.



Figure S6.6 A Evolution of the pan-genome for *L. plantarum*. The pan-genome remains open even after 122 genomes were included. **B** Evolution of the core-genome for *L. plantarum*. Order of calculation was randomized for 122 sets, each represented with a single point.



Figure S6.7 Pan-genome heatmap of *L. rhamnosus*. Heatmap clustering according to 4889 pan-genome genes from 51 *Lactobacillus rhamnosus* genomes. Gower distance score based on ANI: Red = more similar, white = less similar, strains with deviating cluster behavior marked with red arrows.



Figure S6.8 Pan-genome heatmap of *L. plantarum*. Heatmap clustering according to 7610 pan-genome genes from 122 *Lactobacillus plantarum* genomes. Gower distance score based on ANI: Red = more similar, white = less similar, strains with deviating cluster behaviour marked with red arrows.



Figure S6.9 Pan-genome heatmap of *L. reuteri*. Heatmap clustering according to 3960 pan-genome genes from 25 *Lactobacillus reuteri* genomes. Gower distance score based on ANI: Red = more similar, white = less similar, strains with deviating cluster behavior marked with red arrows.



Figure S6.10 Pan-genome heatmap of *L. helveticus*. Heatmap clustering according to 3350 pan-genome genes from 19 *Lactobacillus helveticus* genomes. Gower distance score based on ANI: Red = more similar, white = less similar, strains with deviating cluster behavior marked with red arrows.

Chapter 7

General conclusions and perspectives

General conclusion

On this planet with limited resources and increasing population, food waste is a luxury we can't afford anymore. Food products have to be available these days in each location, minimally processed and ready-to-eat. There is a conflict of objects between food safety, reduction of food waste and consumer behaviour. Microbial spoilage of fermented food products can be reduced by the direct application of a protective culture and thereby offering a possible reduction of chemical preservatives.

In this work, it was demonstrated how protective cultures can be selected from existing strain collections and applied in industrial food fermentations. However, the approach is basic and can be expanded to describe the candidate bacterial strains at more detail. Through a combination of phenotypic-genotypic screening with a comparative genomics, related genes behind uncommon phenotypes can be determined. However, this approach ignores different activities of genes in different strains. A gene might be less active due to mutation in its promoter sequences, instability of its mRNA or mutations in active sites of the protein. Further, the impact of gene on the cell might be minimal due to absence of connecting reactions in a pathways or missing interactions with other genes.

These mechanisms are far more challenging to detect for an algorithm. There are programs available to identify SNPs in genomes (Gardner et al., 2015), but the analysis of these SNPs is complicated. In addition, the number of SNPs in two genomes are typically a few hundred (Chapter 5), making the interpretation off all SNPs highly labour intensive. Therefore, such analyses are more or less restricted to compare a wild type strain with its mutated derivative, for example in *L. plantarum* (van Bokhorst-van de Veen et al., 2013). The interaction between gene products are even more difficult to analyse. However, machine learning has come to a point where this should be possible in the near future (Okser et al., 2014).

The function of a single gene or a module of genes can be proved by creating deletion and overexpression mutants to minimize or maximize the phenotypic properties, respectively. The initial approach in this project was to identify genes responsible for proteinaceous antifungal activity by a combination of phenotypic screening and comparative genomics, followed by the creation and analyses of gene deletion mutants. The gene encoding a subtilisin-like serine protease in *L. plantarum* RI-162 (Chapter 5) was an ideal candidate since the antifungal activity of this gene was demonstrated by another study (Kotb, 2014). However, the knockout vector for target gene replacement via homologues recombination was presumably attacked in our target strain *L. plantarum* RI-162. Modification of the recombination inducing vector by a non-methylation strain

(Spath et al., 2012) didn't work either. We assume that the internal system to digest foreign DNA in the target strain was active against the recombination inducing plasmid (van Pijkeren and Britton, 2014). Since deletion of the target gene for proteinaceous antifungal activity didn't work, the focus was set on metabolic antifungal activity. *L. plantarum* RI-162 showed a tremendous inhibition potential in a 1-ml co-culture, with a single *Lactobacillus plantarum* cell could inhibit 6 x 10⁵ cells of *Rhodotorula mucilaginosa* (Chapter 5). The potential of this *Lactobacillus* culture for protection of dairy products against other yeasts is of great interest. In our study, all tested *L. plantarum* strains showed strong antifungal activity, which points towards a strong role for lactate. Their application potential for fruit juices or silage should be tested as well (Crowley et al., 2013b).

Beside the potential antifungal protective cultures, we tested 6 potential antibacterial protective cultures in a salami fermentation project. The strains, that were sequenced and displayed antibacterial activity all harboured a potential bacteriocin encoding gene. Further, the antibacterial activity was protease sensitive. Therefore, is it likely that the antibacterial activity is caused by bacteriocin production. All of them were able to grow in a meat matrix and presumably produced bacteriocin *in situ*. Isolate *L. plantarum* RI-409 was selected as a potential protective culture for salami fermentation and was further tested in an industrial-scale fermentation. Since the *in situ* activity decreased in the industrial-scale fermentation compared to the small-scale fermentation, the protective culture needs further improvements. Optimization potential remains in terms of stability, efficiency and easy handling. The potential application of the 5 other tested strains for different meat products should be examined in combination with a fermentation-genomics platform (Bron et al., 2012).

The enterococci concentration reached up to 6.5×10^6 cfu/g in the small-scale fermentation of a raw milk soft cheese. A decrease of 97% was achieved with an application of a protective culture. For an initial approach this reduction leaves room for optimization such as that the protective culture supplementation could done at beginning of the raw milk incubation phase and not at the same time with the rennet.

Bacteriocin are bactericidal, therefore the use of protective cultures producing bacteriocins, or the bacteriocins themselves, can be expanded to non-food products. Fire blight is one of the most important pome fruit pathogens worldwide triggered by the Gram-negative bacterium *Erwinia amylovora* (Gusberti et al., 2015). Microbial pest management with lactobacilli was successfully demonstrated when fire blight was inhibited by a *L. plantarum* strain (Roselló et al., 2013) and confirms the potential of protective cultures in agriculture. Surface protection is another field for protective culture or bacteriocin application. Multi-drug resistant enterococci are frequently isolated from surfaces in hospitals or medical tools and are a major health problem nowadays (Arias et al.,

2010; Woods et al., 2017). Bacteriocin sprayed on medical surfaces could kill the enterococci and this be a potential tool to reduce hospital related enterococci infections.

Bacterial classification according to a phenotype dominated polyphasic approach creates minor problems as described in chapter 6. However, classification according to phenotypic criteria can be misleading, since a certain parameter can occur also in only 90% of the strains, and the phenotype is still used to determine the species or subspecies (Hammes and Hertel, 2009). This "phenotypic range" approach increases the robustness of the clusters, otherwise a single point mutation in a selected gene could change the annotation of the strain. On the other hand, phenotypes can be spread over population if a certain criterion is encoded on a plasmid and easily exchanged by HGT. Nevertheless, classification is only based on selected properties, which might be interesting for the researcher or easy to test in the laboratory. Bacteria are classified based on a combination of selected phenotypic parameters, instead of their actual "complete" phenotype or even their phenotypic potential. Finally, the determination of a phenotype requires the correct screening conditions which might not be the same for all strains. Therefore, a classification solely based on strict mathematical calculations such as ANI, core- or pan-genome clustering is more favourable. However, the presence of a gene with an annotated function doesn't mean that this gene is active, as we demonstrated for a presumed catalase gene in L. casei ATCC 393 (Acedo-Félix and Pérez-Martínez, 2003; Chapter 6). Therefore, classification according to the genome versus genome comparison would classify more on a potential phenotype instead of a measurable one.

Finally, pan- and core-genome clustering revealed a good overview about the shared genomic elements and functional categories within species or genera. In fact, clustering according to the core genome can be termed as a "perfect" MLST, since it implements all available data into the clustering. MLST is an accepted technique to cluster strains on species level. The cost for an MLST or a core-genome analysis are the same since whole genome sequencing cost decreased. However, the validity of the clustering increases since the clustering is based on several hundreds of genes instead of a dozen. The pan-genome clustering even includes more genes into the clustering and should result in an even better representation since the strain comparing is based on the entire genome instead only on the core-genome.

Perspectives

High-throughput screening of a culture collection for specific criteria is in most laboratories only limited by material costs and available full-time equivalents. Automation with pipetting robots drastically increases the speed of testing and reduces the tested sample volume and hence the screening costs for a strain or a function is reduced drastically. The future of phenotypic screening will be for sure a more automated screening approach. The approach for growth condition screening and antimicrobial activity, demonstrated in this study, can be easily adapted to an automated process structure. The same might be true for strain isolation approach. Environmental sampling and cultivation of collected isolates can be done systematically. Finally, whole genome sequencing completes the strain characterization approach and enhancing safety. Sequencing of genomes is already well established and might evolve to a more in-house application instead of external sequencing. Since the technique produces already high-quality data a further improvement might be the amount of necessary genetic material for sequencing. Environmental sampling significantly improved with the development of single-cell sequencing, an approach using the genetic content of only a few cells to determine the genome sequence (Gawad et al., 2016).

Based on this automated toolset, genotypic patterns for a certain phenotype can be proposed with comparative genomics. Therefore, a machine learning algorithm would crawl through the genomes and proposes candidate genes for phenotypes. However, since phenotype regulation is more complex than just the presence or absence of a gene or a genetic pattern, the detection algorithm would need some development. The following major criteria should be implemented into a future algorithm: (1) presence/absence of a gene or genetic pattern; (2) complete presence of pathways or patterns; (3) genetic regulation in promoters; (4) single nucleotide polymorphism; (5) allosteric regulation of genes; (6) and visualization of the results. This new developed set of tools would provide a systematic species description and gene annotation.

Finally, selected strains have to be tested in food fermentations. The more the initial screening represents conditions similar to the food product, the better the final selection of a few potential starter or protective strains fit the product. Such cultures can then be tested in an industrial-scale approach against the natural spoilage flora or an intentionally added spoilage organism.

This screening and selection approach can be extended to biotechnological challenges other than food applications such as environmental pollution. An oil spill, as happened on the Deepwater Horizon platform in the Gulf of Mexico, are ecological nightmares. Intoxication with crude oil leads to severe health problems like cardiotoxicity in sea animals (Incardona et al., 2014). Systematic screening for bacteria to help digesting crude oil into harmless products such as H₂O and CO₂ could be a strategy to fight against this kind of pollution. There are bacteria that are able to digest components of crude oil (Golyshin et al., 2013). Soil contamination from dioxin straying during the Vietnam war is another biotechnological challenge. Potential dioxin digesting bacterial cultures are already described (Pöritz et al., 2015).

Based on the work of this study and other studies, a new possible model of bacterial classification could be applied in the near future. A genome-genome comparing model would compare a sequenced genome with a database of already calculated genomes. X-means clustering is an approach to cluster a dataset containing an unknown number of clusters (Pelleg and Moore, 2000). The genomes in the database would then rearrange themselves while the user only defines what kind of sequence identity within a cluster is necessary. Based on this approach only a threshold for species sequence identity would be defined to cluster bacteria. The advantages of this model would be a defined average nucleotide identity range within species. This eliminates fuzzy intermixes species such as *L. casei* and *L. paracasei* with more or less identical genomes or very narrow species. To establish this model, faster algorithms and more efficient data handling are a necessity.

No matter what kind of a model is chosen by the taxonomy consortium, adjustments in the next years have to include a better involvement of complete genome data. The sooner a standardized approach is defined, the fewer work has to be done in reannotation of strains and species.

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