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Making EuO multiferroic by epitaxial strain engineering

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Multiferroics are materials exhibiting the coexistence of ferroelectricity and ideally ferromagnetism. Unfortunately, most known magnetoelectric multiferroics combine ferroelectricity with antiferromagnetism or with weak ferromagnetism. Here, following previous theoretical predictions, we provide clear experimental indications that ferroelectricity can be induced by epitaxial tensile strain in the ferromagnetic simple binary oxide EuO. We investigate the ferroelectric phase transition using infrared reflectance spectroscopy, finding that the frequency of the soft optical phonon reduces with increasing tensile strain and decreasing temperature. We observe such a soft mode anomaly at 100 K in (EuO)₂/(BaO)₂ superlattices grown epitaxially on (LaAlO₃)_{0.29}-(SrAl_{1/2}Ta_{1/2}O₃)_{0.71} substrates, which is a typical signature for a displacive ferroelectric phase transition. The EuO in this superlattice is nominally subjected to 6.4% biaxial tensile strain, i.e., 50% more than believed needed from previously published calculations. We interpret our results with new first-principles density functional calculations using a hybrid functional, which provides a better quantitative agreement with experiment than the previously used local-density approximation and generalized gradient approximation functionals.

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Epitaxial strain has proved to be an effective thin film control parameter to enhance properties or to induce new phases with functionalities absent in the unstrained parent bulk compound. For example, it can increase the superconducting, ferroelectric, or ferromagnetic transition temperatures, and improve microwave dielectric properties; it can even induce ferroelectric or ferromagnetic states in materials where these features are not present in the absence of strain^{1–4}. This approach has been applied to enlarge the limited number of multiferroics^{5–9}, which are simultaneously ferroelectric and (anti)ferromagnetic, with enhancement of their magnetoelectric response^{10–13}.

Several such enhancements were first predicted by theory and simulations, mostly density functional theory (DFT), before their experimental confirmation. One of these examples is the perovskite EuTiO_3 , an antiferromagnetic incipient ferroelectric in which 1% tensile strain induces ferroelectricity and ferromagnetism, making it multiferroic^{5,14}. Other recent successful examples are the cases of CaMnO_3 ¹⁵ in which incipient ferroelectricity was observed below 25 K under 2.4% tensile strain¹⁶, or SrMnO_3 ⁶, in which a phase transition to a polar state at 380 K has been observed for 1.7% tensile strain¹⁷, while the antiferromagnetic transition temperature is simultaneously shifted from the bulk value of 230 K^{18,19} to 180 K in the strained film¹⁹. Another interesting case is strained NaMnF_3 , which was predicted to be ferroelectric with a weak ferromagnetic moment from DFT simulations⁸ and the multiferroic behavior was subsequently confirmed experimentally in films grown on SrTiO_3 substrates⁹.

Beyond the perovskite family, strain-induced ferroelectricity has also been predicted for the simple binary oxides SrO , BaO , EuO ⁷ as well as in other binary compounds with the rocksalt structure: II–VI sulfides, selenides, and I–VII halides²⁰. In all cases, however, the required strain was rather high and no experiment has previously confirmed these predictions. The case of EuO is particularly attractive due to its excellent potential for spintronic applications: it possesses strong magneto-optic activity^{21,22}, colossal magnetoresistance^{23,24}, anomalous Hall effect²⁵, high spin polarization²⁶, and it can be epitaxially integrated with silicon²⁷. Adding ferroelectric and multiferroic properties to these alluring features would make EuO even more appealing for technological applications. Additionally, inducing ferroelectricity in EuO while maintaining its ferromagnetism (it has a large magnetic moment of $7 \mu_B/\text{atom}$ and a modest ferromagnetic Curie temperature of 69 K) would make it a strong multiferroic (i.e., one that combines ferroelectricity with pure ferromagnetism and not with antiferromagnetism), an extremely rare property in crystals.

In the present paper, we show that EuO films and EuO/BaO superlattices grown by molecular-beam epitaxy (MBE) on different substrates of increasing epitaxial strain (from +2.2% to +6.4%) exhibit softening and condensation of a polar phonon in the EuO layers associated with a divergence of the dielectric constant; this is the hallmark of a ferroelectric phase transition. We also show that the EuO layers retain their ferromagnetic character under these conditions, which thus confirms the sought-after strain-induced multiferroicity. To better understand the experimental results, we report new and more accurate DFT studies of strain-induced ferroelectricity in EuO . These calculations show that the predicted critical biaxial tensile strain to destabilize the polar order is higher (+5.8%) than the previously reported prediction (+4%)⁷, and more consistent with our experimental observations.

Results and discussion

Infrared studies of relaxed and tensile-strained EuO films. To measure the high-frequency dielectric properties and optical

phonons, infrared (IR) reflectance spectroscopy was used. This technique is highly sensitive. It has been demonstrated on ultrathin films with thicknesses as small as 20 nm in several materials—see e.g., the recent review of Petzelt and Kamba²⁸. In addition, IR spectra are not influenced by leakage conductivity, which frequently precludes the direct low-frequency dielectric study of ultrathin films. Using this technique, we show that biaxial strain indeed noticeably reduces the phonon frequency in EuO , with a critical biaxial strain for ferroelectricity of around +6%.

Biaxially straining EuO more than 5% is challenging, as such films tend to relax after the epitaxial growth of only a few monolayers. We overcame this challenge by growing superlattices made of EuO and BaO at growth temperatures at or below 125 °C, with a periodicity that contains only a few monolayers of each. When deposited under the conditions described in the Supplementary Information, these structures permit high strain levels to be achieved in films thick enough to investigate using IR reflectance. The strain to the EuO layers in the superlattice is imposed by the lattice parameter of the substrate and verified by X-ray diffraction (see Supplementary Figs. 1–4). Provided a substrate with a lattice parameter larger than that of EuO ($a_{\text{EuO}} = 5.145 \text{ \AA}$) and smaller than that of BaO ($a_{\text{BaO}} = 5.539 \text{ \AA}$) is employed, the number of monolayers x and y of the $(\text{EuO})_x/(\text{BaO})_y$ superlattice can be chosen to make the superlattice as a whole well matched to the underlying substrate, even though significant strains are imposed on the EuO and BaO constituent layers of the superlattice. Since the superlattice as a whole is nearly matched to the substrate, the superlattice can be repeated many times to increase the total volume of strained EuO in the structure without relaxing the strain in the EuO layers of the superlattice. In this way, we were able to grow highly strained films with sufficient thickness to investigate by IR reflectance.

We first measured the optical phonon in unstrained epitaxial (001) EuO films grown on (001) yttria-stabilized cubic zirconia (YSZ), $(\text{Y}_2\text{O}_3)_{0.095}(\text{ZrO}_2)_{0.905}$, substrates. This substrate is almost exactly lattice-matched with EuO (the difference in lattice constant is only 0.04%). The bare YSZ substrate exhibits broad reflection bands (Fig. 1), which are almost independent of temperature. The sharp peak seen in Fig. 1 near 180 cm^{-1} corresponds to the EuO transverse optical phonon. Note that the frequency is slightly lower than the 199 cm^{-1} published by Axe, who measured EuO single crystals²⁹. Since EuO crystallizes in the cubic rocksalt crystal structure, only one triply degenerate IR-active phonon is allowed in the spectrum. Damping of the phonon strongly decreases on cooling; therefore, the EuO

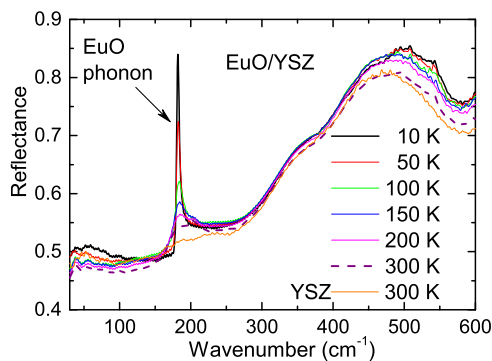


Fig. 1 Temperature dependence of the IR reflectance spectra of an EuO film grown on a (001) YSZ substrate. The 40 nm thick EuO film is protected by a 20 nm a-Si cap. The spectrum at room temperature of a YSZ substrate (thickness 1 mm) capped with a-Si is also shown. The spectrum of bare YSZ has the same shape (not shown).

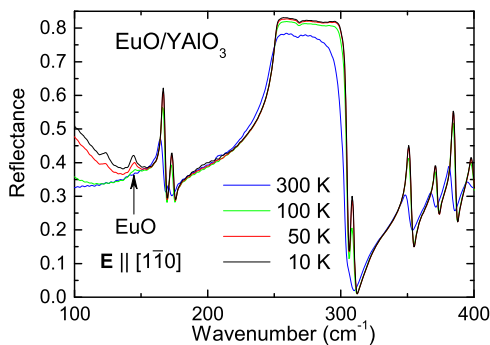


Fig. 2 Temperature dependence of the IR reflectance of a 15 nm thick EuO film (strain +2.2%) grown on a (110) YAlO₃ substrate. The spectra were measured with polarization $\mathbf{E} \parallel [1\bar{1}0]$. Most of the reflection bands correspond to substrate phonons. The only EuO phonon is marked by the arrow. The increase of the reflectance below 140 cm^{-1} is due to reflection of the beam from the back side of the substrate, which is covered by a Pt layer used to couple to the radiative heater during film deposition. This increase is seen only at low temperatures because the substrate is transparent in this frequency range only at low temperatures.

reflectance peak sharpens and its intensity increases as the temperature is lowered. Its frequency slightly hardens from 180 cm^{-1} at room temperature to 182 cm^{-1} at 10 K due to thermal contraction on cooling.

The second set of EuO films was grown on (110) YAlO₃. Since this substrate is orthorhombic ($a = 5.180 \text{ \AA}$, $b = 5.330 \text{ \AA}$, $c = 7.375 \text{ \AA}$)³⁰, it nominally induces anisotropic tensile strains of +1.5% along the [001] and +2.2% along the [110] directions, respectively. In Fig. 2 we show only the experimental spectra with polarization $\mathbf{E} \parallel [1\bar{1}0]$ (i.e., along the highest strain direction). Our fit of the spectra revealed the phonon frequency to be 150 cm^{-1} (at room temperature) and softening to 144 cm^{-1} on cooling to 10 K. Studies of the phonon density of states (PDOS) in a similar (001) EuO film on (110) YAlO₃ using nuclear inelastic scattering revealed also only small shift of PDOS to lower energies³¹.

Lattice dynamics calculations in strained EuO and BaO. The observed softening of the optical phonon of EuO for unstrained (182 cm^{-1} at 10 K) vs. +2.2% strain (144 cm^{-1} at 10 K) from experiment, a change of 21%, is far less than the ~40% softening expected from previously published calculations⁷. This lower than expected softening suggests that the critical strain for ferroelectricity in biaxially strained (001) EuO is higher than the +4% predicted⁷. To better understand this discrepancy between our observations and calculations made using both the local-density approximation (LDA) as well as the generalized gradient approximations (GGA)⁷, we performed new calculations of the dependence of the soft mode in EuO using the hybrid-functional B1WC³² as implemented in the CRYSTAL code³³. Hybrid functionals have been shown to give better phonon frequencies in bulk BaO²⁰ and the B1WC functional in particular describes the structural and electronic properties of ferroelectric perovskites well³⁴.

Consistent with the earlier calculations for BaO and our expectation for EuO, we found that the calculated B1WC transverse optic frequencies of bulk BaO and EuO are in better agreement with the experiment than the previously reported LDA and GGA values. We obtained transverse optic phonon frequencies of 136 cm^{-1} for bulk BaO (vs. 145 cm^{-1} from experiment^{35,36} and 101 cm^{-1} with LDA⁷ or 96 cm^{-1} with GGA-WC⁷) and 203 cm^{-1} for bulk EuO (vs. 199 cm^{-1} from experiment²⁹ and 164 cm^{-1} in GGA PBE⁷). We then computed

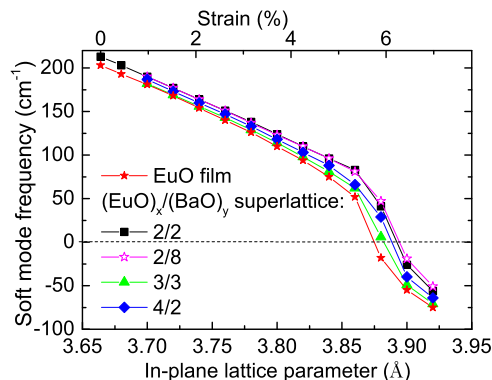


Fig. 3 Theoretical strain dependence of the EuO phonon frequency in a biaxially strained (001) EuO film and in various (EuO)_x/(BaO)_y superlattices. The B1WC hybrid functional was used for the calculations. Negative numbers on the vertical axis correspond to imaginary frequencies and a lattice instability.

the evolution of the EuO transverse phonon frequency versus strain with the B1WC functional and found a critical strain of about +5.8% is needed to destabilize the polar mode (see Fig. 3, red curve). This means that the B1WC functional predicts a larger critical strain for ferroelectricity in EuO than that obtained using GGA⁷ and thus is more consistent with our experimental results. Although we tried, we were unable to grow EuO films of sufficient thickness to measure the phonon by IR reflectivity at this high strain value.

Since we were not able to attain such high strain levels with conventional coherent heteroepitaxy, we shifted the strategy of our experiment and extended our hybrid functional DFT calculations to the case of strained (EuO)_x/(BaO)_y superlattices. As the lattice parameter of EuO is smaller than that of BaO, substrates with lattice spacings between EuO and BaO will induce tensile strain in EuO and compressive strain in BaO. The number of monolayers x and y in these (EuO)_x/(BaO)_y superlattices are chosen to make the superlattice as a whole well matched to the underlying substrate. This enables the superlattice to be repeated many times to increase the total volume of strained EuO in the structure without relaxing the strain in the EuO layers of the superlattice. This “strained-layer superlattice” strategy is commonly used in the growth of semiconductors³⁷.

In Fig. 3 we report the theoretical evolution of the soft mode frequency as a function of in-plane lattice parameter for a range of x/y periodicities; the upper horizontal axis gives the corresponding tensile strain in the EuO layer. Imaginary frequencies, indicating unstable modes, are plotted on the negative y -axis. We see that all of the periodicities have a critical strain for destabilization of the polar mode. The 2/2, 2/6 (not shown), and 2/8 combinations have critical strains of about 6.2%, which is larger than that of the homogeneous film; the 3/3 and 4/2 heterostructures have lower critical strain than 2/ y superlattices, but slightly higher than pure EuO. The reason for this effect can be attributed to the fact that BaO is under compressive strain, which does not favor in-plane ferroelectricity. This, in turn, disfavors in-plane polar displacements in the EuO at the interface with BaO. This is confirmed by the fact that for larger thickness of EuO the critical strain is closer to that of pure EuO. We notice that in the strained region where the E_u symmetry mode (polarized in the film plane—see the schematic shown in the inset of Fig. 4) is destabilized in EuO, BaO is under insufficient compressive strain to induce ferroelectricity in BaO. The A_{2u} component of the BaO phonon, which is polarized out-of-plane, can be softer than in bulk BaO. This A_{2u} component

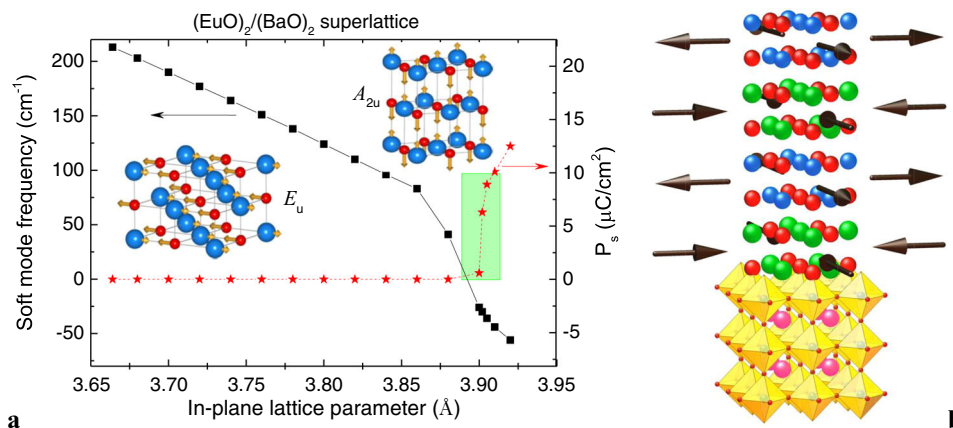


Fig. 4 Schematic illustration of an $(\text{EuO})_2/(\text{BaO})_2$ superlattice on a (001) LSAT substrate and the theoretical strain dependence of the soft mode frequency and the spontaneous polarization in the EuO layers of the superlattice. **a** The strain dependence of the frequency of the E_u ferroelectric soft mode (left scale) and the spontaneous polarization P_s (right scale) in an $(\text{EuO})_2/(\text{BaO})_2$ superlattice obtained from the DFT calculations. The green bar reflects the range of DFT accuracy for the EuO strain. Insets show schematic representations of the E_u and A_{2u} symmetry polar vibrations. **b** Crystal structure of the $(\text{EuO})_2/(\text{BaO})_2$ superlattice on an (001) LSAT perovskite substrate in which the $(\text{EuO})_2$ layer is under biaxial tension and the $(\text{BaO})_2$ layer is under biaxial compression. The directions of both strains are marked by arrows. Eu, Ba, and O atoms are shown in blue, green, and red, respectively.

cannot, however, couple with the E_u soft mode in EuO, because they have different symmetries, i.e., electrostatic coupling between layers in a superlattice is not allowed.

In Fig. 4 we report the calculated evolution of both the E_u soft mode frequency of the high-symmetry paraelectric phase (space group $P4/nmm$ number 129) and the amplitude of the spontaneous polarization P_s of the low symmetry ferroelectric phase (space group $Pmn2_1$ number 31) of the $(\text{EuO})_2/(\text{BaO})_2$ superlattice with respect to the imposed in-plane unit cell parameter. We can see that the critical lattice parameter for the destabilization of the polar mode and the associated appearance of non-zero polarization is between 3.89 and 3.90 Å. The primitive unit cell parameter of unstrained bulk EuO is 3.664 Å, making the predicted critical strain between 6.18% and 6.45%. The accuracy of the B1WC functional in predicting the unit cell parameter of EuO is about 0.7%. This uncertainty leads to the predicted polarization of the commensurately strained superlattice on LSAT being between 0.6 and 10 $\mu\text{C}/\text{cm}^2$ (marked in Fig. 4).

Infrared studies of strained $(\text{EuO})_x/(\text{BaO})_y$ superlattices.

Motivated by these calculations supporting a change in experimental strategy from a single layer of EuO to EuO within a strained-layer superlattice, we prepared $(\text{EuO})_2/(\text{BaO})_2$ superlattices with 35 repetitions, i.e., $[(\text{EuO})_2/(\text{BaO})_2]_{35}$, on (001) $(\text{LaAlO}_3)_{0.29}-(\text{SrAl}_{1/2}\text{Ta}_{1/2}\text{O}_3)_{0.71}$ (abbreviated as LSAT) substrates. To protect the superlattices from degradation they were capped with ~ 100 nm of amorphous silicon (a-Si). In this case, the EuO and BaO are nominally strained by +6.4% and -1.2%, respectively. The strains in these superlattices are high and even with accurate calibration of deposition rates and a low growth temperature of $\sim 80^\circ\text{C}$ it was challenging to maintain the strain during growth. The superlattice with the highest structural perfection was selected for IR reflectance measurements; the rocking curve full width at half maximum of this best sample was 0.13° (see Supplementary Fig. 2).

In Fig. 5 we show the far-IR reflectance spectra of the $[(\text{EuO})_2/(\text{BaO})_2]_{35}/\text{LSAT}$ sample. At first sight, no dramatic changes with temperature are observed in the reflectance: the phonon reflection band seen close to 170 cm^{-1} belongs to the LSAT substrate³⁸ and shows only classical sharpening upon cooling. Nonetheless, careful analysis reveals an increase of the reflectance in the

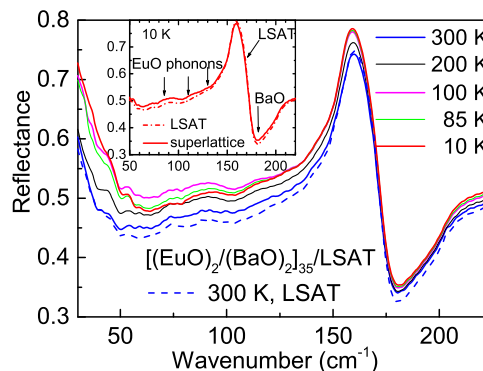


Fig. 5 Temperature dependence of the far-IR reflectance of an $[(\text{EuO})_2/(\text{BaO})_2]_{35}$ superlattice. The superlattice with thickness 35.8 nm was grown on a (001) LSAT substrate. Spectra from a bare (001) LSAT substrate are shown with dashed lines. The increase of the reflectance below 50 cm^{-1} is due to the reflection of the beam from the backside of the semitransparent substrate in this frequency range. The inset shows spectra of a bare LSAT substrate and the superlattice at 10 K.

$50\text{--}100\text{ cm}^{-1}$ range on cooling towards 100 K followed by a decrease on further cooling down to 10 K. This suggests a phonon softening and subsequent hardening in this frequency region, which is typical for a ferroelectric phase transition.

To confirm this finding, in Fig. 6 we report the complex dielectric spectra of EuO and BaO layers obtained from careful fits of the $[(\text{EuO})_2/(\text{BaO})_2]_{35}/\text{LSAT}$ superlattice data. One can clearly see noticeable softening of the EuO phonon towards 100 K and again it is hardening below this temperature. This is also confirmed from the EuO static relative permittivity ϵ'_0 (calculated from Eq. 3) plotted in Fig. 7, which increases from 280 at 300 K to 600 at 100 K and again decreases below 100 K in the case of the $[(\text{EuO})_2/(\text{BaO})_2]_{35}/\text{LSAT}$ superlattice (red curve on Fig. 7), while the other strain cases do not show this anomaly (blue, black, and green curves). The phonon and dielectric anomaly observed at 100 K is characteristic of a displacive ferroelectric phase transition. This conclusion is strengthened by the appearance of new phonon modes below 100 K with frequencies of 110 and 130 cm^{-1} (see Fig. 8, red curves), which is the signature of symmetry lowering below 100 K expected in the ferroelectric phase. Here it

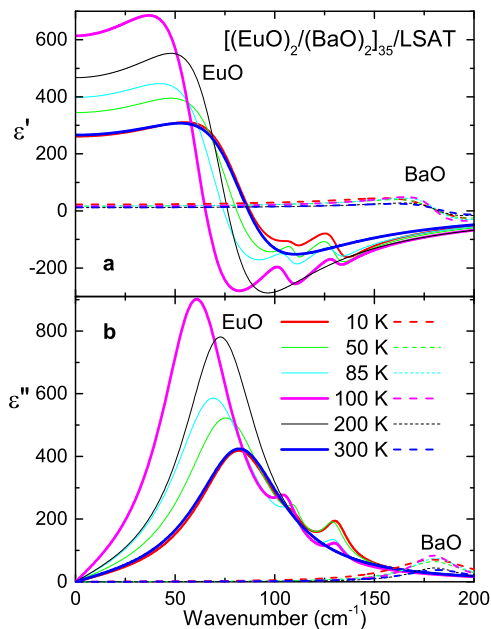


Fig. 6 Complex dielectric response of EuO and BaO layers in an $[(\text{EuO})_2/(\text{BaO})_2]_{35}$ superlattice grown on a (001) LSAT substrate. (a) Real and (b) imaginary parts of the complex dielectric function in EuO (solid lines, biaxial tensile strain +6.4%) and BaO (dashed lines, compressive strain -1.2%) layers were obtained from fits to the IR spectra of the $[(\text{EuO})_2/(\text{BaO})_2]_{35}/\text{LSAT}$ sample in Fig. 5. A higher phonon frequency and lower relative permittivity are seen in the BaO layers due to the compressive strain of the BaO. A strong ferroelectric soft mode with the lowest frequency at 100 K is clearly seen in the EuO.

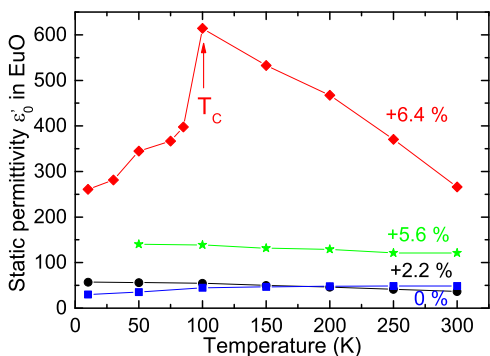


Fig. 7 Temperature and strain dependence of the static relative permittivity of the EuO films and EuO layers in the $(\text{EuO})_x/(\text{BaO})_y$ superlattices. The permittivity values were calculated from fits to the IR reflectance. The ferroelectric critical temperature observed for the $[(\text{EuO})_2/(\text{BaO})_2]_{35}$ superlattice strained to LSAT is marked by the arrow.

should be stressed that in the $(\text{EuO})_2/(\text{BaO})_2$ superlattice the unit cell consists of four formula units and therefore four in-plane polarized polar phonons of E_u symmetry can be expected in the IR spectra (two from the EuO and two from the BaO layers). The temperature dependence of the EuO optical phonon frequencies are shown in Fig. 8 for the nominally unstrained EuO/YSZ from Fig. 2, the +2.2% strained EuO/YAlO₃ from Fig. 3, and the +6.4% strained $[(\text{EuO})_2/(\text{BaO})_2]_{35}$ superlattice from Fig. 5. The ferroelectric phase transition at 100 K is evident only in the most strained sample. DFT calculations support this observation and predict an in-plane ferroelectric polarization between 0.6 and 10 $\mu\text{C}/\text{cm}^2$ (see Fig. 4) in the +6.4% strained $[(\text{EuO})_2/(\text{BaO})_2]_{35}$ superlattice, but we could not measure it due to the electrically

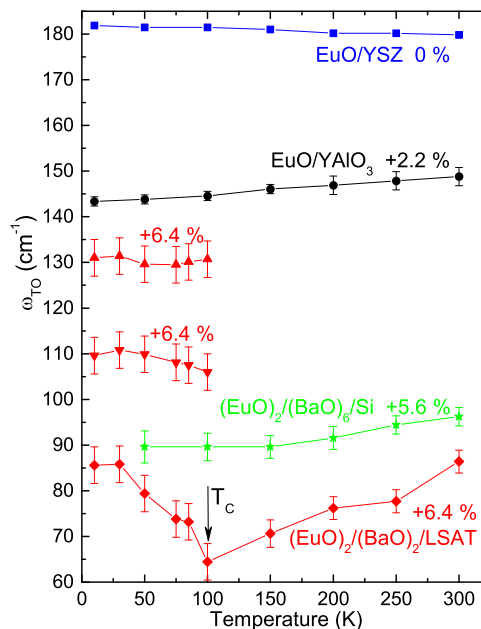


Fig. 8 Temperature dependence of the EuO optical phonon frequency in epitaxial (001)-oriented EuO films with various nominal strain levels imposed by underlying (001) YSZ, (110) YAlO₃, (001) Si, and (001) LSAT substrates. +5.6% and +6.4% nominal tensile strain was reached in $[(\text{EuO})_2/(\text{BaO})_6]_{28}/\text{Si}$ and $[(\text{EuO})_2/(\text{BaO})_2]_{35}/\text{LSAT}$ superlattices, respectively. The EuO phonon in the superlattice with the highest strain (+6.4%) exhibits softening typical for a displacive ferroelectric phase transition. Upon undergoing the ferroelectric phase transition, the single optical phonon splits into three phonons due to the reduction of the symmetry of the $(\text{EuO})_2$ unit cell that occurs below T_c . The error bars for EuO/YSZ are comparable to the size of the symbols, but they increase with strain due to the reduced film thicknesses.

leaky a-Si capping of the superlattice. Ferroelectric hysteresis loops are traditionally used as evidence of being able to electrically switch the spontaneous polarization, although care is needed in concluding ferroelectricity from hysteresis loops^{39,40}. In strained EuO, observation of the phonon anomaly near 100 K (Fig. 8) and the simultaneous peak in the dielectric permittivity (Fig. 7) cannot be explained by any other mechanism than a ferroelectric phase transition. Moreover, our observation is supported by the DFT calculations predicting the soft mode anomaly, the polar space group ($Pmn2_1$), and the size of the spontaneous polarization (Fig. 4). Demonstrating that this spontaneous polarization in highly strained EuO can be switched by the application of an electric field awaits future studies using, for example, pyroelectric current or piezoelectric force microscopy measurements at low temperatures, provided the system can be prepared in a more insulating state.

Because of the technological relevance of silicon, we next studied $(\text{EuO})_x/(\text{BaO})_y$ superlattices on silicon substrates, which nominally impose a strain of +5.6% in the EuO layers and -1.6% in the BaO layers. To balance the opposing stresses in the EuO and BaO layers so that the in-plane spacing of the superlattice would approximately match to the underlying silicon substrate, we prepared a superlattice consisting of six BaO monolayers alternating with two EuO monolayers on (001) Si and repeated this $(\text{EuO})_2/(\text{BaO})_6$ stack 28 times. The total thickness of BaO in the $[(\text{EuO})_2/(\text{BaO})_6]_{28}$ superlattice was 46 nm, while that of the EuO was 15 nm. This allowed us to see both BaO and EuO phonons in the reflectance spectra (Fig. 9). We measured around ten different $(\text{EuO})_2/(\text{BaO})_6$ superlattice samples grown on silicon substrates with various resistivities. When the resistivity was high

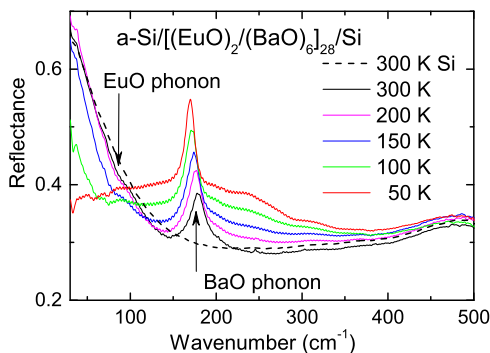


Fig. 9 IR reflectance measured at various temperatures of a [(EuO)₂/(BaO)₆]₂₈ superlattice. The film with a total thickness of 61 nm was grown on a (001) Si substrate and capped with amorphous silicon. The spectrum of the bare silicon substrate at room temperature with a plasma reflectivity below 200 cm⁻¹ is shown by the dashed line for comparison.

($\rho > 0.06 \Omega\text{-cm}$), the substrate was transparent in the far-IR region and we observed only interferences in the spectra due to multiple reflection from the top and back sides of the substrate and no signal from phonons in the (EuO)₂/(BaO)₆ superlattice was detected. If the substrate was optimally conducting ($0.01 < \rho < 0.04 \Omega\text{ cm}$), it was opaque in the far-IR region and the reflectivity from the free-carrier plasma was observed below 200 cm⁻¹ (Fig. 9). The BaO phonon is seen as the sharp reflection peak in the spectra, while the EuO phonon is visible as a shallow minimum near 100 cm⁻¹, since it lies in the range of the plasma edge of the substrate. The resistivity of the silicon substrate increases on cooling, so the plasma edge decreases and it finally disappears from the spectra below 50 K. Below 30 K, the substrate becomes transparent, interferences appear (not shown) and the spectrum is no longer sensitive to phonons in the superlattice. For that reason, we present spectra only above 50 K in Fig. 9, where the phonons can be identified.

In Fig. 10 we show the complex dielectric spectra of EuO and BaO in a [(EuO)₂/(BaO)₆]₂₈ superlattice grown on silicon. We see that the BaO optical phonon frequency softens on cooling from 176 cm⁻¹ to 169 cm⁻¹ (see the peaks in the $\epsilon''(\omega)$ spectra in Fig. 10b), and the EuO phonon softens from 94 to 87 cm⁻¹ (see Figs. 8, 9, and 10). Although its frequency is much softer than in the unstrained thin film, it does not exhibit the anomaly that would be expected at a ferroelectric phase transition. We conclude, therefore, that the +5.6% strain in [(EuO)₂/(BaO)₆]₂₈ superlattices appears to be insufficient to induce ferroelectricity in EuO, consistent with our theoretical predictions in Fig. 3. Again consistent with the calculations, we note a much higher static relative permittivity ($\epsilon'_0 = 100\text{--}150$) in the EuO component of the superlattice than in the unstrained EuO film ($\epsilon'_0 = 25\text{--}50$ depending on the temperature) or in bulk EuO ($\epsilon'_0 = 24$)²⁹, caused by the softening of the EuO phonon in the superlattice grown on Si. This is a consequence of the Lyddane–Sachs–Teller relation⁴¹. A strain-induced 50% increase of permittivity was recently also observed in EuO/LaAlO₃, where the EuO film was under 3% tensile strain⁴².

We also note that, while bulk BaO has an optical phonon frequency of 144 cm⁻¹³⁶, our BaO layers in the [(EuO)₂/(BaO)₆]₂₈ superlattice have a phonon frequency of 176 cm⁻¹ (at room temperature), as expected for the compressive strain of -1.6% (compressive strain causes hardening of the in-plane-polarized E_u phonon). As a result, the static relative permittivity of the BaO layers is lower than that of the EuO layers (see the low-frequency part of Fig. 10a). The E_u phonon exhibits a small (6 cm⁻¹) softening on cooling (see the shift to a lower frequency

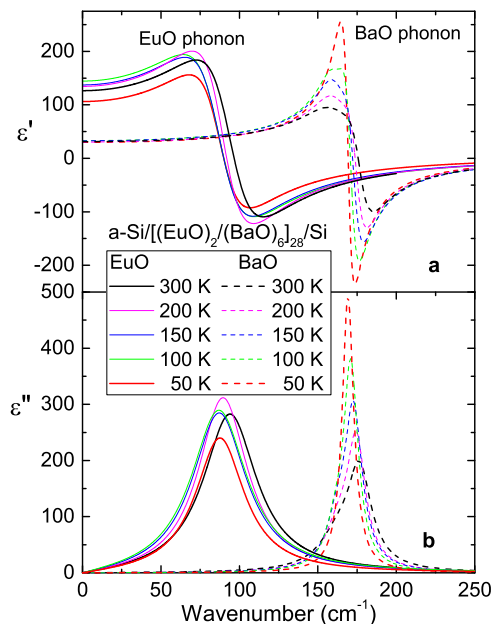


Fig. 10 Complex dielectric response of the EuO and BaO layers in the [(EuO)₂/(BaO)₆]₂₈ superlattice grown on a (001) Si substrate. Real and imaginary parts of the complex dielectric spectra are shown in panels (a) and (b), respectively. The spectra of the EuO (solid lines, nominal tensile strain +5.6%) and BaO (dashed lines, nominal compressive strain -1.6%) layers were obtained from fits of the IR spectra in Fig. 9. Both optical phonons soften slightly on cooling, but they do not show any ferroelectric anomaly.

of the peak in the dielectric loss spectra $\epsilon''(\omega)$ of BaO with reduced temperature) indicating a tendency toward a lattice instability. Since BaO is under compressive strain, however, larger softening can be expected for the A_{2u} symmetry phonon polarized perpendicularly to the sample plane, but this phonon is not activated in the near-normal IR reflectivity geometry employed.

Magnetic properties of (EuO)_x/(BaO)_y superlattices. Having established that it is possible to use strain to induce ferroelectricity in (EuO)_x/(BaO)_y superlattices, we now turn to the question of whether such superlattices are simultaneously ferroelectric and ferromagnetic, i.e., multiferroic. Bulk EuO is ferromagnetic below 69 K⁴³. It was reported that the ferromagnetic Curie temperature (T_C) of thick films of unstrained (001) EuO / (001) YSZ with thickness less than 10 nm⁴⁴. First-principles calculations suggest that biaxial tension will reduce the ferromagnetic T_C of EuO⁴⁵ and consistent with this prediction tensile strained (001) EuO films grown on (110) LuAlO₃ show a reduced ferromagnetic T_C ⁴⁴. Thus, we expect the ferromagnetic T_C of strained (EuO)_x/(BaO)_y superlattices to be lower than bulk EuO due to the reduced dimensionality and tensile strain on the EuO layers in the (EuO)_x/(BaO)_y superlattices. Intermixing is also expected to decrease the ferromagnetic T_C due to the dilution of the ferromagnetic Eu²⁺ spins with diamagnetic Ba²⁺ spins as demonstrated by Eu_xSr_{1-x}O being no longer ferromagnetic for $x < 0.136$ (the 3D percolation limit of an FCC lattice of diluted spins)⁴⁶.

We measured the temperature dependence of the magnetic susceptibility of (EuO)_x/(BaO)_y superlattices grown on various substrates to determine the ferromagnetic T_C of each superlattice (Fig. 11 and Supplementary Figs. 5 and 6). Importantly, all of the superlattices exhibited ferromagnetic hysteresis loops at low temperatures, even down to a single monolayer thickness of the EuO (e.g., in [(EuO)₁/(BaO)₄]₂₆/Si—see Supplementary Fig. 6). In addition, one can see two main trends. First, the ferromagnetic T_C

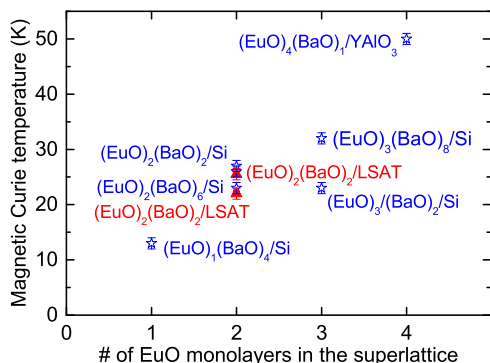


Fig. 11 Ferromagnetic Curie temperatures of $(\text{EuO})_x/(\text{BaO})_y$ superlattices grown on various substrates. The error bars of these T_C s are shown and are only slightly larger than the symbols.

risers with increasing thickness of the EuO layers. Second, the ferromagnetic T_C decreases with increasing tensile strain in the EuO layers and increasing thickness of the BaO layers. These trends are all expected, due to the reduction of the exchange coupling between intralayer and interlayer Eu^{2+} spins. The two $(\text{EuO})_2/(\text{BaO})_2$ superlattices on (001) LSAT exhibit slightly different T_C values, likely due to differences in sample quality including slight strain relaxation, but more importantly both samples show ferromagnetism above 20 K.

Based on the IR and magnetic data presented above, we conclude that $(\text{EuO})_2/(\text{BaO})_2$ superlattices strained to (001) LSAT exhibit a combination of simultaneous ferromagnetism and ferroelectricity, i.e., they exhibit the exact definition of multiferroism, in contrast to most magnetic ferroelectrics which combine antiferromagnetic and ferroelectric order. This makes these EuO-based multiferroic superlattices not only the simplest known multiferroic, but also the one exhibiting the highest temperature for this strong multiferroic state (simultaneous ferromagnetism plus ferroelectricity)¹⁴. The magneto-electric coupling should be higher in $(\text{EuO})_2/(\text{BaO})_2$ superlattices than in ferroelectric antiferromagnets⁴⁷. Unfortunately, we could not directly measure the ferroelectric hysteresis loops and magneto-electric coupling, because the superlattices had an a-Si cap (to protect them against degradation when exposed to air), which was rather electrically leaky and it prevented application of an electric field essential for the magneto-electric studies.

A strain-induced displacive ferroelectric instability is rather surprising in ferromagnetic EuO because the permittivity and phonon frequencies are almost temperature independent in bulk EuO. Strain-induced ferroelectric phase transitions have so far been reported mainly in incipient ferroelectrics like the perovskites SrTiO_3 ⁴⁸, KTaO_3 ⁴⁹, EuTiO_3 ¹⁴, and NaMnF_3 ⁹ or in related $\text{Sr}_{n+1}\text{Ti}_n\text{O}_{3n}$ ⁵⁰ phases with Ruddlesden–Popper structures. In all of these bulk systems, the permittivity and soft phonons driving the ferroelectric phase transition are strongly temperature dependent and therefore they are very sensitive to strain^{14,49–52}. For that reason, the ferroelectric phase transition can be induced in these originally paraelectric (sometimes called quantum paraelectric) materials. Interestingly, a strain-induced ferroelectric phase transition has been never observed in a simple binary monoxide (XO with X = Ba, Sr, Eu, Ca, etc.) with the rocksalt crystal structure, although it was theoretically predicted in refs. 7,20. The reason is the very high strain expected for the soft-mode-driven ferroelectric phase transition in XO. This is because the phonons in monoxides have much higher frequencies than in perovskites and therefore they are usually very stable with temperature. In this report, we have experimentally confirmed

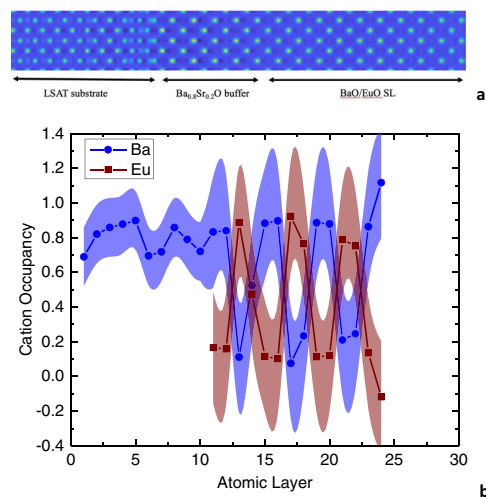


Fig. 12 Structural characterization at room temperature of a $(\text{EuO})_2/(\text{BaO})_2$ superlattice grown on a (001) LSAT substrate. **a** COBRA analysis of the electron density in the (110) plane. **b** Cation occupancy of a $(\text{EuO})_2/(\text{BaO})_2$ superlattice. It was grown on top of a 5-unit-cell-thick (2.7 nm) $\text{Ba}_{0.8}\text{Sr}_{0.2}\text{O}$ buffer layer on a (001) LSAT substrate.

that in EuO more than 6% strain is required to induce a phonon instability producing a displacive ferroelectric phase transition.

In summary, we have prepared epitaxial EuO thin films and $(\text{EuO})_x/(\text{BaO})_y$ superlattices in which the EuO is strained up to nominally +6.4%. For this largest strain, which was achieved on a $[(\text{EuO})_2/(\text{BaO})_2]_{35}$ superlattice grown on (001) LSAT, our phonon spectra reveal the hallmark of a ferroelectric transition around 100 K, i.e., phonon softening and a peak in permittivity around this temperature. These strained superlattices retain their bulk ferromagnetism, i.e., they are multiferroic. The qualitative picture is consistent with previous DFT-GGA calculations, but the quantitative values are in better agreement with the B1WC hybrid functional.

Methods

Molecular-beam epitaxial growth of strained EuO films and $(\text{EuO})_x/(\text{BaO})_y$ superlattices. The films and superlattices were grown in Veeco GEN10 and 930 oxide MBE systems on various single crystalline substrates which allowed us to impose different strains via heteroepitaxy. In the absence of relaxation (i.e., fully commensurate films), EuO films grown on cubic (001) yttria-stabilized zirconia ZrO_2 (YSZ) have 0% strain, on (110) YAlO_3 have +2.2% strain and on (001) Si have +5.6% strain. In commensurate $(\text{EuO})_2/(\text{BaO})_2$ superlattices grown on (001) $(\text{LaAlO}_3)_{0.29}(\text{SrAl}_{1/2}\text{Ta}_{1/2}\text{O}_3)_{0.71}$ (LSAT) substrates the EuO monolayers are under +6.4% strain at the same time that the BaO monolayers are under -1.2% strain. All of the above strains are biaxial in-plane strains; our objective is to induce an in-plane ferroelectric polarization, which can be revealed by observing a softening of the polar phonon driving the phase transition by IR spectroscopy.

The structural quality of the biaxially strained EuO films and $(\text{EuO})_x/(\text{BaO})_y$ superlattices was assessed by reflection high-energy electron diffraction (RHEED) during growth and XRD after growth (see Supplementary Fig. 1–4). Although epitaxial EuO films have been imaged by transmission electron microscopy (TEM)^{53–57}, attempts to image these highly strained $(\text{EuO})_x/(\text{BaO})_y$ superlattices by TEM were unsuccessful.

Coherent Bragg rod analysis (COBRA) at room temperature was used to characterize the most strained structure, a $(\text{EuO})_2/(\text{BaO})_2$ superlattice grown on (001) LSAT. The resulting electron density analysis is shown in Fig. 12 at atomic resolution. The presence of the 5-unit-cell-thick (2.7 nm) $\text{Ba}_{0.8}\text{Sr}_{0.2}\text{O}$ epitaxial buffer layer on top of the (001) LSAT substrate is evident. The low growth temperature (80 °C) used for the growth of this superlattice resulted in minimal intermixing between the EuO and BaO layers. An analysis of cation occupancy shows that there is about 15% intermixing between the europium and barium cation sites in the superlattice. Note that this intermixing is the combination of interface roughness and interdiffusion. We believe most of the indicated site intermixing arises from the former mechanism, i.e., is due to the presence of atomic steps at the interface between the BaO and EuO layers. This is because the superlattice diffraction peaks in XRD (not shown) do not wash out until the growth temperature reaches about 150 °C.

Since EuO is reactive with the humidity in air, the films were always protected by an a-Si cap with a thickness of about 100–300 nm. This capping layer has no IR-active optical phonons, so does not noticeably influence the spectra. We found experimentally by RHEED and XRD investigations (not shown) that, with increasing strain, films and superlattices must be grown at decreasing temperatures to avoid relaxation. This required growth temperatures as low as $\sim 120^\circ\text{C}$ for growth on silicon and $\sim 80^\circ\text{C}$ for growth on LSAT substrates. At these temperatures, EuO cannot be grown using the typical adsorption-controlled growth method⁵⁸ in which nearly stoichiometric films form readily within a broad range of oxygen pressure because the film stoichiometry is controlled by thermodynamics. Instead, at the low growth temperatures used, the fluxes of the cation species (europium and barium) have to be matched precisely to each other and to the oxygen flux at the sample location. Otherwise phases exhibiting other oxidation states than the desired phases form leading to quick relaxation of the superlattices. The impurity phases can be detected with high sensitivity by observation of diffraction maxima in RHEED and after prolonged growth also in XRD. To achieve films and superlattices with no extra diffraction maxima in RHEED and XRD required the oxygen partial pressure to be controlled with a precision better than $\pm 2\%$ using a residual gas analyzer (at a base oxygen partial pressure of $(1-5) \times 10^{-9}$ Torr) and meticulous calibration of the metal fluxes before each growth cycle.

Before superlattice growth on silicon, we heated the silicon wafers to $\approx 900^\circ\text{C}$ for 5 min to thermally desorb the native SiO_2 -layer on the substrate. Subsequently, the wafer was cooled to a temperature of 650°C and a monolayer of strontium metal was deposited under high-vacuum conditions. Later, this layer was oxidized at an oxygen background partial pressure of $\approx 3 \times 10^{-8}$ Torr and a substrate temperature of 190°C . This intermediate step is suitable to prevent oxidation of the silicon substrate during the first stages of oxide growth and provides a template layer for the epitaxial growth of overlying oxides.

Superlattice growth on silicon started with the deposition of three unit cells of BaO at a substrate temperature of 90°C , followed by the deposition of 1 unit cell of EuO. Note that a unit cell of the AO rocksalt structure, where $A = \text{Ba}$ or Eu in the current study, contains two AO monolayers. After the deposition of one of these superlattice unit cells, the substrate temperature was increased to the final growth temperature of $\sim 125^\circ\text{C}$. The lower deposition temperature for BaO/Si was found to prevent relaxation of the initial BaO layer, whereas a higher temperature was needed to ensure high-quality epitaxial growth of thicker superlattices (>50 nm).

At growth temperatures $\gg 100^\circ\text{C}$, BaO relaxes after the deposition of <3 monolayers (giving rise to extra spots in RHEED diffraction patterns). This is only a problem during deposition of the first few monolayers of the whole superlattice and probably due to weaker bonding between the SrO-covered silicon substrate and BaO as compared with the BaO/BaO or BaO/EuO bonding strength. The critical thickness for the relaxation of EuO on silicon at a growth temperature of $\sim 100^\circ\text{C}$ was determined to be ~ 1 monolayer.

Therefore, deposition was started with BaO at temperatures below 100°C (typically 95°C) and after the deposition of ~ 2 monolayers, the substrate temperature was gradually increased during deposition of the following ~ 10 monolayers of the superlattice to the final growth temperature of $\sim 125^\circ\text{C}$.

Magnetic studies. The magnetic properties of the $(\text{EuO})_x/(\text{BaO})_y$ superlattices were investigated using a Quantum Design (MPMS) superconducting quantum interference device with magnetic fields up to 5 T. Results of temperature and magnetic field measurements of magnetization are shown in Supplementary Figs. 5 and 6, respectively.

Infrared spectroscopy and determination of phonon parameters. The IR reflectance experiments were performed using a Bruker IFS 113v Fourier-transform IR spectrometer equipped with a helium-cooled (1.6 K) silicon bolometer. The polarized reflectance measurements were realized in a near-normal incidence geometry sensitive only to in-plane polarized phonons. Bare substrates and films upon substrates were measured under the same conditions on cooling from room temperature to 10 K in an Optistat CF cryostat (Oxford Instruments). The 3-mm-thick polyethylene windows used in the cryostat limited the measured spectra range at low temperatures because the windows are IR transparent only up to 650 cm^{-1} . Room-temperature spectra were detected up to 3000 cm^{-1} using a pyroelectric detector made of deuterated triglycine sulfate.

To evaluate the IR reflectance spectra, a model corresponding to a two-layer optical system was employed for fitting of EuO films on YSZ or YAlO_3 substrates. $(\text{EuO})_x/(\text{BaO})_y$ superlattices with n repetitions were approximated by EuO and BaO films with thickness $n d_i$, where d_i denotes the thickness of the $(\text{EuO})_x$ and $(\text{BaO})_y$ layer ($i = x, y$). In this case, a model for the three-layer optical system EuO/BaO/substrate was employed to fit the spectra. Details of this model are described in ref. ⁵⁹ and in Supplementary Information. We first fit the IR reflectivity spectra of the bare substrate at various temperatures. In our experiment, the reflectivity $R(\omega)$ is related to the complex dielectric function $\epsilon^*(\omega)$ by

$$R(\omega) = \frac{|\sqrt{\epsilon^*} - 1|^2}{|\sqrt{\epsilon^*} + 1|^2}. \quad (1)$$

The complex permittivity spectra of the substrates were described by a generalized, factorized damped harmonic oscillator model

$$\epsilon^*(\omega) = \epsilon'(\omega) + i\epsilon''(\omega) = \epsilon_\infty \prod_j \frac{\omega_{\text{LO}j}^2 - \omega^2 + i\omega\gamma_{\text{LO}j}}{\omega_{\text{TO}j}^2 - \omega^2 + i\omega\gamma_{\text{TO}j}}, \quad (2)$$

where $\omega_{\text{TO}j}$ and $\omega_{\text{LO}j}$ are the frequencies of the j th transverse optic and longitudinal optic phonons, and $\gamma_{\text{TO}j}$ and $\gamma_{\text{LO}j}$ are the corresponding damping constants. ϵ_∞ is the high-frequency (electronic) contribution to the permittivity, determined from the room-temperature frequency-independent reflectivity tail above the phonon frequencies. The dielectric function of each thin film has the form of a sum of N independent three-parameter damped harmonic oscillators (representing the in-plane polarized transverse optic phonons of the film), which are expressed as

$$\epsilon^*(\omega) = \epsilon_\infty + \sum_{j=1}^N \frac{\Delta\epsilon_j \omega_{\text{TO}j}^2}{\omega_{\text{TO}j}^2 - \omega^2 + i\omega\gamma_{\text{TO}j}}, \quad (3)$$

where $\Delta\epsilon_j$ is the dielectric strength of the j th mode. In the paraelectric EuO and BaO films, only a single polar optic phonon is IR active (i.e., $N = 1$), but in $(\text{EuO})_2/(\text{BaO})_2/\text{LSAT}$ two additional phonons (i.e., $N = 3$) activate in the IR spectra below $T_C = 100\text{ K}$ due to the lowering of the crystal symmetry that accompanies the ferroelectric phase transition. Equation (3) is simpler than Eq. (2), but it is well justified because the damping of the longitudinal phonons of the films does not influence the reflectance spectra appreciably.

First-principles calculations. For calculations of the phonons in EuO, the hybrid-functional B1WC³² implemented in the CRYSTAL17 code was used³³. We used pseudopotentials for Ba (Ba_HAYWSC-3111(2d)G_zagorac_2012)⁶⁰ and Eu (Eu (II)_ECP53MWB-VTZ_Dolg, with the $4f$ -electrons treated as core electrons)⁶¹ and an all-electron basis for O⁶². A grid of k -point of 8 was used for the in-plane directions and 1 along the out-of-plane direction when the thickness is larger than 4 atomic layers and 2 otherwise (no calculation was done with a thickness smaller than 4 monolayers). The phonons were calculated by the finite difference method based on the numerical calculation of the second derivatives of the total energy, as reported in ref. ⁶³.

Data availability

All of the relevant data are available from the corresponding author S.K. (kamba@fzu.cz) upon reasonable request.

Code availability

The first-principles calculations were carried out using the proprietary CRYSTAL17 code (www.crystal.unito.it). The visualization software VESTA is distributed free of charge for scientific users under the VESTA license (<https://jpmminerals.org/vesta/en/download.html>).

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Author contributions

V.G. (Prague) measured and evaluated IR spectra. S.K. conducted the IR studies and wrote the first version of the manuscript. R.H. and A.M., under the supervision of D.G.S., synthesized the samples by MBE and characterized them by RHEED, XRD, and SQUID measurements. E.B., P.G., and N.A.S. performed first-principles density functional calculations. Y.Y. and H.Z. performed COBRA analysis. V.G. (Penn State) measured second harmonic generation in less strained films. All authors discussed results and commented on the manuscript. The study was conceived and guided by D.G.S. and S.K.

Competing interests

The authors declare no competing interests.

Additional information

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