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Impacts of diversity in commercial building occupancy profiles on district energy demand and supply

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Abstract

Urban building energy models (UBEM) have the potential to become integral planning tools for district energy systems due to the dynamic, interactive and complex nature of temporal building energy demand patterns. Although the demand patterns are related to the occupancy profiles of buildings supplied by district energy systems, occupant behavior in current UBEM approaches does not usually consider *diversity in occupancy profiles* among buildings of the same use-type.

In this work, a novel method to create context-specific, data-driven commercial building occupancy profiles was used to generate, *diverse* and *non-diverse* urban building occupant presence models (UBOP). *Diverse* UBOP randomly assigned occupancy profiles to buildings. *Non-diverse* UBOP assigned the data-driven mean or median profile to all buildings. ASHRAE standard profiles and occupant densities served as a baseline for comparison.

The impact of *diverse* vs. *non-diverse* UBOP was assessed by comparing UBEM simulations for district energy efficiency benchmarking, renewable energy integration potential, and district energy system design, using a case study in Singapore. The results demonstrate that, because of the relationship between occupant presence and building systems operation, occupancy profiles are highly sensitive parameters for district energy demand predictions. For the case study, the energy demand estimation is significantly influenced by the shape of occupancy profiles. In particular, the choice of UBOP influences the cooling demand to the degree that district cooling system design

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decisions might be impacted. Therefore, it is advisable to use *diverse* UBOP and to run probabilistic UBEM simulations for district energy system design.

Keywords: Urban building energy model, energy-related occupant behavior, data-driven urban building occupant presence model, district energy system

1 Introduction

1.1. Urban Building Energy Models and Occupant Behavior

Urban building energy models (UBEM) [1] have the potential to become integral planning tools for urban design and district energy systems [2, 3]. The first step of such bottom-up, physics-based models is the prediction of the dynamic energy demands of individual buildings in the district. This energy demand prediction is dependent on the various modeling assumptions related to building physics, building systems, and energy-related occupant behavior, which are sensitive [4, 5, 6]. Due to this sensitivity, it is still unclear to what extent and for what exact purposes UBEM will be suitable decision-making tools for urban design and infrastructure planning. One purpose of UBEM could be the planning of district heating systems (DHS) and district cooling systems (DCS).

One main argument for DHS and DCS is the reduction in capital cost due to the *load diversity*, which “can substantially reduce the total equipment capacity requirement” [7]. What this means is that the “total heating and cooling capacities do not need to be as large as the sum of capacities that would occur in individual buildings, because peak demands will not all occur at the same time” [8]. This effect has to do with the diversity of building geometries, construction properties, and building use-types within a district. This diversity leads to differences in the temporal energy demand patterns of buildings, which can also be beneficial for achieving renewable energy supply targets in the district [9]. Current UBEM approaches are able to consider differences in geometry and construction. However, they mostly rely on standard assumptions regarding the occupant behavior of specific building use-types, which are then applied for all buildings of the same use-type [10]. The usual approach for urban building occupant presence modeling (UBOP) consists of typical occupant density values and relative occupancy profiles, which are multiplied with the buildings’ floor area to obtain the number of people present at any given hour of the year. Such typical values

31 are published by professional associations like the American Society of Heat-
 32 ing, Refrigerating and Air-Conditioning Engineers (ASHRAE) for example
 33 [11, 12] or the Swiss Society of Engineers and Architects (SIA) [13]. While
 34 these approaches consider differences *between use-types*, the variability *within*
 35 *use-types* is often neglected. This simplification could affect the UBEM sim-
 36 ulation results, especially in mixed-use districts with a considerable share
 37 of commercial buildings. Such buildings, for example restaurants and retail
 38 buildings, can have highly variable occupancy profiles.

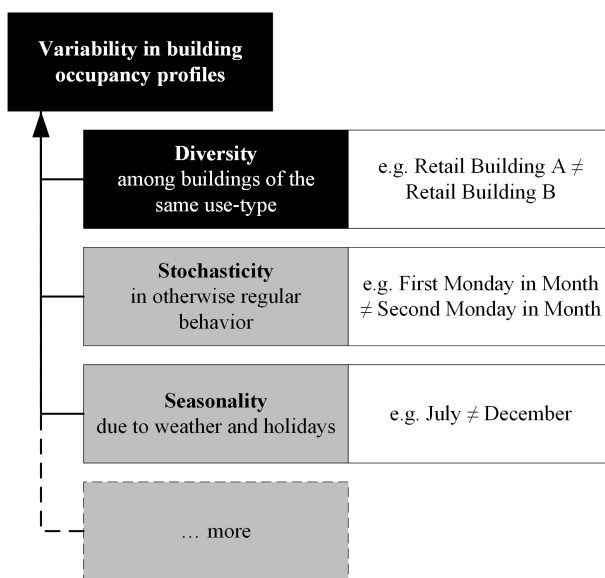


Figure 1: Possible causes and examples of variability in building occupancy profiles on the district and urban scale.

39 Fig. 1 introduces some of the causes that could lead to variability in occu-
 40 pancy profiles within buildings of the same use-type. We use the terminology
 41 *diversity*, *stochasticity*, and *seasonality* to describe them. Diversity is used
 42 to describe fundamental differences between buildings of the same use-type.
 43 E.g., a clothing store vs. a grocery store or a fast-food restaurant vs. a fine
 44 dining restaurant. Stochasticity is used to describe the random variations in
 45 regular daily profiles of a specific building. E.g., the timing and height of the
 46 regular Monday lunchtime peak in a restaurant might vary randomly from
 47 week to week within certain bounds. Finally, seasonality is used to describe
 48 underlying behavioral trends influencing all types of buildings, such as the
 49 weather or holidays. E.g., people might generally spend more time outdoors

50 during pleasant weather, which might reduce the overall occupancy of shop-
51 ping malls. Diversity was selected as the focus of this work because to date,
52 1) this critical aspect has not been addressed in the literature, and 2) because
53 commercial building occupancy profiles with ‘real’ observed diversity can be
54 collected to provide novel insight into district energy systems [14].

55 In the next section, existing modeling approaches dealing with variability
56 in occupancy profiles on the district- and urban-scales are introduced. On
57 the building-scale, occupant behaviour is extensively studied in the context
58 of the International Energy Agency (IEA) Annex 66 [15] and its follow-up
59 Annex 79 [16] that is working on “dynamic, stochastic, agent-based, and
60 data-driven” occupant models [17].

61 *1.2. Advanced Urban-Scale Occupant Behavior Models*

62 Currently, there are few publications focused on advanced occupant be-
63 havior modeling approaches in district or urban energy simulations [10]. So
64 far, approaches that consider stochasticity and/or diversity in occupant pres-
65 ence profiles focused on mono-functional residential [18, 19, 20, 21, 22, 23, 24,
66 25, 26] or office [27, 28, 29, 30] districts and are mostly based on building-
67 scale approaches, which are in turn based on residential time-use survey
68 (TUS) data, e.g. [31], or observed data in offices, e.g. [32]. Additionally more
69 recently, novel approaches that couple urban mobility models with UBEM
70 have been addressed in the literature [33]. For more detail on the previously
71 mentioned approaches, please refer to the literature review by Happle et al.
72 [10].

73 In the following subsections, advanced approaches for UBOP that con-
74 sider stochasticity and/or diversity are introduced, and the categories of
75 *space-based* and *person-based* approaches from [10] are used to describe them.
76 To our knowledge, the only approach that considers seasonality is the SIA
77 standard that contains monthly multiplication factors to adjust the occu-
78 pancy profiles throughout the year [13].

79 *1.2.1. Space-based approaches that add stochasticity to regular profiles*

80 Stochasticity can be added to otherwise regular profiles with the concept
81 of Monte-Carlo Markov-Chains (MCMC), as proposed by Page et al. [32]
82 and Richardson et al. [31]. DELORES, for example, is a tool that uses the
83 MCMC approach to generate stochastic occupancy profiles, energy use for
84 appliances and lights, and thermal comfort settings in buildings [34]. The

85 MCMC concept has recently been applied on the district-scale in Ref. [35]
86 to compare different modeling approaches.

87 A different approach was used in Ref. [36]. In Switzerland, a building
88 stock modeling tool introduced stochasticity into yearly standard schedules
89 of occupancy from SIA via random *vertical variability* and *horizontal vari-*
90 *ability*. In the context of that work, vertical variability stands for randomly
91 perturbing each hourly value around its nominal value. Horizontal variability
92 stands for the creation of blocks of hourly periods, and within these blocks
93 shuffling the nominal schedules values with each other [36].

94 *1.2.2. Person-based approaches with single building interactions*

95 Diversity and stochasticity in person-based approaches can be achieved
96 by considering different categories of occupants in buildings. This kind of
97 diversity is usually based on statistical data. For example, for residential
98 buildings in the context of Europe, StROBe [25] has the ability to generate
99 stochastic occupant behavior profiles based on the number of household mem-
100 bers and their employment status (‘minor’, ‘full-time employed’, ‘part-time
101 employed’, ‘unemployed’ or ‘retired’). The approach is data-driven, relying
102 on statistical data from TUS, Household Budget Surveys, and Qualitative
103 House Registration Surveys. Another example is SOB by [26] for stochastic
104 behavior modeling of residents in China. For typical households, e.g., ‘two
105 office workers, one student, and one retiree’, the occupant presence, appliance
106 use, window operation, and air-conditioning (AC) use are modeled by com-
107 bining different probabilistic models from the literature. Typical household
108 compositions and behavior patterns were based on a large-scale questionnaire
109 survey. Other parameter values were assumed. In [37], various models from
110 the literature are integrated into a room-level stochastic occupancy simulator
111 for office buildings. For a category of occupant, e.g., a ‘researcher’, arrival
112 and departure events from the office buildings, random movements between
113 different rooms, and meeting events are stochastically generated based on
114 probability distributions and transition probability matrices, which are in-
115 put data. Such input data could be based on measurements or assumptions.

116 *1.2.3. Person-based approaches with multiple building interactions*

117 Recently, some studies have integrated agent-based urban mobility mod-
118 els with UBEM. In [33], an urban mobility model for Boston based on mobile
119 phone data was used to infer building occupancy. The urban mobility model
120 simulates the daily individual trajectories of 3.54 million people, including

121 2.10 million ‘workers’ and 1.44 million ‘non-workers’ in Boston. Each tra-
122 jectory consists of a series of stay point coordinates that are characterized
123 as ‘home’, ‘work’, or ‘other’. The buildings in Boston were classified as
124 ‘residential’, ‘commercial’, or ‘industrial’. Stay points of people were then
125 probabilistically mapped to buildings to infer building occupancy, whereas
126 ‘home’ was mapped to ‘residential’, ‘work’ was mapped to ‘commercial’ or
127 ‘industrial’, and ‘other’ was mapped to ‘commercial’. In an UBEM, this oc-
128 cupancy is then used to simulate energy demands for one representative day
129 in each season for 1266 buildings.

130 The integration of agent-based urban mobility models and UBEM is
131 promising for applications in existing neighborhoods. However, a space-
132 based, data-driven UBOP might be a suitable and more straightforward al-
133 ternative for applications in the early design stage of a district. UBOP and
134 urban mobility models could share data sources, instead of full integration
135 of the models.

136 *1.3. Data sources for urban building occupant presence models*

137 Space-based building occupancy models usually require two input param-
138 eters, the occupant density and the relative occupancy profiles of buildings.
139 In previous work, it was demonstrated that context-specific occupancy pro-
140 files can be created from location-based services (LBS) data [14]. While
141 individual buildings’ occupant densities constitute valuable information, it is
142 difficult to obtain them on a large scale due to the limitations associated with
143 determining the absolute number of people from LBS data [10]. An alter-
144 native is to obtain data on the total occupancy of a neighbourhood instead
145 of individual buildings. Urban mobility modellers are already extensively
146 relying on such totals in their data-driven models. For example in [38], the
147 absolute number of people performing certain activities in a district was esti-
148 mated from a combination of public transport passenger data and TUS data.
149 In [39], similar information was obtained from mobile phone data. A data-
150 driven UBOP could use these totals as an input and model the occupant
151 presence in buildings in a way that satisfies the total district occupancy as
152 well as the relative occupancy profiles of buildings. For new developments,
153 the total district occupancy could be approximated by using data of existing
154 districts with similar characteristics.

155 *1.4. Objective and Research Questions*

156 The objective of this work has been to better understand the possible
157 impacts of diversity in commercial building occupancy profiles on simulated
158 district energy demand patterns. Furthermore, the potential impacts on
159 district energy supply systems planning and design decisions have been ex-
160 plored using a case study in the cooling-dominated climate of Singapore.
161 For this purpose, a space-based, data-driven UBOP approach to generate di-
162 verse commercial building occupancy patterns has been employed for a high-
163 density, mixed-use, future district of Waterfront Tanjong Pagar. A UBEM
164 tool was then used to simulate end-use energy demand patterns for different
165 choices of UBOP. These demand patterns were then analyzed in relation to
166 the following main research question:

167 (Q1) How relevant is it to consider diversity in commercial building oc-
168 cupancy profiles for UBEM simulations?

169 In order to address this high level research question, the following concrete
170 questions regarding the UBEM modeling purpose, the UBOP approach, and
171 the context will be addressed using a case study:

172 (Q2) What are the impacts of diversity in commercial building occupancy
173 profiles on different phases of the energy system analysis process? (Q3)
174 Is diversity in commercial building occupancy profiles relevant in a district
175 dominated by buildings with regular operational patterns? (Q4) What are
176 the appropriate UBOP modeling approaches for different UBEM simulation
177 purposes and contexts?

178 In terms of broader impact, urban planners and energy systems planners
179 could make use of this information to choose appropriate UBOP approaches
180 for their context and purpose of UBEM simulation.

181 To address (Q2), UBEM simulation results of a case study have been
182 produced in sequential order: District occupancy, district demand, renew-
183 able energy integration potential, and supply systems design. To address
184 (Q3), the simulation results have been analysed separately for different ag-
185 gregations of buildings in the district. We considered the aggregation of only
186 commercial buildings in the district and the aggregation of all buildings in
187 the district, including a large share of office and residential buildings with
188 assumed regular operation patterns. To address (Q4), different UBOP have
189 been be developed. They entail a model representing the status-quo and
190 multiple data-driven models. Probabilistic diverse models and deterministic
191 uniform models have been created based on data. Comparing the results of

192 these different UBOP highlights under what circumstances diversity can be
193 neglected and diverse profiles can be substituted with a uniform mean or
194 median profile per building use-type. Such comparisons also provide insight
195 on situations where diversity is highly influential on the results. In these
196 contexts, multiple probabilistic simulations are necessary because single sim-
197 ulation based deductions would present too high levels of uncertainty to be
198 effectively useful.

199 This paper is organized as follows: Section 2 introduces the methods used
200 for data-driven UBOP, the UBEM tool, and the methods for demand pattern
201 analysis. Section 3 introduces the case study. The results are presented
202 in section 4 in four subsections ordered according to the sequential UBEM
203 simulation results: District occupancy, district demand, renewable energy
204 integration potential, and supply system design. The discussion in section
205 5 is followed by the assessed limitations in section 6 and the conclusions
206 in section 7. Furthermore, Appendix A details the occupancy modeling
207 and Appendix B urban building energy modeling. Appendix C provides
208 reference comparison of the models to statistical data.

209 2. Methods

210 The methods used in this work are comprised of three parts. They are:
211 (1) data-driven urban occupant presence modeling for commercial buildings,
212 (2) urban building energy simulation with a UBEM tool, and (3) the analysis
213 of the district energy potentials and demand patterns. The three parts are
214 introduced in the next sections.

215 2.1. Data-driven Urban Building Occupant Presence Modeling

216 The data-driven UBOP in this work is based on location-based services
217 data that serve as a proxy for real measured occupant presence data. In the
218 following sections, the data collection, the occupant presence models, and
219 the relationship of occupancy to internal building loads are described. The
220 methods for data collection and processing are based mainly on previous
221 work in Ref. [14].

222 2.1.1. Data Collection and Processing

223 The workflow of [14] was used to collect data in an area of 4km by 4km
224 around the Downtown Core in Singapore, an area immediately adjacent to
225 the proposed development used as the case study. See Fig. 2. *Popular times*

226 data was collected for commercial buildings from Google Maps [40]. Opening
 227 hours and place-type information was obtained from the Google Places API
 228 [41].

229 The collected popular times data was categorized into the two use-types,
 230 restaurant and retail, based on the place-type information and filtered for
 231 seven days of data availability based on the opening hours information.
 232 Meaning that places without popular times data during *closed* days were
 233 included, and places with missing data during *open* days were excluded.
 234 With this procedure, 567 weekly retail occupancy profiles and 1767 weekly
 235 restaurant occupancy profiles for the Singapore Downtown and its neigh-
 236 boring areas were obtained. These diverse weekly relative profiles can be
 237 directly used as a proxy for measured relative occupancy data in UBEM
 238 tools. For example the profiles could be used to replace standard schedules
 239 of occupancy.



Figure 2: Data collection site (red) and case study site (green) in Downtown Singapore. Background map from [42].

240 The occupant behavior model in this work generates the inputs for the
 241 UBEM simulation in a two-step process. First, the number of people in each
 242 building is determined with a UBOP. Second, occupant-building interactions,
 243 such as metabolic heat gains, required ventilation rates, appliance, lights, and
 244 hot water use, are calculated based on the number of people in each building.
 245 The different UBOP are introduced next.

246 2.1.2. Occupant Presence Modeling

247 In total, we compared ten space-based UBOP. See Fig. 3. On the left a
 248 baseline model is illustrated (Fig. 3 left) and is based on standard assump-

266 A.

267 We compare different categories of data-driven UBOP: One category with
268 diversity in building occupancy profiles, and another category with single,
269 *non-diverse* or *uniform* profiles. The models with diversity were using ran-
270 domly chosen subsamples of profiles from the collected data sample. Individ-
271 ual profiles were applied to each of the buildings belonging to the use-type.
272 For the non-diverse models, we considered the hourly mean or the median of
273 the entire data sample as single profile in accordance with research question
274 (Q4). This single profile was then applied uniformly to all buildings of the
275 use-type.

276 The main departure point of this work was based on the observation that
277 only using standard occupant densities for data-driven UBOP would provide
278 limited insight into the paper’s primary research question, which aims to bet-
279 ter characterize building to district interaction. The standard assumptions of
280 the **base** model provide a package consisting of temporal relative occupancy
281 profile and occupant density at full occupancy (or design conditions) resulting
282 in the number of people present in all buildings in the district. To compare
283 data-driven UBOP to the **base** model, we propose three mutually exclusive
284 assumptions in line with the considerations introduced in section 1.3. First,
285 we assume that the ASHRAE default occupant densities are good estimates
286 for full occupancy in individual buildings. Second, the ASHRAE standard
287 assumptions are good estimates for the district peak occupancy, which is the
288 maximum hourly count of people during the week within a group of build-
289 ings. Third, the ASHRAE standard assumptions are good estimates for the
290 cumulative district occupancy, which is the sum of hourly people counts of
291 the week within a group of buildings.

292 Additionally, all three assumptions presented are relevant because they
293 highlight varied aspects of district occupancy of interest to different stake-
294 holders involved in building and district planning.

295 The three assumptions in this work have been translated into modeling
296 *constraints* that can be fixed as an anchor at the district-level. These con-
297 straints can be met either by scaling the occupant density or by scaling the
298 relative occupancy profiles. Since the occupancy profiles and their diversity
299 are the focus of this work, the occupant density was treated as a variable.

The **cap** (capacity) constraint fixes the total space capacity (the full
occupancy values) of all buildings in the district belonging to one use-type

Cap_u in *pers.*. See Eq. 2:

$$Cap_u = \sum_{b=1}^{B_u} A_{GFA,b}/D_u * 100\% = Const. \quad (2)$$

300 where $b \in \{1 \dots B_u\}$ are the buildings in the use-type u . The **cap** constraint
 301 is straightforward to meet and does not require adjustments of the occupant
 302 density because it is independent of the buildings' relative occupancy profile.

The **peak** constraint fixes the weekly maximum hourly count of all people
 in all buildings of a certain use-type $Peak_u$ in *pers.* See Eq. 3.

$$Peak_u = \max_{1 \leq t \leq 168} \sum_{b=1}^{B_u} A_{GFA,b}/D_u * p_b(t) = Const. \quad (3)$$

303 where $t \in \{1 \dots 168\}$ are all hourly time steps in a week. The **peak** con-
 304 straint requires scaling the occupant density of the use-type depending on
 305 the relative occupancy profiles.

The **sum** constraint fixes the weekly sum of hourly counts of all people
 in all buildings of a certain use-type Sum_u in *pers.* See Eq. 4.

$$Sum_u = \sum_{t=1}^{168} \sum_{b=1}^{B_u} A_{GFA,b}/D_u * p_b(t) = Const. \quad (4)$$

306 The **sum** constraint requires scaling the occupant density of the use-type
 307 depending on the relative occupancy profiles. The **peak** and **sum** constraint
 308 can be met with one iteration of scaling occupant density. After relative
 309 profiles are assigned to each building with an initial guess for occupant den-
 310 sity, the number of people in each building can be recalculated using a linear
 311 scaling factor so that the chosen constraint is met.

312 Resulting from the combinations of profiles and constraints, we considered
 313 nine data-driven UBOP in this work in addition to the **base** model. Each
 314 model is named according to its combination of profile and constraint. See
 315 Fig. 3.

316 Three data-driven **div** (diverse) models were created and consist of ran-
 317 dom subsamples of context-specific profiles in combination with the three
 318 constraints. These models are referenced as **div-cap**, **div-peak**, and **div-**
 319 **sum** according to the specific constraint they meet.

320 In addition, six uniform data-driven models were created and consist of
321 the combinations of the **mean** and **med** (median) profiles with the three
322 constraints. These models are referenced as **mean-cap**, **mean-peak**, **mean-**
323 **sum**, **med-cap**, **med-peak**, and **med-sum** according to their combination
324 of profile and constraint.

325 All **div** models are probabilistic because of the random choice of profiles
326 from the collected data. Each of these three models can be executed N
327 times so that N probabilistic UBEM simulation results are generated that
328 can be statistically analyzed. The single profile **base**, **mean**, and **med**
329 models generate one deterministic simulation result each. The values of the
330 constraints are derived from the results of the **base** model.

331 In the next section, the models for relationships between occupant pres-
332 ence in buildings and energy-related occupant behavior are introduced.

333 *2.1.3. Occupant-building-interaction modeling*

334 The second step of the occupant behavior model calculates the passive and
335 active energy-related interactions of occupants with buildings required for the
336 UBEM simulations. Occupants interact with the building systems in various
337 ways. The extent of these interactions depends on the building use-type.
338 While residents control almost all systems in their houses, retail customers'
339 interactions with the building are mostly passive. Which of those interactions
340 can be considered also depends on the choice of UBEM (introduced in the
341 next section below).

342 In our case, occupant behavior is a UBEM simulation input consisting of
343 the following yearly vectors with hourly values: Occupants have metabolic
344 activity. Their presence causes sensible heat gains \mathbf{Q}_s in Wh_{th}/h and latent
345 heat gains \mathbf{X} in g_{water}/h . Occupants' presence also impacts the indoor air
346 quality, which necessitates a fresh air flow rate \mathbf{Ve} in l/s . Their activities
347 in buildings directly or indirectly cause electricity consumption due to the
348 use of lights \mathbf{E}_l in Wh_{el}/h and appliances \mathbf{E}_a in Wh_{el}/h , and the flow rate
349 of hot water \mathbf{V}_{ww} in l/h . Occupants might also impact heating, ventilation,
350 and air-conditioning (HVAC) system operation schedules, in the form of the
351 cooling system set-point temperature \mathbf{T}_{cs} schedule for each hour of the week
352 in $^{\circ}C$ or the fresh air flow rate.

353 Our modeling approach for restaurant and retail buildings used rule-based
354 algorithms to calculate the quantities mentioned above from the values of
355 absolute and relative occupancy and the respective nominal values, i.e., the
356 lighting and appliance power density of buildings, and the per-person hourly

357 heat gains, ventilation requirements, and water use. These rule-based al-
358 gorithms were designed to emulate the implicit relationships between occu-
359 pant presence and occupant behavior, as presented in the ASHRAE standard
360 schedules [12, 11]. They are described in detail in Appendix A. Notewor-
361 thy is the algorithm determining the cooling set-point temperature and the
362 required ventilation flow rate, which was assumed to be presence-controlled.
363 This means that the mechanical ventilation and space cooling systems in com-
364 mercial buildings are operating during the time when occupants are present
365 (i.e., during the retail and restaurant buildings’ opening hours). The required
366 ventilation rate was modeled after a context-specific building code that man-
367 dates minimum flow rates per person as well as per area [43]. This results
368 in high $Ve(t)$ even during periods of low occupancy. During zero-occupancy,
369 ventilation systems are switched off, and cooling systems are set-back to a
370 higher temperature of $30^{\circ}C$. Another point to keep in mind is that in our
371 approach, the electricity consumption for lights and appliances in commer-
372 cial buildings was not directly related to the absolute number of people in
373 the building. We used only the relative value of occupancy to determine
374 the electricity consumption for lights and appliances. Meaning that changes
375 in occupant density do not influence these electricity demands. All other
376 quantities depend on the absolute number of occupants in the space. See
377 Appendix A. Based on one week of occupant presence generated with the
378 UBOP, yearly vectors of occupant behavior for each building in the district
379 were generated and input into the UBEM simulation.

380 *2.2. Urban Building Energy Modeling*

381 The CityEnergyAnalyst (CEA) tool [2] was used for the UBEM simula-
382 tions in this work. The CEA is a python open-source urban energy simulation
383 toolbox, including functionality to simulate urban solar radiation, building
384 energy demand forecasting, energy potential assessment, and thermal net-
385 work and supply systems simulation and optimization. All simulations were
386 carried out with CEA version 2.29 [44]. The next two subsections introduce
387 the building energy demand and solar potential features used in this work.

388 *2.2.1. District Energy Demand*

389 The building energy demand model of the CEA is based on an hourly
390 single-zone resistance-capacitance model based on ISO standards [45]. The
391 solar heat gains of buildings are calculated with the DAYSIM simulation
392 engine [46], which is integrated in CEA. Inputs are the building geometry,

393 defined by the building footprint and the height, the building construction
 394 properties, such as the window-to-wall ratio, the window, wall, and roof ther-
 395 mal properties, the building system properties, and the occupant-building-
 396 interactions mentioned above in section 2.1.3. The outputs are the hourly
 397 end-use energy demands for sensible and latent space heating and cooling, the
 398 flow rates and supply and return temperatures of the space heating and cool-
 399 ing systems, the thermal energy demand for water heating, and the electricity
 400 consumption of auxiliary systems, such as fans and pumps. The outputs also
 401 include the estimated final electricity or fuel consumption of decentralized
 402 heating and cooling supply systems.

403 The typical building and construction properties used in this work were
 404 based on context-specific literature and introduced in detail in Appendix B.
 405 The weather file used for the simulations is the typical meteorological year
 406 for Singapore [47]. A comparison to average energy consumption data in
 407 Singapore for all considered building use-types is provided in Appendix C.

408 From the CEA demand simulation outputs the yearly vectors for each
 409 building of hourly thermal demands for space cooling systems $\mathbf{QC}_{\text{sys},b}$ and
 410 water heating $\mathbf{Q}_{\text{ww},\text{sys},b}$ in kWh_{th}/h and the electrical demands for appli-
 411 ances $\mathbf{E}_{a,b}$, lights $\mathbf{E}_{l,b}$, and auxiliary systems $\mathbf{E}_{\text{aux},b}$ in kWh_{el}/h were ana-
 412 lyzed. These demands were aggregated when *electric end-use* demands were
 413 considered. CEA also converts space cooling and water heating to electric
 414 loads $\mathbf{E}_{\text{cs},b}$, $\mathbf{E}_{\text{ww},b}$ in kWh_{el}/h assuming default conversion systems. These
 415 outputs were added to electric end-uses when *all-electric*, decentralized build-
 416 ing supply systems were considered.

417 2.2.2. District Solar Potential

418 The solar potential analysis tool of the CEA [2] was used to calculate the
 419 district’s renewable energy potential. The inputs into the tool are the selec-
 420 tion of pre-defined photovoltaics (PV) technology from the CEA database
 421 and the annual radiation threshold in $kWh_{sol}/m^2/yr$ to select roof and fa-
 422 cades to install PV panels. The output is the hourly electricity yield from all
 423 PV panels installed on the roofs and facades on each building in the district
 424 $\mathbf{E}_{\text{PV,gen},b}$ in kWh_{el}/h .

425 We used a generic monocrystalline PV technology from the CEA database
 426 (CEA PV1) with panels installed on every roof and wall surface with annual
 427 irradiation of more than $250 kWh_{sol}/m^2/yr$. The threshold was based on
 428 life cycle assessment data and was selected so that the panels receiving this
 429 value of annual irradiation are yielding electricity with greenhouse gas (GHG)

430 emission intensity parity with the Singaporean national electricity grid supply
 431 mix [48].

432 The next section introduces the methods for the post-processing of the
 433 hourly UBEM simulation results.

434 2.3. District Demand and Potentials Analysis

435 The UBOP produces annual hourly district occupancy patterns. The
 436 UBEM simulation results deliver the annual hourly energy end-use patterns
 437 and annual peak demands. The total all-electric energy demand of all build-
 438 ings, assuming electric decentralized space cooling and hot water supply sys-
 439 tems, is an output of the CEA as well. For the analysis of district occupancy
 440 and total energy demand, there was, therefore, no post-processing of the
 441 results required. The next two sections introduce the additional metrics cal-
 442 culated to assess the district’s potential to integrate on-site renewable energy
 443 generation and the metrics used to assess the potential to construct a cen-
 444 tralized DCS.

445 2.3.1. District Renewable Energy Potential Assessment

446 The potential to integrate decentralized renewable electricity from stochas-
 447 tic sources, such as PV electricity, depends on the expected demand patterns.
 448 The *self-consumption* potential determines how much of the generated elec-
 449 tricity can be consumed instantaneously on-site. The *self-sufficiency* poten-
 450 tial determines how much of the electricity demand can be instantaneously
 451 produced on-site.

452 We used the hourly electricity yield from all the PV panels in the district
 453 $\mathbf{E}_{PV,gen,district}$ to calculate the district’s solar self-consumption SC_{PV} and
 454 self-sufficiency SS_{PV} potentials assuming no storage with Eq. 5 and Eq.
 455 6. We calculated the potential of overall renewable energy share RES_{PV} ,
 456 assuming perfect storage, in the district with Eq. 7.

$$SC_{PV} = \frac{\sum_{t=1}^{8760} \min(E_{PV,gen,district}(t), E_{D,district}(t))}{\sum_{t=1}^{8760} E_{PV,gen,district}(t)} \quad (5)$$

$$SS_{PV} = \frac{\sum_{t=1}^{8760} \min(E_{PV,gen,district}(t), E_{D,district}(t))}{\sum_{t=1}^{8760} E_{D,district}(t)} \quad (6)$$

$$RES_{PV} = \frac{\sum_{t=1}^{8760} E_{PV,gen,district}(t)}{\sum_{t=1}^{8760} E_{D,district}(t)} \quad (7)$$

457 where $t \in \{1 \dots 8760\}$ are all hourly time steps in a year and $\mathbf{E}_{D,district}$ is
 458 the electrical energy demand considered in the district. We used two different
 459 electrical energy demands for the renewable energy potential assessment. The
 460 electrical end-use demands were calculated either as $\mathbf{E}_{D,el,district}$ with Eq. 8,
 461 or if all-electric decentralized building supply systems were considered, as
 462 $\mathbf{E}_{D,el,district}$ with Eq. 9.

$$\mathbf{E}_{D,el,district} = \sum_{b=1}^{B_{district}} \mathbf{E}_{a,b} + \sum_{b=1}^{B_{district}} \mathbf{E}_{l,b} + \sum_{b=1}^{B_{district}} \mathbf{E}_{aux,b} \quad (8)$$

$$\mathbf{E}_{D,all,district} = \mathbf{E}_{D,el,district} + \sum_{b=1}^{B_{district}} \mathbf{E}_{cs,b} + \sum_{b=1}^{B_{district}} \mathbf{E}_{ww,b} \quad (9)$$

463 where $b \in \{1 \dots B_{district}\}$ are all buildings in the case study district.

464 2.3.2. Thermal District Supply System Design Metrics

465 We analyzed the district's thermal cooling demand in order to assess the
 466 potential impacts of the different UBOP onto DCS design. We aggregated
 467 the thermal space-cooling demand of buildings in the district to create the
 468 annual load duration curves. We then analyzed these load duration curves
 469 with the design of a hypothetical centralized DCS in mind.

470 We compared the annual peak demand as a proxy for the investment cost
 471 and the annual energy demand as a proxy for the operation costs. We also
 472 calculated the *diversity factor* and the *capacity factor* of the space cooling
 473 demand. The diversity factor DF_{cool} quantifies the ratio of the aggregated
 474 peak demand in the district to the sum of individual peak demands in the
 475 buildings [49]. It provides indications on the potential savings on investment
 476 costs when considering a DCS as compared to a decentralized supply system.
 477 We then sized a hypothetical centralized cooling plant according to the peak
 478 demand in the district. The capacity factor CF_{cool} of that plant quantifies the
 479 ratio of the energy provided by the system to its supply capacity. It provides
 480 indications on whether the installed capacity is underutilized. In [49] the
 481 authors minimized the *fluctuation index* $f = 1 - CF_{cool}$ to optimize the
 482 building-mix served by a DCS to maximize the plant utilization for a shorter
 483 payback period of investment costs. The value of CF_{cool} might also influence
 484 the system choice directly. A low capacity factor might cause engineers to
 485 propose a DCS design with lower installed cooling generation capacity and
 486 a thermal energy storage (TES) instead.

487 We used Eq. 10 and Eq. 11 to calculate DF_{cool} and CF_{cool} for three
 488 options of *connected buildings*. We were considering all commercial podiums
 489 connected, all commercial podiums and office towers connected, and all
 490 buildings in the district connected in order to address research question (Q3).

$$DF_{cool} = \frac{\max_{1 \leq t \leq 8760} \sum_{b=1}^{B_{DCS}} QC_{sys,b}(t)}{\sum_{b=1}^{B_{DCS}} \max_{1 \leq t \leq 8760} QC_{sys,b}(t)} \quad (10)$$

491 where $b \in \{1 \dots B_{DCS}\}$ are all buildings b connected to the DCS and $t \in$
 492 $\{1 \dots 8760\}$ are all hourly time steps in a year.

$$CF_{cool} = \frac{\sum_{t=1}^{8760} \sum_{b=1}^{B_{DCS}} QC_{sys,b}(t)}{\max_{1 \leq t \leq 8760} \sum_{b=1}^{B_{DCS}} QC_{sys,b}(t) * 8760h} \quad (11)$$

493 In the next section, the mixed-use high-density case study district in
 494 Singapore is introduced.

495 3. Case Study

496 Our case study is a proposed urban re-development in Singapore, neigh-
 497 boring the existing central business district. As part of a future large urban
 498 transformation, called the Greater Southern Waterfront, shipping port ter-
 499 minals will be converted into high-density mixed-use urban districts. The
 500 overall project comprises around 2000 ha of land [50, 51, 52]. The Water-
 501 front Tanjong Pagar Project at the Future Cities Laboratory (FCL) looked
 502 specifically at the re-development of the port’s City Terminals. A transdisci-
 503 plinary team proposed a phasing plan, including 21 precincts, to be developed
 504 over the next 50 years [53]. Different precincts have different urban design
 505 and follow a phasing strategy based on predicted space demand in Singapore.
 506 For our case study, we selected Precinct 1.1, which is planned to be built first
 507 and will be the direct extension of Singapore’s central business district.

508 3.1. Urban Geometry and Population

509 Two sets of planning information were obtained from the design team:
 510 A 3D representation of the district, including the footprints of the selected
 511 ‘tower & podium’ block typology, and the design requirements of the district
 512 in terms of total GFA, the total population of residents, and the number of
 513 office jobs. See Table 1. The geometry was simplified by removing intermedi-
 514 ate roof-gardens and other details, such as the setbacks with increasing tower

515 height. In the simplified geometry, all towers were assumed to be straight
516 without setback. The land-use allocation was not provided. Based on the
517 total GFA requirements, the district’s population, and the typical occupant
518 density for office buildings in Singapore [54], the building heights were deter-
519 mined. The towers were assumed to be office use or residential use, whereas
520 the podiums were assumed to be commercial (retail and restaurant). Large
521 podiums were split into smaller buildings in order to assign specific occupancy
522 profiles and vary the share between retail and restaurant use flexibly between
523 different urban design scenarios. The final case study geometry consisted of
524 a total of 145 buildings. They were 29 residential towers and 12 office towers
525 with between 17 to 44 floors and 104 podium parts with five floors each. See
526 Fig. 4. The case study’s final design characteristics and original design goals
527 are given in Table 1. The overall use-mix in terms of GFA was 63% residen-
528 tial, 21% office, and 16% commercial. The detailed UBEM parameters are
529 provided in Appendix B.

Table 1: Case study characteristics of Precinct 1.1 - comparison of the simplified geometry used in this work and the original design by FCL.

parameter	simplified geometry	original design
total GFA (m^2)	1,333,861	1,333,300
no. jobs (<i>pers.</i>)	27,700	27,700
office occupant density ($m^2/pers.$)	10.0 ^a	n/a
office GFA (m^2)	277,000	n/a
no. residents (<i>pers.</i>)	24,000	24,000
residential GFA (m^2)	845,040 ^b	n/a
residential occupant density ($m^2/pers.$)	34.6 ^c	n/a
commercial GFA (m^2)	211,821 ^d	n/a

^a 10 ($m^2/pers.$) is the occupant density of the benchmark large office building developed by researchers of the Singapore-Berkeley Building Efficiency and Sustainability in the Tropics (SinBerBEST) program together with the Singaporean Building Construction Authority (BCA) [54].

^b The residential GFA is the GFA of all remaining towers after 12 office towers with a total of 277,000 (m^2) have been selected via linear optimization.

^c This is the result of dividing the total GFA of residential towers by the number of residents.

^d The commercial GFA is the total area of all podiums.

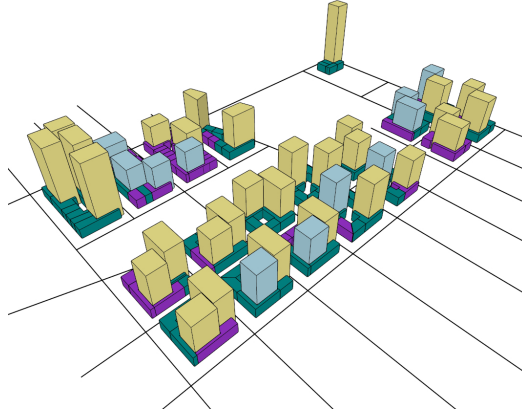


Figure 4: 3D representation of the case study geometry. Retail podium parts are colored in dark green, restaurants in purple. Office towers are light blue and residential towers are khaki.

530 *3.2. Land-use and Occupancy of Commercial Buildings*

531 The case study design did not include the share of use-types in the com-
 532 mercial podiums. For our experiments, we assumed an urban design scenario
 533 based on typical Singaporean shopping malls. Due to the increase in online
 534 commerce, shopping malls in Singapore are retrofitting to substitute some of
 535 the retail space with more food & beverage space. Experts cited in a news-
 536 paper article estimate that in the future, restaurants could take up to 40%
 537 of the area in malls, as compared to 20–30% in the past [55]. In this work
 538 a near-future land-use scenario with 35% restaurant space and 65% retail
 539 space was considered. Linear optimization was used to assign commercial
 540 podium buildings to the restaurant or retail use-type in a way that matched
 541 the desired land-use ratio in terms of GFA.

542 In this way, 37 buildings were selected as restaurant use-type, and 67
 543 were retail buildings. They are indicated in Fig. 4. In a single **div** UBOP
 544 simulation, 37 relative restaurant occupancy profiles and 67 retail profiles
 545 were chosen randomly from the collected data (see section 2) to calculate the
 546 number of people in each commercial building.

547 The next section presents the results of the district occupancy and UBEM
 548 simulations for the case study with all different UBOP.

549 **4. Results**

550 *4.1. District Occupancy*

551 In this section, the cumulative results of the different UBOP on restaurant
552 and retail buildings in the case study are presented first. Then, the impacts
553 on the total district occupancy, including all buildings in the case study, are
554 shown.

555 *4.1.1. Occupancy in Commercial Buildings*

556 Fig. 5 and Fig. 6 show the results of the different UBOP for commercial
557 buildings. The lines in Fig. 5 indicate the number of people in restaurants
558 obtained with the **mean** and **med** models under the three constraints **cap**
559 (a), **peak** (b), **sum** (c). The colored shaded area is the range obtained with
560 the **div** models. The grey shaded area is the number of people obtained with
561 the **base** model. Fig. 6 shows the same information for the retail buildings
562 in the district.

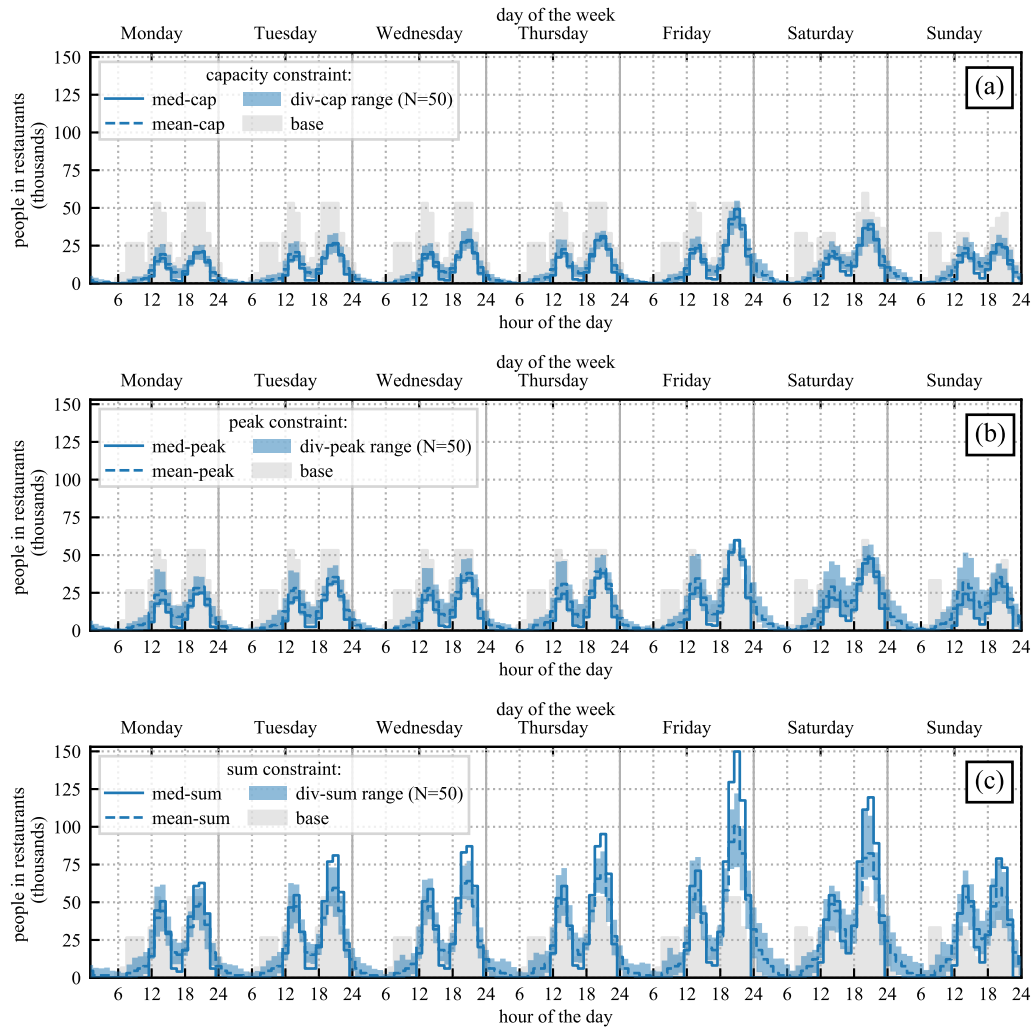


Figure 5: Weekly absolute occupancy in restaurant buildings in the case study district. **mean** and **med** model results are represented by lines. **div** model results ($N=50$) are given as colored areas between the minimum and maximum of hourly values. The three graphs show approaches under different occupant density constraints: **cap** (a), **peak** (b), and **sum** (c). All graphs contain the **base** occupancy as grey shaded areas.

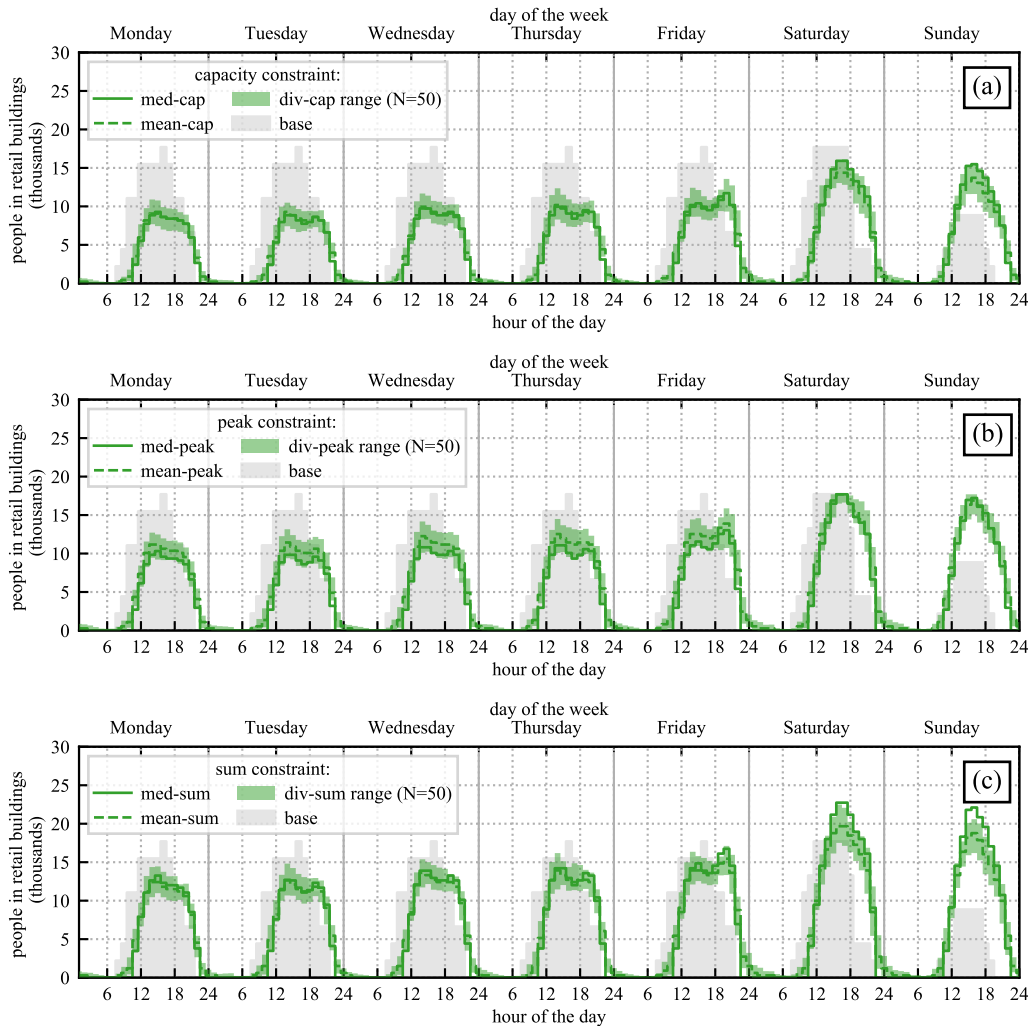


Figure 6: Weekly absolute occupancy in retail buildings in the case study district. **mean** and **med** model results are represented by lines. **div** model results ($N=50$) are given as colored areas between the minimum and maximum of hourly values. The three graphs show approaches under different occupant density constraints: **cap** (a), **peak** (b), and **sum** (c). All graphs contain the **base** occupancy as grey shaded areas.

563 Comparing Fig. 5 and Fig. 6 shows that the impact of UBOP choice on
 564 restaurants was more pronounced than on retail. While restaurants repre-
 565 sent only around 5.5% of the district’s land-use, they hosted around 150,000
 566 people in the most extreme case (**med-sum**). Comparing the different mod-

567 els, it can be observed that the **med** were below the **div** results during low
 568 occupancy, i.e., in the early morning, the late evening, and in the afternoon
 569 valley between the peaks. At the same time the **med-sum** during high oc-
 570 cupancy was above the **div-sum**. The **mean** models were in the middle
 571 of the **div** range, as expected. The **mean** and **med** occupancy were rela-
 572 tively close. Differences occurred mainly at very low occupancy (morning and
 573 evening) and during peak hours. For restaurants, the data-driven models’
 574 peak occupancy usually occurred on Friday night. However, peaks can also
 575 be observed at other times, especially under the **peak** constraint. The dif-
 576 ferences in models for retail buildings are less drastic than for restaurants.
 577 The difference in peaks between the different constraints was less than 10,000
 578 people. The data-driven occupancy for retail is generally lower on weekdays
 579 and generally higher on Sundays as compared to standard schedules.

580 4.1.2. Total District Occupancy

581 Fig. 7 depicts the resulting total weekly district occupancy patterns ob-
 582 tained with the different UBOP. For visualization purposes, only the days
 583 from Thursday to Sunday are shown. Monday to Wednesday is identical
 584 to Thursday and Friday for the **base** model and similar to Thursday for
 585 data-driven models. See Fig. 5 and Fig. 6. The **base** occupancy for the
 586 entire district is shown in Fig. 7(a), the data-driven occupancy for the **cap**
 587 constraint is shown in Fig. 7(b), (c) shows **peak**, and (d) shows **sum**.

588 In the **base** model, the regular weekly peak of 93 thousand people occurred
 589 at 1 PM every weekday from Monday to Friday. When data-driven models
 590 were used, the peak was shifted to Friday or Saturday evening. The tallest
 591 peak in all models (**med-sum**) was 186 thousand people. The smallest was
 592 around 69 thousand people (minimum of **div-cap**).

593 Fig. 8 shows the district’s weekly cumulative occupancy (a) and weekly
 594 peak occupancy (b) for all data-driven models relative to the **base**. De-
 595 terministic results are indicated with markers. Probabilistic results are pre-
 596 sented as boxplots. The whiskers of all boxplots in this paper show the entire
 597 range of *div* results of N=50 simulations. The interquartile range is shaded
 598 in the graphs to serve as a visual aid.

599 Models using the **cap** constraint resulted in 24–32% lower cumulative oc-
 600 cupancy and 7–26% lower peak occupancy compared to the **base** occupancy.
 601 This was due to the overall lower data-driven profiles compared to the stan-
 602 dard profiles. See Fig. 5 and Fig. 6. The **peak** constraint resulted in similar
 603 peaks compared to the **base**, as expected. However, the cumulative occu-

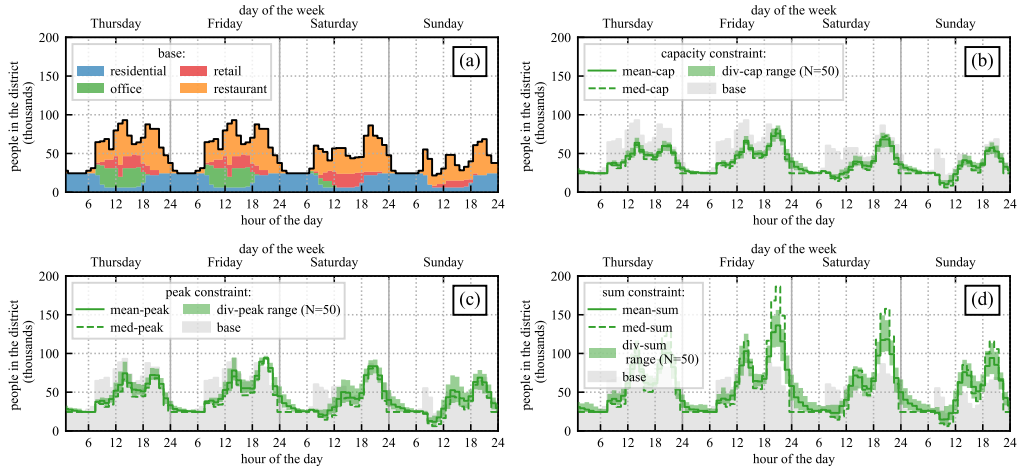


Figure 7: Total district occupancy with different UBOP. The **base**(a) indicates the composition of the district occupancy in terms of building use-types. Data-driven UBOP with different constraints **cap**(b), **peak**(c), and **sum**(d) indicate the range of probabilistic **div** results as colored areas and **mean** and **med** profiles as lines. Graphs (b,c,d) also show the **base** occupancy as shaded area for comparison.

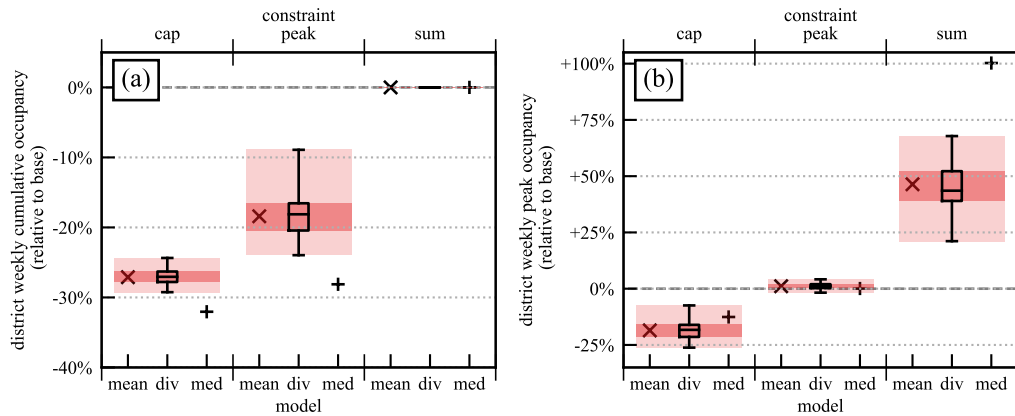


Figure 8: Total district cumulative occupancy (a) and peak occupancy (b) of data-driven UBOP relative to the **base**. The whiskers of the boxplots indicate the minimum and maximum of $N=50$ *div* simulation results each.

604 pancy was 9–28% lower. Only models with the **sum** constraint, as intended,
 605 matched the sum of the **base**. However, those models resulted in 21–100%
 606 higher peaks. The **med-sum** model produced the most pronounced result.
 607 For all constraints, the **mean** results were, as expected, in the middle of the
 608 interquartile range of the **div** models. The **med** results were below the min-
 609 imum of cumulative occupancy or above the maximum of peak occupancy in
 610 some cases.

611 4.2. District Energy Demand

612 In this section, the UBEEM energy demand simulation results for commer-
 613 cial buildings in the district are presented first, and the relationship between
 614 occupancy and energy demands in commercial buildings are addressed to un-
 615 cover the modeling mechanisms leading to differences in simulation results.
 616 Then, the total energy demand simulation results of the case study for all
 617 UBOP, assuming decentralized all-electric supply systems, are presented.

618 4.2.1. Energy Demand of Commercial Buildings

619 Fig. 9 shows the annual energy demand (a) and peak demand (b) for the
 620 retail buildings in the district. Fig. 10 shows the annual energy demand (a)
 621 and peak demand (b) for restaurant buildings in the district.

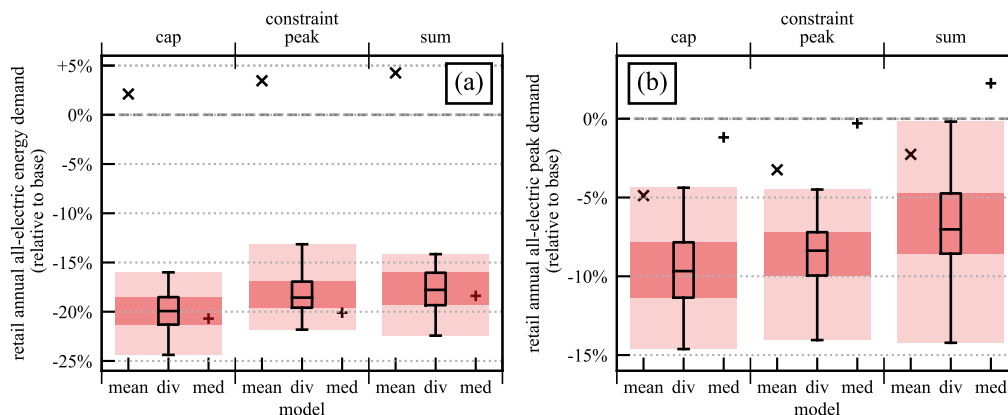


Figure 9: Annual all-electric energy demand (a) and peak demand (b) for all retail buildings in the district obtained with different data-driven UBOP. All results are normalized to the results of the **base** model.

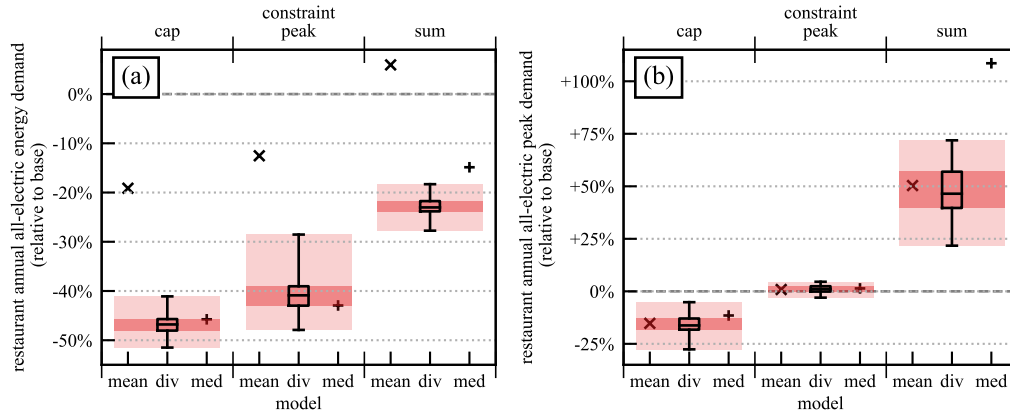


Figure 10: Annual all-electric energy demand (a) and peak demand (b) for all restaurant buildings in the district obtained with different data-driven UBOP. All results are normalized to the results of the **base** model.

622 Comparing Fig. 9 to Fig. 10 shows that the impacts of UBOP choice on
 623 energy demand were more pronounced for restaurants as compared to retail
 624 buildings. Aggregated annual demands in retail buildings of **div** and **med**
 625 models were 13–24% lower compared to the **base**. **mean** results were 2–4%
 626 higher. The peak demand in retail buildings was similar for all models. **div**
 627 models resulted in maximum 15% lower peak demands. **mean** and **med**
 628 models tended to result in higher peaks compared to the interquartile range
 629 of **div** models. Aggregated annual demands in restaurant buildings of **div**
 630 and **med** models were 15–51% lower compared to the **base**. **mean** results
 631 were above the range of **div** results. At the same time, **mean** results were
 632 between 20% lower to 6% higher compared to the **base**. The peak demand in
 633 restaurant buildings was highly variable. The data-driven models covered a
 634 range of 28% lower to 109% higher compared to the **base** case. The extreme
 635 value was obtained with the **med-sum** model, which was also much higher
 636 than the **div-sum** results.

637 To understand this behavior, the 2D histograms of occupancy and energy
 638 demand in commercial buildings are presented in Fig. 11 and 12.

639 Fig. 11 shows the relationship between occupancy in retail buildings and
 640 the space cooling energy demand for different models under the **peak** con-
 641 straint as an example. Fig. 12 shows the same information for restaurant
 642 buildings. Colors indicate the frequency of occurrence of specific situations
 643 in the district. Please note that the color scale is not linear for better vi-

644 sualization. In Fig. 11 (a), (b), and (c), as compared to the **div** models in
645 (d), it can be observed that models using single uniform profiles frequently
646 generated situations with relatively high cooling demands during relatively
647 low occupancy. This effect was especially pronounced for **mean** models. See
648 Fig. 11 (b). During low district occupancy in **div** models, the people were
649 distributed to few buildings. In contrast, the uniform profiles in the **base**,
650 **mean**, and **med** models distributed a similar total number of people to all
651 buildings in the district. This caused more buildings to operate ventilation
652 and cooling systems and therefore resulted in more space cooling energy de-
653 mand. The behavior in restaurant buildings was similar. See Fig. 12.

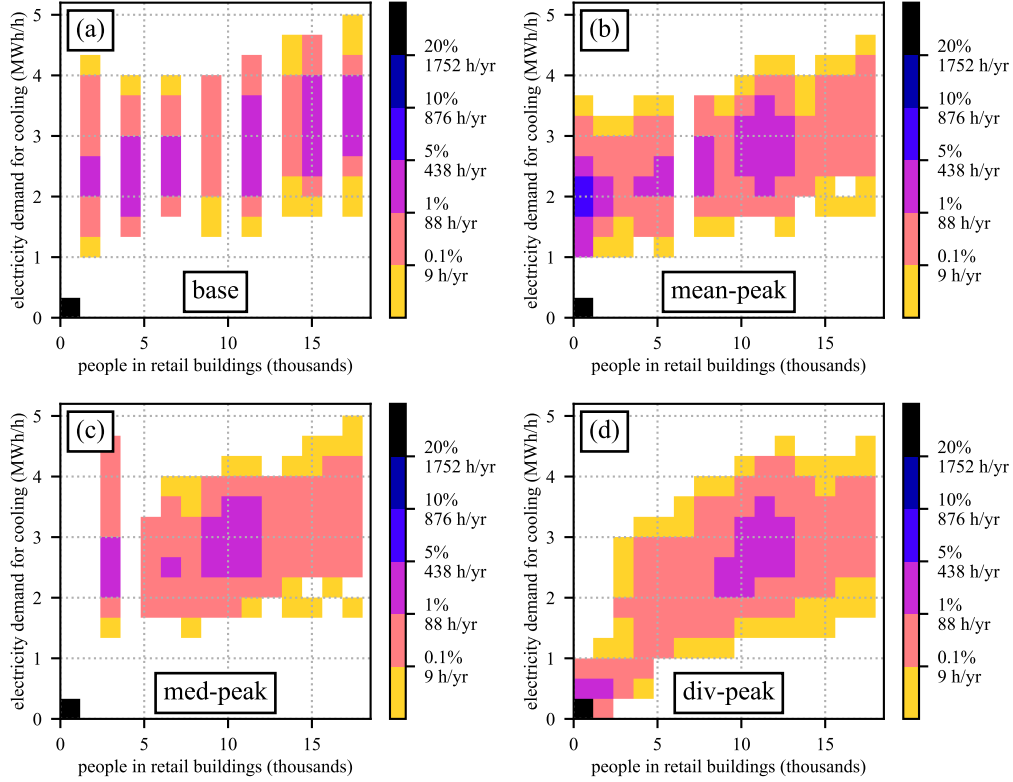


Figure 11: 2D histogram of hourly retail district occupancy (no. of people) vs. hourly space cooling demand in retail buildings. Results of the **base** (a) and the data-driven models under the **peak** constraint are shown. The plots show the **mean-peak** (b), **med-peak**, and the average of $N=50$ **div-peak** simulations. The colors indicate the frequency of the situations. Please note that the color scale is not linear. One pixel is 1,200 people wide and 0.333 MW high.

654 4.2.2. Total District Energy Demand

655 In this section, the impacts of UBOP choice for commercial buildings onto
 656 the demand of the entire mixed-use district are presented. In this analysis,
 657 all building energy demands of the district, with its predominant office and
 658 residential land-use, are aggregated. Fig. 13 shows the annual all-electric,
 659 decentralized energy demand (a) and peak demand (b) of the case study
 660 district for the different UBOP relative to the **base** occupancy.

661 The trends in the results were not very different when compared to com-
 662 mercial buildings only (see above). However, the magnitude of differences was

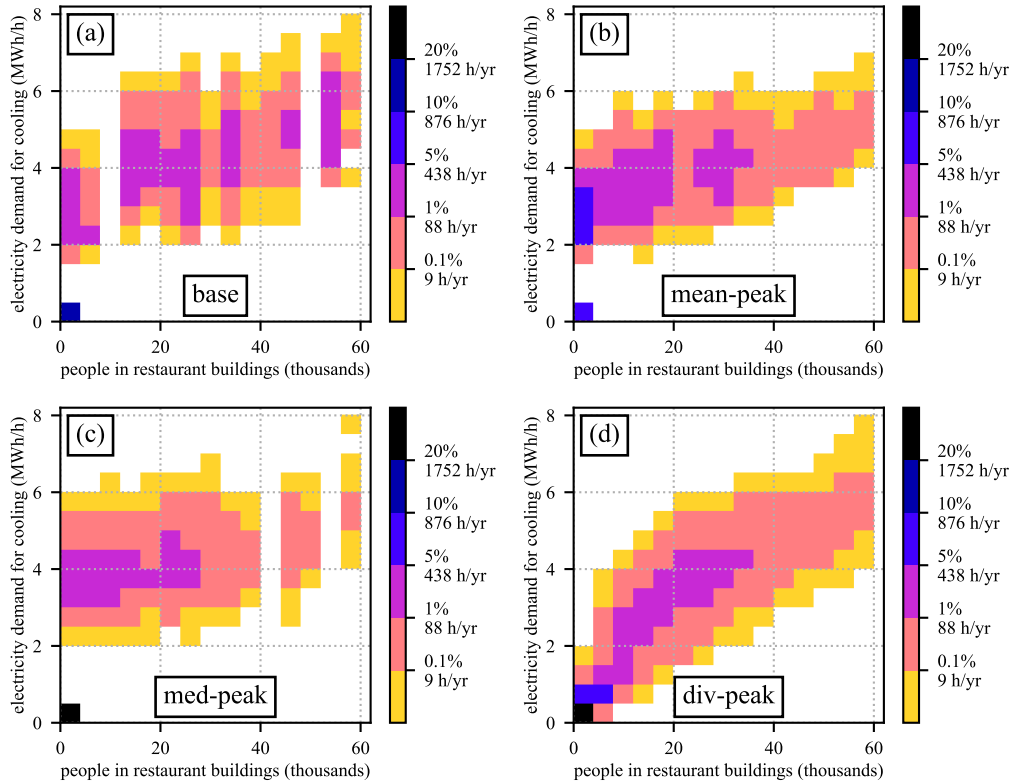


Figure 12: 2D histogram of hourly restaurant district occupancy (no. of people) vs. hourly space cooling demand in restaurant buildings. Results of the **base** (a) and the data-driven models under the **peak** constraint are shown. The plots show the **mean-peak** (b), **med-peak**, and the average of $N=50$ **div-peak** simulations. The colors indicate the frequency of the situations. Please note that the color scale is not linear. One pixel is 4,000 people wide and 0.5 MW high.

663 lower. Regarding annual demand, results of **div** models were 8–20% lower
 664 compared to the **base**. They were lower even when the **sum** constraint was
 665 met. The **mean** results were close (-6% to +3%) to the **base**. However,
 666 they were far above the range of results of the **div** models. Regarding the
 667 district’s peak demand, all data-driven model results were from 14% lower
 668 to 13% higher compared to the **base**. **Mean** and **med** results were within
 669 the range of the respective **div** models.

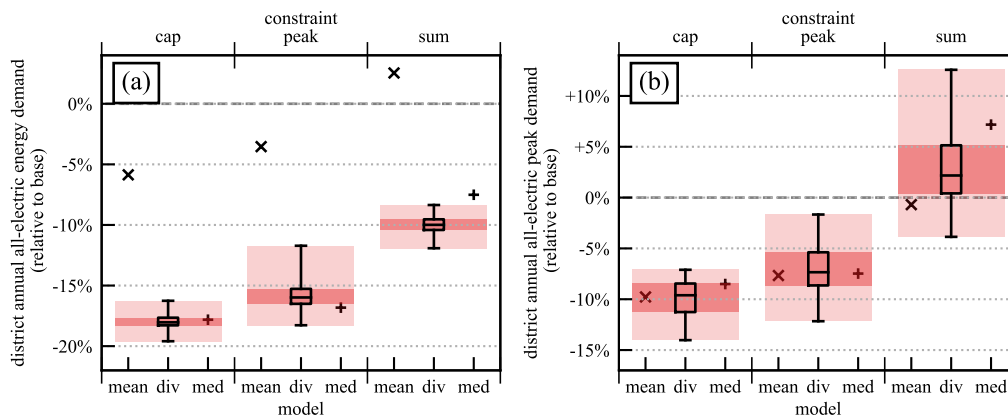


Figure 13: Annual all-electric energy demand (a) and peak demand (b) for the entire district obtained with different data-driven UBOP. All results are normalized to the results of the **base** model.

670 4.3. District Renewable Energy Potential

671 In this section, the potential to integrate decentralized renewable elec-
 672 tricity generation on the district level is analyzed.

673 The results obtained with the different UBOP for the overall solar energy
 674 share, the solar self-sufficiency, and the self-consumption for two options in
 675 terms of demands are compared.

676 Fig. 14 shows the district’s potentials for overall solar energy share (a,b),
 677 solar self-sufficiency (c,d), and self-consumption (e,f) obtained with different
 678 UBOP. The figure shows two different options in terms of district energy
 679 demands considered. The left column (a,c,e) assumes the total all-electric
 680 energy demand of the district, including decentralized space cooling and hot
 681 water supply systems. The right column (b,d,f) considers only the electric
 682 end-use energy demands in the district (appliances, lights, and auxiliary elec-
 683 tricity). The results are presented in absolute terms.

684 For both cases, UBOP approaches with data-driven profiles lead to simu-
685 lation results that suggest higher renewable energy share, higher self-sufficiency,
686 and lower self-consumption as compared to the **base** standard assumptions.
687 Although the absolute differences were relatively small. While the occu-
688 pant density and the choice of uniform (**mean**, **med**) occupancy profile
689 had a considerable impact, the diversity of profiles did not lead to a sig-
690 nificant spread in results. In general, compared to probabilistic **div** simu-
691 lations, the **mean** was underestimating self-sufficiency and overestimating
692 self-consumption. Whereas the **med** models displayed the opposite behav-
693 ior for self-consumption. However, all results remained in a relatively nar-
694 row range. The total spread in data-driven results was never significantly
695 larger than $\pm 1.5\%$ -points. The largest differences between the **base** and
696 data-driven models for any of the metrics did not exceed $\pm 3\%$ -points. The
697 self-consumption was around 96–100%, which can be expected for a district
698 of this density. However, it is important to note that the spread in results
699 of the **div** models was smaller than the difference between **mean** and **med**
700 models. In general, none of the **mean** or **med** model results were within the
701 interquartile range of results of their **div** counterparts.

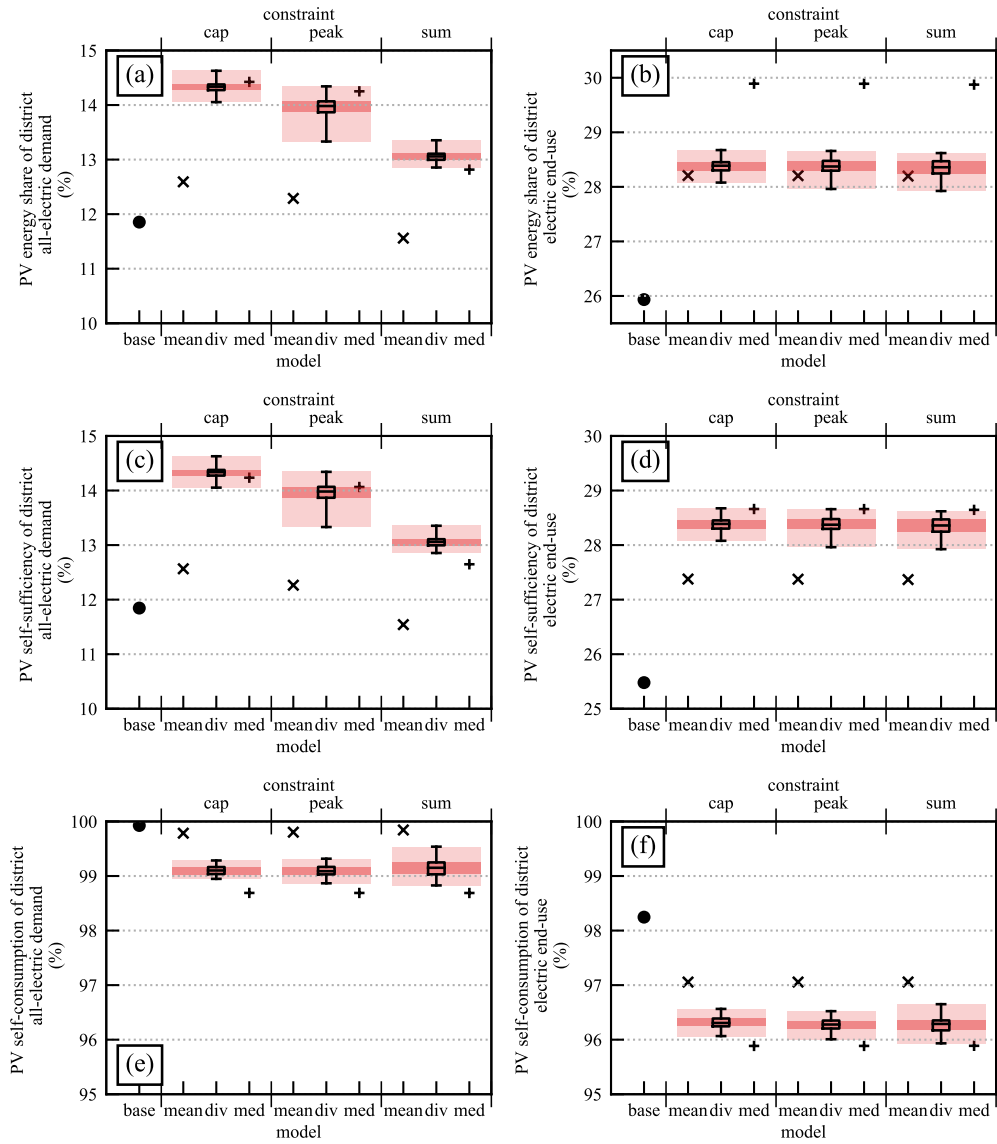


Figure 14: District potential of solar energy share (top row, a, b), district potential of solar self-consumption (middle row, c, d) and solar self-sufficiency (bottom row, e, f), for the case when all demands are converted to electricity (left column, a, c, e) and for the case when only electric end-use energy for lights, appliances, and auxiliary systems is considered (right column, b, d, f).

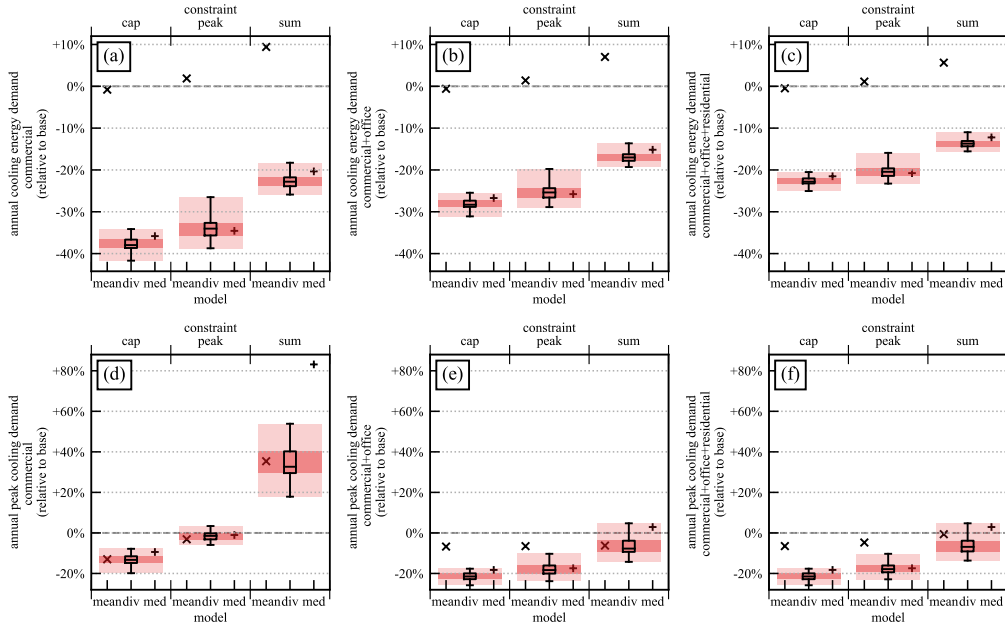


Figure 15: Annual district cooling energy demand (top row, a, b, c) and peak demand (bottom row, c, d, e) with different occupancy models and different combinations of cooling demands. Demands of all commercial buildings (left, a, d), all commercial and office buildings (center, b, e), and all commercial, office, and residential buildings (right, c, f). All values are shown relative to the **base** results.

702 4.4. Cooling Demand Analysis for District Infrastructure Design

703 In this section, the district's space cooling demand patterns with respect
 704 to the design of a centralized DCS are analyzed. Three options of build-
 705 ing interconnections were considered to address the research question (Q3):
 706 All commercial buildings are connected to a DCS, all commercial and office
 707 buildings are connected, and the entire district (all commercial, office, and
 708 residential buildings) is connected.

709 Fig. 15 shows the annual cooling energy demand (top row) and the annual
 710 peak cooling demand (bottom row) for the three options from left (only the
 711 commercial buildings are connected) to right (all buildings in the district are
 712 connected). The results are presented relative to the results obtained with
 713 the **base** model.

714 From Fig. 15 (d) it can be observed that the peak cooling demand ex-
 715 perience a huge spread when considering only the commercial buildings in

716 the district. The spread reached from -20% to +83% compared to the results
717 of the **base** model. This variation became smaller when all use-types in the
718 district were considered. See Fig. 15 (e, f). One interesting observation is
719 that when commercial buildings' demand patterns were combined with of-
720 fice demand patterns, the **mean-cap** and **mean-peak** models resulted in
721 higher peaks, outside of the range, compared to the respective **div-cap** and
722 **div-peak** results. See Fig. 15 (d, e).

723 The annual cooling demand was generally smaller than the **base** when
724 modeled with any of the **div** or **med** models. For **div** and **med** models it
725 was -18% to -42% smaller when only commercial buildings were considered
726 and -11% to -25% smaller when all buildings in the district were considered.
727 However, **mean** models resulted in a up to 9% higher annual cooling energy
728 demand compared to the **base**. Also, for the annual energy demand, the
729 differences in results became smaller when more use-types were considered.
730 See Fig. 15 (a, b, c).

731 Fig. 16 shows the diversity factor (top row) and the capacity factor
732 (bottom row) of the district cooling demand for the three options from left
733 (only the commercial buildings are connected) to right (all buildings in the
734 district are connected). The results are presented in absolute terms. As
735 can be expected, the diversity in commercial building occupancy profiles
736 directly impacted the diversity factor of the space cooling peak demand for
737 commercial buildings in the district. See Fig. 16 (a). The diversity factor
738 was between 59–78% with **div** models compared to around 92–97% with
739 the uniform **base**, **mean**, and **med** models. When more use-types were
740 considered, the diversity factor became smaller, and the range of simulation
741 results became narrower. All non-diverse models, in all cases, resulted in
742 higher diversity factors as compared to the **div** models.

743 The capacity factor estimation with different UBOP was highly variable
744 when only the commercial buildings in the district were considered. See Fig.
745 16 (d). **mean** models resulted in higher capacity factors and **med** models in
746 rather lower capacity factors, when compared to the respective probabilistic
747 **div** results. When offices were included in the DCS, the **med** model results
748 fell within the range of **div** results. When office and residential buildings
749 were included, the **base** model and the **div** models produced similar results.

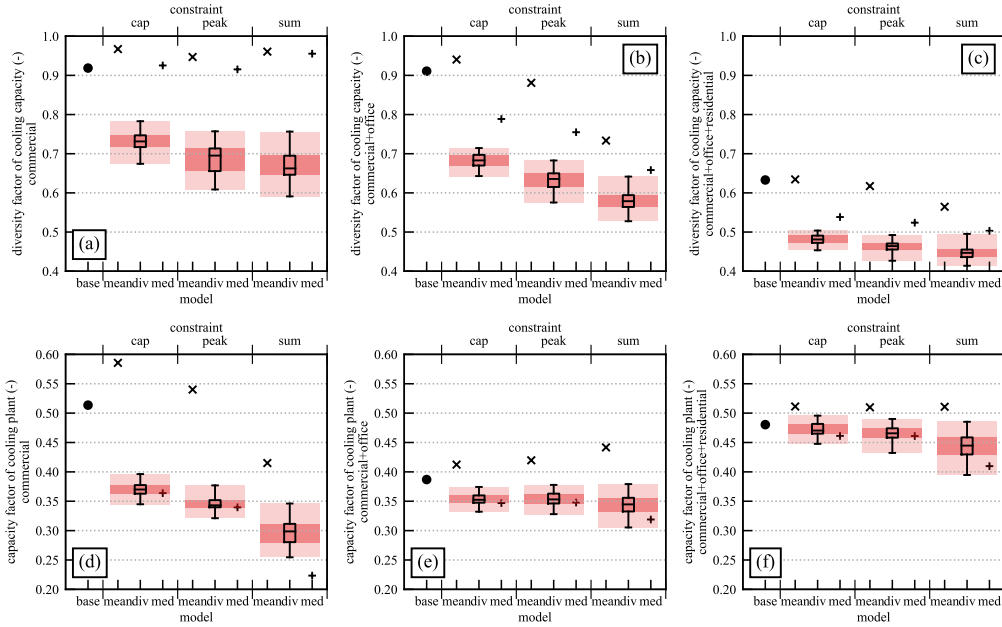


Figure 16: DCS diversity factors (top row, a, b, c) and capacity factors (bottom row, d, e, f) with different UBOP and different combinations of cooling demands: Demands of all commercial buildings (left, a, d), all commercial and office buildings (center, b, e), and all commercial, office, and residential buildings (right, c, f). All values are shown in absolute terms.

750 In order to better understand these differences in capacity factors, the
 751 district's cooling load duration curves are presented here.

752 Fig. 17 shows the case study district's cumulative load duration curve
 753 for space cooling simulated with different occupancy models. The top graph
 754 includes the loads of all commercial buildings. The middle graph includes the
 755 loads of all office and commercial buildings. The bottom graph includes the
 756 loads of all residential, office, and commercial buildings. All load duration
 757 curves are presented normalized to the respective peak demand.

758 The similarity of the different models' load duration curves can be as-
 759 sessed qualitatively in terms of duration. All **div** models generated similar
 760 load characteristics. When considering only the commercial buildings in the
 761 district, the **mean** results showed the same characteristics compared to the
 762 **div** results for around 3000 hours. See Fig. 17(a). The **med** curves were sim-
 763 ilar to the **div** for around 4500 hours. All uniform UBOP (**base,mean,med**)
 764 were resulting in very different low-load demand patterns compared to the

765 **div** models. The **base** and **mean** models generated higher loads for 3000–
 766 4000 hours of the year. The **med** models generated lower loads for around
 767 4000 hours of the year.

768 When all buildings in the district were considered, all models were gener-
 769 ating similar thermal load characteristics. See Fig. 17(c).

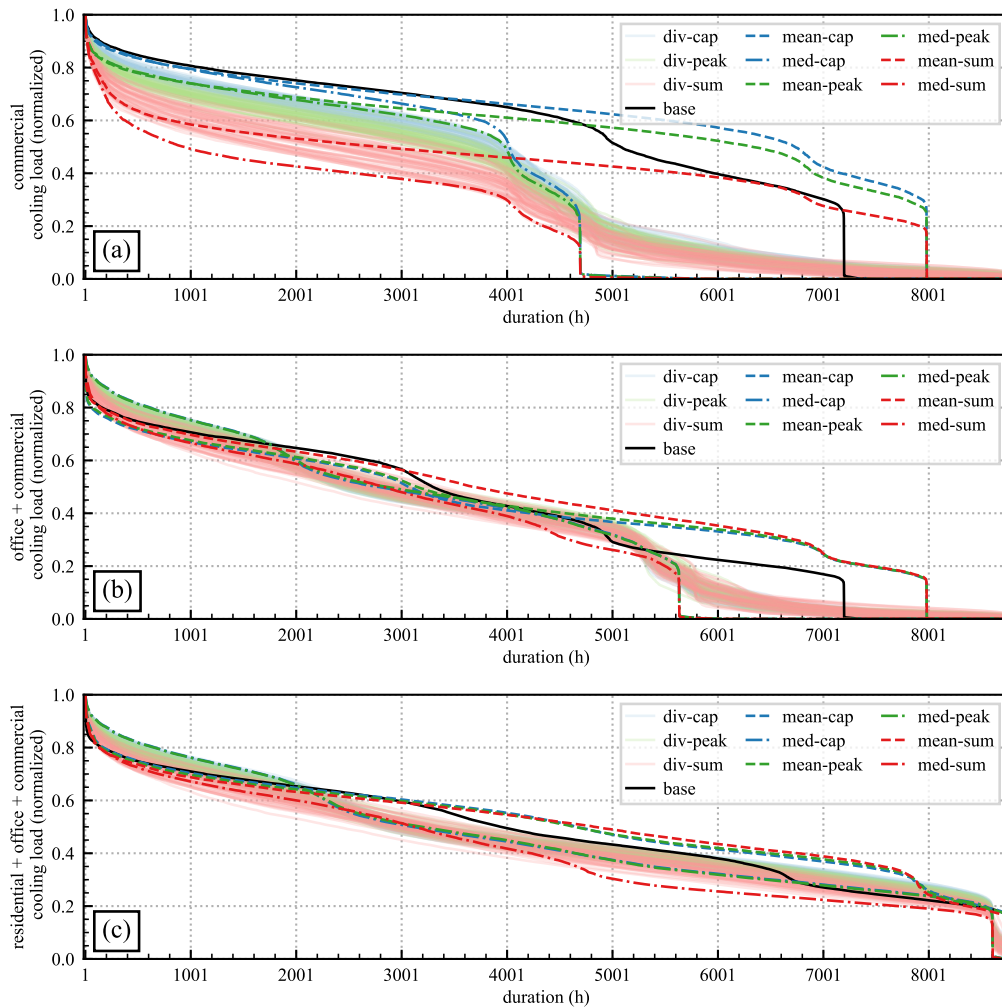


Figure 17: Normalized cooling load duration curves of the case study district for different UBOP and different building aggregations. Graph (a) aggregates the space cooling loads of all commercial buildings. Graph (b) aggregates the loads of all office and commercial buildings. And graph (c) aggregates the loads of all residential, office, and commercial buildings.

770 5. Discussion

771 In this section, the results presented above are discussed in the same se-
772 quence of district occupancy, demand, energy potentials, and supply systems
773 metrics. At the end of this section, the findings are summarized to answer
774 the research questions.

775 5.1. Occupant Presence

776 In general, all UBOP produced expected district occupancy results. The
777 different constraints used for the data-driven models reveal that the occupant
778 density in commercial buildings is a very sensitive parameter for occupant
779 presence prediction. This is not surprising, given that the assumed densities,
780 especially for restaurants, are very high compared to other use-types. Under
781 the **sum** constraint, unrealistically high district occupancy peaks were pre-
782 dicted. The **med-sum** model generated an extreme peak value of more than
783 186,000 people in the district on Friday evenings, including around 150,000
784 people in restaurants. We consider this to be unrealistic in relation to the
785 district’s residential population of 24,000 people and office-working popula-
786 tion of 27,000 people, which will likely have some overlaps. Meaning that
787 the residents of the district might probably will hold some of the office jobs.
788 However, it is not possible to completely rule out one or the other model,
789 because the future district might attract many people from other districts
790 or even tourists from outside the country. This highlights one of the draw-
791 backs of space-based occupancy modeling approaches for UBEM — more
792 buildings (more GFA) automatically ‘attract’ more people, irrespective of
793 the surrounding context. In this work a way to overcome this limitation
794 by imposing constraints on the number of people on the district-level was
795 proposed. Our data-driven UBOP adjusted the occupant density of build-
796 ings according to the selected constraints. In this work, the constraints were
797 based on standard values for occupant density and profiles for comparison.
798 However, it will be difficult to determine realistic values for the constraints
799 for a non-existing development without having access to data from similar,
800 existing neighborhoods in the same context. Such data could be obtained
801 from mobile phone companies that determine the dynamic number of cus-
802 tomers in each cell of their network. This kind of data is increasingly used
803 as input for urban mobility models [39].

804 *5.2. Energy Demand*

805 The district energy demand prediction of the UBEM depends on the
806 underlying modeling mechanisms that couple occupant presence to energy
807 demand. In this work, a conservative approach was employed, mimicking as
808 much as possible the implicit relationships between occupant presence and
809 light use, appliance use, water use, fresh air requirements, and cooling set-
810 point temperatures as found in standards [12, 11, 43] and literature [54]. The
811 observed differences in energy demand of our case study were primarily due
812 to the assumed presence-controlled HVAC systems operation in commercial
813 buildings. This assumption is considered to be valid because, in commercial
814 buildings, occupant presence should correspond to the operating or opening
815 hours. The models based on the **med** profiles provided better estimations for
816 probabilistic results from **div** models. This is likely due to the more realistic
817 building operating hours compared to the **mean** or **base** models. The mod-
818 els based on **mean** profiles generated high demands during low commercial
819 district occupancy because all buildings were occupied and air-conditioned
820 in early mornings and late evenings. Interestingly, these unrealistic building
821 operation patterns generated the only results close to the **base** model. This
822 strong influence of occupant presence and operating hours on space cool-
823 ing energy demand was most likely a climate-specific effect, related to the
824 year-round need for air-conditioning in Singapore. More research in other
825 contexts could reveal the interplay of different climates and diversity in oc-
826 cupancy profiles on district energy demand. However, this also highlights the
827 importance of realistic occupant-building-interaction models for commercial
828 building use-types in UBEM, such as restaurant and retail buildings. For
829 example, if an HVAC system operation schedule independent of occupant
830 presence was assumed, the results and differences between the models would
831 look very different.

832 *5.3. Energy Potentials*

833 The urban renewable energy potential assessment is related to occupancy
834 via the UBEM demand pattern simulation. The overprediction of the energy
835 demand with **mean** compared to **div** occupancy profiles translated directly
836 to a higher PV self-consumption potential and a lower self-sufficiency. In
837 general, our data-driven UBOP predicted a higher renewable energy share
838 potential from PV compared to the **base** model. This could potentially have
839 implications on electricity supply system considerations and GHG emission
840 benchmarking of districts. However, in our particular case study, due to

841 the high urban density of the district, all UBOP resulted in similar absolute
842 values. Especially because the self-consumption of PV electricity was very
843 close to 100%, the impact of diversity in occupancy profiles is negligible in
844 this case. This could change if districts with lower urban density, for example,
845 suburban districts, or districts with different urban forms and use-mix were
846 modeled.

847 5.4. Centralized Cooling Supply System Design

848 The relevant outputs of the UBEM for the design of a centralized DCS
849 were analyzed. The annual space cooling peak demand, the space cooling
850 energy demand, the diversity factor, the capacity factor, and the annual load
851 duration curve serve as indicators for system design decisions, as well as in-
852 vestment and operation costs. The peak cooling demand serves as a proxy
853 for capital investment costs for the district cooling system. It directly im-
854 pacts the plant size and pipe size. The annual cooling energy demand serves
855 as a proxy for operational cost and GHG emissions of the district system. If
856 only the commercial buildings in our case study are considered, the different
857 data-driven UBOP yielded peak cooling demands in a range of around -20%
858 to +80% compared to the **base** model. At the same time, the cooling en-
859 ergy demand results were in a range of around -40% to +10% compared to
860 the **base**. These are both very large ranges of results, especially considering
861 that usually buildings of similar types are connected in DCS. The spread of
862 energy demand and peak demand among all models became much smaller
863 when the entire district demand was aggregated. It was around 30% (-25%
864 to +5% relative to **base**) for both, the energy demand and the peak demand.
865 This is still considerable, given that the buildings affected by the choice of
866 UBOP constitute only 16% of the GFA in our case study. This trend indi-
867 cates that diversity within use-types becomes less significant if the district
868 is highly mixed, meaning that it contains buildings of multiple use-type cat-
869 egories with distinct occupancy and operation profiles. In [56], a DCS for
870 a mixed-use district in Hong Kong was designed based on the cooling load
871 profiles of typical buildings. The chiller plant capacity was sized to be 20%
872 higher than the predicted peak cooling load to account for uncertainties in cli-
873 mate and system design. Later the authors designed the same system under
874 uncertainty using a UBEM with variable parameters for demand prediction
875 [57, 58]. They considered uncertainty in weather, building construction, and
876 internal gain densities (occupant density, equipment and lighting density,
877 and ventilation rate), but not in temporal building occupancy and opera-

878 tion profiles. Their results predicted peak cooling loads of -21% to +9%
879 compared to the reference case. The occupant density and ventilation rate
880 were identified to be the most important variables for annual cooling de-
881 mand and peak prediction [58]. The range of the peak demand predictions
882 run here is comparable. However, in this study only two parameters (i.e.
883 occupancy profiles and occupant densities) of 16% of the district’s GFA were
884 modified. The spread within **div** models under occupant density constraints
885 was around $\pm 5\%$ (**div-cap**) to $\pm 10\%$ (**div-sum**) of the peak demand for the
886 entire district. Meaning that without modifying the most sensitive variables
887 according to [58] and only by shuffling occupancy profiles of a minor use in
888 the district, the uncertainty in peak demand prediction can become as large
889 as the safety factor for chiller plant sizing [56]. This highlights once more
890 the need for UBEM to adequately model the energy demands of commercial
891 buildings in terms of operation and occupant behavior, even if they do not
892 constitute the major use-types in the district. However, the exact land-use
893 mix among commercial buildings, such as restaurants, retail, and other ser-
894 vices, will be unknown for green-field and brown-field developments, which
895 adds additional complexity.

896 The diversity factor of the peak cooling demand is an important metric
897 to argue in favor of or against a DCS. A lower diversity factor translates
898 to higher potential savings in equipment investment costs due to the overall
899 lower capacity requirement. Diversity factors for district cooling system de-
900 sign are often based on the experience of operators. For example, [59, 60, 61]
901 all mention a value of 0.8 based on experience. For a district cooling applica-
902 tion in Hong Kong, the diversity factor was determined as 0.81 in a district
903 comprised of 12 building use-types (45% retail GFA) with uniform load pro-
904 files [62, 63]. With the presented **div** UBOP, diversity factors were below 0.8
905 when considering only the two commercial building use-types. This suggests
906 that uniform load profiles for commercial buildings will result in a too high
907 diversity factor estimate. This might ultimately result in decision-makers
908 disregarding the option of a centralized DCS. However, it is important to
909 note that we did not consider any diversity in terms of construction and
910 building system properties. When all four use-types in our case study were
911 considered, the diversity factor reached values of 0.5 and lower with **div** mod-
912 els. Uniform models still predicted higher values in this case, but the **med**
913 models were relatively close to the upper range of **div** results.

914 The capacity factor relates the annual energy demand to the installed
915 capacity. In [49], the building use-type mix in a district in Hong Kong was

916 optimized to maximize the capacity factor of a DCS plant. Five use-types
917 with uniform load profiles were considered. The maximum achievable ca-
918 pacity factors ranged from 0.4 to 0.45. In the Singapore case study, when
919 only commercial demands were aggregated, the capacity factor results ranged
920 from around 0.2 to 0.6. This range became narrower to 0.4 to 0.5 when of-
921 fice and residential buildings were connected. **Base** and **med** model results
922 were both within the range of **div** results. **mean** models predicted higher
923 values. A broad range of values are interpreted in these models, especially
924 for commercial buildings, as potentially influencing the technology choice for
925 a DCS. A high capacity factor of 0.6 indicates that a system with a large
926 cooling generation capacity (close to the peak demand) will be the appro-
927 priate system choice. Whereas, a low capacity factor of 0.2, might shift the
928 decision towards a system consisting of a smaller cooling generation capacity
929 and a TES. The higher capacity factors of **mean** models were caused by
930 the much higher energy demand predictions at part-load conditions. At the
931 same time, the peak demands were comparable to the other models. This
932 can be seen from the differences in the relative load duration curves. These
933 differences in part-load and low-load energy demand will not impact system
934 design decisions based on peak demands. However, DCS design and sizing
935 methods using optimization for plant size and storage size [64] or network [65]
936 might produce significantly different results when one or the other UBOP is
937 used. For this reason, methods that design DCS under uncertainty might be
938 better-suited [57, 58] to be integrated with UBEM.

939 5.5. Summary

940 Fig. 18 summarizes the most important case-study-specific results in
941 relation to the stated research questions (Q1–Q4). The range of UBEM
942 results obtained with different data-driven UBOP is provided in the form of
943 a matrix. Columns represent different UBOP and rows represent different
944 UBEM simulation purposes. The values in each cell indicate the range of
945 results relative to the average of **div** simulations (N=50) for a given occupant
946 density constraint.

UBEM SIMULATION PURPOSE		RANGE OF UBEM RESULTS (relative to the average of div results)								
		cap constraint (lower occ. density)			peak constraint			sum constraint (higher occ. density)		
		div-cap	mean-cap	med-cap	div-peak	mean-peak	med-peak	div-sum	mean-sum	med-sum
District energy use / GHG emission benchmarking	Energy demand of retail buildings	<±10%	<±20%	<±10%	<±10%	<±20%	<±10%	<±10%	<±20%	<±10%
	Energy demand of restaurant buildings	<±10%	<±20%	<±10%	<±10%	<±20%	<±10%	<±10%	<±20%	<±10%
	Energy demand of the mixed district	<±10%	<±20%	<±10%	<±10%	<±20%	<±10%	<±10%	<±20%	<±10%
Renewable energy potentials	PV self-sufficiency electric end-use	<±10%	<±10%	<±10%	<±10%	<±10%	<±10%	<±10%	<±10%	<±10%
	PV self-sufficiency for all demands	<±10%	<±20%	<±10%	<±10%	<±20%	<±10%	<±10%	<±20%	<±10%
DCS design	Peak cooling of commercial buildings	<±10%	<±10%	<±10%	<±10%	<±10%	<±10%	<±20%	<±10%	≥ ±30%
	Peak cooling of the mixed district	<±10%	<±20%	<±10%	<±10%	<±20%	<±10%	<±20%	<±10%	<±20%
	Cooling energy of commercial buildings	<±10%	≥ ±30%	<±10%	<±20%	≥ ±30%	<±10%	<±10%	≥ ±30%	<±10%
	Cooling energy of the mixed district	<±10%	<±30%	<±10%	<±10%	<±30%	<±10%	<±10%	<±30%	<±10%
	Diversity factor of commercial buildings	<±10%	≥ ±30%	<±30%	<±20%	≥ ±30%	≥ ±30%	<±20%	≥ ±30%	≥ ±30%
	Diversity factor of the mixed district	<±10%	≥ ±30%	<±20%	<±10%	≥ ±30%	<±20%	<±20%	<±30%	<±20%
	Capacity factor of commercial buildings	<±10%	≥ ±30%	<±10%	<±10%	≥ ±30%	<±10%	<±20%	≥ ±30%	<±20%
	Capacity factor of the mixed district	<±10%	<±10%	<±10%	<±10%	<±20%	<±10%	<±20%	<±20%	<±10%

Figure 18: Range of UBEM results for different simulation purposes obtained with three different data-driven UBOP for three occupant density constraints. Columns represent different UBOP and rows represent different UBEM simulation purposes. The values in each cell indicate the range of results relative to the average results of $N = 50$ **div** simulations for a given occupant density constraint. Cells are color-coded according to their value.

947 Green colored cells represent simulation results within a range of less
948 than $\pm 10\%$ of the respective average of **div** results ($N = 50$). This range
949 was chosen in accordance with the safety factor for DCS plant sizing in [56].
950 Broader ranges of results fall outside of this safety factor and are indicated
951 with yellow and red colors. The results matrix, for a given occupant density
952 constraint, allows the following three possible interpretations: (a) Diversity
953 in occupancy profiles is not relevant if **div** cells are green and **mean** or **med**
954 cells are green as well. In this case, any of the green models can be used. This
955 also means that probabilistic simulations are not necessary. (b) Diversity is
956 relevant, but probabilistic simulations are not necessary if **div** cells are green
957 and **mean** or **med** are not. And (c) diversity is relevant, and probabilistic
958 simulations should be considered if **div** cells are not green. In this way, we
959 observe that diversity can be relevant for DCS planning purposes. More-
960 over, especially in high occupant density situations, probabilistic simulations
961 should be considered for DCS design.

962 It is also observable in Fig. 18 that diversity in profiles tends to be more
963 important when occupant densities are higher (**cap** < **peak** < **sum**). In
964 the same way, it is noticeable that diversity tends to become less important

965 if more building use-types are considered. Furthermore, **mean** occupancy
966 profiles often result in large deviations of energy simulation results when
967 compared to **div** models. This is somewhat counterintuitive, given that
968 the total district occupancy of **mean** models is much closer to average **div**
969 occupancy as compared to the **med** models, as described in section 4.1. This
970 means that in our case study, realistic building operating hours were more
971 important than realistic district occupancy patterns, and therefore mean
972 profiles are not suitable for district energy demand benchmarking. However,
973 this finding is specific to our modeling assumptions of occupant-building-
974 interactions.

975 **6. Limitations**

976 In this study, only the variability of commercial building occupancy pro-
977 files due to diversity within two building use-types was considered. Many
978 other factors are contributing to variability in UBEM simulation results. For
979 example, occupant density itself is also a potentially diverse parameter on
980 the building level. Nevertheless, we decided to use one value per use-type.
981 Future studies could treat these parameter values as probabilistic as well.
982 Also, we only considered one option of building systems and controls per
983 use-type. Notably, future buildings might have new types of cooling systems
984 and control systems that could be considered together with different UBOP.
985 Furthermore, we did not consider any variability in the behavior of office
986 occupants and residents. It can be argued that office buildings behave ac-
987 cording to more regular schedules and that residential energy consumption
988 in a mixed-use district in Singapore is not dominant. Also, residences will
989 probably not be connected to DCS. Nonetheless, variability in these buildings
990 should be included in future UBOP. We also did not consider variability in
991 climate and weather, and we only considered one exemplary PV technology
992 to assess the renewable energy potential for the district. The uncertainty in
993 climate combined with variability in UBOP might have significant impacts
994 on other renewable energy potentials, such as solar thermal technologies.

995 **7. Conclusion**

996 In this paper, the impacts of UBOP choice onto the simulation results
997 of UBEM were assessed. The main research question was to investigate the
998 relevance of diversity in occupancy profiles among commercial buildings of

999 the same use-type for different UBEM simulation purposes and contexts. To
1000 address this question, space-based occupant presence models for retail and
1001 restaurant buildings were generated. The baseline (**base**) model represents
1002 the status-quo and is based on occupant densities and relative occupancy
1003 profiles from ASHRAE [12]. Next, data-driven models with diversity (**div**)
1004 and without diversity (**mean**, **med**) in building occupancy profiles were cre-
1005 ated. Diversity was based on the random choice of weekly profiles based
1006 on LBS data from Singapore downtown. For that purpose, popular times
1007 profiles from more than 500 retail places and 1700 restaurants from Google
1008 Maps [40] were collected.

1009 The models were applied to a case study of a future district in Singapore
1010 where retail and restaurant buildings constitute 16% of the total GFA. The
1011 two primary building use-types were residential and office. Using the dis-
1012 trict occupancy of the **base** model as a baseline, we imposed constraints on
1013 the data-driven models to keep either the occupant capacity (**cap**), the occu-
1014 pancy **peak**, or the occupancy **sum** constant in the district. The combination
1015 of data-driven profiles and constraints produced three diverse probabilistic
1016 UBOP and six non-diverse deterministic UBOP.

1017 CEA was used as our UBEM tool to simulate the district’s energy demand
1018 and PV potential using the ten UBOP. The district energy demand patterns
1019 were analyzed to compare relevant metrics for centralized DCS design and
1020 operation.

1021 From this case study, several conclusions can be drawn. First in general,
1022 occupant density and occupancy profiles are both highly sensitive parameters
1023 for district occupancy and district energy demand predictions. Second, the
1024 research findings suggests that standard assumptions are conservative. All
1025 data-driven UBOP produce lower peaks and lower demands unless the cumu-
1026 lative **sum** of occupants is kept constant, resulting in unrealistic, but not im-
1027 possible, extreme values of peak occupancy. Third, the interactions between
1028 occupant presence and building systems operation are mainly responsible for
1029 the differences in energy demand caused by the UBOP choice. In this work,
1030 the occupant presence in commercial buildings was assumed to coincide with
1031 opening hours and determined the operation patterns of HVAC systems. The
1032 major difference between diverse and non-diverse UBOP is the distribution
1033 of occupants to buildings in the district. Models with single profiles, such
1034 as the **base**, **mean**, and **med** models, generate people in all buildings in
1035 the district. In contrast, **div** models with diverse building occupancy pro-
1036 files can generate a similar total number of people in fewer buildings. This

1037 effect was especially pronounced in the **mean** model where periods of very
1038 low occupancy resulted in more than 20% higher annual energy demand in
1039 commercial buildings compared to **div** and **med** models. This means that
1040 GHG emission estimates could be off by 20% just due to the shape of relative
1041 occupancy profiles.

1042 Forth, in the considered climatic context, differences in cooling energy
1043 demand are mainly responsible for the differences in annual energy demand.
1044 The choice of UBOP influences the cooling demand to such a degree that
1045 system design decisions might be impacted. The peak cooling demand in the
1046 entire case study district was influenced by up to 30% by varying occupant
1047 profiles and density in only the commercial buildings (16% of the total GFA).
1048 The diversity factor of the cooling load varied in a range that might impact
1049 the technology choice and sizing of chillers and TES systems.

1050 Fifth, the PV energy potential assessment results are influenced by the
1051 choice of UBOP. However, due to the high demand density in our particular
1052 case study, the absolute range of results was not significant.

1053 To summarize, the findings suggest that diversity should be considered
1054 for DCS design and probabilistic demand simulations should be conducted
1055 for high occupant densities.

1056 8. Outlook

1057 Our results highlight the need for further research on UBOP as well as
1058 on building energy modeling of all use-types in cities. Especially retail and
1059 restaurant buildings are highly influential on the energy demand and supply
1060 in mixed-use districts.

1061 To improve UBOP and to calibrate the very sensitive occupant density
1062 parameter, novel data sources could be explored. Such data could come from
1063 telco companies that know the temporal patterns of absolute numbers of
1064 people in districts.

1065 Furthermore, our results are specific to the climate and case study. Fur-
1066 ther research on the interplay between occupancy, climate, and urban design
1067 is needed. For this purpose, UBEM should consider different building systems
1068 and control strategies, and explore uncertainties in construction, building sys-
1069 tems, and control parameters together with diversity in occupant behavior.

1070 9. Acknowledgements

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1074 for Research Excellence and Technological Enterprise programme.

1075 Appendix A. Occupant-building Interaction Modeling Details

1076 Occupant-building-interactions are modeled according to ASHRAE stan-
1077 dards [12, 11] and context-specific building codes [43] Sensible and latent heat
1078 gains as well as ventilation air flow requirements were obtained by multipli-
1079 cation of per-person values with the number of occupants in the buildings.
1080 The Singapore Standard 553 also requires a minimum fresh air flow rate per
1081 area [43]. The AC systems are assumed to be presence-controlled. See Table
1082 A.2 and Table A.3. For the other two use-types in the case study district
1083 (office and residential) assumptions and schedules were based on literature
1084 and standards. They are introduced as part of Appendix B.

1085 Regarding the relative energy use of lights, appliances, and hot water in
1086 commercial buildings, we think that, conceptually, it is important to depict
1087 the bandwidth between minimal base load and peak load. Therefore we
1088 create rule-based algorithms that can be adjusted without compromising this
1089 bandwidth. All algorithms follow the same concept: The minimum load
1090 share is fixed according to the minimum observed in the respective standard
1091 schedules. The maximum load share is fixed according to the peak defined
1092 in the standard schedules. Rules define, when the minimum and maximum
1093 occur, based on the current relative value of occupancy, and for water use
1094 in restaurants, based on past values of occupancy. For occupancy values in
1095 between minimum and maximum consumption, either a linear relationship
1096 or a fixed part-load is considered. The algorithms are introduced below in
1097 sections Appendix A.1 and Appendix A.2.

1098 *Appendix A.1. Restaurant*

1099 According to [12] the minimum lighting use in restaurants is 15%, and the
1100 maximum is 90% of the installed power density. 15% is observed during zero
1101 occupancy. The peak of 90% can be observed for occupancy values $\geq 20\%$.
1102 For our model we implement a simple 3-step control, independent of time of
1103 the day and day of the week emulating the general behavior of ASHRAE.

1104 I.e., 15% during no occupancy, 40% during intermediate occupancy, and 90%
 1105 during peak occupancy. See Alg. 1

Algorithm 1 Relative light power use in restaurants $l(t)$ based on the relative occupancy value $o(t)$.

```

for all  $t$  do
  if  $o(t) = 0$  then
     $l(t) \leftarrow 0.15$  ▷ small consumption when not occupied
  else if  $0 < o(t) < 0.2$  then
     $l(t) \leftarrow 0.4$  ▷ intermediate consumption during low occupancy
  else if  $o(t) \geq 0.2$  then
     $l(t) \leftarrow 0.9$  ▷ peak consumption during high occupancy
  end if
end for

```

1106 According to ASHRAE [12] the minimum appliance use in restaurants is
 1107 2% and the maximum is 29%. The schedule is identical for all types of days.
 1108 The peak of appliance use is reached at 50% occupancy. Between zero and
 1109 50% occupancy the relationship is more or less linear. We translate this to
 1110 our model to Alg. 2

Algorithm 2 Relative appliance use in restaurants $a(t)$ based on the relative occupancy value $o(t)$.

```

for all  $t$  do
  if  $0 \leq o(t) < 0.5$  then
     $a(t) \leftarrow 0.02 + o(t) * 0.27/0.5$  ▷ linear relationship with minimum use
  else if  $o(t) \leq 0.5$  then
     $a(t) \leftarrow 0.29$  ▷ peak consumption during high occupancy
  end if
end for

```

1111 According to [11] the water use in restaurants is 0% when the building is
 1112 not occupied and 15–60% when the building is occupied. The peak is reached
 1113 twice per day: The first peak occurs immediately after opening during low
 1114 occupancy, the second peak occurs when 80% occupancy is reached. It seems
 1115 that a further increase in occupancy does not impact the water consumption
 1116 (e.g., 90% occupancy on Saturday coincides with 55% water use). During
 1117 other times the water consumption is more or less linear. We translate this
 1118 behavior to Alg. 3

1119 Based on the cooling system temperature schedule in [12] the HVAC
 1120 system operation is approximated with a presence-based set-point, set-back
 1121 control. The ventilation system provides the minimum fresh air flow rate
 1122 based on the larger value of the per-person or per-area requirement. During

Algorithm 3 Hourly relative hot water consumption $w(t)$ for restaurant-use based on the hourly relative value of occupancy $o(t)$.

```

for all t do
  if  $o(t) = 0$  then
     $w(t) \leftarrow 0$  ▷ no consumption when not occupied
  else if  $o(t) > 0.0 \wedge ((o(t-4) = o(t-3) = o(t-2) = o(t-1) = 0) \vee (o(t-4) = o(t-3) = o(t-2) = 0 \wedge o(t-1) > 0))$  then
     $w(t) \leftarrow 0.6$  ▷ peak consumption during first two hours after minimum of 4 hours closing period
  else if  $0 < o(t) < 0.8 \wedge \neg((o(t-4) = o(t-3) = o(t-2) = o(t-1) = 0) \vee (o(t-4) = o(t-3) = o(t-2) = 0 \wedge o(t-1) > 0))$  then
     $w(t) = 0.2 + 0.5 * o(t)$  ▷ linear behavior during off-peak hours
  else if  $o(t) \geq 0.8$  then
     $w(t) \leftarrow 0.6$  ▷ peak consumption during peak occupancy
  end if
end for

```

Table A.2: UBEEM occupant behavior model parameters for the restaurant use-type.

parameter	value	remarks	source
default occupant density	$1.11 \text{ m}^2/\text{pers}$	average of fast-food and family dining	[12]
ventilation rate	larger value of 5.1 l/s/pers or 3.4 l/s/m^2	Singapore standard	[43]
sensible heat gains	80.6 W/pers		[12]
latent heat gains	$130.1 \text{ g}_{\text{water}}/\text{h/pers}$	calculated from energy value	[12]
cooling set point temperature	24°C		[12]
cooling set back temperature	30°C		[12]
appliance power density	64.6 W/m^2		[12]
light power density	15.1 W/m^2	ASHRAE Standard 90.1-2016 PRM value	[66]
maximum hot water use	2 l/pers/h	calculated from BTU value, assuming water has to be heated by $\Delta T = 50^\circ\text{C}$	[11]

1123 zero occupancy the ventilation system is switched off. Table A.2 provides all
 1124 model parameters for the restaurant use-type.

1125 *Appendix A.2. Retail*

1126 According to [12] lighting use in retail-use buildings is minimum 5% and
 1127 maximum 90%. 5% is observed during non-occupied hours. 90% is observed
 1128 during more than 50% relative occupancy. During off peak hours light-use is
 1129 somewhere in between. We translate this into a 3-step light control algorithm
 1130 for retail-use. See Alg. 4.

1131 According to [12] the minimum appliance use in retail buildings is 20%,
 1132 the maximum is 90%. The peak is reached at 50% relative occupancy. Be-
 1133 tween zero occupancy and 50% the relationship is relatively linear. We trans-

Algorithm 4 Algorithm to determine relative light use in retail buildings $l(t)$ based on the relative value of occupancy $o(t)$.

```

for all  $t$  do
  if  $o(t) = 0$  then
     $l(t) \leftarrow 0.05$  ▷ light during zero occupancy
  else if  $0 < o(t) < 0.5$  then
     $l(t) \leftarrow 0.4$  ▷ part load during low occupancy
  else if  $o(t) \geq 0.5$  then
     $l(t) \leftarrow 0.9$  ▷ peak load during high occupancy
  end if
end for

```

1134 late this into Alg. 5.

Algorithm 5 Algorithm to determine relative appliance use in retail buildings $a(t)$ based on the relative value of occupancy $o(t)$.

```

for all  $t$  do
  if  $0 \leq o(t) < 0.5$  then
     $a(t) \leftarrow 0.2 + o(t) * 0.7/0.5$  ▷ linear relationship with minimum load
  else if  $o(t) \geq 0.5$  then
     $a(t) \leftarrow 0.9$  ▷ peak during high occupancy
  end if
end for

```

1135 According to [11] the minimum water consumption in retail buildings is
 1136 4% and the maximum is 62%. The peak consumption is reached at 70% of
 1137 occupancy. Between zero and 70% the water consumption is relatively linear.
 1138 We translate these observations into Alg. 6.

Algorithm 6 Rules to determine relative water use in retail buildings $w(t)$ based on the relative value of occupancy $o(t)$.

```

for all  $t$  do
  if  $0 \leq o(t) < 0.7$  then
     $w(t) \leftarrow 0.04 + o(t) * 0.58/0.7$  ▷ linear relationship with minimum use
  else if  $o(t) \geq 0.7$  then
     $w(t) \leftarrow 0.62$  ▷ peak during high occupancy
  end if
end for

```

1139 The HVAC systems follow the same control as in the restaurant buildings.
 1140 Table A.3 provides all model parameters for the retail use-type.

1141 Appendix B. UBEM Modeling Details

1142 Important building energy model parameters used in the UBEM are pro-
 1143 vided here. They are based on context-specific literature. Office towers

Table A.3: UBEM occupant behavior model parameters for the retail use-type.

parameter	value	remarks	source
default occupant density	$6.22 \text{ m}^2/\text{pers}$		[12]
ventilation rate	larger value of 5.5 l/s/pers or 1.1 l/s/m^2 during occupancy	Singapore Standard	[43]
sensible heat gains	73.3 W/pers		[12]
latent heat gains	$94.6 \text{ g}_{\text{water}}/\text{h/pers}$	calculated from energy value	[12]
cooling set point temperature	24°C		[12]
cooling set back temperature	30°C		[12]
appliance power density	3.23 W/m^2		[12]
light power density	16.1 W/m^2	ASHRAE Standard 90.1-2016 PRM value	[66]
maximum hot water use	0.7 l/pers/h	calculated from BTU value, assuming water has to be heated by $\Delta T = 50^\circ\text{C}$	[11]

1144 and commercial podiums (retail and restaurant buildings) share the same
 1145 construction properties. They are provided in Appendix B.1. The same
 1146 section also contains the sources of the occupant behavior parameters for of-
 1147 fice buildings. The model parameters for residential towers are introduced in
 1148 Appendix B.2. All parameters that are not explicitly mentioned are default
 1149 parameters in the CEA databases for Singapore as of version 2.29 [44].

1150 *Appendix B.1. Office towers building energy modeling parameters*

1151 The office building models are inspired by the benchmark model for an
 1152 energy efficient office in Singapore by [54]. The model was created for Energy
 1153 Plus, some adjustments for the use in CEA had to be made. The original
 1154 model is a 20 storey building with a naturally ventilated car park on storey
 1155 1-3 and office space on storey 4-20. For this work we did not consider the
 1156 car park. We also did not consider exterior lighting, facade lighting, and
 1157 electricity consumption from lifts. Table B.4 provides the construction and
 1158 systems properties. For the commercial podiums the same construction and
 1159 system properties as for office towers were assumed. Table B.5 provides the
 1160 office use-type occupant behavior parameters.

1161 *Appendix B.2. Residential towers*

1162 Residential tower construction and systems properties are modeled after
 1163 the Singapore public housing archetype described in [67]. Ranges of typi-
 1164 cal values for wall and window construction, window-to-wall ratio and light
 1165 power density are provided. For our model we assume the lowest U-values,

Table B.4: UBEM building model construction and systems properties for office, retail, and restaurant use-type buildings. Most values are based on the SinBerBest benchmark BEM [54].

parameter	value	remarks	source
construction type	CEA T2	medium construction	assumption
envelope leakiness	CEA T1	highly tight, benchmark model has 0.2 ACH at peak time	[54]
roof U-value	0.6 $W/m^2/K$	-	[54]
wall U-value	0.4 $W/m^2/K$	-	[54]
window U-value	2.2 $W/m^2/K$	double glazed on all facades, benchmark model has single glazed windows on south and north facade	[54]
window g-value	0.22	SHGC = g-value, double glazed on all facades, benchmark model has single glazed windows on south and north facade	[54]
WWR	0.59	-	[54]
shading system	CEA T1	-	assumption
HVAC system	CEA T3, CEA T1	central AC and mechanical ventilation with demand control, similar to office Benchmark	[54]
fraction of conditioned GFA	1.0		

Table B.5: UBEM office use-type occupant behavior and system operation parameters.

parameter	value	remarks	source
occupant density	10 $m^2/pers$	used to calculate GFA of case study	[54]
occupancy schedule	benchmark schedule	see Fig. 3 in [54] Appendix	[54]
lights schedules	benchmark schedules	see Fig. 5 and Fig. 6 in [54] Appendix	[54]
lights power density	14.4 W/m^2	composed of office, toilet, and staircase	[54]
appliance schedule	benchmark schedule	see Fig. 7 in [54] Appendix	[54]
appliance power density	14 W/m^2		
HVAC system operation	weekdays 7am - 6pm, Saturdays 7am - 1pm	benchmark model starts at 7.30 with 50% operation, see Fig. 1 and 2 in [54] Appendix	[54]
ventilation rate	larger value of 5.5 $l/s/pers$ or 0.6 $l/s/m^2$		[54]

Table B.6: UBEM building model construction and systems properties for residential use-type buildings.

parameter	value	remarks	source
construction type	CEA T2	medium construction	assumption
envelope leakiness	CEA T3	medium	assumption
Wall U-value	1.2 W/m ² /K		[67]
Window U-value	2.2 W/m ² /K		[67]
Window g-value	0.22	same window as commercial/office	assumption
fraction of conditioned GFA	0.33	-	[70]
WWR	0.35	average of range in literature	[67]
HVAC system	CEA T2 + CEA T0	mini split-unit and window ventilation	assumption

Table B.7: UBEM residential use-type occupant behavior and system operation parameters.

parameter	value	remarks	source
maximum hot water use	3.1 l/h/pers	standard assumption of 8.6 l/pers/h adjusted to match EUI	[66]
hot water schedule	COMNET Residential	-	[68]
cooling set point	24 C	-	[67]
cooling schedule	ON during the night from 22PM-7AM	every day of the week	[67, 69]
light schedule	ASHRAE schedule D	-	[12]
light power density	1.1 W/m ²	initial guess of 2.7 W/m ² [67] adjusted to match EUI	assumption
occupancy schedule	ASHRAE schedule D	-	[12]
occupant sensible heat gain	73.3 W/pers	from ASHRAE BTU value	[12]
occupant latent heat gain	94.6 g-water/pers/h	from ASHRAE BTU value	[12]
appliance schedule	ASHRAE schedule D	-	[12]
occupant density	34.6m ² /pers	from case study design, see section 3, very close to ASHRAE value of	
appliance power density	6.2 W/m ²	fitted to EUI statistics, ASHRAE value is 6.7 W/m ²	assumption
Ventilation rate	0.3 l/s/m ²	based on ASHRAE	[12]

1166 projecting some improvement in average future construction. Residential
1167 schedules for occupancy, lights, and appliances are taken from [12]. Values
1168 and schedules relating to hot water were taken from [68]. The use of AC
1169 systems was modeled after the assumptions in [67], which generally agree
1170 with the results of a household survey in [69]. The assumptions are: AC use
1171 during sleeping, i.e., from 22 PM - 7 AM. The air-conditioned area is 33%
1172 of the GFA, based on [70]. We are assuming that in the new district, all
1173 residential flats will be equipped with AC systems, which is a conservative
1174 overestimation.

1175 **Appendix C. Comparison of UBEM EUI to Statistics and Liter-**
 1176 **ature**

1177 This section aims at adding credibility to the UBEM energy demand
 1178 simulation. Retail and restaurant buildings are compared to statistical data
 1179 for energy efficient buildings in Singapore.

1180 *Appendix C.1. Retail and Restaurant Building EUI Comparison*

1181 Singapore’s Building and Construction Authority (BCA) publishes build-
 1182 ing energy benchmarking reports based on building energy consumption re-
 1183 ported by building owners [71]. The published energy use intensity (EUI)
 1184 data for retail and mixed developments for the year 2018 are provided in
 1185 Table C.8. There is no specific data available for restaurants.

Table C.8: Reported EUI of retail buildings and mixed developments in Singapore [71].

building type	Top 10% EUI ($kWh/m^2/yr$)	Top Quartile EUI ($kWh/m^2/yr$)	2nd Quartile EUI ($kWh/m^2/yr$)	3rd Quartile EUI ($kWh/m^2/yr$)	Bottom Quar- tile EUI ($kWh/m^2/yr$)
Large Retail	≤ 164	≤ 236	236-422	422-515	> 515
Small Retail	≤ 147	≤ 238	238-370	370-478	> 478
Mixed Devel- opment	≤ 135	≤ 201	201-269	269-345	> 345

1186 Table C.9 provides the average EUI of commercial buildings in the case
 1187 study obtained with different UBOP. Retail buildings’ EUI is in the range
 1188 of 140-190 $kWh/m^2/yr$, which is in the top quartile of the reported EUI in
 1189 Table C.8. Restaurant buildings separately are around 360-790 $kWh/m^2/yr$.
 1190 When the commercial use-mix is considered as a whole, the EUI is in the
 1191 range of 220-400 $kWh/m^2/yr$. This is within the likely range of large and
 1192 small retail buildings in Singapore. The BCA report only includes electricity
 1193 in the EUI values. Gas use in kitchens of restaurants might result in higher
 1194 EUI in reality in Singapore as reported here. Therefore we consider our
 1195 UBEM values realistic for energy efficient commercial buildings in Singapore.

Table C.9: Average EUI of retail and restaurant building energy models for different occupancy models.

UBOP	retail EUI($kWh/m^2/yr$)	restaurant EUI($kWh/m^2/yr$)	commercial use-mix EUI($kWh/m^2/yr$)
base	183	742	378
mean-cap	186	600	331
med-cap	145	403	235
div-cap	138-153	360-437	221-248
mean-peak	189	649	350
med-peak	146	424	243
div-peak	143-159	387-531	231-284
mean-sum	190	786	399
med-sum	149	632	318
div-sum	142-158	536-606	283-311

1196 *Appendix C.2. Office Towers EUI Comparison*

1197 The simulation results for the office towers obtained from CEA match
 1198 well with the results of the SinBerBest benchmark model [54]. See Table
 1199 C.10

Table C.10: Comparison of case study office building model to SinBerBest benchmark building model.

demand	CEA model ($kWh/m^2/yr$)	Benchmark model ($kWh/m^2/yr$)	remarks
total EUI	131	131	(excluding carpark, exterior lights, and lifts)
EUI cooling electricity	59	63	
EUI lights and appliances	72	68	
share cooling	46%	47%	

1200 *Appendix C.3. Residential EUI Comparison*

1201 We estimated the average EUI of residential buildings in Singapore via
 1202 statistical data of household energy consumption [72], housing type statistics
 1203 [73], and approximate flat sizes of public [74] and private housing [75]. The
 1204 EUI of residential towers in Singapore (public and private housing, but ex-
 1205 cluding landed properties) is roughly 50 - 65 $kWh/m^2/yr$ electricity, plus 6 -
 1206 7 $kWh/m^2/yr$ gas (depending on the assumed average flat sizes). The target
 1207 EUI for our, all-electric residential building model is therefore somewhere be-
 1208 tween 56 - 72 $kWh/m^2/yr$. The shares of different energy end-uses (cooling,
 1209 appliances, lights, and hot water) was estimated based on household energy
 1210 consumption studies in 2012 and 2017 [76, 77]. Table C.11 shows the EUI of
 1211 residential buildings in the case study district simulated with the CEA.

Table C.11: Comparison of case study residential building model EUI to Singapore statistical data.

demand	CEA building model (<i>kWh/m²/yr</i>)	SG statistical (<i>kWh/m²/yr</i>)	remarks
total EUI electric	64.4	56-72	statistical data incl. gas
EUI lights	3.1	2 - 4	6-4% of electricity consumption
EUI appliances	31.3	20-40 (26-47 incl. gas)	39-61% of electric EUI
EUI water heating	14.2	6 - 14 (only electric)	11 - 21% of electric EUI
EUI cooling	15.8	12-23	24-36% of electric EUI

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