





# Trajectory Optimization Framework for Rehabilitation Robots - Supplementary Material

**Model****Author(s):**

[Sommerhalder, Michael](#) ; [Zimmermann, Yves](#) ; [Simovic, Leonardo](#); [Hutter, Marco](#) ; [Wolf, Peter](#) ; [Riener, Robert](#)

**Publication date:**

2023-05-23

**Permanent link:**

<https://doi.org/10.3929/ethz-b-000612984>

**Rights / license:**

[In Copyright - Non-Commercial Use Permitted](#)

# Trajectory Optimization Framework for Rehabilitation Robots with Multi-Workspace Objectives and Constraints

## Supplementary Material

Michael Sommerhalder<sup>\*,1</sup> and Yves Zimmermann<sup>\*,1,2</sup>, Leonardo Simovic<sup>1</sup>,  
Marco Hutter<sup>2</sup>, Peter Wolf<sup>1</sup>, Robert Riener<sup>1,3</sup>

### I. HYBRID DIFFERENTIATION

To calculate the higher-order derivatives of the parameterization, a linear combination between forward and backward finite difference coefficients  $c$  of precision 2 was applied [?]. The forward coefficients are:

$$\begin{aligned} \mathbf{c}_{F0} &= \{1\} \\ \mathbf{c}_{F1} &= \{-1.5, 2, -0.5\} \\ \mathbf{c}_{F2} &= \{2, -5, 4, -1\} \\ \mathbf{c}_{F3} &= \{-2.5, 9, -12, 7, -1.5\} \end{aligned} \quad (1)$$

And the backward coefficients:

$$\begin{aligned} \mathbf{c}_{B0} &= \{1\} \\ \mathbf{c}_{B1} &= \{0.5, -2, 1.5\} \\ \mathbf{c}_{B2} &= \{-1, 4, -5, 2\} \\ \mathbf{c}_{B3} &= \{1.5, -7, 12, -9, 2.5\} \end{aligned} \quad (2)$$

The hybrid differentiation function results in:

$$\begin{aligned} \left( \frac{\delta^j \mathbf{q}}{\delta t^j} \right)_k &= f_j(\mathbf{q}_{k-j-1}, \dots, \mathbf{q}_{k+j+1}) \\ &= \begin{cases} A, & \text{if } k < j+1 \\ B, & \text{if } k > N-j-1 \\ \alpha A + \beta B, & \text{else} \end{cases} \\ \beta &= \frac{k-j-1}{N-2j-2}, \quad \alpha = 1 - \beta \\ A &= \mathbf{c}_{Fj}[\mathbf{q}_k, \dots, \mathbf{q}_{k+j+1}]^T \\ B &= \mathbf{c}_{Bj}[\mathbf{q}_{k-j-1}, \dots, \mathbf{q}_k]^T \end{aligned} \quad (3)$$

The parameterization derivative can also be expressed in dense form with matrix  $D_j$ , containing the corresponding forward and backward coefficients as elements.

### II. CJC TO HND TRANSFORMATION ( $\chi_{CJC}^{\text{HND}}$ )

The transformation from CJC and HND can be calculated via forward kinematics. In the following, these abbreviations

<sup>\*</sup>The first two authors contributed equally to this paper.

<sup>1</sup> The author is with Sensory-Motor Systems Lab, ETH Zurich, Switzerland. somichae@ethz.ch

<sup>2</sup> The author is with Robotic Systems Lab, ETH Zurich, Switzerland.

<sup>3</sup> The author is with Spinal Cord Injury Center, University Hospital Balgrist, Zurich, Switzerland. rriener@ethz.ch

This research was supported in part by Innosuisse, the Swiss Innovation Agency, ID 33759.1 IP-LS.

are used for rotations in 3D-space:

$$\begin{aligned} \mathbf{R}_x(\theta) &:= \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos(\theta) & -\sin(\theta) \\ 0 & \sin(\theta) & \cos(\theta) \end{pmatrix} \\ \mathbf{R}_y(\theta) &:= \begin{pmatrix} \cos(\theta) & 0 & \sin(\theta) \\ 0 & 1 & 0 \\ -\sin(\theta) & 0 & \cos(\theta) \end{pmatrix} \\ \mathbf{R}_z(\theta) &:= \begin{pmatrix} \cos(\theta) & -\sin(\theta) & 0 \\ \sin(\theta) & \cos(\theta) & 0 \\ 0 & 0 & 1 \end{pmatrix} \end{aligned} \quad (4)$$

The rotation from the torso fixed system to the hand can be calculated as a series of consecutive rotations:

$$\begin{aligned} \mathbf{R}_{\text{POE}} &= \mathbf{R}_z(q_{\text{POE}}) \\ \mathbf{R}_{\text{AOE}} &= \mathbf{R}_{\text{POE}} \mathbf{R}_y\left(-\frac{\pi}{2}\right) \mathbf{R}_z(q_{\text{AOE}}) \\ \mathbf{R}_{\text{IER}} &= \mathbf{R}_{\text{AOE}} \mathbf{R}_y\left(-\frac{\pi}{2}\right) \mathbf{R}_z(q_{\text{IER}}) \\ \mathbf{R}_{\text{EFE}} &= \mathbf{R}_{\text{IER}} \mathbf{R}_y\left(-\frac{\pi}{2}\right) \mathbf{R}_x\left(-\frac{\pi}{2}\right) \mathbf{R}_z(q_{\text{EFE}}) \\ \mathbf{R}_{\text{WPS}} &= \mathbf{R}_{\text{EFE}} \mathbf{R}_y\left(\frac{\pi}{2}\right) \mathbf{R}_z(q_{\text{WPS}}) \\ \mathbf{R}_{\text{WFE}} &= \mathbf{R}_{\text{WPS}} \mathbf{R}_x\left(\frac{\pi}{2}\right) \mathbf{R}_z(q_{\text{WFE}}) \\ \mathbf{R}_{\text{WUR}} &= \mathbf{R}_{\text{WFE}} \mathbf{R}_y\left(-\frac{\pi}{2}\right) \mathbf{R}_z(q_{\text{WUR}}) \\ \mathbf{R}_{\text{HND}} &= \mathbf{R}_{\text{WUR}} \mathbf{R}_x(\pi) \mathbf{R}_z\left(-\frac{\pi}{2}\right) \end{aligned} \quad (5)$$

And the corresponding hand coordinates w.r.t. the glenohumeral joint in world coordinates:

$$\begin{aligned} \mathbf{p}_{\text{UA}} &= \mathbf{R}_{\text{IER}} \begin{pmatrix} 0 \\ 0 \\ l_{\text{UA}} \end{pmatrix} \\ \mathbf{p}_{\text{FA}} &= \mathbf{p}_{\text{UA}} + \mathbf{R}_{\text{EFE}} \begin{pmatrix} l_{\text{FA}} \\ 0 \\ 0 \end{pmatrix} \\ \mathbf{p}_{\text{HND}} &= \mathbf{p}_{\text{FA}} + \mathbf{R}_{\text{WUR}} \begin{pmatrix} 0 \\ \frac{l_{\text{WR}}}{2} \\ 0 \end{pmatrix} \end{aligned} \quad (6)$$

Here,  $l_{\text{UA}}$  is the upper arm length,  $l_{\text{FA}}$  is the forearm length and  $l_{\text{WR}}$  is the wrist length of the patient. Further, the elbow

swivel angle  $\phi$  is calculated as follows:

$$\begin{aligned} r &= \frac{1}{1 + e^{-1000(\alpha - 0.01)}}, \quad \alpha = 1 - \frac{|\mathbf{u}_z \cdot \mathbf{p}_{\text{HND}}|}{\|\mathbf{p}_{\text{HND}}\|} \\ \mathbf{n} &= r(\mathbf{u}_z \times \mathbf{p}_{\text{HND}}) + (1 - r)\mathbf{u}_x \\ \phi &= \text{acos} \left( \frac{\mathbf{n} \cdot (\mathbf{R}_{\text{EFE}} \mathbf{u}_z)}{\|\mathbf{n}\| \cdot \|\mathbf{R}_{\text{EFE}} \mathbf{u}_z\|} \right), \end{aligned} \quad (7)$$

where  $r$  estimates the elbow condition to prevent singularities when the arm is fully extended.  $\mathbf{u}_x$  and  $\mathbf{u}_z$  are unit vectors in x and z direction respectively. The final HND coordinates result in:

$$\begin{pmatrix} \mathbf{p}_{\text{HND},x} \\ \mathbf{p}_{\text{HND},y} \\ \mathbf{p}_{\text{HND},z} \\ \phi \\ Q(\mathbf{R}_{\text{HND}})_w \\ Q(\mathbf{R}_{\text{HND}})_x \\ Q(\mathbf{R}_{\text{HND}})_y \\ Q(\mathbf{R}_{\text{HND}})_z \end{pmatrix} \quad (8)$$

with Q being the conversion from rotation matrix to quaternion.

### III. HND TO CJC TRANSFORMATION ( $\chi_{\text{HND}}^{\text{CJC}}$ )

The normal vector of the elbow triangle  $\mathbf{n}$  can be determined by the following system of equations

$$\begin{cases} \mathbf{n} \cdot \mathbf{z}_n = \cos(\theta), \\ \mathbf{n} \cdot \mathbf{p}_{\text{FA}} = 0, \\ \|\mathbf{n}\| = 1 \end{cases} \quad (9)$$

which, when solving analytically, yields two solutions  $\mathbf{n}_1$  and  $\mathbf{n}_2$  for a possible positive and negative  $\theta$ :

$$\begin{aligned} a &= \frac{\mathbf{p}_{\text{FA},y} \mathbf{z}_{n,z} - \mathbf{p}_{\text{FA},z} \mathbf{z}_{n,y}}{\mathbf{p}_{\text{FA},x} \mathbf{z}_{n,y} - \mathbf{p}_{\text{FA},y} \mathbf{z}_{n,x}} \\ b &= \frac{-\cos(\theta) \mathbf{p}_{\text{FA},y}}{\mathbf{p}_{\text{FA},x} \mathbf{z}_{n,y} - \mathbf{p}_{\text{FA},y} \mathbf{z}_{n,x}} \\ c &= \frac{\mathbf{p}_{\text{FA},z} \mathbf{z}_{n,x} - \mathbf{p}_{\text{FA},x} \mathbf{z}_{n,z}}{\mathbf{p}_{\text{FA},x} \mathbf{z}_{n,y} - \mathbf{p}_{\text{FA},y} \mathbf{z}_{n,x}} \\ d &= \frac{\cos(\theta) \mathbf{p}_{\text{FA},x}}{\mathbf{p}_{\text{FA},x} \mathbf{z}_{n,y} - \mathbf{p}_{\text{FA},y} \mathbf{z}_{n,x}} \\ e &= \sqrt{c^2 (1 + c^2 - d^2 - b^2 (c^2 + 1))} \end{aligned} \quad (10)$$

$$\begin{aligned} \mathbf{n}_{1,x} &= b, \quad \mathbf{n}_{1,y} = \frac{d - e}{c^2 + 1}, \quad \mathbf{n}_{1,z} = -\frac{e + c^2 d}{c^3 + c} \\ \mathbf{n}_{2,x} &= b, \quad \mathbf{n}_{2,y} = \frac{d + e}{c^2 + 1}, \quad \mathbf{n}_{2,z} = \frac{e - c^2 d}{c^3 + c} \end{aligned}$$

Further, the upper arm position  $\mathbf{p}_{\text{UA}}$  can be found:

$$\begin{aligned} r &= \frac{\mathbf{n} \times \mathbf{p}_{\text{FA}}}{\|\mathbf{n} \times \mathbf{p}_{\text{FA}}\|} \cdot \frac{\sqrt{s(s - l_{\text{FA}})(s - l_{\text{UA}})(s - \|\mathbf{p}_{\text{FA}}\|)}}{2\|\mathbf{p}_{\text{FA}}\|}, \\ h &= \frac{\mathbf{p}_{\text{FA}}}{\|\mathbf{p}_{\text{FA}}\|} \cdot \frac{\sqrt{s(s - l_{\text{FA}})(s - l_{\text{UA}})(s - \|\mathbf{p}_{\text{FA}}\|)}}{2\|\mathbf{p}_{\text{FA}}\| \cdot \tan \left( \text{acos} \left( \frac{l_{\text{UA}}^2 + \|\mathbf{p}_{\text{FA}}\|^2 - l_{\text{FA}}^2}{2l_{\text{UA}}\|\mathbf{p}_{\text{FA}}\|} \right) \right)}, \end{aligned}$$

$$\mathbf{p}_{\text{UA}} = r + h \quad (11)$$

Having the elbow position, the first two clinical joints can be determined:

$$\begin{aligned} q_{\text{POE}} &= \text{atan2} \left( \left( \frac{\mathbf{p}_{\text{UA}}}{l_{\text{UA}}} \right)_y, \left( \frac{\mathbf{p}_{\text{UA}}}{l_{\text{UA}}} \right)_x \right) \\ q_{\text{AOE}} &= \text{acos} \left( -\frac{\mathbf{p}_{\text{UA}} \cdot \mathbf{e}_z}{l_{\text{UA}}} \right) \end{aligned} \quad (12)$$

The rotation from the torso fixed system to the AOE frame  $\mathbf{R}_{\text{AOE}}$  can be calculated as seen in eq. (5). Next, the third and fourth clinical joints can be determined:

$$\begin{aligned} \mathbf{u} &= \mathbf{R}_{\text{AOE}}^T \mathbf{p}_{\text{FA}} \\ q_{\text{IER}} &= \text{atan2}(\mathbf{u}_z, \mathbf{u}_y) \\ q_{\text{EFE}} &= \text{acos} \left( \frac{l_{\text{FA}}^2 + l_{\text{UA}}^2 - \|\mathbf{p}_{\text{FA}}\|^2}{2l_{\text{FA}}l_{\text{UA}}} \right) - \pi \end{aligned} \quad (13)$$

The wrist joints can be determined by finding the Euler ZYX coordinates from the resulting rotation matrix:

$$\mathbf{R}_D = \mathbf{R}_{\text{EFE}}^T \mathbf{R}_{\text{WUR}} \quad (14)$$